

Indoor/outdoor PM<sub>4</sub> (respirable dust) and respirable crystalline silica source tracking in households located in close proximity to gold mine tailing dumps



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## **Executive summary**

### **Background**

Particulate matter (PM) is a major contributor to air pollution in indoor and outdoor environmental spaces. Exposure to respirable dust (PM<sub>4</sub>) and respirable crystalline silica (RCS) indoor and outdoor in communities located in close proximity to gold mine tailings dumps in South Africa has not yet been determined.

### **Aim**

The aim of this study was to investigate the concentration of RCS and PM<sub>4</sub> mass in samples measured indoor and outdoor of the nine (9) selected households located in close proximity to a gold mine tailings dumps.

### **Methodology**

Sampling locations were separated according to grids, based on the distance from the mine tailings dumps. Three different grids were determined as follows: A (<500m from the dump), B (>500m<1km) and C (1km – 3 km). Three households were selected from each grid zone to measure indoor and outdoor PM<sub>4</sub> samples continuously over a 24-hour period using GilAir constant sampling pumps calibrated at the flowrate of 2.2 L/min in both the dry and wet seasons. PM<sub>4</sub> samples were collected on a 37mm polyvinyl chloride (PVC) filter with a pore size of 0.8, which was assembled on the Higgin Dewell cyclones fitted with a filter pad of the same pore size. PM<sub>4</sub> sample filters were gravimetrically weighed before and after sampling to determine the mass concentration of PM<sub>4</sub>. The respirable crystalline silica in PM<sub>4</sub> samples were analysed by an X-ray diffraction method by South African National Accreditation System (SANAS) accredited laboratory of the National Institute for Occupational Health (NIOH). Samples were collected during the dry and wet seasons in the Riverlea community, Johannesburg.

### **Results**

During the wet and dry seasons, the mean indoor and outdoor PM<sub>4</sub> mass concentration ranged from 0.02±0.01 µg/m<sup>3</sup> to 2.26±0.02 µg/m<sup>3</sup>, respectively. The dry season mean PM<sub>4</sub> mass concentrations were higher than the wet season PM<sub>4</sub> mass concentrations in all zones. The pairwise comparison of PM<sub>4</sub> mass concentration for dry and wet season revealed no statistically significant difference (p<0.05) at 95% confidence interval. Results presented in Figure 5 depicts the mean indoor PM<sub>4</sub> mass concentration distribution for the dry season. The zone with the highest mean indoor PM<sub>4</sub> mass concentration was zone A, followed by zone B. Since the mean outdoor

PM<sub>4</sub> concentration in zone C was the lowest, this suggests that the mine tailings dumps were the primary source of PM.

The dry season mean indoor/outdoor ratio was greater than one across all zones; indicating that indoor activities were the primary source of PM. In both seasons, the mean indoor and outdoor percentages of crystalline silica ranged from 0.08±0.01% to 0.08±0.01%. The mean indoor and outdoor 24hr RCS concentrations in both seasons were below the California Office of Environmental Health Hazard Assessment (OEHHA) defined 24hr ambient exposure threshold of 3µg/m<sup>3</sup>.

### **Recommendations**

The results of this study suggest that nearby mine tailings dumps may be the primary source of PM in the indoor and outdoor environments; however the strength of this source in comparison to other sources remains unknown. Therefore, it is recommended that further studies focusing on source apportionment be carried out to determine the relative contribution of the mine tailings dust to the overall PM load in the environment. Although the difference was not statistically significant, indoor and outdoor PM<sub>4</sub> concentrations were greater in Zones A&B, with the lowest PM<sub>4</sub> concentrations in Zone C. The I/O ratio indicated that there was contribution of PM from outdoor. It is also recommended that further studies be conducted, with focus on monitoring PM<sub>4</sub> over a 30 days period, to determine the level of free crystalline silica that may be present in PM<sub>4</sub> mass concentrations.

### **Conclusion**

In the South African context, studies that focus on the investigation of indoor and outdoor PM<sub>4</sub> concentrations in households located in close proximity to gold mine tailings are limited. The findings of this study can be used to provide valuable information on the indoor and outdoor PM<sub>4</sub> concentrations, which can be used in modelling exposure and conducting probabilistic health risk assessment. High dust levels are related with dry season weather conditions due to strong wind conditions. Therefore, the PM<sub>4</sub> mass concentrations in all zones were higher during the dry season than during wet season. Since the mean outdoor PM<sub>4</sub> concentration in zone C was the lowest, this suggests that the mine tailings dumps were the primary source of PM.

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## Declaration

The work contained in this dissertation titled “Indoor/ outdoor PM<sub>4</sub> (respirable dust) and respirable crystalline silica source tracking in households located in close proximity to gold mine tailing dumps” has been carried out by me under the supervision of Dr. Daniel Masekamani, Gabriel Mizan and Jeanneth Manganyi. The information derived from the literature has been duly acknowledged with citations and a list of references provided. I further declare that no part of this dissertation was previously presented for another degree or diploma at this or any other institution.



22/10/2023

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Signature  
Nkateko Rawendar Makhubele

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Date



## **Dedication**

*This work is dedicated to my wife Tivani Makhubele and my two sons, Anhlulo and Andziso Makhubele*

## **Acknowledgments**

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Finally, I thank the God Almighty for bringing me thus this far.

## Glossary of Terms

This section defines several terms as they have been used in this project. In other contexts, the same terms can be used to describe different parameters, several of which have been discussed in the body of the text.

**I/O ratio** - the ratio of indoor and outdoor concentration.

**PM<sub>4</sub>** - fine respirable particles /fraction, with a diameter of 4 micrometres and smaller.

**Respirable crystalline silica (RCS)** - is silica dust particles that are small enough to penetrate deeply into the lungs when breathed.

**Respirable particle fraction** - is that fraction of the inhaled airborne particles that can penetrate beyond the terminal bronchioles into the gas-exchange region of the lungs. (2)

**Resuspension** - refers to the aerosol particle accumulated on a surface of the indoor or outdoor space and are available for re-suspension in the air during activities such as dry sweeping

**Silica** - is a natural occurring substance found in most types of sand, rocks and clay, as well as several man-made products such as concrete, brick, mortar and some plastics.

**Household**- refers to people who live in the same dwelling, residence or house. It consists of a single family or another group of people.

**Settlement**-refers to the state of being settled or an environmental household shelter. It is household or family.

**Community**- refers to group of people who share a common understanding and often the same language, manner, tradition and law.

**WHO** - a specialized agency of the United Nations responsible for international public health. Advocates for universal healthcare, monitoring public health risks, coordinating responses to health emergencies and promoting human health and well-being.

## List of Abbreviations

GDP	Gross domestic product
HSE	Health and Safety Executive, UK
km/h	Kilometres per hour
MDHS	Methods for the Determination of Hazardous Substances, HSE, UK
NIOH	National Institute for Occupational Health
NEMA	National Environmental Management Act
NIST	National Institute for Standards and Technology
OEHHA	The Office of Environmental Health Hazard Assessment, California (USA)
PM <sub>4</sub>	Particulate Matter with a diameter that is 4 micrometres and smaller
PVC	Polyvinyl Chloride
SANS	South African National Standard
SANAS	South African National Accreditation System
TWA	Time Weighted Average
µg/m <sup>3</sup>	Micrograms per cubic metre
WHO	World Health Organization
XRD	X-ray Diffraction

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## **1. CHAPTER ONE**

*This chapter presents the background of the study, and the study aim, objectives, justification and relevance of this study.*

### **1.1. Background**

Studies that focus on exposure to respirable dust (PM<sub>4</sub>) and respirable crystalline silica (RCS) indoor and outdoor in communities located in close proximity to gold mine tailing are limited . The aim of this study was to investigate the concentration of RCS and PM<sub>4</sub> mass samples measured indoor and outdoor of nine (9) selected households located in close proximity to a Johannesburg gold mine tailings dumps. Sampling locations were separated according to grids based on the distance from the mine dump. Three different grids were determined as zone A (<500m from the dump), B (>500m<1km) and C (1km – 3 km). Three households were selected to perform indoor and outdoor PM sampling continuously over a 24-hour duration in both dry and wet season. The study was undertaken during dry and wet season in a community in close proximity to a gold mine tailing dumps.

### **1.2. Problem Statement**

Air pollution is of the greatest treats to the public health. The impact of air pollution places a great burden on health care system due to increased rate of mortality and morbidity. Many families in south african are located closer to PM emitters such as industrial areas and major roads. Most low-income households in South African makes use of biomass fuels for coking and heating (1). Air pollution is associated with increased risk of lung cancer, ischemic heart disease and respirable heath problem. The most vulnerable group are those with pre-existing diseases, young children, elderly, and those living in poor settlements. Air pollution is the second leading cause of death in African and has contributed to approximately 1.1 million deaths in 2019. Air pollution in South Africa was reported to be among the top 10-leading cause of death and the outdoor air pollution was linked to 100 000 deaths (2).

Globally, air pollution is a major environmental health problem affecting everyone. Industrial activities, such as mining, and food manufacturing, transportation, as well as domestic solid fuel burning, are the most common sources of air pollution (3). Studies have shown that inhalation of PM<sub>4</sub> and RCS can lead to irreversible chronic health conditions such as asthma, lung cancer,

cardiovascular and cognitive disorders (3) (4). In the current decade air pollution has contributed to about seven million premature deaths among children (3),(5).

Gold mining in South Africa has made a significant contribution to the development and sustainability of the country's gross domestic product (GDP) (6). Over the past two decades, most of the gold mines in South Africa have been closed. However, the risk of exposure to dust hasn't ceased, and in some cases might have increased, due to the reclamation process of mine tailing dumps, where the material is milled to a much finer grade, leading to the release of fine mine dust and causing air pollution in the surrounding neighbouring communities (7).

To apportion the source of  $PM_4$  to which residents living in close proximity to gold mine tailing dumps may be exposed, the focus should start on source identification and tracking of PM in order to determine the contribution at a specific location over time. PM source tracking can be influenced by a number factors, including the location of the source, receptor's location, and meteorological conditions which sometimes vary depending on the season of the year.

Considering the limited literature on exposure to  $PM_4$  from mine tailings in South Africa, this study aim to determine the outdoor and the indoor airborne concentration levels of  $PM_4$  and RCS of 9 selected households located closer to a gold mine tailings dumps in Johannesburg.

### **1.3. Research Question**

What are the concentrations of RCS and  $PM_4$  in samples collected indoor and outdoor of 9 selected households located in close proximity to a gold mine tailings dump in Johannesburg?

### **1.4. Study Aim**

The aim of the study was to determine the concentrations of RCS and  $PM_4$ , in samples collected indoor and outdoor of 9 selected households located in close proximity to gold mines tailings dumps, in Johannesburg.

### **1.5. Study Objectives**

The objectives of the study were to,

- To measure the  $PM_4$  concentrations indoor and outdoor in 9 selected households near gold mine tailings dumps, Johannesburg;



- To determine the percentage of RCS in PM<sub>4</sub> samples collected indoor and outdoor of the 9 households near gold mine tailings dumps, Johannesburg;
- To compare the indoor/outdoor PM<sub>4</sub> ratio of the 9 selected households near gold mine tailings dumps, Johannesburg;
- To estimate the time-weighted average (TWA) concentrations of RCS of the 9 selected households in Riverlea, Johannesburg and compare it to the 24hr ambient exposure level of 3 µg/m<sup>3</sup> for RCS set by the Office of Environmental Health Hazard Assessment (OEHHA, California EPA).

## **1.6. Justification**

Despite the evidence that exposure to RCS can lead to severe respiratory illnesses such as silicosis and pneumonia, there is a paucity of data available on incidental residential exposures. In South Africa, many low and middle-income households are located close to PM<sub>4</sub> emitters, such as public roads, factories and mines. However, fewer studies have been conducted to determine the presence of PM<sub>4</sub> and RCS dust in indoor and outdoor households in communities near gold mine tailings.

The lack of data on RCS levels in indoors and outdoors makes it difficult in assessing current and future exposure levels and subsequent health risk to affected communities. This study provides valuable information about indoor and outdoor PM<sub>4</sub> concentrations, which can be used in further studies for modelling exposure and conducting probabilistic risk assessments. Data on the indoor/outdoor dust concentration ratio is also essential in determining the potential source of crystalline silica dust. Knowing the source of RCS maybe an important variable should an exposure control be installed.

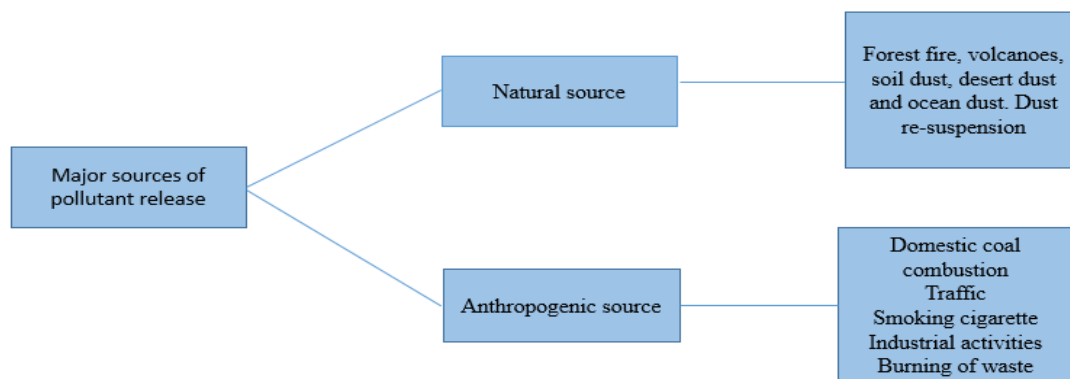
## 2. CHAPTER TWO

*This chapter provides an overview of various literature reviews regarding exposure to PM<sub>4</sub> and respirable crystalline silica from gold mining, as well as the associated health effects. The chapter also synthesizes information from peer reviewed publication on PM source, PM release mechanism, fate and transportation, residential exposure to PM enriched with RCS, the indoor and outdoor PM sources, as well as the indoor/outdoor PM<sub>4</sub> mass ratio.*

### 2.1. Literature Review

#### 2.1.1. Particulate matter sources

Particulate matter (PM) is defined as suspended liquid droplets and/or solid particles in the atmosphere. PM can be either primary or secondary particulate matter (1). PM can be released from anthropogenic and natural sources. The anthropogenic PM is released from activities such as domestic coal combustion, traffic, incineration, and industrial activities. The natural PM is released from sources such as forest fires, volcanoes, soil dust, and ocean beach sand (2). Residential indoor PM is generated through activities such as cleaning, cooking, and combustion activities such as fire places, cigarette smoking, and space heaters, all contribute to residential indoor PM (4) (8). In Figure 1 an illustration of PM sources and release mechanisms is outlined.



