

# **Adherence to the Standard Treatment Guidelines in managing patients with hypertension at Chiawelo Community Health Centre, Gauteng, South Africa**

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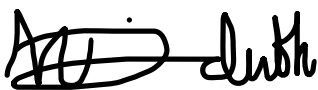
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in partial fulfilment of the requirements for the degree of  
MMed: Family Medicine

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## Declaration


I, Nikkeeta DAWDUTH hereby declare that this research is my own unaided work, except where due acknowledgement for assistance received has been made. It is being submitted for the degree of Master of Family Medicine at the University of the Witwatersrand, Johannesburg. It has not been submitted previously for any other degree or examination at this or any other University.

Signed  .....

(Signature of candidate)

Dr N. DAWDUTH

Date: 19<sup>th</sup> April 2022

Signed  .....

(Signature of primary supervisor)

Dr M. TORLUTTER

Date: 19<sup>th</sup> April 2022

## II Dedication

I would like to dedicate this work to:

Almighty God.

my wonderful husband,

Clinton

and

my beautiful family,

Benny, Reasha and Sasheen,

for their unwavering support and encouragement

throughout the time I was preparing

this research reports.

### **III Acknowledgements**

I would like to thank all my lecturers at Wits University. My sincere gratitude to my research supervisor, Dr M. TORLUTTER, Department of Family Medicine, University of the Witwatersrand, Johannesburg for her invaluable mentoring and guidance, feedback and patience, as well as her encouragement during the completion of my programme.

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## **VI Nomenclature**

<b>ACE-I</b>	:	Angiotensin Converting Enzyme Inhibitor
<b>ARB</b>	:	Angiotensin Receptor Blocker
<b>CCP</b>	:	Chiawelo Community Practice
<b>CHC</b>	:	Community health Centre
<b>CHW</b>	:	Community Health Worker
<b>COPC</b>	:	Community Orientated Primary Care
<b>EDL</b>	:	Essential Drug List
<b>NCD</b>	:	Non communicable disease
<b>STG</b>	:	Standard Treatment Guideline
<b>RAS</b>	:	Renin Angiotensin System
<b>QI</b>	:	Quality Improvement
<b>QIP</b>	:	Quality Improvement Project
<b>WBOT</b>	:	Ward based outreach team
<b>WHO</b>	:	World Health Organisation

1 **Article for submission**  
2 **Adherence to the Standard Treatment Guidelines in managing**  
3 **patients with hypertension at Chiawelo Community Health Centre,**  
4 **Gauteng, South Africa**

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An article submitted according to the style guide and requirements of the African Journal of Primary Health Care & Family Medicine (PHCFM).

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1 **Adherence to the Standard Treatment Guidelines in the management of patients**  
2 **with hypertension at Chiawelo Community Health Centre, Gauteng, South Africa**

3 **Abstract:**

4 **Background:** Hypertension is a highly prevalent chronic disease, causing significant  
5 morbidity and mortality and is poorly managed and controlled in primary care, with  
6 only 24.5 to 56% of patients being controlled<sup>1,2</sup>.

7 **Aim:** The aim of the study was to determine health care worker adherence to the  
8 Standard Treatment Guidelines in managing hypertensive patients in primary care.

9 **Methods:** The study was conducted at Chiawelo Community Practice Johannesburg.  
10 A retrospective file review was done on 261 hypertensive patients and information  
11 extracted to determine whether health care workers performed correct baseline tests  
12 at diagnosis; correct investigations were done on ongoing basis; lifestyle modification  
13 was addressed; and correct pharmacological therapy was prescribed and titrated.  
14 Data analysis included descriptive statistics and bivariate analysis.

15 **Results:** A total of 77% of participants were female and 23% were male of which  
16 80.5% participants had co-morbidities. Patients were treated by a doctor in 97% of  
17 cases; 84.3% by clinical associate, and 0.6% by a nurse only over time. Baseline  
18 findings recorded in the file at diagnosis were: weight 65.9%, height 73.2%, potassium  
19 32.2%, BMI 50.2%, abdominal circumference 51.7%, and urine dipsticks 47.9%. Vitals  
20 and investigations recorded in the file: BP 99.6%, weight 19.5%, blood glucose 86.6%,  
21 creatinine 95.4%, eGFR 94.3% and urine protein 3.4%. Lifestyle modification was  
22 recorded for 23.7% and medication adherence was checked and recorded for 36.4%  
23 of patients. The correct antihypertensive medications were prescribed in 96.5% of  
24 patients but titrated correctly in only 73.5% of patients. 52.8% of patients were  
25 controlled on treatment.

26 **Conclusion:** Adherence to guidelines by health care workers was suboptimal and  
27 several aspects of care warrants quality improvement processes.

28  
29 **Keywords:**

30 Adherence; Hypertension; Health care workers; Standard treatment guidelines  
31 (STGs); South Africa; Retrospective cross sectional

## 1 Introduction

2 Hypertension is a chronic non-communicable disease (NCD) that is highly prevalent  
3 worldwide. Globally the World Health Organisation (WHO) estimates that there are  
4 1.4 billion people living with hypertension, but only 42% of people have been  
5 diagnosed and receive treatment.<sup>3,4</sup> The highest prevalence of hypertension is found  
6 in lower- to middle-income countries according to a systematic review by Mills et al<sup>5</sup>  
7 looking at global disparities of hypertension prevalence and control.<sup>5</sup> The prevalence  
8 of hypertension in sub-Saharan Africa is estimated to be 38% by WHO, however this  
9 remains largely unknown due to poor availability of data.<sup>4</sup> The South African  
10 Hypertension Practice Guideline in 2017 estimated that 30.4% of the population has  
11 hypertension.<sup>7</sup> According to the South African National Health and Nutrition  
12 Examination Survey (SANHANES) of 2012 and the South African Demographic and  
13 Health Survey (DHS) of 2016, the prevalence of hypertension has increased from  
14 38.4% in 2012 to 48.2% in 2016 with the average age of patients being 46.8 and  
15 47.9 respectively.<sup>8</sup> There is a higher ratio of hypertension in females 46% to males  
16 44%. Thus, hypertension is a highly common NCD affecting the South African  
17 population. <sup>8</sup>

18

19 The cause of hypertension is primarily idiopathic related to a genetic predisposition  
20 in patients, however rare secondary organic causes of hypertension also occur.<sup>10</sup>  
21 Hypertension causes significant morbidity and mortality, with complications such as  
22 stroke and coronary artery disease.<sup>3,4,5,7</sup> Globally over 60% of cardiovascular  
23 disease is caused by hypertension, and the WHO estimates that hypertension  
24 caused 9.4 million deaths. <sup>3,4,5</sup>

25

26 In 2016/2017 Statistics South Africa reported that cerebral vascular disease and  
27 ischemic heart disease ranked 3<sup>rd</sup> and 4<sup>th</sup> as leading causes of death in South Africa,  
28 with hypertension an important underlying cause of these diseases.<sup>11</sup> In Gauteng,  
29 NCDs accounted for the deaths of 46% of females and 40% of males between the  
30 ages of 25-54 years, according to the 2016/2017 District Health Barometer (DHB).<sup>11</sup>  
31 Therefore, it is important that health care workers detect hypertension early though

1 effective screening and diagnosis, appropriate provision of pharmacological  
2 treatment, monitoring of treatment, and the use of non-pharmacological interventions  
3 such as lifestyle modification.<sup>8</sup> Emphasis on counselling by health care workers for  
4 modifiable lifestyle factors such as a healthy diet low in polyunsaturated fat and  
5 sugar, moderate regular exercise of 150 minutes per week, a reduction in alcohol  
6 consumption, and cessation of smoking can reduce cardiovascular complications  
7 and assist with control of hypertension.<sup>3,8</sup>