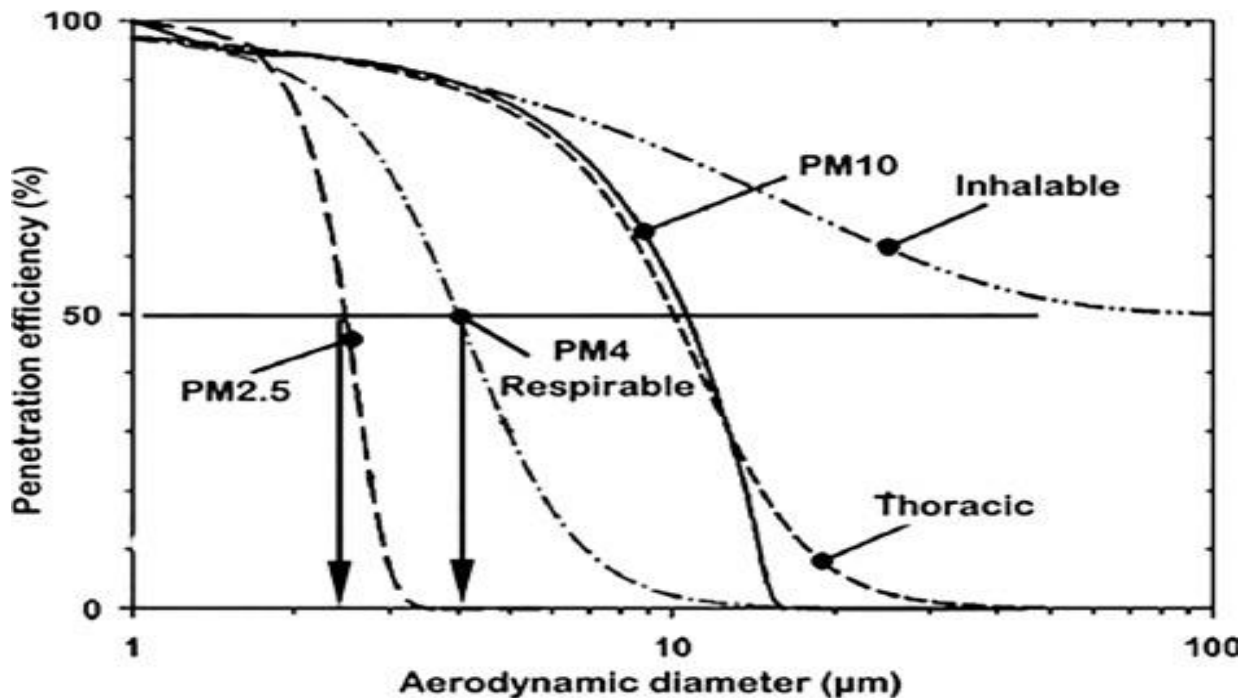
**Figure 1: Schematic representation of the PM source origin**

A study that has focused on determining the source contribution of coarse particulate (PM<sub>10</sub>) in Yangon, Myanmar, has shown that PM<sub>10</sub> composition varies seasonally according to both human activities (e.g., fire place and fire wood) and meteorological factors (e.g., temperature and precipitation (9)). The PM major source were secondary organic aerosols and inorganic aerosols. (9).

Cleaning is a significant source of indoor PM exposure; a study comparing vacuuming and non-vacuuming cleaning methods found that the vacuuming cleaning approach increased indoor PM concentration by 4 to 11 times more than the inactive method. Vacuum method was also found to double average PM<sub>10</sub> concentrations (10).

### 2.1.2. Particulate matter characterisation

Particulate matter (PM) differs in aerodynamic size, atmospheric lifetime, chemical structure, and physical structure or morphology. Several factors influence the fate in transport of PM and the rate of penetration, including particle diameter, surface charge, and chemical properties (11)(12)(13). PM is classified into nanoparticles (1-100nm), ultrafine (<0.1 μm), fine (≤ 4 μm), coarse (<10 μm), and super coarse (>10 μm) according to their particle aerodynamic diameter (12) as in Figure 2. Therefore, PM<sub>4</sub> is defined as particle of airborne dust with an aerodynamic size of less than 4 μm, when inhaled it reaches deep in to the gas-exchange of the lungs (14)(15)(16). PM with aerodynamic size of 4μm or smaller has been identified as the major source of air pollution in indoor and outdoor environments (8).



**Figure 2:** Schematic representation of PM size distribution and penetration efficiency when inhaled (9).

### 2.1.3. Respirable dust (PM<sub>4</sub>) release mechanisms

Respirable dust (PM<sub>4</sub>) may be released from variety of processes such as mechanical and thermal. Activities associated with mechanical process include sanding, drilling, sawing, and milling.

Thermal processes include incineration, burning of candles, use of gas stoves and vehicle combustion. These activities are mostly conducted in indoor and outdoor environments. A study on classification of PM<sub>2.5</sub> released mechanisms has shown that coal combustion and biomass burning were the main sources of air pollution in Jinan (17).

#### **2.1.4. Particulate matter fate and transportation in the air compartment**

The PM residence time in the atmosphere depends on the aerodynamic diameter of the particle. PM with small aerodynamic particle sizes remain suspended for longer periods in the air compared to coarse particles. The deposition mechanisms for coarse and fine particles differ. Coarse particles deposit mainly by gravitational settling, while fine particles deposit mainly by impaction or interception (12). Along the air transmission pathways, PM may be diluted by means of natural air ventilation. The deposition mechanism of PM along the transmission pathway is through wet, dry or occult deposition. PM with aerodynamic size of less than 4 µm can remain suspended in the air and be distributed over a longer distance. As a result, the longer the residence time in the atmosphere, the longer the distance PM<sub>4</sub> can travel and enter indoor environmental spaces through infiltration and filtration mechanisms (11)(12).

#### **2.1.5. Residential exposure to PM enriched with RCS**

Inhalation is the main route of exposure to airborne dust and other aerosols. The risk of exposure to dust may increase due to the reclamation process of mine dumps where material is milled to a much finer grade (18). Due to the location of townships before 1994 in Johannesburg, communities in close proximity to gold mine tailings may be at a higher risk of exposure to mine dump dust, and with the recent developments of informal settlements and new townships in areas surrounding mine tailings dumps, resulting from internal migration and urbanisation (18).

Residents living in close proximity to gold mine tailing dumps in Johannesburg often complain of onset related to respiratory illness from the ambient dust (19). Several community unrests have been experienced in residents in close proximity to gold mine tailings dumps over the years, triggered by concern about fine dust from gold mine tailings dumps, especially in relation to strong wind conditions during dry seasons. Gold mine tailing dust is known to contain high concentrations of respirable crystalline silica (20).

Dust depositions vary across the seasons, with the highest levels and frequency reported in spring, and the lowest reported in autumn (21). Approximately 40% of dust from mine dumps consist of

coarse particulate matter, with the remaining proportion consisting of finer particulates (21). Studies have reported unhealthy levels of particulate matter (PM<sub>10</sub>) and quartz dust at distances of up to 2km downwind from mine dumps. It is estimated that over 500 000 people currently resides within this radius of three large mine tailings dumps in Gauteng (18). Those communities have repeatedly reported exposure to dust and possible health effects as their greatest concern (7).

There is strong evidence from research on occupational exposure of miners to RCS dust in gold mining and its subsequent health implications. It has been assumed that community exposure to dust from mine tailings dumps and inhalation of respirable crystalline silica may be associated with respiratory diseases, such as asthma, chronic bronchitis and chronic obstructive pulmonary disease (COPD), particularly among vulnerable people living in close proximity to gold mine tailings dumps (8). However, most health studies that have been found, are based on epidemiological methodologies with lack of scientific source identification and exposure assessments.

#### **2.1.6. Studies on exposure to indoor sources**

Studies have proven that indoor activities increases the release and emission of PM concentrations. Indoor sources include activities such as burning of candles, cleaning, cooking, walking, dust resuspension from dry sweeping , and the use of household consumer products such as cosmetics, and detergent (24). Cleaning and combustion processes contribute significantly to resuspension of coarse, ultrafine, and fine particle (24) (25)(26) . Indoor sources such as combustion tend to elevate fine and ultrafine particle concentrations whereas mechanically activity such as sweeping, dusting, resuspension from carpets tend to elevate coarse fraction concentrations of PM (27) . The suspension of those particles can be seen through light scattering in a dark room known as Tyndall effect.

#### **2.1.7. Studies on exposure to outdoor source**

Studies have shown that outdoor pollutants are an important source of indoor particulate pollution. The primary sources of outdoor pollutants are commonly identified to be; domestic heating systems, agriculture activities, industries emission from fossil fuel burning, road traffic (including exhaust emissions of vehicle combustion and resuspension of dust particles), and gaseous pollutants formed by complex photochemical reactions (12)(28). Outdoor ambient pollutants can enter an indoor space through mechanical and natural ventilation/ air exchange, infiltration, exfiltration, and resulting in indoor exposure to pollutants/particles (27).

### **2.1.8. Determinants from human exposures to PM**

Indoor PM are strongly linked to human activities. Indoor PM can be detached from a surface area by various human activities such as dry sweeping, walking, resuspension from cloths and carpet during cleaning . Studies by *Luoma et al* (10) , has found that walking generates substantial amounts of PM larger than 1  $\mu\text{m}$  that are possibly re-suspended from indoor surfaces (10). Human activities increases the concentrations of PM by re-suspension, causing surface PM to become secondary airborne.

### **2.1.9. Studies on health effects associated with exposure to PM<sub>4</sub>**

Most health outcomes associated with PM<sub>4</sub> and RCS have been widely reported in occupational settings (18). Exposure to PM over a prolonged period has been linked with cardiovascular illnesses followed by respiratory problems (19). A recent epidemiological study from South Africa indicated that communities residing in close proximity to gold mine tailings dumps may be at a higher risk of developing health effects associated with exposure to PM<sub>4</sub> (19)(30). Another study that focus on PM related symptoms through diagnosis on spiromety in residents living closest to gold mine tailings has found a higher risks of upper respiratory health problems (29).

### **2.1.10. Studies on health effects associated with exposure to RCS**

Respirable dust (PM<sub>4</sub>) emitted from mining activities, especially mining commodities such as gold and platinum, have been found to contain high levels of crystalline silica (4)(19). Studies have shown that inhalation of PM<sub>4</sub> containing free crystalline silica can lead to irreversible chronic health conditions such as asthma, cardiovascular, lung cancer and cognitive disorders (4)(19)(30)(31)(32).

Similarly, studies conducted by *Nkosi et al* (33) showed adults aged 55 and over who resided near 2 kilometers of Witwatersrand mining dumps had a considerably higher risk of acquiring asthma, chronic bronchitis, chronic cough, emphysema, pneumonia and wheezing, when compared to those who lived more than 5km away. In adolescents from the same communities, those who lived within 2km of the mine tailings dumps had significantly greater odds of wheezing, or have rhinoconjunctivitis compared to adolescents who lived more than 5km away, however, a negative association with asthma was found (33).

### **2.1.11. Studies on Percentage of respirable crystalline silica**

A study by *Davies et al (34)* measured the mean 24-hr average ambient crystalline silica concentrations for coarse and fine (PM<sub>4</sub>) dust in 22 urban areas using a dichotomous sampler that separate PM<sub>4</sub> dust and PM<sub>10</sub> dust. The study found that the percentage of crystalline silica in dust samples ranged from 0% up to 2.6% (34). Another study focused on a residential airborne of PM exposure from mining of sand with hydraulic fracturing proppant. The study conducted outside 17 households within 800 metres of sand mining activities, has found that percentage of crystalline silica in the dust that ranged from 2% to 4% (31).

### **2.1.12. Studies on PM indoor/outdoor ratio**

The indoor/outdoor PM ratio has been commonly used to evaluate infiltration of air pollutants for buildings. Studies focusing on outdoor and indoor PM<sub>4</sub> comparison in residential settings are limited. A study by *Sharma et al (35)* has shown that the I/O ratios for particulate matters were higher in warmer and mild seasons due to penetration of outdoor particles by means of natural ventilation (35). Another study by *Slezakova et al (36)* focused on both indoors and outdoors untrafine particles concentrations in school buildings has reported an I/O ratio of lower than one (36). One of study on PM<sub>2.5</sub> levels indoors/outdoors found a strong significant correlation between outdoor concentrations and indoor particle concentration ( $p < 0.05$ ) when infiltration factor was applied to represent the degree of correlation (37). The increase on evidence suggests that outdoor pollutants can infiltrate indoor living environments, and the rates of infiltration may vary depending on source.

### **2.1.13. Exposure to respirable crystalline dust in the environment**

There have been studies that attempted to highlight non-occupational exposure to ambient quartz (free crystalline silica) in residential settings. A study conducted by *Saiyed et.al (38)*, found a high prevalence of pneumoconiosis in three villages that had dust storms (containing free silica) and were exposed to soot from indoor fossil fuel burning (38)). *Richards et al (39)* has quantified the community exposure to silica near four sand mines and processing plants in Wisconsin, USA. Levels were found to be well below ambient limits for chronic exposure to silica as set by OEHHA (39)(40). However, the meteorological conditions of the area indicated precipitation levels that are higher than sub Saharan countries, as well as snow (winter season) (39). As result, the meteorological conditions could play a role in mitigating exposure.

Indoor and outdoor respirable crystalline silica studies in households located in proximity to gold mine tailings dumps are limited in the South Africa context. A study conducted by *Andraos et al* (29) measured ambient respirable crystalline silica in residence surrounding gold mine tailing storage facilities. The study found ambient crystalline silica levels that were above  $3 \mu\text{g}/\text{m}^3$  during the dry season in residences that were in close proximity to mine tailings (29).

#### **2.1.14. Respirable crystalline silica ambient exposure regulatory limits**

The South African legal limit for respirable crystalline silica in an occupational settings is covered in the Hazardous Chemical Agent Regulations of 2021, promulgated under the Occupational Health and Safety Act (85 of 1993) by the Department of employment and Labour(41). However, there is no regulated limit or guideline for ambient crystalline silica in South Africa. In this study, the Office of Environmental Health Hazard (OEHHA ) guideline for chronic reference exposure level for crystalline silica of  $3\mu\text{g}/\text{m}^3$  in non-occupational setting was used in the absence of the South Africa ambient limit (42)(43).