8

9 South Africa has one of the highest inequality indexes in the world post-apartheid.<sup>8,12</sup>  
10 It faces substantial challenges in providing high quality universal health coverage to  
11 all citizens with most patients being treated in a poorly resourced public health  
12 sector.<sup>8,12</sup> First line care for these patients is at district Primary Health Care (PHC) and  
13 Community Health Centre (CHC) clinics, where the bulk of patients with hypertension  
14 and other chronic diseases are managed.<sup>12</sup> Patients requiring more specialized care  
15 are referred to district, regional and tertiary hospitals. However, access to these higher  
16 levels of care is often limited.<sup>8,12</sup> Thus, patient management occurs primarily in a PHC  
17 setting that has significant resource limitations and system deficiencies which affects  
18 the quality of patient care. Such deficiencies may include drug stockouts, limited  
19 laboratory investigations, under-staffing, and lack of equipment such as  
20 ophthalmoscopes and ECG machines.<sup>8,12,16</sup>

21

22 In 1996, the National Drug Policy (NDP) was created and implemented in South  
23 Africa.<sup>16</sup> This included creating the Standard Treatment Guidelines (STG) and  
24 Essential Drug List (EDL).<sup>13,16</sup> The STGs' have 'PHC level' guidelines as well as 'Adult  
25 and Paediatric Hospital level' guidelines that aim to provide standardized medical  
26 treatment at different levels of care.<sup>13,15,16</sup> It allows essential drugs to be available at  
27 each health care level in a financially cost effective way.<sup>16</sup> The STG guidelines are  
28 designed specifically to assist PHC nurses working at clinics to effectively treat most  
29 patients independently, where there is a scarcity of doctors or specialist care.<sup>13,15,16</sup>  
30 The PHC STG hypertension chapter is based on the South African Hypertension  
31 Guidelines.<sup>7,11,14,16</sup> The guidelines used at the time of this study were the 2018 PHC  
32 STG guidelines.

1 In South Africa, it is estimated that only 24.5% to 56% of hypertensive patients are  
2 controlled.<sup>1,2</sup> The management of hypertensive patients may be influenced by several  
3 factors.<sup>8</sup> A South African study conducted in Cape Town by Parker et al called, 'Health  
4 practitioners state of knowledge and challenges to effective management of  
5 hypertension at primary level', found numerous challenges that attributed to poor  
6 patient management.<sup>17</sup> Patient related factors such as poverty, lack of education,  
7 unemployment, poor drug adherence, and poor clinic attendance worsened disease  
8 burden and outcomes.<sup>17</sup> Health care worker related factors included lack of knowledge  
9 and poor use of the hypertensive guidelines which directly affected patient treatment,  
10 monitoring, and outcomes.<sup>17</sup> System related factors such as lack of resources  
11 including medical equipment, onsite laboratory services and drug availability and  
12 stockouts affected the ease of investigative work up and the prompt management of  
13 patients.<sup>8,17</sup> Other system related factors included the lack of booking systems, poor  
14 patient flow in clinics, inadequate staffing with high patient loads resulting in burnout  
15 of health care workers.<sup>17</sup> As a result of these conditions, health care worker difficulties  
16 become amplified with little time for a comprehensive bio-psycho-social approach  
17 during consultations, and limited opportunity for counselling and behaviour  
18 modification for lifestyle factors to improve control and prevent complications.<sup>17</sup>

19

20 In a study by Daniels et al<sup>18</sup> called, 'Ambivalence of primary health care professionals  
21 towards the South African Guidelines of hypertension', interviews with nurses and  
22 doctors were conducted to assess their attitudes towards using guidelines for the  
23 treatment of patients with hypertension and diabetes. It concluded that nurses  
24 recognized the importance of guidelines to assist them with treating patients, whereas  
25 doctors preferred individualized care and felt that guidelines could not be used in the  
26 treatment of complicated patients.<sup>18</sup> They also often did not apply management  
27 appropriately to resource constrained local settings.<sup>18</sup> In a study conducted by Ernst<sup>19</sup>  
28 in Pretoria, researchers assessed the knowledge of doctors working in private and  
29 public institutions in treating complicated hypertensive patients that had target organ  
30 damage. It was found that only 50% of doctors knew which pharmacological agent  
31 was most beneficial for their patient. Thus, this indicates poor knowledge of  
32 pharmacological therapy for a common NCD affecting patient care.

1 In summary, hypertension is a highly prevalent and poorly controlled NCD in South  
2 Africa, with poor adherence by health care workers to the national hypertension  
3 guidelines.<sup>18,19,20</sup> Various factors may affect the management of hypertensive patients  
4 as described above, however the aim of this study was to specifically assess  
5 adherence of health care workers to the 2018 STGs for hypertension at Chiawelo  
6 Community Practice (CCP), including the provision of correct antihypertensive  
7 treatment, monitoring, adherence and lifestyle modification.

## 10 **RESEARCH DESIGN AND METHODS**

### 12 **Study design**

13 The study design was a descriptive retrospective cross-sectional study.

### 14 **Aims and Objectives**

15 To assess the compliance of clinic-based health care workers (nurses, clinical  
16 associates, and doctors), to the 2018 hypertension STGs.

17 The specific objectives were:

- 18 • to measure adherence of health care workers to completing and recording  
19 correct bedside and laboratory investigations at baseline diagnosis of  
20 hypertension and the ongoing monitoring of hypertensive patients.
- 21 • to assess if lifestyle modification advice was recorded in the patient's file.
- 22 • to assess if correct drugs were prescribed and titrated according to clinical  
23 response; and
- 24 • to assess if medication adherence was recorded in the file

### 26 **Study setting**

27 The study was conducted at Chiawelo CHC in Soweto, Johannesburg. This CHC is  
28 located in a densely populated peri-urban area. It serves ward 11, which includes

1 Chiawelo (population: 13104) and Klipspruit (population: 10 464). It also provides  
2 services to ward 12 (population: 27 300), ward 15 (population: 26784), and ward 19  
3 (population: 28 668).<sup>21</sup> The community is primarily disadvantaged, with an average  
4 household income of R4900.<sup>21</sup> Most people live in Reconstruction and Development  
5 Programme (RDP) government housing and have access to sanitation and electricity.  
6 Population statistics indicate high levels of unemployment at 39.2%, with 22.9% of  
7 people relying on social grants in the area. 37.4% of households are female headed,  
8 and there is a large geriatric population of 35%.<sup>21</sup>

9 Chiawelo CHC is a 24-hour clinic and offers a wide range of services including acute  
10 and chronic primary care, mental health, maternity care, paediatric care, and dental  
11 services. Patients requiring a higher level of care are referred to Bheki Mlangeni  
12 District Hospital or Chris Hani Baragwanath Tertiary Hospital. Our study took place in  
13 a section of the clinic called the CCP that serves ward 11. This area is run by a family  
14 physician and follows the ideals of a community practice, and serves a particular  
15 population at risk. It has a filing system and booking system that attempts to include  
16 the whole family. It mainly provides chronic care services, and also actively engages  
17 in community oriented primary care (COPC).<sup>21</sup> The clinic is staffed by two family  
18 physicians, a clinical associate practitioner, a family medicine registrar, two interns,  
19 four enrolled nurses, one PHC nurse and a filing administration clerk.

20

## 21 **Study population and sampling strategy**

22 The study population was the 1393 hypertensive patients that Chiawelo CCP treats  
23 per month. Included in the study were the records of patients 18 years and older,  
24 who had been diagnosed with primary idiopathic hypertension at the CCP, and had  
25 been monitored and treated by the health care workers at the CCP for at least a  
26 year. Patient files that met the inclusion criteria had data collected for the past three  
27 consecutive visits, to allow for trends in patient management and control to emerge.  
28 Files were sampled and data collected consecutively from the archive until the  
29 sample size was reached.

30 Patient files were excluded if gross information was missing such as baseline tests  
31 and lab investigations done at diagnosis, or where pages were missing and the file  
32 was incomplete. Patient files where secondary causes of hypertension was diagnosed,



1 or where end organ damage such as heart failure or renal failure was present were  
2 excluded. These patients often require individualized treatment beyond the scope of  
3 the basic PHC STGs for hypertension. Pregnant patients with gestational hypertension  
4 or pre-eclampsia were also excluded from the study.

5 The sample size was calculated using Cochran's Formula from a sample size  
6 calculator in Microsoft Excel.<sup>39</sup> This is used for categorical data. A sample of 261  
7 participants was calculated from a population proportion of 1393 hypertensive  
8 patients attending the CCP from ward 11. A confidence interval of 95% was used to  
9 describe where the true population mean should lie.