### **3. CHAPTER THREE**

*This chapter details the study design, sampling size, description of the study area and the sampling locations. The chapter describes how the PM<sub>4</sub> samples were collected during dry and wet season in the selected households. The chapter also discusses the type of sampling train used to collect the PM<sub>4</sub> mass concentration in both the indoor and outdoor environments. This chapter describes how filters used to sample PM<sub>4</sub> mass concentration were prepared and analysed. The chapter concludes with discussion of data analysis quality assurance and ethical considerations.*

#### **3.1. Methodology**

##### **3.1.1. Study design**

This was a cross-sectional study aimed at evaluating the concentrations of PM<sub>4</sub> and RCS in PM<sub>4</sub> mass samples, taken outdoor and indoor of the 9 selected households located in close proximity to a Johannesburg gold mine tailings dumps.

##### **3.1.2. Sample size**

A total of 36 samples were obtained in both seasons (wet and dry), excluding control bank samples. On each resident, two samples (indoor and outdoor) were taken for a period of 24 hours.

#### **3.2. Description of the study area**

The study was carried out in a community living near a gold mine tailings dumps during the dry and wet seasons in Johannesburg. In South Africa, the dry season is considered a season having strong wind conditions and high dust levels, whereas the wet season is considered a season having substantial rainfalls. The dry season primary data collection for this study were collected in July while the wet season data were collected in November. The target area include households within Riverlea community, located in close proximity to gold mine tailings dumps.

The Riverlea community is located in the southern portion of Johannesburg, between two gold mine tailings dumps that resemble yellow mountains, Mooifontein and 2L3 (Figure 3: Geographical map of the research region). The gold mine tailings were created in the early 90's in Johannesburg. Riverlea is predominantly a coloured community of 3.40 km<sup>2</sup> area in size. According to the 2011 census (44), the Riverlea community had an estimated population of 16,226 (4,771.91 per km<sup>2</sup>) and 4208 households (1,237.53 per km<sup>2</sup>). The housing structure types include

formal, and informal houses as well apartheid era low-cost houses made of bricks with asbestos roofs.



**Figure 3:** Geographical map of the study area: imagery ©2022 CNES/ Aorbis, landsat/Copernicus, Maxar Technologies, map data © 2022 AfriGis (Pty) Ltd

### 3.2. Description of the sampling locations

The households were randomly selected by drawing grid zone based on the distance between the household's location and the gold mine tailings dumps. The grid zones were classified as zone A, B and C (see Figure 3). A total of nine households were selected in consultation with the Riverlea committee mine forum. Three households were selected from each grid zone. Zone A of the grid included households located 500m away from the gold mine tailing's boundary and they were considered as a near field resolution. Zone B included households located between 500m - 1km away from the mine tailings and were considered as an intermediate field zone, and zone C were houses located between 1km - 1.5km away from the gold mine tailings and were considered as the far field- zone in relation to the gold mine tailings dumps. The highest concentrations of PM<sub>4</sub> mass were expected in Zone A households. Households in Zone A were near to the Mooifontein gold mine tailings dump.

### 3.3. Indoor and outdoor sampling

For both seasons, sampling was carried out in July and November 2022. Both outdoor and indoor sampling pumps were placed inside the house for security purposes and to protect them from

harsh climatic conditions such as rainfall, which can cause pump to malfunction. In some households where access for placing the outdoor sample train was not easy, the sample train was placed in an outdoor safe location with a roof structure.

Indoor PM<sub>4</sub> samples were collected at a height between 1.2 and 1.5m above the ground. In addition, indoor samples were placed in either the sitting room or kitchen area. There were no limits on the activities carried out during the sample in either environmental space. As a result, individuals from the selected households went about their typical daily household routines.

Indoor and outdoor samples were collected in the nine selected households during the dry and wet seasons for period of  $\pm 24$ hrs. Approximately, 36 samples were collected in both seasons, excluding blank sample filters. Indoor samples were placed on a stable platform such as a fridge, TV stand or table at a height between 1.2 and 1.5m above the ground. Outdoor sampling pumps were placed on a table or window panel with the sampling media and extended outside through a window or broken portion of the window at the height between 1.2 - 1.5m above the ground for a period of  $\pm 24$ hrs, taking the necessary precautions to ensure equipment security. Samples were labelled as per the selected households within their grid zones. Sample records were noted on the NIOH Occupational Hygiene environmental sampling sheet (See Appendix 4 for the sampling sheet).

### **3.4. Meteorological conditions in the study**

Meteoblue weather provided historical meteorological weather conditions data, indicating that strong wind speeds, which may lead to spreading dust particles from mine tailings dumps, are generally northerly, with dominant maximum wind speeds of 28 km/h during dry times. Southerly winds, on the other hand, were a stronger indicator of worst-case conditions in Riverlea, as they increased the probability of mining tailings dust reaching people. The dry season, which occurs between July and August, is expected to be associated with high dust levels, while the wet season is expected to be associated with low dust levels. Riverlea's historical meteorological data showed that November had the most precipitation. This was considered to represent wet season in this study (45).

### **3.5. Sample duration**

Air sampling pumps were positioned inside and outside the selected households based on the sample grid zones. PM<sub>4</sub> mass concentrations were sampled over 24 hours. The 24- hours airborne mass concentrations of PM<sub>4</sub> were analysed gravimetrically while the RCS concentrations were

determined through an X-ray diffraction (XRD) technique. The derived 24hr RCS concentrations were compared to the ambient limit of  $3\mu\text{g}/\text{m}^3$  as determined by OEHHA, California EPA.

### **3.7. Sampling train description**

Indoor and outdoor  $\text{PM}_4$  were sampled using GilAir sampling pumps that were set at the flowrate of 2.2 litre/minutes. The  $\text{PM}_4$  dust fraction were collected on 25 mm diameter PVC filters, assembled in Higgins-Dewell (HD) cyclones with filter pads. The HD cyclone sampler is an air sampling equipment that is designed with a 50% cut point sampling efficiency for a particle of 4- $\mu\text{m}$ . The rapid air circulation of the HD cyclone allows larger particles to fall down into a grit pot while respirable-size particles remain captured on the filter cassette. The sampling media were attached to sampling tubes and the standard sampling pumps were calibrated at a flow rate of 2.2 litre/minutes. The sampling information was recorded on standard Occupational Hygiene sampling sheet Number NIOH OCC 0035 (See appendix 4).

### **3.7. Gravimetric analysis**

The  $\text{PM}_4$  mass concentration were determined by a gravimetric method following the UK MDHS 14/4 (46). The filters were weighed before and after sampling to determine the  $\text{PM}_4$  gravimetric mass.

#### **3.7.1. Preparation of filters**

All sampling filters, including laboratory blank reference filters, were placed in a petri dish for a minimum of 12 hrs overnight to stabilize, each filter assigned a unique identification number. The relative humidity (RH%) was controlled within  $\pm 5\%$  of the recorded pre-weight and the temperature between  $18^\circ\text{C}$  and  $30^\circ\text{C}$  during weighing.

#### **3.7.2. Pre weighing**

After filters were pre-weighed, they were inserted into a three-piece sampling cassette, closed with a blue and red cap ready to be used for sampling of  $\text{PM}_4$  mass. The  $\text{PM}_4$  mass fraction on the filter was determined by a gravimetric method using a microbalance. The range of the balance was set between 0.001 to 2000 g. An antistatic eliminator was used to remove static charge before each filter was weighed. The analysis was carried by a SANAS 17025 accredited laboratory of the National Institute for Occupational Health (NIOH), using the UK Method for the Determination of Hazardous Substances MDHS 14/4 (46).

### 3.7.3. Post weighing

Post weighing was performed after sampling to determine the PM<sub>4</sub> mass. The filters were removed from the sampling cassette after sampling and placed in a petri-dish, and thereafter reconditioned for 12hrs overnight before reweighing. All sampled filters were weighed to derive the post sampling mass. The analysis was carried out by the NIOH Occupational Hygiene section's SANAS 17025 approved laboratory, using an in-house procedure based on the MDHS 14/4 (46).

The corrected final mass was determined using Equation 1.

$$\text{Corrected Final mass} = M1 (PM - PrM) - M2(PM - PrM) \quad \text{Equation 1}$$

Where the M1 is the mass of the field filter, PM is the post mass, PrM is the pre mass while M2 is the mass of the control filter.

The airborne dust concentration was determined using Equation 2.

$$C = \frac{M}{V} \quad \text{Equation 2}$$

Where: C is the measured concentration in  $\mu\text{g}/\text{m}^3$ , M is the Corrected Final mass ( $\mu\text{g}$ ) while V is the volume of air sampled ( $\text{m}^3$ ).

### 3.7.4. Respirable crystalline silica (quartz) determination

The samples were analysed using X-ray diffraction (XRD) which is a versatile, non-destructive direct-on filter technique. The analysis was performed by a SANAS 17025 accredited laboratory of the NIOH Occupational Hygiene section, using the UK Method for the Determination of Hazardous Substances MDHS 101/2 (47).

## 3.8. Data Analysis

This section gives an overview of data analysis and how PM<sub>4</sub> mass, crystalline silica, indoor / outdoor ratio as well as the RCS 24hr time-weighted average concentration were determined using equations.

### 3.8.1. Objective 1: Determination of PM<sub>4</sub> mass

The PM<sub>4</sub> mass was determined using Equation 3

$$\text{PM}_4 \text{ mass} = (M2 - M1 - B) \quad \text{Equation 3}$$

Where: M1 = mass of filter before sampling ( $\mu\text{g}$ ), M2 = mass of filter after sampling ( $\mu\text{g}$ ), B = average mass change of blanks ( $\mu\text{g}$ ).

### 3.8.2. Objective 2: Determination of the free crystalline silica percentage

This was done to determine the percentage of free crystalline silica content in the PM<sub>4</sub> gravimetric mass weight using the fraction mass of the silica weight detected on each sample.

The percentage of crystalline silica was determined by the following: Equation 4

$$\% \text{ crystalline silica} = \frac{\text{XRD mass of crystalline silica } (\mu\text{g})}{\text{Gravimetric mass of respirable dust (PM}_4\text{)}} \times 100\% \quad \text{Equation 4}$$

The XRD mass of the free crystalline silica refers to the content of crystalline silica detected by the XRD analytical technique in each PM<sub>4</sub> gravimetric sample weight. The gravimetric mass of the respirable dust refers to the PM<sub>4</sub> gravimetric weight or respirable dust mass weight. The percentage of the crystalline silica was derived from the Below Detection Limit (BDL) mass and the PM<sub>4</sub> mass concentration (see Appendix 6 & 7 for the laboratory Test Report).

### 3.8.3. Objective 3: Determination of the indoor and outdoor PM<sub>4</sub> ratio

Indoor–outdoor ratios (I/O) were determined to check whether there is a contribution of outdoor PM<sub>4</sub> to the indoor environment. An I/O ratio of one indicates unity between indoor and outdoor PM concentration. An I/O ratio of less than one, implies that the source of PM<sub>4</sub> is from an outdoor environmental activity or source. A ratio greater than one indicates a significant indoor source contributing to the indoor air quality.

**The I/O ratio was obtained using Equation 5.**

$$C_i = C_{in} / C_{out} \quad \text{Equation 5}$$

Where  $C_i$  is the indoor/outdoor concentration ratio,  $C_{in}$  is the indoor PM<sub>4</sub> concentration, and  $C_{out}$  is the outdoor PM<sub>4</sub> concentration (48).

### 3.8.4. Objective 4: Determination of the RCS 24hr time-weighted average concentration (TWA)

The 24hr TWA for RCS was determined and compared to the 24hr ambient exposure level of the OEHHA, California EPA ambient environment limit of  $3 \mu\text{g}/\text{m}^3$ . The following equation was used to determine the 24hr TWA for RCS:

**The RCS 24hr TWA air concentration (C) was calculated following Equation 6:**

$$\text{TWA} = \frac{C \times T}{1440}, \mu\text{g}/\text{m}^3 \quad \text{Equation 6}$$

Where:

C - Air concentration of RCS

T – Sample duration

1440 - Reference 24-hour (in minutes)

### **3.9. Data management**

#### **3.9.1 Data processing**

Collected data that includes sample field sheets, laboratory results, pre-post calibration results, pre-post mass weight of PM<sub>4</sub>, RCS results, and the controlled calculation concentration spreadsheets were recorded on the controlled share driver. Additionally, the raw data was first entered into Microsoft Excel spreadsheet for cleaning and processing. The data was also exported onto a statistical software for analysis.

#### **3.9.2 Statistical Analysis**

Microsoft Excel 2016 version was used for descriptive data analysis such as minimum, mean, median, maximum, standard deviation and for comparison of the outdoor and indoor PM<sub>4</sub> mass concentrations. An F-test was used to determine the normality of the data and the type of t-test to employ. Based on the outcome of the F-test, Student's t-test was used to test for a statistically significant difference between the means of outdoor/indoor PM<sub>4</sub> mass concentrations, RCS percentage, I/O ratio and 24hr RCS concentration. The student's t-test was performed at a 95% confidence level and a p-value of greater than 0.05 indicated a weak statistically significant/ weak association and the p-value of less than 0.05 indicated a strong association. Pairwise comparison was used to assess the relationship between the mean outdoor and indoor PM<sub>4</sub> concentration, I/O ratio, 24hr RCS and RCS percentage.

### **3.10. Quality Assurance**

#### **3.10.1. Calibration of sampling pumps**

The GilAir air sampling pumps were pre and post-calibrated at a flowrate of 2.2 l/min using primary flow-bubble generator standard flow calibrator traceable to the National Institute for Standards and Technology (NIST), serial number 0609056; (1601012-S, see Appendix 2 for the calibrator certificate). The post-calibration checks were considered acceptable when the averaged pre- and post-calibration flow rates were within  $\pm 5\%$  of the pre-sampling targeted flow rate. All calibration checks were within the allowable limit of  $\pm 5\%$ . Pumps were collected after 24hrs of sampling and taken to the NIOH Occupational Hygiene section for post- calibration airflow verification using a calibrated primary bubble flow generator.

The analysis of PM<sub>4</sub> and crystalline silica were performed by a SANAS 17025 accredited laboratory of the NIOH Occupational Hygiene section, using the UK Method for the Determination of Hazardous Substances MDHS14/4 and 101/2, respectively (See appendix 3 for the laboratory test report) (46)(47).

### **3.10.2. Gravimetric analysis**

Gravimetric weighing of filters was done prior to sampling using a calibrated microbalance. All filters were prepared in a dust free environment. The 25mm filters were placed pre-sampling in a petri dish for a minimum of 12h overnight to stabilize in the laboratory within the controlled indoor temperature of between 18°C and 30°C and relative humidity controlled within  $\pm 5\%$  of the RH% recorded pre-weight. After sample collection, the filters were removed from the sampling cassettes and placed in petri dishes to stabilize and re-weighed to obtain the PM<sub>4</sub> post mass weight gain. A blank filter was prepared for each sampling batch and transported to the laboratory with the field filters. The analysis was performed by a SANAS 17025 accredited laboratory of the NIOH Occupational Hygiene section, following an in-house method using the United Kingdom Health and Safety Executive (HSE) Method for the determination of hazardous substance (MDHS) 14/4 (46).

### **3.11. Ethical Considerations**

Since this study did not involve humans or animals as subjects, there was no harm anticipated to human or animal life, therefore an ethics waiver was granted by the University of the Witwatersrand ethics committee (medical) Ref W-CBP-220120-01.



## 4. CHAPTER FOUR

*This chapter describes the indoor and outdoor results for PM<sub>4</sub> and RCS concentration, which include the percentage RCS, I/O ratio and 24hr RCS concentration within different sample zone. The chapter also give an overview of the mean distribution of the results, and the mean indoor and outdoor pairwise comparison.*

### 4.1.RESULTS

#### 4.1.1. Indoor and outdoor PM<sub>4</sub> mass concentration

Results presented in Table 1 shows the mean indoor and outdoor PM<sub>4</sub> mass concentration for the dry season. The dry season mean indoor PM<sub>4</sub> concentration ranged from 2.23±0.03 µg/m<sup>3</sup> to 2.26±0.02 µg/m<sup>3</sup>, whereas the outdoor PM<sub>4</sub> mass concentration ranged from .22±0.02 µg/m<sup>3</sup> to 2.24±0.02 µg/m<sup>3</sup>. The mean dry season indoor PM<sub>4</sub> mass concentration were higher than the mean outdoor PM<sub>4</sub> mass concentration. The higher indoor mean PM<sub>4</sub> mass concentration might have been due to activities such as cleaning, cooking and the infiltration of outdoor PM.

**Table 1: The dry season mean indoor and outdoor PM<sub>4</sub> concentrations**

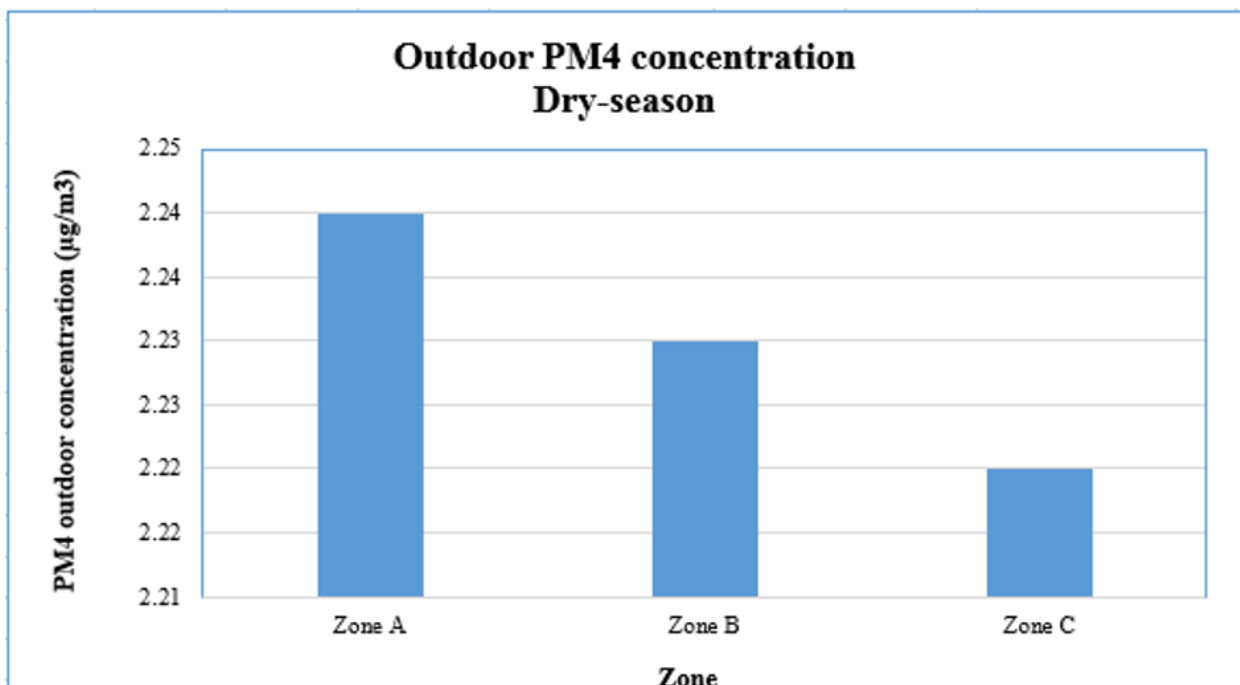
Zone	Indoor	Outdoor
	N=9	N=9
	(µg/m <sup>3</sup> )	(µg/m <sup>3</sup> )
A	2.26±0.02	2.24±0.02
B	2.25±0.02	2.23±0.03
C	2.23±0.01	2.22±0.02

Results presented in Table 2 shows the mean indoor and outdoor PM<sub>4</sub> mass concentration for the wet season. The wet season mean indoor and outdoor PM<sub>4</sub> mass concentrations ranged from 0.02±0.00 µg/m<sup>3</sup> to 0.05±0.02 µg/m<sup>3</sup>. The mean wet season indoor PM<sub>4</sub> concentration in zone A and C were higher than the outdoor PM<sub>4</sub> mass concentration. The higher indoor mean PM<sub>4</sub> mass concentration might have been due to indoor activities.

**Table 2: The wet season mean indoor and outdoor PM<sub>4</sub> concentrations**

Zone	Indoor	Outdoor
	N=9	N=9
	( $\mu\text{g}/\text{m}^3$ )	( $\mu\text{g}/\text{m}^3$ )
A	0.05±0.02	0.03±0.01
B	0.03±0.01	0.03±0.01
C	0.03±0.02	0.02±0.01

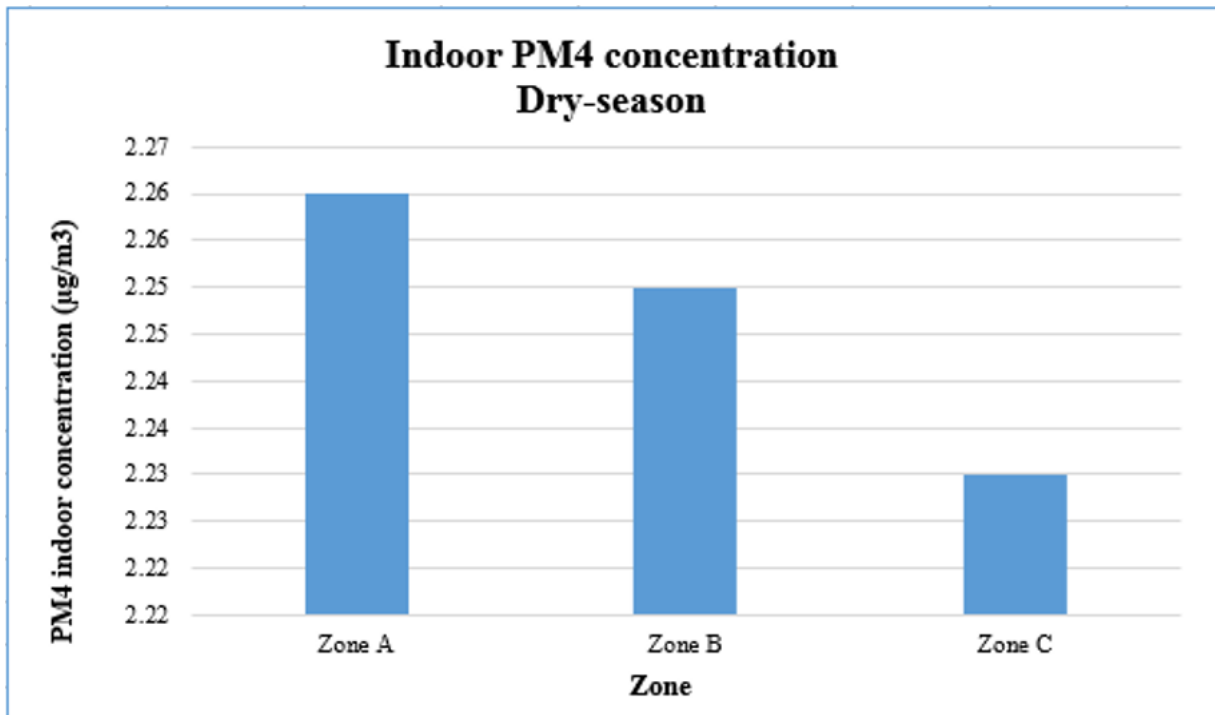
Results presented in Figure 4 depicts the mean outdoor PM<sub>4</sub> mass concentration distribution for dry season. Zone A had the highest mean outdoor PM<sub>4</sub> mass concentration followed by zone B, this suggests that the primary source of PM is from the mine tailings dump, as the mean outdoor PM<sub>4</sub> concentration in zone C was the lowest.



**Figure 4: The dry season mean outdoor PM<sub>4</sub> concentration distribution**

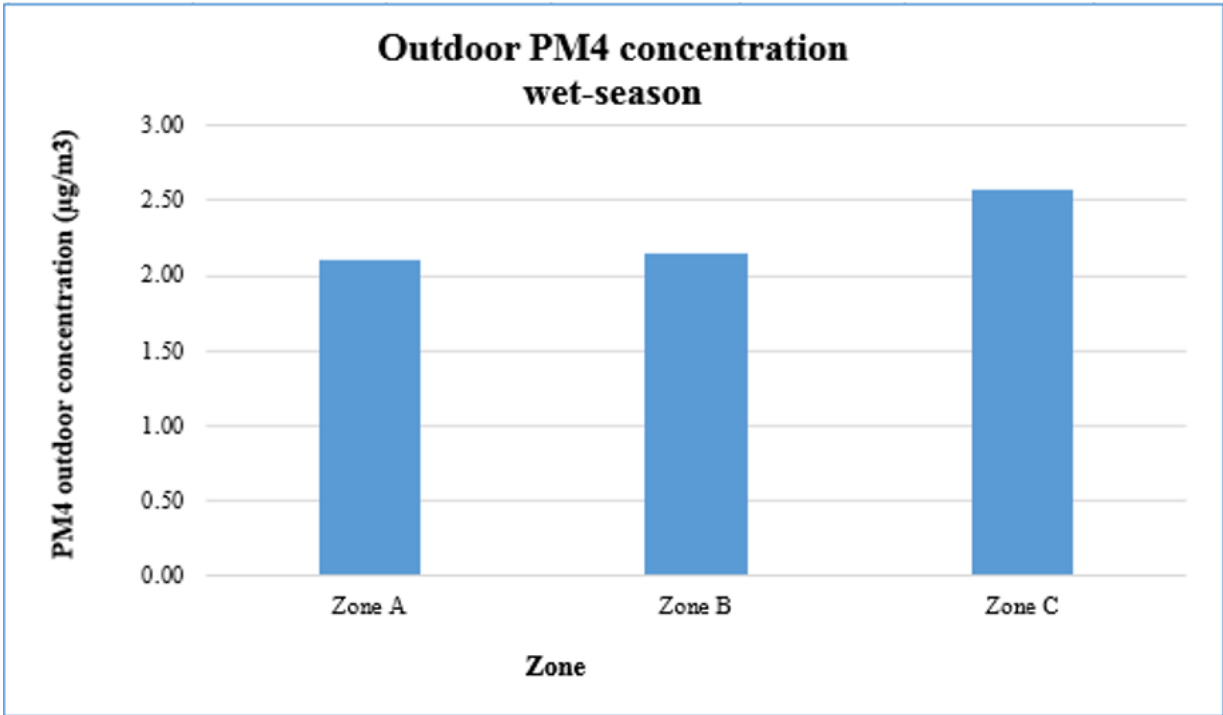
Results presented in Figure 5 depicts the mean indoor PM<sub>4</sub> mass concentration distribution for the dry season. The zone with the Zone A had the highest mean indoor PM<sub>4</sub> mass concentration was

zone A, followed by zone B. Since the mean outdoor PM<sub>4</sub> concentration in zone C was the lowest, this suggests that the mine tailings dumps were the primary source of PM.



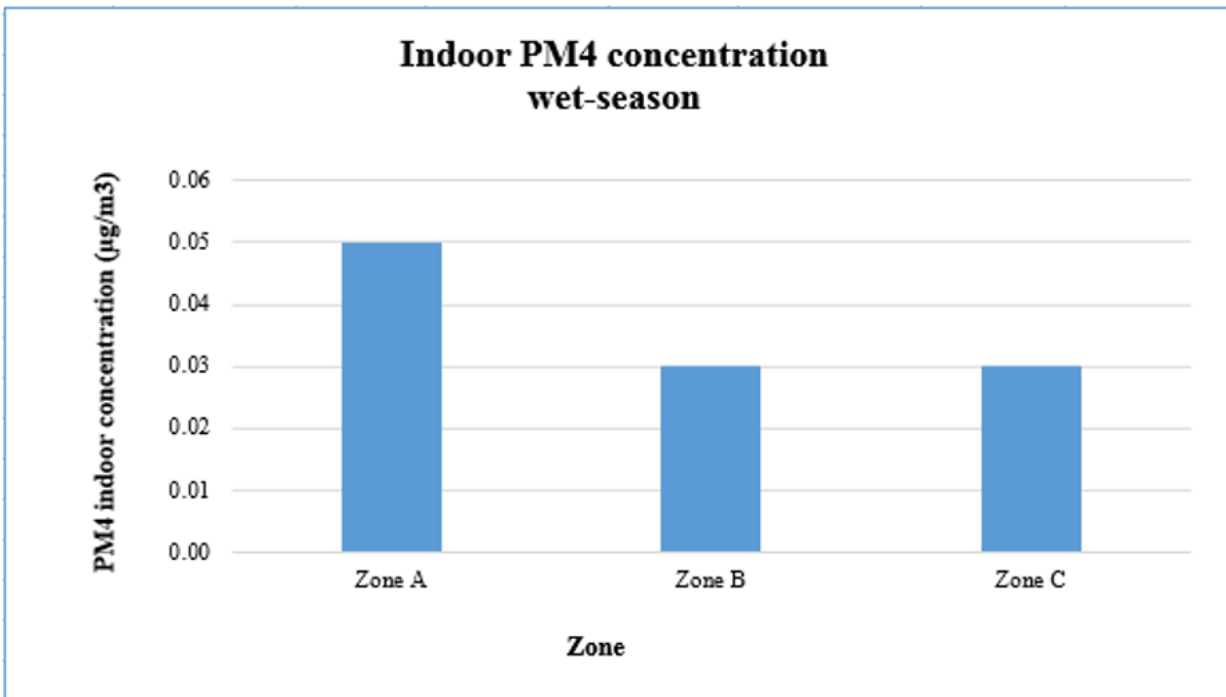
**Figure 5: The dry season mean indoor PM<sub>4</sub> concentration distribution**

Results presented in Figure 6 depicts the mean outdoor PM<sub>4</sub> mass concentration distribution for the wet season. Zone C had the highest mean outdoor PM<sub>4</sub> mass concentration followed by zone B and A; this suggests that the main source of PM might have been from outdoor activities such as movement of vehicles, cleaning of the yard and other outdoor activities that could result in the resuspension of PM and it further indicate that the source contribution of PM<sub>4</sub> mass concentration is not from the mine tailings dumps.