10 The Cochran formular for calculating the required sample size is

$$11 \quad n_0 = \frac{z^2 \times p \times (1 - p)}{E^2}$$

12

13 Which has the following components where No is the needed sample size, Z is the  
14 value for the 95% confidence interval is 1,96, P is the expected hypertensive  
15 population proportion of 30% or 0.3, and E is the margin of error of 5% or 0.05.<sup>39</sup>

16

### 17 **Research tool and data collection:**

18 The design of the data collection tool was based on the requirements of the 2020  
19 STGs for hypertension with slight modification to context in line with the specific  
20 objectives of the study. The tool also collected information on basic demographics of  
21 the patient, and the co-morbidities. It records whether beside examinations and  
22 investigations were performed correctly at initial diagnosis or not, if ongoing annual  
23 investigations had been performed or not, and whether lifestyle modification was part  
24 of the care plan or not. It also recorded if pharmacological treatment was correctly  
25 prescribed and titrated as required, by health care workers over time; and whether  
26 adherence to treatment was reported in the patient's file or not.

27 Data was collected over a three-month period from September 2020 to November  
28 2020, by the principal investigator. A filing clerk provided the researcher with files on  
29 specific days. The clinical notes were assessed and data recorded on the data  
30 collection tool. Clinical information was obtained from the chronic care sheet

1 (Appendix VII) which was a flow chart kept inside each patient file: This included  
2 information such as blood pressure readings, anthropometric measurements, urine  
3 dipsticks, blood glucose and baseline and annual blood tests were performed. Further  
4 data was collected from the clinical notes including if the clinician had recorded  
5 counselling on lifestyle modification (such as dietary changes, incorporation of  
6 exercise, smoking cessation and reduction in alcohol consumption) and adherence to  
7 treatment. Drug charts were assessed to determine if correct drugs were prescribed  
8 and titrated over three consecutive visits, and if control was achieved.

9 In order to maintain confidentiality and prevent resampling a research number was  
10 allocated to each patient file, inscribed on the inner page of the folder. The research  
11 number was also recorded on the data collection instrument. No patient names were  
12 used.

13 All collected data was transcribed in the data collection tool and then were entered  
14 onto a Microsoft Excel spreadsheet. The hard copies were stored under lock and key  
15 by the principal investigator, and excel spreadsheets were password protected in  
16 Google Drive and only available to the researcher and supervisor. The information  
17 collected will be kept protected for a period of five years after publication to maintain  
18 confidentiality and maintain research integrity.

19

## 20 **Data analysis:**

21 A Bio-statistician at the University of the Witwatersrand, Johannesburg assisted with  
22 data analysis.

23 Age distribution was presented as a continuous variable using mean and standard  
24 deviation. Gender was categorised as male and female and presented in frequencies  
25 and percentages.

26 Bedside examination and tests, investigations, lifestyle modification and  
27 pharmacological management were recorded as either having been performed (yes/1)  
28 or not having been performed (no/0).

29 The binomial test was used to determine if a significant proportion of the participants  
30 either did or did not have their baseline tests, laboratory investigations, lifestyle

1 counselling, drug therapy given and intensification of treatment therapy performed and  
2 recorded. Under the null hypothesis it is assumed that equal proportions (50%) did/  
3 did not have these functions performed. A significant result (indicating unequal  
4 proportions) is achieved if  $p < 0.05$ .

5

## 6 **Ethical considerations**

7 All ethical standards for medical research involving human subjects were considered  
8 for this research, upholding the principles of the World Medical Association's  
9 Declaration of Helsinki. There was no conflict of interest with sponsors and  
10 participants, with freedom to publish the research results. Permission was received to  
11 conduct the research at Chiawelo CHC by the Chief Medical Officer (CMO) and head  
12 operational manager. The study was approved by the Human Research Ethics  
13 Committee (HREC) of the University of the Witwatersrand, Johannesburg (clearance  
14 certificate number: M200221). It was also approved by the National Human Resource  
15 Development Committee (NHRD Ref: GP\_202105\_016), and the District Research  
16 Council (DRC Ref: 2021-05-002).

17 Confidentiality was maintained by assigning a unique research number to each file as  
18 already described. The data collection sheets were stored under lock and key by the  
19 principal investigator, and spreadsheets were password protected in Google Drive.  
20 The information collected will be kept protected for a period of five years post  
21 publication to maintain confidentiality and research integrity.

22 All information from the collected data instrument was then transcribed into a Microsoft  
23 Excel spreadsheet. The data collection sheets were stored under lock and key by the  
24 principal investigator, and spreadsheets were password protected in Google Drive that  
25 is only available to the researcher and supervisor. The information collected will be  
26 kept protected for a period of five years post publication.

27

28

## 29 **RESULTS:**

30 A total of 261 patient's files were sampled and included in the analysis.

1 The mean age of patients in the study was 60 years with a standard deviation of 13.219  
 2 (Table 1). The majority of patients were female (201, 77%) and 210 (80.5%) of them  
 3 had co-morbid diseases such as Diabetes Mellitus, HIV, Osteoarthritis and Gout  
 4 (Table 2).

5 Over a period of three consecutive visits 255 (97.7%) and 220 (84.3%) patients were  
 6 consulted by a doctor and by a clinical associate respectively. In this study the PHC  
 7 nurse only consulted 1 (0.4%) patient, with her main responsibility to the Ward Based  
 8 Outreach Team (WBOT) and managing the–community health care workers. The  
 9 enrolled nurses were responsible for vital signs, bedside tests, and phlebotomy.

10 **Table 1:** Demographic details (n=261)

<b>Gender</b>	<b>n</b>	<b>Percentage %</b>
<b>Male</b>	60	23
<b>Female</b>	201	77
<b>Total</b>	261	100
<b>Mean</b>	60.38	
<b>Standard Deviation</b>	13.219	
<b>Age category</b>	<b>n</b>	<b>Percentage %</b>
<b>25–34</b>	4	1.5
<b>35–44</b>	32	12.3
<b>45–54</b>	46	17.6
<b>55–64</b>	82	31.4
<b>65–74</b>	57	21.8
<b>&gt;74</b>	40	15.3

11

12

13 **Table 2:** Co-morbid conditions per age group (n=261)

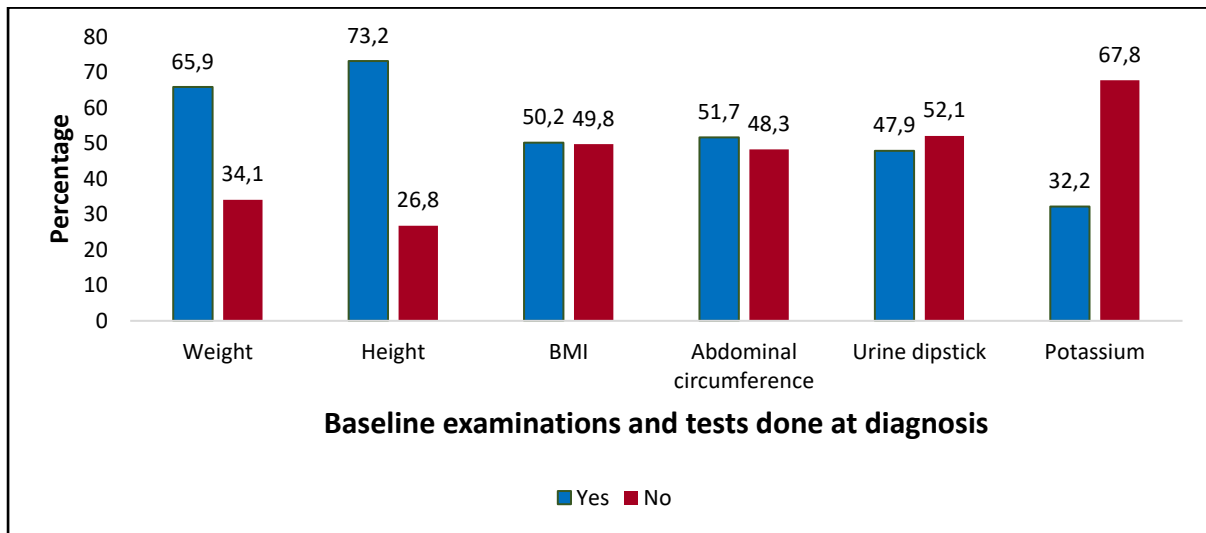
<b>Age</b>	<b>Co-morbidity</b>	<b>Pearson's chi-square</b>				
		<b>Absent</b>	<b>Present</b>			
<b>Category</b>	<b>n (%)</b>	<b>n (%)</b>	<b>χ<sup>2</sup></b>	<b>df</b>	<b>p-value</b>	
25 – 34	4 (1.5)	2 (50)	2 (50)	7.010	5	0.220
35 – 44	32 (12.3)	6 (18.8)	26 (81.3)			
45 – 54	46 (17.6)	5 (10.9)	41 (89.1)			
55 – 64	82 (31.4)	21 (25.6)	61 (74.4)			
65 – 74	57 (21.8)	9 (15.8)	48 (84.2)			
>74	40 (15.3)	8 (20)	32 (80)			
<b>Total</b>	<b>261 (100)</b>	<b>51 (19.5)</b>	<b>210 (80.5)</b>			