**Figure 6: The wet season mean outdoor PM<sub>4</sub> concentration distribution**

Results presented in Figure 7 depicts the mean indoor PM<sub>4</sub> mass concentration distribution for wet season. Zone A had the highest mean indoor PM<sub>4</sub> mass concentration. Zone B and C had the same levels of PM<sub>4</sub> concentration.



**Figure 7: Indoor PM<sub>4</sub> concentration for wet-season distribution**

#### 4.1.2. Indoor and outdoor RCS percentage

Results presented in Table 3 shows the descriptive statistics for the indoor and outdoor percentage of free crystalline silica in respirable (PM<sub>4</sub>) samples. The dry season mean indoor and outdoor percentage of crystalline silica ranged from 0.02±0.01 % to 0.06±0.03 %.

**Table 3: The dry season mean indoor and outdoor RCS percentage in PM<sub>4</sub> samples**

Zone	Indoor	Outdoor
	N=9	N=9
	(%)	(%)
A	0.04±0.01	0.05±0.02
B	0.05±0.01	0.06±0.03
C	0.02±0.01	0.05±0.02

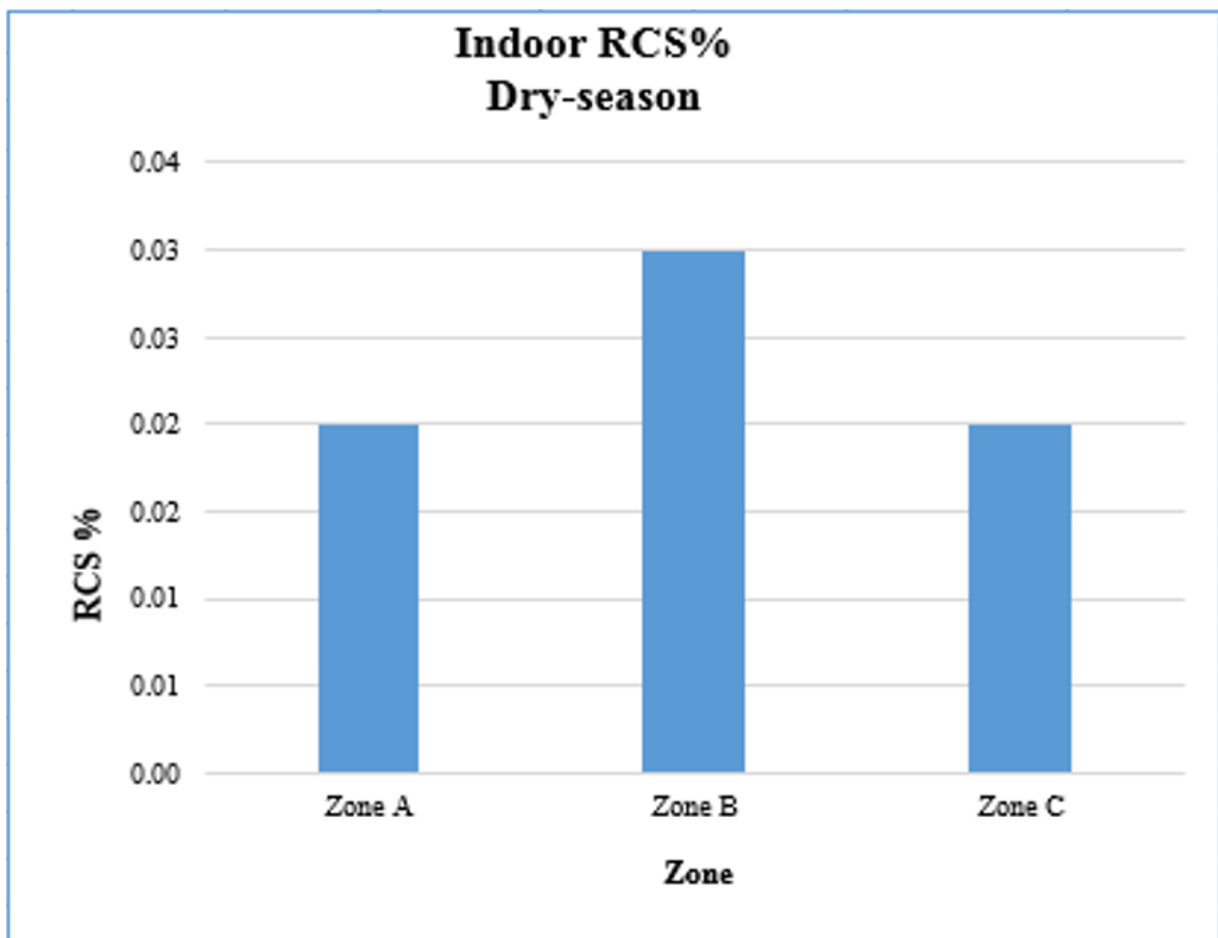
Results presented in Table 4 shows the descriptive statistics for the indoor and outdoor percentage of free crystalline silica in PM<sub>4</sub> samples. The wet season mean indoor and outdoor percentage of crystalline silica ranged from 0.04±0.01% to 0.08±0.01%. The indoor mean percentage of free crystalline silica was higher than the outdoor mean RCS percentage.

**Table 4: The wet season mean indoor and outdoor RCS percentage**

Zone	Indoor	Outdoor
	N=9	N=9
	%	%
A	0.06±0.01	0.05±0.03

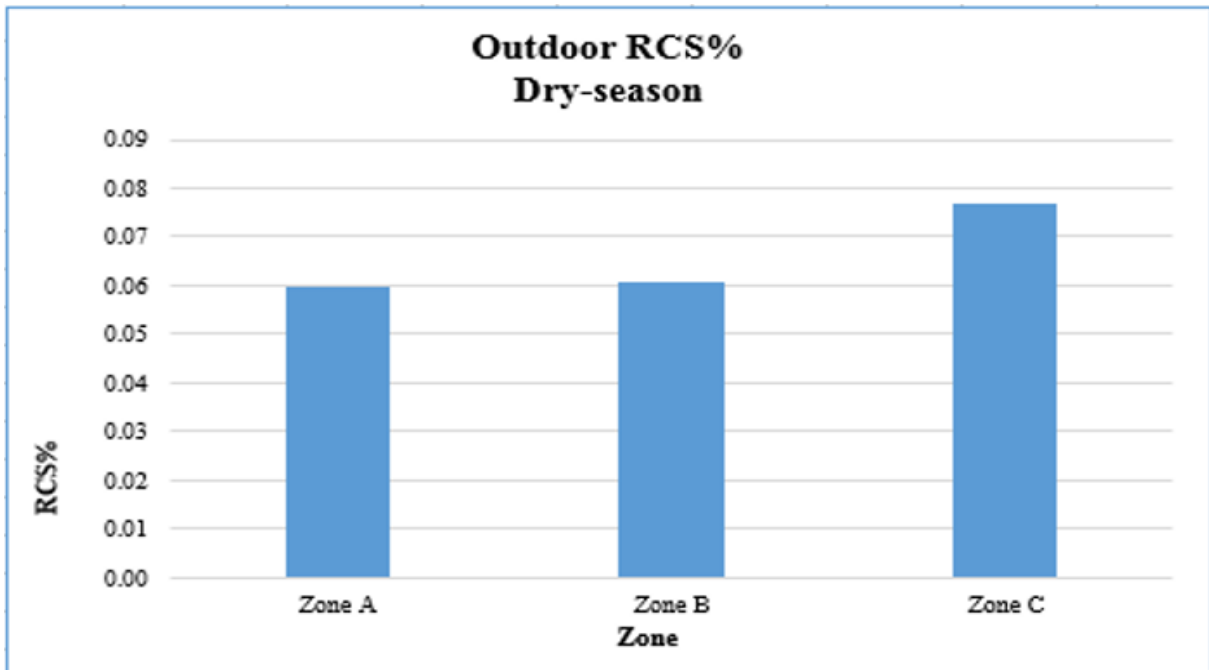
B	0.06±0.01	0.05±0.01
C	0.08±0.01	0.04±0.01

Results presented in Figure 8 demonstrate the mean indoor RCS percentage distribution for the dry season. The highest concentration of respirable crystalline silica was found in zone B. Zone A and C had the same percentage of crystalline silica.



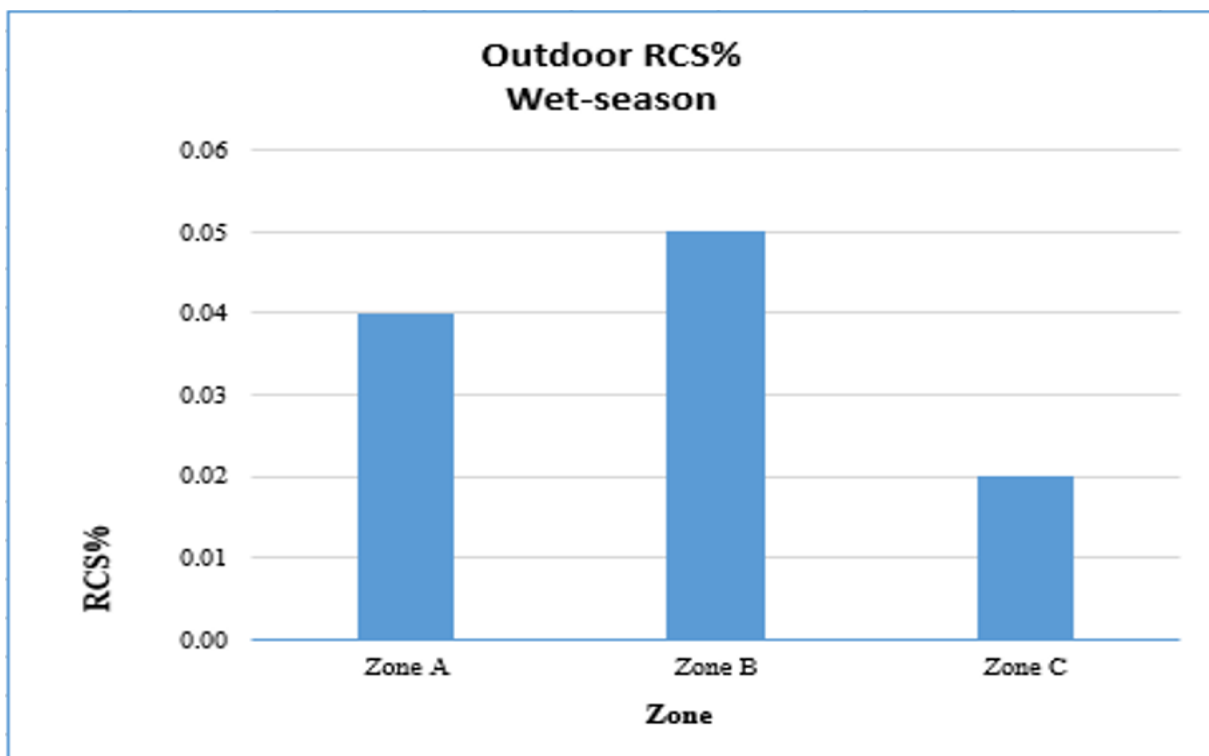
**Figure 8: The dry season mean indoor RCS percentage distribution**

Results presented in Figure 9 depicts the mean outdoor RCS percentage distribution for the dry season. The highest distribution of respirable crystalline silica was found in zone C. Zone A and C had the same percentage of free crystalline silica.



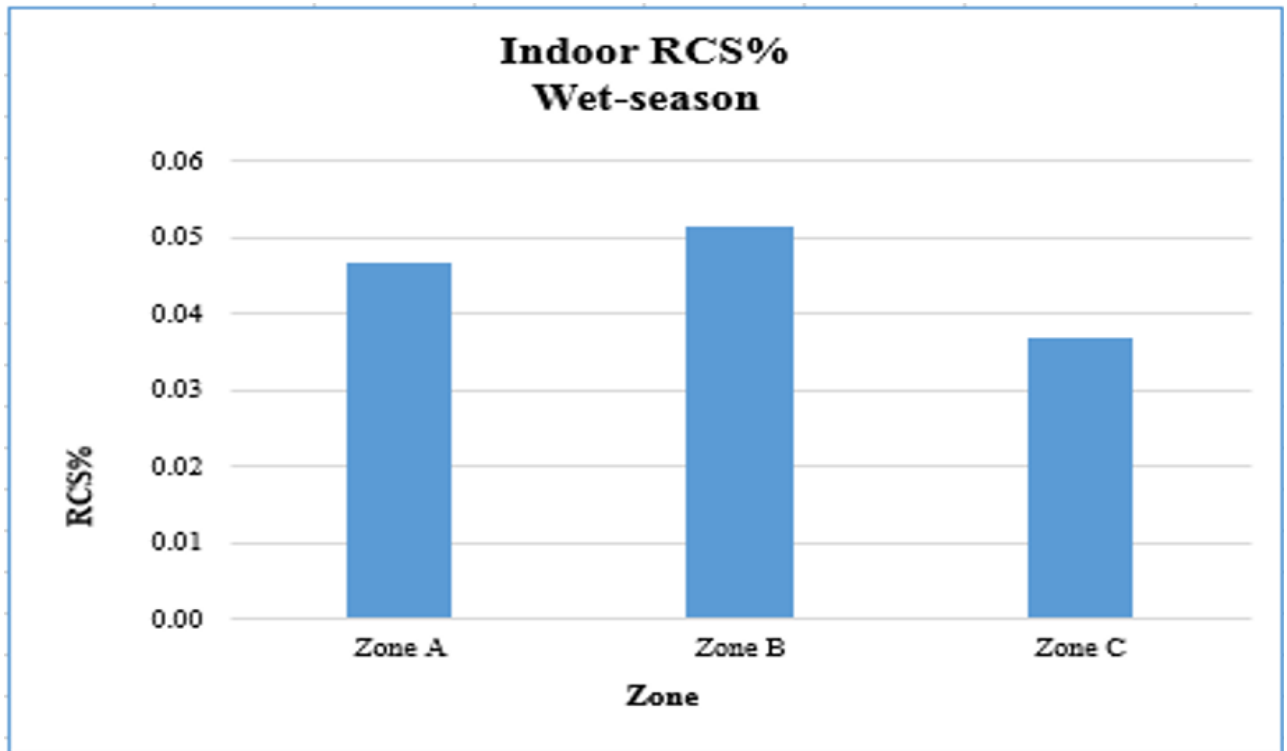
**Figure 9: Outdoor RCS percentage for dry-season distribution**

Results presented in Figure 10 depicts the mean outdoor RCS percentage distribution for the wet season. The highest distribution of respirable crystalline silica was found in zone B, followed by zone A. Zone C had the lowest percentage of crystalline silica.



**Figure 10: The wet season mean outdoor RCS percentage distribution**

Results presented in Figure 11 demonstrate the mean indoor RCS percentage distribution for the wet season. The highest distribution of free crystalline silica was found in zone B, followed by zone A. Zone C had the lowest percentage of crystalline silica.



**Figure 11: Indoor RCS percentage for wet-season distribution**

#### 4.1.3. Indoor/outdoor PM<sub>4</sub> (I/O) ratio

Results presented in Table 5 shows the descriptive statistics for the mean I/O ratio. The dry season I/O ratios across all zones were  $\geq 1$ , this suggest that the source of indoor PM<sub>4</sub> mass concentrations were influenced by the indoor activities such as cleaning, cooking, and the infiltration of outdoor PM.

**Table 5: The dry season mean I/O ratio**

Zone	I/O Ratio
	Unitless
A	1.01 ± 0.02



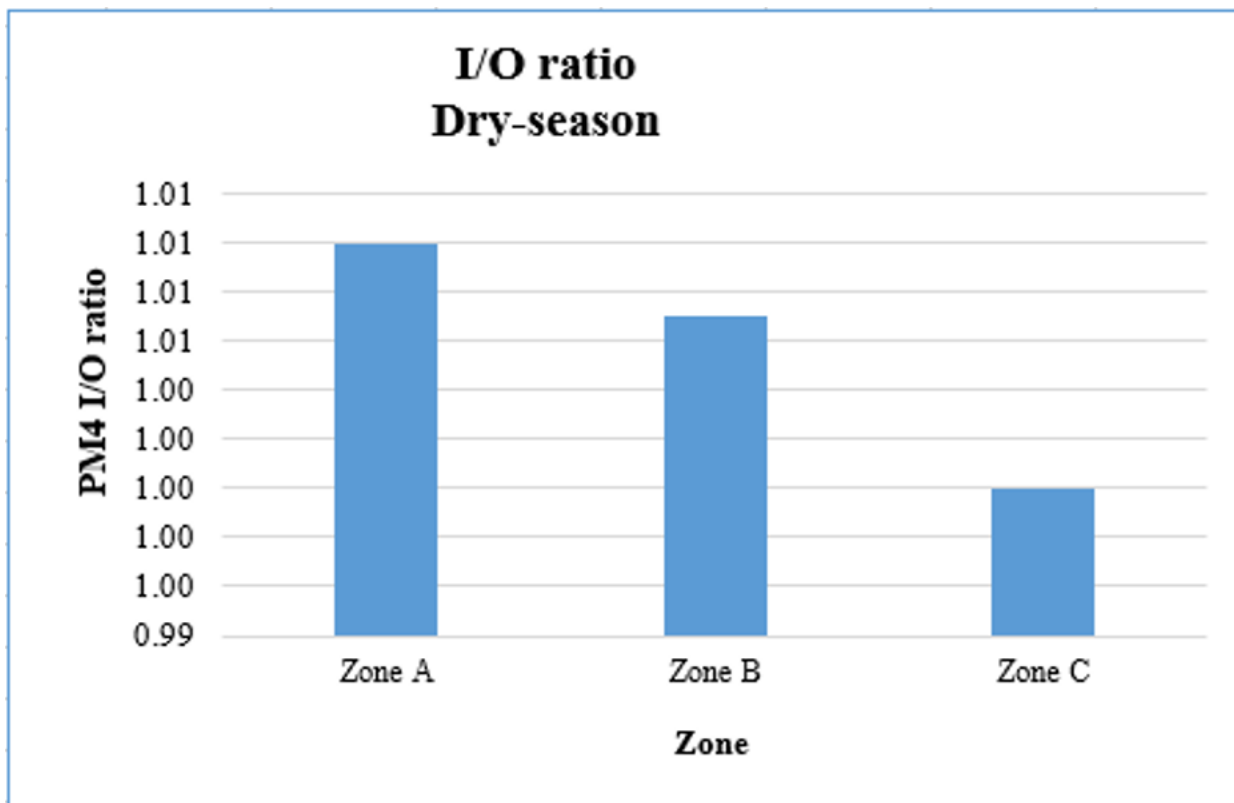
B	$1.01 \pm 0.01$
C	$1.00 \pm 0.01$

Results presented in Table 6 shows the descriptive statistics for the mean I/O ratio. The wet season I/O ratios were equal to one, this suggest that the source of indoor PM<sub>4</sub> mass concentration was influenced by both outdoor and indoor PM source.

**Table 6: The wet season mean I/O ratio**

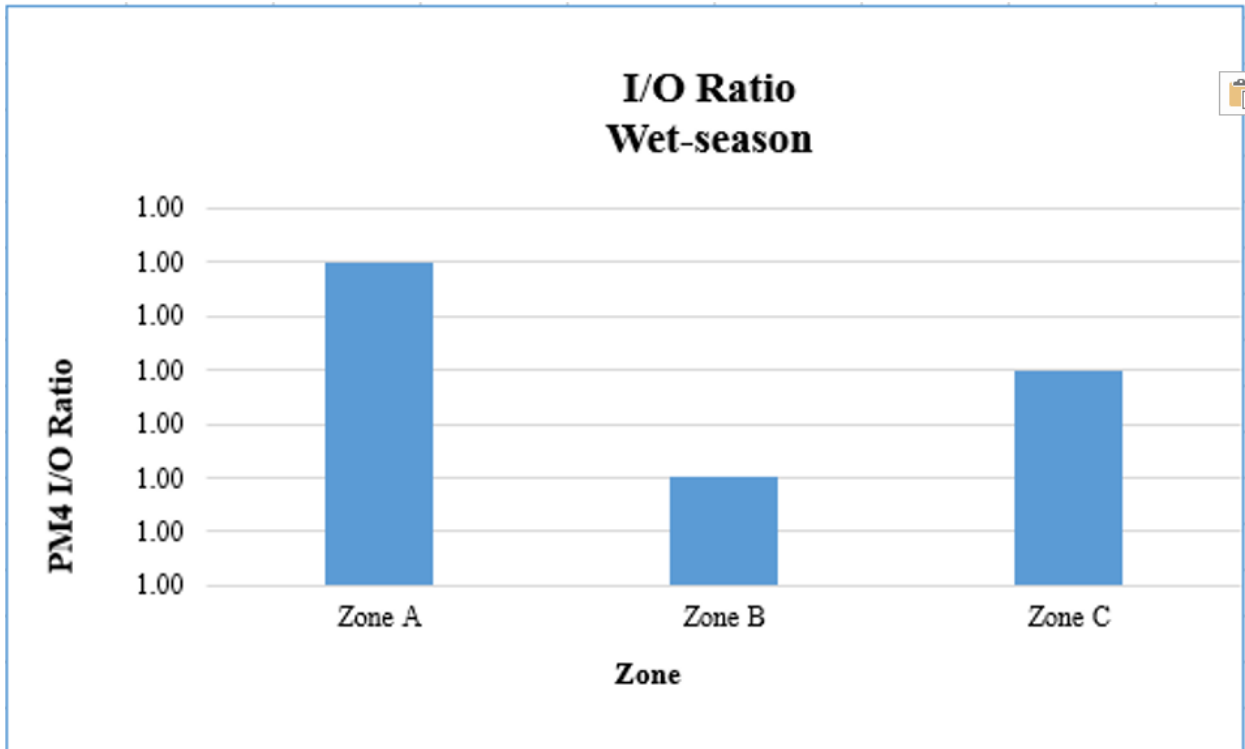
Zone	I/O Ratio
	Unitless
A	$1.00 \pm 0.01$
B	$1.00 \pm 0.01$
C	$1.00 \pm 0.01$

Results presented in Figure 12 depicts mean I/O ratio for the dry season distribution. The mean dry season PM<sub>4</sub> I/O ratios in zone A and B were higher than one, which suggest that the source of PM<sub>4</sub> may be from the indoor household activities.



**Figure 12: The dry season mean I/O ratio distribution**

Results presented in Figure 13 demonstrate the mean PM<sub>4</sub> I/O ratio for the wet season distribution. The mean wet season I/O ratios in zone A and C were higher than one, this suggest that the PM<sub>4</sub> source maybe from indoor activities.



**Figure 13: The wet season mean PM<sub>4</sub> I/O ratio distribution**

#### 4.1.4. Indoor and outdoor 24hr RCS concentration

Results presented in Table 7 depicts the 24hr RCS concentration descriptive statistics for the dry season. The mean indoor and outdoor 24hr RCS concentrations ranged from  $2.22 \pm 0.02 \mu\text{g}/\text{m}^3$  to  $2.26 \pm 0.02 \mu\text{g}/\text{m}^3$ . The mean 24hr RCS indoor concentrations were higher than the outdoor 24hr RCS concentration. All dry season 24hr RCS concentrations were below the 24hr ambient exposure level of  $3 \mu\text{g}/\text{m}^3$  set by OEHHA, California EPA.

**Table 7: The dry season mean indoor and outdoor 24hr RCS concentration**

Zone	Indoor	Outdoor
	N=9	N=9
	( $\mu\text{g}/\text{m}^3$ )	( $\mu\text{g}/\text{m}^3$ )
A	$2.26 \pm 0.02$	$2.24 \pm 0.02$

B	2.25±0.02	2.23±0.03
C	2.23±0.01	2.22±0.02

Results presented in Table 8 shows the 24hr RCS concentration descriptive statistics for the wet season. The mean indoor and outdoor 24hr RCS concentrations ranged from 2.10±0.10 µg/m<sup>3</sup> to 2.66±0.24 µg/m<sup>3</sup>. The mean 24hr RCS outdoor concentration in zone A and C were higher than the mean indoor 24hr RCS concentrations. However, the indoor 24hr RCS concentration in zone B was higher than the mean outdoor 24hr RCS concentration. All wet season 24hr RCS concentrations were below the 24hr ambient exposure level of 3 µg/m<sup>3</sup> set by OEHHA, California EPA.

**Table 8: The wet season mean indoor and outdoor 24hr RCS concentration**

Zone	Indoor	Outdoor
	N=9	N=9
	(µg/m <sup>3</sup> )	(µg/m <sup>3</sup> )
A	2.10±0.10	2.14±0.11
B	2.14±0.11	2.13±0.10
C	2.57±0.19	2.66±0.24

Results presented in Figure 14 depicts the mean outdoor 24hr RCS concentrations for the dry season distribution. Zone A had the highest distribution followed by zone B. This suggest that the source of RCS might have been from gold mine tailings dumps, because the lowest distribution was found in zone C.

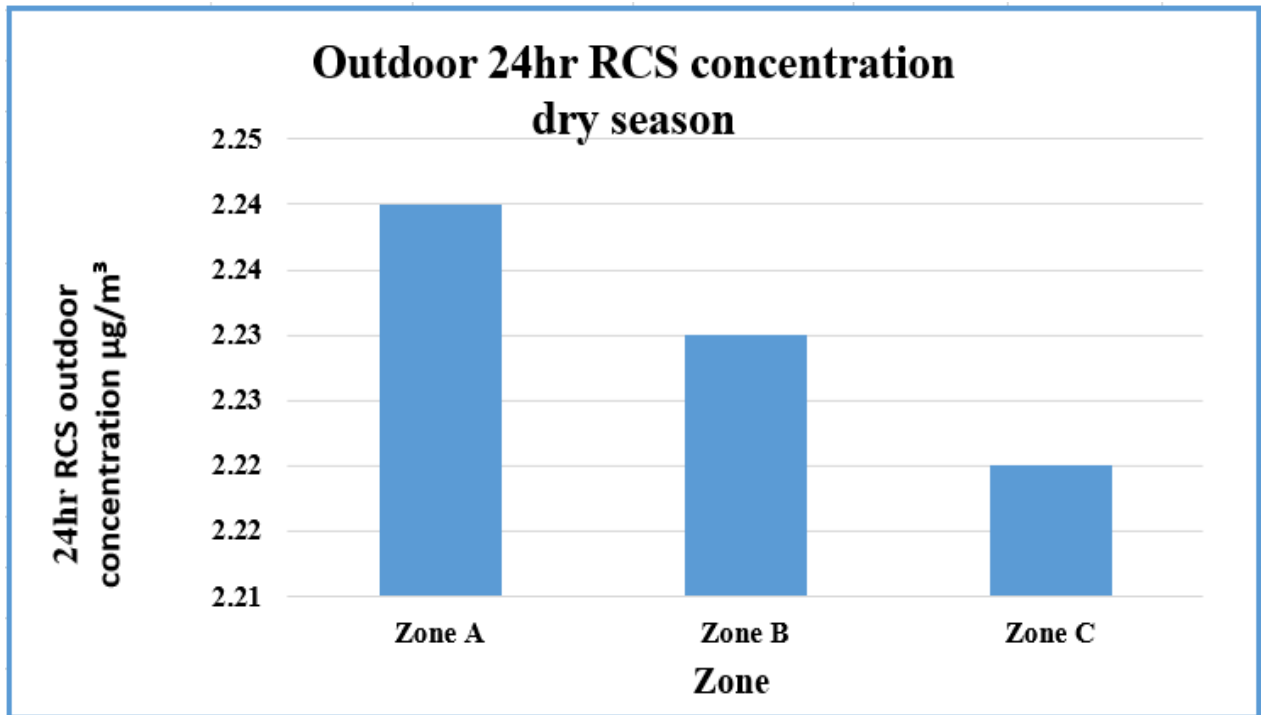


Figure 14: The dry season mean outdoor 24hr RCS concentration distribution

Results presented in Figure 15 demonstrate the indoor 24hr RCS concentrations for the dry season distribution. Zone A had the highest distribution followed by zone B. This suggests that the primary source PM is the mine tailings dumps, because of the lowest distribution found in zone C.