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### Investigations performed at diagnosis:

The STG guidelines stipulate the baseline clinical examinations and investigations required when hypertension is first diagnosed (Figure 1). Weight and height were recorded at diagnosis 65.9% and 73.2% of the time respectively, which was statistically significant. Anthropometric measurements such as body mass index (BMI) and abdominal circumference, and routine urine dipsticks were performed in 47.9% of patients. Serum potassium was recorded in 32.2% of patients at baseline, which was significantly low.



12 **Figure 1: Baseline examinations and tests done at diagnosis of hypertension**

13  
14

### Blood pressure and weight performed at each patient visit

15 Blood pressure (BP) and weight measurements are required at every visit for  
16 hypertensive patients according to the STGs. Blood pressure was recorded in 99.6%  
17 of the patients over three consecutive visits, while weight was recorded only 15.7%-  
18 24.9% of the time over the three consecutive visits (Table 1).  
19

20

21 **Table 3: Blood pressure and weight performed at each patient visit**

Test	Visit	Frequency n (%)	n
------	-------	-----------------	---

		Yes	No	
<b>Blood pressure</b>	1	260(99.6)	1(0.4)	261
	2	260(99.6)	1(0.4)	261
	3	260(99.6)	1(0.4)	261
<b>Weight</b>	1	65(24.9)	196(75.1)	261
	2	47(18)	214(82.0)	261
	3	41(15.7)	220(84.3)	261

1

## 2 **Annual investigations performed on hypertensive patients:**

3 Annual blood tests and specific investigations are required for screening and ruling out  
 4 complications in hypertensive patients (Table 4). Blood glucose was recorded in  
 5 86.6% of the patients, and renal function tests such as creatinine and eGFR were  
 6 recorded in 95.4% and 94.3% of patients respectively. The annual bedside test looking  
 7 for proteinuria was recorded in only 3.4% of patients.

8

### 9 **Table 4:** Annual bedside tests and investigations

10

<b>Annual tests</b>	<b>Frequency n (%)</b>		<b>n</b>
	Yes	No	
<b>Blood glucose</b>	226(86.6)	35(13.4)	261
<b>Urine protein</b>	9(3.4)	252(96.6)	261
<b>Creatinine</b>	249(95.4)	12(4.6)	261
<b>eGFR</b>	246(94.3)	15(5.4%)	261

11

## 12 **Lifestyle modification at each visit**

13 As part of ongoing management health care workers are required to provide advice  
 14 on lifestyle modification which should be recorded in the patient folder. This was  
 15 recorded only 19.2% to 27.2% of the time over three consecutive visits (Table 5).

16

### 17 **Table 5:** Lifestyle modification performed at each patient visit

<b>Visit</b>	<b>Frequency n (%)</b>		<b>n</b>
	Yes	No	
1	71(27.2)	190(72.8)	261
2	50(19.2)	211(80.8)	261

<b>Life modification counselling</b>	3	65(24.9)	196(75.1)	261
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## Pharmacological therapy at each patient visit, titration, adherence, and control

The provision of standardized pharmacological therapy in line with the STGs was assessed in this study (Table 6). We assessed if correct drug treatment was provided and if drugs were correctly titrated over time. In addition, it was checked if adherence was recorded in the patient folders (Table 6). Antihypertensive treatment was correctly prescribed in most patients (95.8%-97.3%) over three consecutive visits. Treatment was correctly titrated in 71.6% to 75.1% of patients for each of these visits. Health care workers recorded patient adherence to treatment in only 34.1% to 39.1% of patient folders.

**Table 6:** Pharmacological therapy at each patient visit, titration, and adherence

Test	Visit	Frequency n(%)		n
		Yes	No	
<b>Correct drugs used</b>	1	254(97.3)	7(2.7)	261
	2	252(96.6)	9(3.4)	261
	3	250(95.8)	11(4.2)	261
<b>Correction Titration</b>	1	196(75.1)	65(24.9)	261
	2	187(71.6)	74(28.4)	261
	3	193(73.9)	68(26.1)	261
<b>Adherence checked</b>	1	102(39.1)	159(60.9)	261
	2	89(34.1)	172(65.9)	261
	3	94(36.0)	167(64.0)	261

**Table 7:** Blood pressure control at each patient visit

Blood Pressure Controlled	Visit	Frequency (%)		n
		Yes	No	
< 140/90 mmHg	1	140(53.6%)	121(46.4%)	261
	2	130(49.8%)	131(50.2%)	261
	3	144(55.2%)	117(44.8%)	261

1 As a measure of BP control, analysis was done to determine if BP was  
2 <140/90mmHg at each visit (Table 7). BP was only controlled 49.8% to 55.2% of the  
3 time over three consecutive visits.

4

## 5 **DISCUSSION:**

6 The site chosen for this study differs from other CHC clinics in the public service. This  
7 is because the CCP which falls within the larger Chiawelo CHC strives to provide  
8 services to a population at risk, not only focusing on facility-based care but also  
9 community-oriented primary care (COPC).<sup>21</sup> This study found that most patients are  
10 attended by doctors (97%) and clinical associates (87%) in this setting. Due to  
11 pressure on staff numbers and the re-engineering of primary care to form WBOTS,  
12 nurses have taken on additional roles in the CCP. The PHC nurse is assigned as team  
13 leader to the community health workers allocated to the clinic. This takes PHC nurses  
14 away from their normal clinical duties and increases their administrative tasks.<sup>21</sup>  
15 Enrolled nurses in the clinic are mainly involved in taking vital signs and performing  
16 bedside tests, while clinical management of patients falls to the doctors and clinical  
17 associates. The level of involvement in clinical care by nurses varies in different CHCs  
18 across Johannesburg Metro. In this study we therefore were unable to differentiate if  
19 doctors, clinical associates, or nurses are better at adhering to the use of guidelines.  
20 This is an important consideration as it has been shown that different constituents of  
21 staff may implement guidelines differently, where guidelines are supposed to provide  
22 clinicians with a framework of agreed and accepted standardized care. In Kwazulu-  
23 Natal, South Africa, Sooruth et al<sup>13</sup> conducted an audit to assess the use of STGs by  
24 nurses. All 120 nurses used the STGs in their daily clinical practice and reported that  
25 they found value in the guidelines especially as there had been lack of training. A study  
26 conducted in the North-West province by Siko et al<sup>20</sup> showed that patients treated by  
27 nurses had better adherence to treatment and follow-up. In contrast, some studies  
28 have shown doctors as more reluctant to adopt guidelines, and more research is  
29 required to investigate the resistance or barriers doctors experience in using  
30 guidelines.<sup>14,20</sup> Given the above it would be worth exploring further the effectiveness  
31 of nurses in chronic care services, and consideration should be applied to how PHC  
32 nurses are used in the CCP setup.<sup>21</sup>



1 In our study setting it became apparent that despite guidelines being available, BP  
2 control remain poor. Every link in the services, along with the behaviours of health  
3 workers at the different service points needs to be reviewed. Our study demonstrated  
4 gaps in the measurement of anthropometric variables, bedside tests and  
5 implementation of clinical interventions necessary for BP control which may indicate  
6 the need for further training and other interventions at all levels. This is vitally important  
7 for managing NCDs - screening, diagnosis and prevention of complications such as  
8 obesity, renal disease and the assessment of a patient's cardiovascular risk.