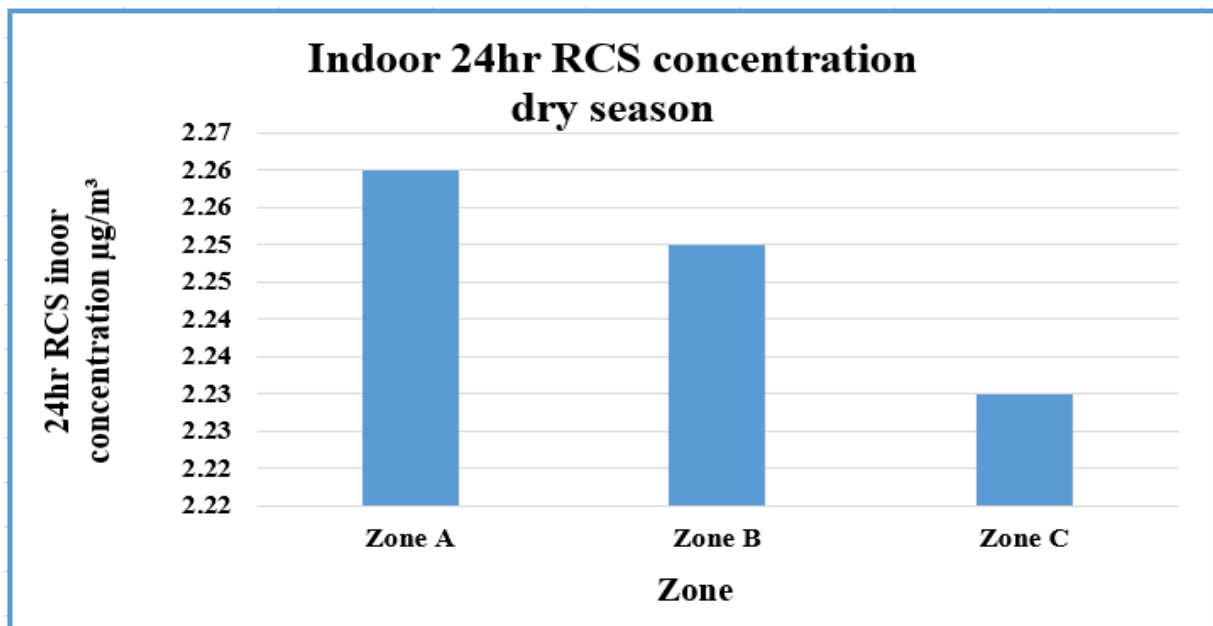
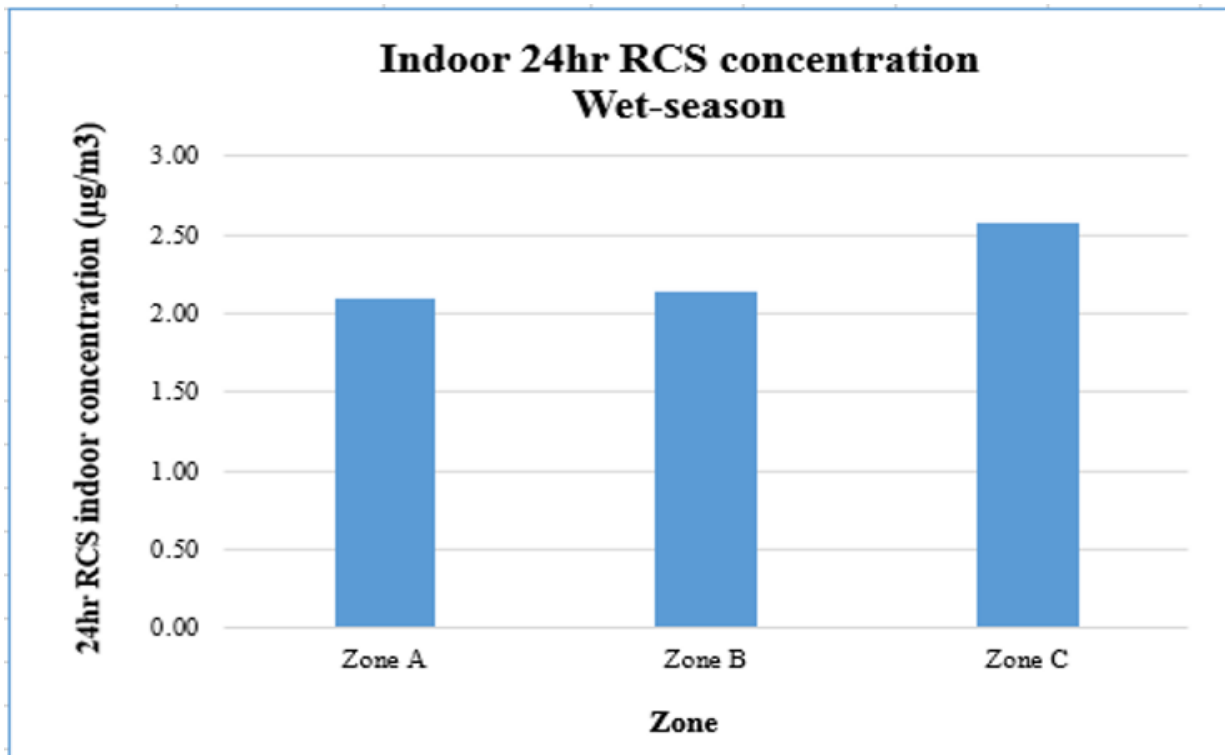


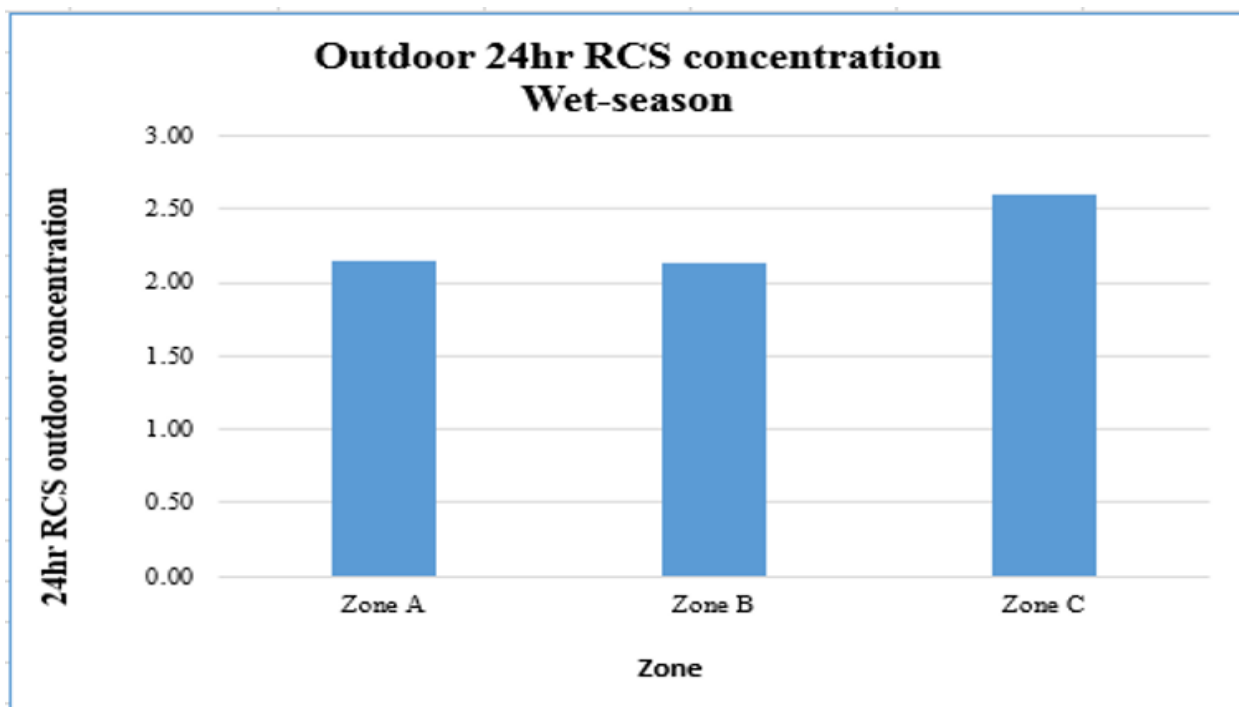
Figure 15: The dry season mean indoor 24hr RCS concentration distribution

Results presented in Figure 16 depicts the indoor 24hr RCS concentration for wet season distribution. Zone C had the highest distribution followed by zone B. This suggests that the source of PM might have been from indoor activities.



**Figure 16: Outdoor 24hr RCS concentration for the dry-season distribution**

Results presented in Figure 17 demonstrate the outdoor 24hr RCS concentrations for the wet season distribution. Zone C had the highest distribution followed by zone B. This implies that the source of PM might have been from indoor activities.



**Figure 17: Indoor 24hr RCS concentration for the dry-season distribution**

#### **4.1.5. Pairwise comparison for the dry and wet season**

Results presented in Table 9 shows the indoor and outdoor dry season pairwise comparison for PM<sub>4</sub> mass concentration within all zones. The zones were paired based on the outdoor and indoor PM<sub>4</sub> mass concentration. The PM<sub>4</sub> mass concentration were expressed in micrograms per cubic meters ( $\mu\text{g}/\text{m}^3$ ). The dry season results revealed no statistically significant difference ( $p < 0.05$ ) at 95% confidence interval in PM<sub>4</sub> mass concentration between all zones. This suggests that the PM source strength in both indoor and outdoor is the same.

**Table 9: Pairwise comparison of the PM<sub>4</sub> Mass concentrations (dry season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone B	Outdoor	2.24 $\pm$ 0.02	0.64	0.54	No
		2.23 $\pm$ 0.03			
Zone A vs Zone C		2.24 $\pm$ 0.02	0.92	0.29	No
	2.22 $\pm$ 0.02				
Zone B vs Zone C		2.23 $\pm$ 0.03	0.57	0.75	No
		2.22 $\pm$ 0.02			
Zone A vs Zone B	Indoor	2.26 $\pm$ 0.02	0.94	0.63	No
		2.25 $\pm$ 0.02			
Zone A vs Zone C		2.26 $\pm$ 0.02	0.53	0.63	No
	2.23 $\pm$ 0.01				
Zone B vs Zone C		2.26 $\pm$ 0.02	0.58	0.41	No
		2.23 $\pm$ 0.01			

Results presented in Table 10 shows the indoor and outdoor wet season pairwise comparison for PM<sub>4</sub> mass concentrations within all zones. The wet season results revealed no statistically significant difference ( $p < 0.05$ ) at 95% confidence interval in PM<sub>4</sub> mass concentrations between all zones. This also suggests that the PM source strength in both indoor and outdoor is the same.



**Table 10: Pairwise comparison of the PM<sub>4</sub> mass concentrations (wet season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone B		$0.05 \pm 0.02$ $0.03 \pm 0.01$	0.76	0.46	No
Zone A vs Zone C	Outdoor	$0.05 \pm 0.03$ $0.03 \pm 0.02$	0.36	0.90	No
Zone B vs Zone C		$0.03 \pm 0.01$ $0.03 \pm 0.02$	0.25	0.43	No
Zone A vs Zone B		$0.03 \pm 0.01$ $0.03 \pm 0.00$	0.35	0.76	No
Zone A vs Zone C	Indoor	$0.03 \pm 0.01$ $0.02 \pm 0.00$	0.93	0.11	No
Zone B vs Zone C		$0.03 \pm 0.01$ $0.02 \pm 0.00$	0.39	0.08	No

Results presented in Table 11 shows the indoor and outdoor PM<sub>4</sub> mass concentrations pairwise comparison for both wet and dry season within all zones. The pairwise comparison for the dry and wet season results has revealed a statistically significant ( $p > 0.05$ ). This suggests that there is a different in PM source strength during dry and wet season in both indoor and outdoor.

**Table 11: Pairwise comparison of the PM<sub>4</sub> mass concentrations (dry and wet season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis			
			F-Test	P-Value	95% CI	
Zone A dry vs Zone A wet	Outdoor	2.26 $\pm$ 0.02 0.05 $\pm$ 0.02	0.92	<b>0.01</b>	<b>Yes</b>	
Zone B dry vs Zone B wet		2.25 $\pm$ 0.02 0.03 $\pm$ 0.01	0.32	<b>0.01</b>	<b>Yes</b>	
Zone C dry vs Zone C wet		2.23 $\pm$ 0.01 0.03 $\pm$ 0.02	0.66	<b>0.01</b>	<b>Yes</b>	
Zone A dry vs Zone A wet		Indoor	2.24 $\pm$ 0.02 0.03 $\pm$ 0.01	0.13	<b>0.01</b>	<b>Yes</b>
Zone B dry vs Zone B wet			2.23 $\pm$ 0.03 0.03 $\pm$ 0.00	0.01	<b>0.01</b>	<b>Yes</b>
Zone C dry vs Zone C wet			2.22 $\pm$ 0.02 0.02 $\pm$ 0.00	0.13	<b>0.01</b>	<b>Yes</b>

Results presented in Table 12 shows the indoor and outdoor dry season pairwise comparison for RCS percentage within all zones. The zones were paired based on the indoor and outdoor RCS percentage. The outdoor dry season pairwise comparison between zone B vs C showed that there was a statistically significant difference ( $p > 0.05$ ), and the outdoor comparison between zone A vs B, and zone A vs C showed no statistically significant difference ( $p < 0.05$ ) at 95% confidence interval. The indoor RCS percentage pairwise comparison showed no statistically significant difference between the zones.