9 As part of the routine in the clinic, patients have their vitals and side room tests done  
10 by an enrolled nurse before they are consulted by the clinician. In this study, it was  
11 demonstrated that measurements such as BP (99.6%) and glucose (86.6%) are done  
12 at every visit and at least annually. The nurse measured weight (65.9%) and height  
13 (73.2%) at baseline, but then was inconsistent for weight measurements at follow-up  
14 visits (19.5%). Routine urine dipstick was performed poorly at baseline (47.9%) and  
15 as an annual check (3.4%). A number of tests and measurements useful for the initial  
16 assessment and ongoing monitoring of patients with hypertension were not being  
17 done. Having this data available and written in the file before the patient is seen by the  
18 clinician is time saving in the clinic. The barriers to collecting and reporting these side  
19 room tests by the nurse needs to be investigated.

20 An area of poor performance is basic anthropometric measurements. Weight and  
21 height at baseline were not converted to BMI (50.2%). Moreover, BMI was not  
22 measured consistently over time, with weight being measured only 19.5% of the time  
23 at follow-up visits. Additionally, abdominal circumference was measured in only 51.7%  
24 of patients at baseline. When compared with similar studies locally, a study in the  
25 North-West province of South Africa by Adedeji et al<sup>22</sup> demonstrated that BMI was  
26 recorded for only 3.1% of patients and abdominal circumference for 3.3% of patients.  
27 In comparison a study by AL-Gelban et al<sup>23</sup> further afield in Saudi Arabia demonstrated  
28 that over 90% of their patients had BMI assessments performed. Anthropometric  
29 measurements are vitally important to assess cardiovascular risk in patients. It has  
30 been shown that weight reduction of 5-10 % improves hypertension and general CVS  
31 risk.<sup>38</sup> Weight management along with other measures in the Framingham risk score  
32 are important for prevention, and also inform the need for additional drug management  
33 with aspirin and statins. Anthropometric measurements should be simple and quick

1 to conduct. Various health system and health care worker factors would need to be  
2 explored to explain the low performance. Some known issues are lack of basic  
3 equipment such as a tape measure, lack of access to Wi-Fi precluding the use of  
4 online tools such as BMI calculators, time pressure, lack of clarity on roles in the clinic,  
5 poor engagement with guidelines, and the need for more skills audits amongst staff.

6 Finger prick blood glucose tests (86.6%) were performed regularly as part of annual  
7 screening. This is an easy and available test that is done as part of routine care and  
8 screening for diabetes, a commonly associated condition in this setting. This is  
9 important as we know the diagnosis of diabetes and hypertension is frequently missed,  
10 which is in part a failure of our screening programs.<sup>4,5</sup>

11 Another area that performed poorly in this setting is insufficient screening for  
12 proteinuria using urine dipsticks. This is a cost-effective easy side room test to perform  
13 and detects early renal disease. It is a superior option compared to 24-hour urine  
14 collection in patients in this setting. A systematic review and meta-analysis conducted  
15 by Geneen et al<sup>26</sup> compared the accuracy of spot urine Protein-Creatine Ratio (PCR)  
16 to the gold standard of 24-hour urine PCR. It was found that spot urine PCR in 28  
17 studies had a high sensitivity of 91% and specificity of 89% at a level of 30mg/mmol  
18 (n=3577). The use of this point of care investigation is therefore accurate and  
19 appropriate in outpatient settings. The detection of proteinuria is important because it  
20 indicates the need for drug treatment with Renin-Angiotensin-Converting-Enzyme-  
21 Inhibitors (ACE-I) or Angiotensin Receptor Blockers (ARBS) to slow renal damage,  
22 and as part of cardiovascular risk stratification. The LIFE study, a RCT conducted in  
23 Denmark by Wachtell et al<sup>27</sup> on 8206 patients, found that the albuminuria rate was  
24 directly correlated with left ventricular hypertrophy. This was independent of other risk  
25 factors such as smoking, age and non-communicable diseases such as hypertension  
26 and diabetes. In patients with LVH, every 10-fold increase in urine albumin creatinine  
27 ratio (UACR) results in an increase of end point cardiovascular mortality by 84.5% (CI  
28 59.4-214) and stroke by 49.3%(CI30.3-71.0). ACE-I and ARBS are superior in  
29 reducing proteinuria in hypertensive patients in comparison with other  
30 antihypertensive drugs, and improve renal function and reduce cardiovascular risk.<sup>28,29</sup>  
31 In our study, patients were tested for proteinuria 47.9% of the time at baseline, and  
32 only 3.4% of the time as an annual check. Other local studies had similar findings. In  
33 a study conducted in Cape Town, South Africa by Moosa et al<sup>25</sup> compared two CHCs

1 and found that urine dipsticks tests were being performed on average 54.7% of the  
2 time. Early detection of proteinuria is a vital screening and diagnostic test that is  
3 necessary of optimal drug and clinical management. Further assessment in the clinic  
4 will be required to explore why it is not being done consistently in the CCP.

5 Other renal function tests such as annual blood test for Creatinine and eGFR are done  
6 more regularly (95%) and are ordered by the clinician. This finding is similar to the  
7 Saudi Arabian study conducted by Al-Gelban et al,<sup>23</sup> that tested Creatinine in 88% of  
8 patients. Chronic Kidney Disease is primarily caused by NCDS such as hypertension  
9 and Diabetes Mellitus and is a growing complication. The need for Renal replacement  
10 therapy through dialysis is increasing.<sup>23</sup> Early detection of renal disease and correct  
11 management is an important component of care in hypertensive patients and should  
12 be a continued practice at CCP.

13

14 The chronic care model is a systematic approach used in primary care to ensure the  
15 comprehensive care of patients with chronic diseases. The '5 C approach' includes  
16 assessing the patient for any new complaints, compliance to medication, control of the  
17 chronic condition, complications resulting from the chronic condition, and the  
18 management of any co-morbidities which are contributing to the chronic condition or  
19 also requiring management.<sup>33</sup> This chronic care framework puts into application many  
20 of the data points required in the guidelines for hypertension management.<sup>33</sup> In  
21 addition consultation approaches such as STOTT's tasks are useful to cover all  
22 aspects of a holistic consultation. This includes managing the presenting complaint,  
23 help seeking behaviour, ongoing management of co-morbid conditions, and  
24 prevention and health promotion.<sup>33</sup> These useful frameworks assist clinicians to  
25 deliver comprehensive care, and if conducted well should cover all aspects of care  
26 required in the hypertension guidelines.

27 In our study we assessed if lifestyle modification as part of disease management and  
28 prevention was being recorded. Lifestyle modification has been found to reduce  
29 systolic blood pressure levels by 3 to 11mmHg.<sup>23,25</sup> Our study found that lifestyle  
30 modification was only recorded in 23.7% of patient files. This record does not mean  
31 that a casual conversation did not take place between the clinician and the patient, but  
32 that there simply may be no record of the conversation. It also does not provide any

1 proof of the quality and effectiveness of lifestyle modification provided. Our study  
2 findings correlate with a local study done by Adedeji et al<sup>22</sup> in the North-West province.  
3 They assessed different aspects of lifestyle modification and found that it was  
4 generally poorly advised by healthcare workers in less than 50% of patients.<sup>22</sup> In a  
5 questionnaire conducted by Parker et al<sup>20</sup> in Cape Town, 100% of the physicians  
6 interviewed understood the importance of lifestyle modification but only 50% of doctors  
7 counselled patients on lifestyle modification. In international settings, such as Cyprus,  
8 this appears to be less of a gap, where a study conducted by Theodorou et al<sup>34</sup> found  
9 that 60.5% of patients received correct advice to adopt lifestyle changes and this was  
10 before committing patients to lifelong antihypertensive therapy. In the South African  
11 setting, a complex mix of systems factors, health care worker factors, and patient  
12 factors may be affecting the provision of lifestyle counselling. Two important issues  
13 not investigated in this study are the time constraints on consulting patients, and the  
14 lack of skills amongst clinicians in delivering effective brief motivational interviewing.  
15 We know that this is a complex topic as behavioural change is not easy for most  
16 people, is often cyclical, and that counselling does not automatically equate to better  
17 outcomes.<sup>33</sup> Other factors also come into play such as economic hardship for patients,  
18 cultural norms, poor literacy and language barriers, and lack of follow-up of patients in  
19 the system. Further research is required to assess the impact of providing advice on  
20 lifestyle modification and disease outcomes, and how this gap in services affects  
21 improving the modifiable aspects of hypertension disease.<sup>20</sup>

22 Our study also assessed if: medications were correctly prescribed during the  
23 consultation; titration of medication occurred according to clinical response; patients  
24 were being checked for adherence to medications; and BP control was being achieved  
25 through these measures. These all form part of the '5 C approach'.<sup>33</sup> Healthy lifestyle  
26 interventions as already discussed along with antihypertensive medication is required  
27 to reduce the risk of microvascular and macrovascular complications including  
28 coronary artery disease and cerebrovascular accident. The hypertension guidelines  
29 provide a standardized step wise approach to prescribing antihypertensive drug  
30 therapy. This study demonstrated that correct pharmacological drugs were prescribed  
31 96.5% of the time. Therapy was titrated correctly in 73.5% of patients based on their  
32 BP trends over three consecutive visits. However, only 36.4% of clinicians  
33 documented if patients were adherent to their antihypertensive treatment. It was also

1 apparent in the study that adherence was only checked and recorded by the clinician  
2 when the patient's BP was extremely uncontrolled. It is known from international  
3 studies that only around 50% of patients are adherent to their chronic medications;  
4 however, there is a paucity of research literature on this in the South African  
5 context.<sup>1,2,3,4</sup> Only 52.8% of patients achieved BP control over three consecutive visits  
6 in this study. So, despite the correct prescription and titration of drugs hypertension is  
7 still poorly controlled in this setting, the national target for blood pressure control in  
8 most cases being less than 140/90mmHg<sup>7</sup>. Adherence may be a major contributing  
9 factor, along with lack of access to single pill combinations. Other causes for resistant  
10 hypertension would need to be explored. This study focused on idiopathic  
11 uncomplicated hypertension. The situation for patients with more complicated disease  
12 may be even worse.

13 A study done by Ernst et al<sup>19</sup> in Pretoria, South Africa showed that only 56% of doctors  
14 in both private and public practice prescribed the correct antihypertensives in  
15 complicated patients with co-morbidities such as ischemic heart disease, congestive  
16 heart failure, and renal insufficiency. Correct drug use, timely investigation, and  
17 referral of drug resistant and complicated patients, and emphasis on adherence is  
18 required to improve poor hypertension outcomes. Several factors may be contributing  
19 to treatment inertia by clinicians. A study by Parker et al<sup>20</sup> in Cape Town, South Africa  
20 described that physicians may be accepting higher BP readings in patients. In another  
21 similar Swedish survey, Midlöv et al<sup>35</sup> showed that physicians accepted much higher  
22 BPs greater than 160/90mmHg before initiating patients on antihypertensives.

23 The control of hypertension in this study (52.8%) falls within similar ranges as the rest  
24 of South Africa (24.5% to 56%).<sup>1,2</sup> Several areas have been highlighted in this study  
25 where guidelines were inadequately adhered to, contributing to poor hypertension  
26 outcomes. There is a complex interplay between health system related factors, health  
27 care worker factors and patient factors. This study highlighted where bedside tests  
28 and investigations, counselling on lifestyle modification, up-titration of drugs for  
29 uncontrolled hypertension, and adherence counselling can be improved.

30

## 1 **LIMITATIONS**

2 This study focused on one area in a CHC clinic in Soweto, which has unique  
3 characteristics and may not be transferable to other clinics. The sample size was  
4 calculated using Cochrane's formula, however it is noted as a limitation that the  
5 population proportion of 30% percent was used instead of the population proportion of  
6 adherence to the hypertension guidelines. This resulted in a lower sample size being  
7 needed of 240. However, the researchers sample size was used in excess of 80%  
8 resulting in a large size of 261 which highlighted a meaningful result. The study relied  
9 solely on records in the files. There were a number of areas that may be biased due  
10 to the questionable accuracy and quality of clinical records. It is difficult to determine  
11 if BP recordings were accurate as this relies on the calibration of electronic  
12 sphygmomanometers, positioning of the patient, and use of correct cuff sizes that are  
13 often unavailable. This may result in incorrectly recorded BP control. Since records  
14 are often poorly kept, it was difficult to evaluate the true rate of lifestyle modification  
15 provided and the quality thereof. It was also difficult to assess if adherence counselling  
16 was really done and the manner and effectiveness in which it was done from the  
17 records alone. Most patients were elderly with 80.5% having co-morbidities in the  
18 study. Due to the time frame of the study, data were collected during the peak of the  
19 COVID-19 pandemic in 2020 which may have influenced findings. This includes  
20 reduced access to PHC services especially routine chronic care. Clinic visits may have  
21 been limited and shortened, with reduced time for counselling and discussions  
22 regarding adherence to medications. Strengths of this study included that it was  
23 affordable and the researcher conducted the study at her own cost. The data collection  
24 was done over a short period, and multiple variables were investigated. The topic of  
25 hypertension and adherence of health care workers to PHC guidelines is relevant and  
26 important to the South African and it is intended that this research be used as a  
27 foundation for the improved management of hypertensive patients.

28

## 29 **CONCLUSION AND RECOMMENDATIONS:**

30 Hypertension is a condition that requires prioritization in view of its global prevalence,  
31 associated morbidity and mortality, and its general poor management in primary care.  
32 Healthcare workers' adherence to the STG for hypertension in the Chiawelo CCP is

1 poor and highlight gaps in several aspects of care for patients with hypertension. There  
2 is a need to strengthen clinical governance activities including healthcare worker's  
3 training on the NCD service package and the implementation of quality improvement  
4 processes on hypertension in the study. The continued capacity building and training  
5 of staff members that emphasises screening, counselling skills for health promotion  
6 and disease prevention, and the proper use of hypertension guidelines are essential  
7 components in improving the quality of care and management of hypertensive  
8 patients.

9

10

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15 Sr Mvula:                                For permission to conduct the research study.

## 16 **Competing interests**

17 The authors declare that that they have no financial or personal relationship(s) that  
18 may have inappropriately influenced them in writing this article.

1 **Authors' contributions**

2 The leading author of this study is Nikkeeta Dawduth (N.D), who played a pivotal role  
3 in framing and developing the content. The invaluable guidance and oversight were  
4 provided by Michele Torlutter (M.T.), who functioned as the primary supervisor  
5 throughout the process.

6 All contributing authors had an active role in refining the work, providing feedback on  
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15 **Data availability statement**

16 The datasets generated during and/or analysed during the current study are available  
17 from the corresponding author on reasonable request.

18 **Disclaimer**

19 The views expressed in the submitted article are my own and not an official position  
20 of the institution



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29 [size/#Cochran](https://www.statisticshowto.com/probability-and-statistics/find-sample-size/#Cochran)

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## APPENDICES

### Appendix I: Data collection Tool

<b><u>Data collection tool:</u></b>										
<b><u>Demographic Data:</u></b>										
Research Number:										
Age:										
Gender:										
Doctor/ Nurse/ Clinical Associate:										
Date of Diagnosis of hypertension:										
Number Months on treatment:										
Number of times patient seen										
Visit Number										
<b><u>Baseline Tests Done on Diagnosis</u></b>					YES	NO				
Urine Dipstick: Proteinuria or Haematuria										
Weight:										
Height:										
BMI:										
Abdominal Circumference:										
Serum K:										
<b><u>Annual Tests</u></b>										
Blood glucose:										
Urine Protein:										
Creatine:										
EGFR										
<b><u>Visit</u></b>					1st	2nd	3rd			
Blood pressure										
Weight										
<b><u>Lifestyle Modification counselling</u></b>					YES	NO	YES	NO	YES	NO
<b><u>BP Controlled</u></b>										
<b><u>Pharmacological Therapy at 1 year</u></b>										
<b><u>Drug Used</u></b>					YES	NO	YES	NO	YES	NO
Correct drugs used Appropriate drugs used as per the STG's										
Correction titration of medication Drugs titrated appropriately according to response										
Any co-morbidities Adherence checked before escalating medications										
Selection of drugs appropriate with compelling indications (co-morbidities, pregnancy, race)										
Any comorbidities										
Additional comments:										

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1 **Appendix II: Consent forms**

**Consent form**

**Name of Researcher:** Dr Nikkeeta Dawduth

**Email:** nikkeeta@gmail.com

**Cell-phone number:** 0722911389

**Name of Supervisor:** Dr Michelle Torlutter

**Purpose of the study:** Masters in Family Medicine at the University of Witwatersrand

**Name of Study:**

A retrospective audit of Health Care Worker adherence to the Standard Treatment Guidelines in managing hypertensive patients at Chiawelo Community Health Centre, in Gauteng, South Africa

**Consent:**

I, DR. YAVA KALULA **SENIOR CLINICIAN** the ~~Clinical manager~~ of Chiawelo Community Health Centre hereby give permission to Dr Nikkeeta Dawduth to conduct the above study at Chiawelo Community Health Centre after she has received ethics waiver and approval from the JHB Metro District.

I have received a copy of the research protocol and I am aware that this is a retrospective file audit with no harm to patients

**Name of facility manager:**

DR. Y. KALULA

**Signature:**



**Date:**

03/07/2020

**Name of Researcher:** Dr Nikkeeta Dawduth

**Email:** [nikkeeta@gmail.com](mailto:nikkeeta@gmail.com)

**Cell-phone number:** 0722911389

**Name of Supervisor:** Dr Michelle Torlutter

**Purpose of the study:** Masters in Family Medicine at the University of Witwatersrand

**Name of Study:**

**A retrospective audit of Health Care Worker adherence to the Standard Treatment Guidelines in managing hypertensive patients at Chiawelo Community Health Centre, in Gauteng, South Africa**

**Consent:**

I, Sylvia Mkhula the Facility manager of Chiawelo Community Health Centre hereby give permission to Dr Nikkeeta Dawduth to conduct the above study at Chiawelo Community Health Centre after she has received ethics waiver and approval from the JHB Metro District.

I have received a copy of the research protocol and I am aware that this is a retrospective file audit with no harm to patients


**Name of facility manager:** Sylvia Mkhula

**Signature:** Sylvia Mkhula

**Date:** 29/06/20

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1 Appendix III: Ethical Clearance Certificate

UNIVERSITY OF THE  
WITWATERSRAND  
JOHANNESBURG 

R14/49 Dr N Dawduth

**HUMAN RESEARCH ETHICS COMMITTEE (MEDICAL)  
CLEARANCE CERTIFICATE NO. M200221**

**NAME:**  
(Principal Investigator) Dr N Dawduth

**DEPARTMENT:** School of Clinical Medicine  
Department of Medicine  
Division of Family Medicine  
Medical School  
University

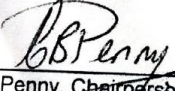
**PROJECT TITLE:** A retrospective audit of health care worker adherence to the standard treatment guidelines in managing hypertensive patients at Chiawelo Community Health Centre

**DATE CONSIDERED:** 2020/02/28

**DECISION:** Approved unconditionally

**CONDITIONS:**

**SUPERVISOR:** Dr M Torlutter

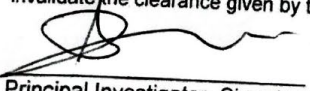
**APPROVED BY:**   
Dr CB Penny, Chairperson, HREC (Medical)

**DATE OF APPROVAL:** 2020/08/03

This clearance certificate is valid for 5 years from the date of approval. Extension may be applied for.

**DECLARATION OF INVESTIGATORS**

To be completed in duplicate and ONE COPY returned to the Research Office Secretary on the 3rd Floor, Phillip Tobias Building, Parktown, University of the Witwatersrand, Johannesburg.  
I/we fully understand the conditions under which I am/we are authorized to carry out the above-mentioned research and I/we undertake to ensure compliance with these conditions. Should any departure be contemplated, from the research protocol as approved, I/we undertake to submit details to the Committee. I agree to submit a yearly progress report. When a funder requires annual re-certification, the application date will be one year after the date when the study was initially reviewed. In this case, the study was initially reviewed in February and will therefore reports and re-certification will be due early in the month of February each year. Unreported changes to the application may invalidate the clearance given by the HREC (Medical).



  
Principal Investigator Signature

28/08/2020  
Date

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2 Appendix IV: Provincial and district permissions



**Research Committee of  
Johannesburg Health District**

31<sup>st</sup> May 2021

P O BOX 76123  
MARBLE RAY  
DURBAN  
4035

Enquiries: Ms. Busisiwe Sikhosana  
Tel: 011 694 3909  
Email :[Busisiwe.Sikhosana@gauteng.gov.za](mailto:Busisiwe.Sikhosana@gauteng.gov.za)  
Hillbrow CHC: Administration Building,  
Cnr Smith Str. & Klein Street  
Private Bag X21, Johannesburg,  
South Africa, 2017

Email: [nikkeeta@gmail.com](mailto:nikkeeta@gmail.com)

Dear: Dr Nikkeeta Dawduth

**TITLE: A retrospective audit of healthcare worker adherence to the standard treatment guidelines in managing hypertensive patients at chiawelo community health centre in gauteng south africa**

DRC Ref: 2021-05-002

NHRD Ref no: GP\_202105\_016

**OFFICIAL APPROVAL**

The Johannesburg Health District Research Committee (DRC) has reviewed your application. This letter serves as approval to access the Districts Health facilities (mentioned below) for the above research.

**The following conditions must be observed:**

- The facilities in which the research will be conducted are: CHIAWELO CHC
- These facilities will be visited from: 31/05/2021 to 31/05/2022
- Participants' rights and confidentiality will be maintained all the time.
- No resources (Financial, material and human resources) from the above facilities will be used for the study. Neither the District nor the facility will incur any additional cost for this study.
- The study will comply with Publicly Financed Research and Development Act, 2008 (Act 51 of 2008) and its related Regulations.
- You will submit a copy (electronic and hard copy) of your final report. In addition, you will submit an annual progress report to the District Research Committee.
- Your supervisor and the University of the Witwatersrand will ensure that these reports are being submitted timeously to the District Research Committee.

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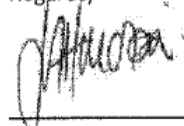
- The District must be acknowledged in all the reports/publications generated from the research and a copy of these reports/publications must be submitted to the District Research Committee.
- You will liaise with the manager/s before initiating the study.

Contact	Sub District	Sub District Manager/ Area Manager	Contact No.	Cell phone
	ABCEF	Ms Lombuso Matlala	011 440 1259	082 307 0267
X	D	Ms Maria Mazibuko	011 674 1200	082 781 9919
	G	Mr Peter Mathole	011 213 9603	072 483 6839
	CoJ A	Ms Nelly Shongwe	011 237 8010	082 467 9276
	CoJ B	Ms Zanozuko Mbane	011 718 9656	082 551 5804
	CoJ C	Mr Tebogo Motsepe	011 761 0200	084 655 5420
	CoJ D	Ms Busi Phiri	011 986 0164	082 467 9316
	CoJ E	Mr Vusi Mazibuko	011 582 1504	082 464 9547
	CoJ F	Mr M Monyamane	011 681 8130	082 467 9423
	CoJ G	Ms Olga Kruger	011 211 8936	083 286 0388


We reserve our right to withdraw our approval, if you breach any of the conditions mentioned above. Please feel free to contact us, if you have any further queries.

On behalf of the District Research Committee, we would like to thank you for choosing our District to conduct such an important study.

Regards,



Prof S. Moosa  
Chairperson: District Research Committee  
Johannesburg Health District  
Date: 31 May 2021



Mrs M.L. Morewane  
Chief Director  
Johannesburg Health District  
Date: 31/05/2021

1 Appendix V: Research approval



**Research Committee of  
Johannesburg Health District**

31<sup>st</sup> May 2021

180 Sunnyside Road  
Lyndhurst  
Lyndhurst 2192  
2192

Email: 69194610@mylifeunisa.ac.za

Dear: Ms Ntsibeng Mukwena

**TITLE: *Readiness assessment for the implementation of the National Health Insurance scheme at a hospital in Johannesburg.***

DRC Ref: 2021-02-021

NHRD Ref no: GP\_202102\_059

**OFFICIAL APPROVAL**

The Johannesburg Health District Research Committee (DRC) has reviewed your application. This letter serves as approval to access the Districts Health facilities (mentioned below) for the above research.

**The following conditions must be observed:**

- The facilities in which the research will be conducted are: EDENVALE HOSPITAL
- These facilities will be visited from: 31/05/2021 to 31/05/2022
- Participants' rights and confidentiality will be maintained all the time.
- No resources (Financial, material and human resources) from the above facilities will be used for the study. Neither the District nor the facility will incur any additional cost for this study.
- The study will comply with Publicly Financed Research and Development Act, 2008 (Act 51 of 2008) and its related Regulations.
- You will submit a copy (electronic and hard copy) of your final report. In addition, you will submit an annual progress report to the District Research Committee.
- Your supervisor and the University of South Africa will ensure that these reports are being submitted timeously to the District Research Committee.

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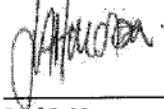
- The District must be acknowledged in all the reports/publications generated from the research and a copy of these reports/publications must be submitted to the District Research Committee.
- You will liaise with the manager/s before initiating the study.

Contact	Sub District	Sub District Manager/ Area Manager	Contact No.	Cell phone
	ABCEF	Ms Lombuso Matlala	011 440 1259	082 307 0267
	D	Ms Maria Mazibuko	011 674 1200	082 781 9919
	G	Mr Peter Mathole	011 213 9603	072 483 6839
	CoJ A	Ms Nelly Shongwe	011 237 8010	082 467 9276
	CoJ B	Ms Zanozuko Mbane	011 718 9656	082 551 5804
	CoJ C	Mr Tebogo Motsepe	011 761 0200	084 655 5420
	CoJ D	Ms Busi Phiri	011 986 0164	082 467 9316
	CoJ E	Mr Vusi Mazibuko	011 582 1504	082 464 9547
	CoJ F	Mr M Monyamane	011 681 8130	082 467 9423
	CoJ G	Ms Olga Kruger	011 211 8936	083 286 0388
X	Edenvale	Mr Z G Zitha	011 321 6228	082 749 3123

We reserve our right to withdraw our approval, if you breach any of the conditions mentioned above. Please feel free to contact us, if you have any further queries.

On behalf of the District Research Committee, we would like to thank you for choosing our District to conduct such an important study.

Regards,



**Prof S. Moosa**  
**Chairperson: District Research Committee**  
**Johannesburg Health District**  
**Date: 31<sup>st</sup> May 2021**



**Mrs M.L. Morewane**  
**Chief Director**  
**Johannesburg Health District**  
**Date: 31/05/2021**

1 P.O. box 885  
2 HOUGHTON  
3 2041  
4 [mever.fe576@gmail.com](mailto:mever.fe576@gmail.com)

April 19<sup>th</sup>, 2022

8 ENGLISH PROOF-READING/EDITING

10 To Whom It May Concern

12 The journal article entitled, 'A retrospective audit on adherence to the Standard Treatment  
13 Guidelines in managing patients with hypertension at Chiawelo Community Health Centre,  
14 Gauteng, South Africa' to be submitted by Dr N. DAWDUTH et al has been proof-read and  
15 edited for proper English language, syntax, grammar, punctuation, British/SA spelling and  
16 overall style by F.E. MEYER. As requested, document formatting was applied to the journal  
17 article according to the guidelines of African Journal of Primary Healthcare and Family  
18 Medicine (AOSIS) at [https://phcfm.org/index.php/phcfm/pages/view/submission-guidelines#part\\_1](https://phcfm.org/index.php/phcfm/pages/view/submission-guidelines#part_1).  
19 The 37 references were checked according to the Vancouver referencing style as per the  
20 journal's author guidelines. The research content and/or the authors' intentions were not  
21 altered during the process.

23 Blue highlighting will require the corresponding author's attention. The date on the footnote  
24 on this page corresponds with that of the attached proof-read and edited sections of the  
25 journal article. Any further proof-reading or editing required by the author(s), due to changes  
26 or amendments to this journal article will be accompanied by an updated covering page and  
27 will replace this covering page.

29 *F.E. Meyer*

31 F.E. MEYER  
32 B.A. (UNISA)

34 Footnote: Proof reader's/Editor's covering page

*Edited journal article*

2022/04/19

DAWD/03



1 Appendix VII: Chronic care sheet at CCP

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CCP Ongoing Care		Name	Surname	Gender	M	F	ID											
Address				DM	<input type="checkbox"/>	HT	<input type="checkbox"/>	HIV	<input type="checkbox"/>	TB	<input type="checkbox"/>	Epilepsy	<input type="checkbox"/>	Asthma/COPD	<input type="checkbox"/>	Other	<input type="checkbox"/>	
!!!	Dates -->																	
<b>Do NOT forget to examine care over the past year using these as guide. Ht:</b>	Urine																	
	Waist circ																	
	Weight																	
	BMI																	
	Flu immun																	
	Pneumo																	
	Urea																	
	Creatinine																	
	eGFR																	
	Chole																	
	Trigs																	
	BP																	
	PR																	
	BS																	
	HbA1c																	
	Foot																	
	Eye																	
	on ARTs																	
TB Screen																		
CD4 0																		
IPT/CoT																		
VL 12																		
HepBsAg																		
Pap																		
PEFR																		
Fits																		
Drug level																		

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1 **Appendix VIII: Turnitin Report**



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**A retrospective audit on adherence to the Standard Treatment Guidelines in managing patients with hypertension at Chiswick Community Health Centre, Gauteng, South Africa**

**Abstract:**

**Background:** Hypertension is a highly prevalent chronic disease causing significant morbidity and mortality and is poorly managed and controlled in primary care, with only 26.5% of patients being controlled.<sup>1</sup>

**Aim:** The aim of the study was to determine health care worker adherence to the Standard Treatment Guidelines in managing hypertension patients in primary care.

**Methods:** The study was conducted at Chiswick Community Practice Johannesburg.

A retrospective file audit was done on 200 hypertension patients to determine whether health care workers performed the correct baseline tests at diagnosis, correct investigations were done, lifestyle modification was addressed, and the correct pharmacological therapy was prescribed and titrated. Data was collected and analysed using a binomial analysis.

**Results:** 77% of participants were female and 23% were male. 80.8% participants had comorbidities. 87% were treated by a doctor, 94.5% by clinical associates, and 0.0% by nurses only. Baseline findings recorded in the file at diagnosis included at the following rates: weight 95.0%, height 79.2%, cholesterol 92.2%, SBP 93.0%, abdominal circumference 91.7%, and uric acid levels 41.8%. Uses and investigations recorded in the file: BP 96.0%, weight 94.0%, total glucose 88.0%, creatinine 85.0%, eGFR 64.0% and urine protein 3.0%. Lifestyle modification was recorded for 83.7% and medication adherence was checked and recorded for 38.0% of patients. The correct antihypertensive medications were prescribed in 36.0% of patients, but titrated correctly in only 72.0% of patients. 52.0% of patients were controlled on treatment.

**Conclusion:** Adherence to guidelines by health care workers was suboptimal and requires improvement through clinical governance activities.

**Keywords:**

Adherence, Hypertension, Health care workers, Standard treatment guidelines  
© 2022 South Africa, Retrospective audit.

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