**Table 12: Pairwise comparison of the RCS percentage (dry season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone B	Outdoor	0.04 $\pm$ 0.01	0.29	0.21	No
		0.05 $\pm$ 0.00			
Zone A vs Zone C		0.04 $\pm$ 0.00	0.11	0.07	No
	0.02 $\pm$ 0.00				
Zone B vs Zone C		0.05 $\pm$ 0.00	0.51	0.01	<b>Yes</b>
		0.02 $\pm$ 0.00			
Zone A vs Zone B	Indoor	0.05 $\pm$ 0.02	0.81	0.79	No
		0.06 $\pm$ 0.03			
Zone A vs Zone C		0.05 $\pm$ 0.02	0.95	0.98	No
	0.05 $\pm$ 0.02				
Zone B vs Zone C		0.06 $\pm$ 0.03	0.86	0.81	No
		0.05 $\pm$ 0.02			

Results presented in Table 13 shows the outdoor and indoor wet season pairwise comparison for RCS percentage within all zones. Both outdoor and indoor wet season pairwise comparison showed no statistically significant difference ( $p < 0.05$ ) at 95% confidence interval.

**Table 13: Pairwise comparison of the RCS percentage (wet season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone B	Outdoor	$0.06 \pm 0.01$	0.95	0.91	No
		$0.06 \pm 0.01$			
Zone A vs Zone C		$0.06 \pm 0.01$	0.49	0.13	No
	$0.08 \pm 0.01$				
Zone B vs Zone C		$0.06 \pm 0.01$	0.50	0.23	No
		$0.08 \pm 0.01$			
Zone A vs Zone B	Indoor	$0.05 \pm 0.03$	0.26	0.82	No
		$0.05 \pm 0.01$			
Zone A vs Zone C		$0.05 \pm 0.03$	0.44	0.66	No
	$0.04 \pm 0.01$				
Zone B vs Zone C		$0.05 \pm 0.01$	0.69	0.29	No
		$0.04 \pm 0.01$			

Results presented in Table 14 shows the outdoor and indoor for both dry and wet season pairwise comparison for RCS percentage within all zones. The pairwise comparison between outdoor zone C (dry season) vs C (wet season) showed a statistically significant difference ( $p > 0.05$ ) and the comparison within other zones showed no statistically significant difference ( $p < 0.05$ ) at 95% confidence interval.

**Table 14: Pairwise comparison of the RCS percentage (dry vs wet season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone A	Outdoor	0.04 $\pm$ 0.01	0.69	0.14	No
		0.06 $\pm$ 0.01			
Zone B vs Zone B		0.05 $\pm$ 0.00	0.48	0.41	No
		0.06 $\pm$ 0.01			
Zone C vs Zone C		0.02 $\pm$ 0.00	0.07	<b>0.01</b>	Yes
		0.08 $\pm$ 0.01			
Zone A vs Zone A	Indoor	0.05 $\pm$ 0.02	0.84	0.83	No
		0.05 $\pm$ 0.03			
Zone B vs Zone B		0.06 $\pm$ 0.03	0.24	0.72	No
		0.05 $\pm$ 0.01			
Zone C vs Zone C		0.05 $\pm$ 0.02	0.51	0.45	No
		0.04 $\pm$ 0.01			

Results presented in Table 15 shows the dry season pairwise comparison for PM<sub>4</sub> I/O ratio. The zones were paired based on the indoor and outdoor PM<sub>4</sub> I/O Ratios. The results revealed no statistically significant difference ( $p < 0.05$ ) at the 95% confidence interval in PM<sub>4</sub> I/O ratios comparison.

**Table 15: Pairwise comparison of the PM<sub>4</sub> I/O ratio for the dry season (dry season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone B		1.01 $\pm$ 0.02 1.01 $\pm$ 0.01	0.17	0.86	No
Zone A vs Zone C	I/O ratio	1.01 $\pm$ 0.02 1.00 $\pm$ 0.01	0.17	0.46	No
Zone B vs Zone C		1.01 $\pm$ 0.01 1.00 $\pm$ 0.01	0.63	0.26	No

Results presented in Table 16 shows the wet season pairwise comparison for PM<sub>4</sub> I/O ratio. The results showed no statistically significant difference ( $p < 0.05$ ) at the 95% confidence interval in PM<sub>4</sub> I/O ratios comparison.

**Table 16: Pairwise comparison of the PM<sub>4</sub> I/O ratio (wet season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone B		1.00 $\pm$ 0.00 1.00 $\pm$ 0.00	0.78	0.72	No
Zone A vs Zone C	I/O ratio	1.00 $\pm$ 0.00 0.00 $\pm$ 0.00	0.44	0.80	No
Zone B vs Zone C		1.00 $\pm$ 0.00 0.00 $\pm$ 0.00	0.36	0.83	No

Results presented in Table 17 shows the dry vs wet season pairwise comparison for I/O ratio with all zones. The zones were paired based on the indoor and outdoor I/O ratios The results showed

no statistically significant difference ( $p < 0.05$ ) at the 95% confidence interval in PM<sub>4</sub> I/O ratios comparison.

**Table 17: Pairwise comparison of the PM<sub>4</sub> I/O ratio (dry vs wet season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A		$1.00 \pm 0.02$			
vs Zone A		$1.00 \pm 0.00$	0.09	0.85	No
Zone B		$1.01 \pm 0.01$			
vs Zone B	I/O ratio	$1.00 \pm 0.00$	0.40	0.09	No
Zone C		$1.00 \pm 0.01$			
vs Zone C		$0.00 \pm 0.00$	0.12	0.80	No

Results presented in Table 18 shows the indoor and outdoor dry season pairwise comparison for 24hr RCS concentrations within all zones. The zones were paired based on the indoor and outdoor 24 RCS percentage The results showed no statistically significant differences ( $p < 0.05$ ) at the 95% confidence interval in the 24hr RCS concentrations comparison.

**Table 18: Pairwise comparison of the 24hr RCS concentrations (dry season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone B	Outdoor	2.24 $\pm$ 0.02	0.64	0.58	No
		2.23 $\pm$ 0.03			
Zone A vs Zone C		2.24 $\pm$ 0.02	0.92	0.29	No
		2.22 $\pm$ 0.02			
Zone B vs Zone C		2.23 $\pm$ 0.03	0.57	0.74	No
		2.22 $\pm$ 0.02			
Zone A vs Zone B	Indoor	2.26 $\pm$ 0.02	0.44	0.63	No
		2.25 $\pm$ 0.02			
Zone A vs Zone C		2.26 $\pm$ 0.02	0.63	0.21	No
		2.23 $\pm$ 0.01			
Zone B vs Zone C		2.25 $\pm$ 0.02	0.58	0.41	No
		2.23 $\pm$ 0.01			

Results presented in Table 19 shows the indoor and outdoor wet season pairwise comparison for the 24hr RCS concentrations with all zones. The pairwise comparison between indoor zone A vs C and B vs C showed a strong association/ statistically significant difference ( $p > 0.05$ ) at the 95% confidence interval. The outdoor pairwise comparison indicated no statistically significant difference ( $p < 0.05$ ) between the zones. Additionally, the indoor pairwise comparison between zones A vs B indicated no statistically significant difference.



**Table 19: Pairwise comparison of the 24hr RCS concentrations (wet season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone B	Outdoor	2.14 $\pm$ 0.11	0.95	0.93	No
Zone A vs Zone C		2.14 $\pm$ 0.11	0.33	0.07	No
Zone B vs Zone C		2.66 $\pm$ 0.24	0.31	0.06	No
Zone A vs Zone B		2.10 $\pm$ 0.10	0.95	0.71	No
Zone A vs Zone C		2.14 $\pm$ 0.11	0.44	0.04	<b>Yes</b>
Zone B vs Zone C		2.10 $\pm$ 0.10	0.47	0.05	<b>Yes</b>

Results presented in Table 20 shows the dry vs wet season pairwise comparison for the 24hr RCS concentrations outdoor and indoor. The pairwise comparison revealed no statistically significant difference ( $p < 0.05$ ) at the 95% confidence interval.

**Table 20: Pairwise comparison of the RCS concentrations (dry vs wet season)**

Zone	Location	Average Conc. ( $\mu\text{g}/\text{m}^3$ )	Statistical analysis		
			F-Test	P-Value	95% CI
Zone A vs Zone A	Outdoor	$2.24 \pm 0.02$	0.07	0.26	No
		$2.14 \pm 0.11$			
Zone B vs Zone B		$2.23 \pm 0.03$	0.16	0.27	No
		$2.13 \pm 0.10$			
Zone C vs Zone C		$2.22 \pm 0.02$	0.01	0.15	No
		$2.66 \pm 0.24$			
Zone A vs Zone A	Indoor	$2.26 \pm 0.02$	0.06	0.09	No
		$2.10 \pm 0.10$			
Zone B vs Zone B		$2.25 \pm 0.02$	0.05	0.23	No
		$2.14 \pm 0.11$			
Zone C vs Zone C		$2.23 \pm 0.01$	0.01	0.13	No
		$2.57 \pm 0.19$			

## 5. CHAPTER FIVE

This chapter discusses the study results, which are compared to existing literature. The results discussed below include the mean indoor and outdoor PM<sub>4</sub> concentration, RCS percentage, I/O ratio and the 24hr RCS concentration. The chapter ends by discussing the limitations and strengths of the study, future research directions, and conclusion as well as the recommendations.

### 5.1. DISCUSSION

The mean indoor and outdoor PM<sub>4</sub> mass concentrations in both season ranged from 0.02±0.01 µg/m<sup>3</sup> to 2.26±0.02 µg/m<sup>3</sup>. The dry season mean outdoor PM<sub>4</sub> mass concentration in zone A, B, and C were 2.26±0.02µg/m<sup>3</sup>, 2.25±0.02 µg/m<sup>3</sup> and 2.23±0.01µg/m<sup>3</sup>, and the wet season PM<sub>4</sub> mean concentrations were 0.05±0.02 µg/m<sup>3</sup>, 0.03±0.01µg/m<sup>3</sup> and 0.03±0.02µg/m<sup>3</sup>, respectively.

Both indoor and outdoor wet season mean PM<sub>4</sub> mass concentrations ranged from 0.02±0.01 µg/m<sup>3</sup> to 0.05±0.02 µg/m<sup>3</sup>. The wet season indoor mean PM<sub>4</sub> concentration in zone A and C were higher than the outdoor's PM<sub>4</sub> mass concentration. However, the pariwise comparison for PM<sub>4</sub> concentration in zone A and C revealed no statistically significant difference. This suggest that the primary source contribution of PM is from indoor activities.

The dry season mean PM<sub>4</sub> mass concentrations across all zones were higher than the wet season PM<sub>4</sub> mass concentrations. However, the pairwise comparison in both season revealed no statistically significant difference at 95% confidence interval. This suggests that the mine tailings dumps were the primary source of PM.

The indoor PM<sub>4</sub> mass concentrations in both seasons were higher than the outdoor PM<sub>4</sub> mass concentrations. However, the pairwise comparison in both indoor and outdoor showed no stitistacally signifance difference at 95% confidence interval. This suggests that the source contribution to higher indoor PM<sub>4</sub> mass concentrations origanted from human activities such as surface dust resuspension during dry sweeping and other indoor activies (38).

The mean indoor and outdoor percentage of crystalline silica in both seasons ranged from 0.02±0.00% to 0.08±0.01%, with lowest percentage of RCS in comparison to the finding from a study by *Davies et al* (34) , which has found the mean percentage RCS that ranged from 0.20 to 2.6% (34)

Studies that focus on investigation of PM<sub>4</sub> I/O ratio in residents located near PM emitters are limited. However, the dry season mean I/O PM<sub>4</sub> ratio were ≥1 across all zones. The pairwise

comparison for both dry and wet season revealed no statistically significant difference ( $p < 0.05$ ) at 95% confidence interval. Similar study that focused on  $PM_{2.5}$  indoor/outdoor ratio by *Mbazima et al (2021)* has also found no statistically significant difference at 95% confidence interval (48). The dry season higher  $PM_4$  levels are associated with strong wind conditions.

The wet season I/O  $PM_4$  ratio in zone A and B were greater than one, with I/O ratio in zone C of less than one. However, the pairwise comparison for zone A, B and C revealed no statistically significant. This suggests that the source of  $PM_4$  mass concentrations were influenced by both indoor and outdoor PM emitters. The infiltration of outdoor PM into the indoor environment space depends on the characteristics of the building integrity and structure (49). Studies by *Zhang et al (49)*, *Martins et al (50)*, and *Ly et al (51)* found that, structures with building openings such as windows and doors tend to have a higher penetration of outdoor PM. The difference in outdoor  $PM_4$  mass concentrations across the three zones could be due to variation in the PM source, source strength, distance from the source, and different meteorological conditions (52).

The mean indoor and outdoor 24hr RCS concentrations in both seasons were below the 24hr ambient exposure level of  $3\mu g/m^3$  set by OEHHA, California EPA. Similar study by *Richards et al (39)*, has found the levels of environmental exposure to RCS below OEHHA ambient threshold limit of  $3\mu g/m^3$  (40).

## 5.2. CONCLUSION

The aim of the study was to determine the concentrations of RCS and  $PM_4$ , in samples collected indoor and outdoor of 9 selected households located in close proximity to gold mines tailings dumps, in Johannesburg. In the South African context, studies that focus on investigation of indoor and outdoor  $PM_4$  concentration in households located in close proximity to gold mine tailings are limited. Further research studies focusing on  $PM_4$  source tracking in communities located in close proximity to gold mine tailings dumps using different sampling methodologies are needed. Therefore, the findings of this study can be used to provide valuable information on the indoor and outdoor  $PM_4$  concentrations, which can be used in modelling exposure and conducting a probabilistic health risk assessment. High  $PM_4$  levels are related to dry season weather conditions due to strong wind conditions. Therefore, the  $PM_4$  mass concentrations in all zones were higher during the dry season than during wet season. Since the mean outdoor  $PM_4$  concentration in zone C was the lowest, this suggests that the mine tailings dumps were the primary source of PM.

### **5.3. STRENGTHS AND LIMITATIONS**

To the best of my knowledge, this is the first study to investigate indoor and outdoor exposure to PM<sub>4</sub> in a residential area located in close proximity to gold mine tailings dumps in South Africa. The study also considered the difference in exposure to PM<sub>4</sub> during the dry and wet season. PM<sub>4</sub> samples were collected at indoor and outdoor environmental spaces of the selected households in close proximity to mine tailings.

The air exchange rates was not included in this study, and that may have played a significant role in determining outdoor source pollutant infiltration and deposition rates in the indoor space. This study did not consider the selection of a control site. However, the focus was on the exposure site and zoning of sample area based on grid zones.

This study did not use a weather monitoring station to obtain the meteorological data during data collection. The meteorological conditions are important for the determination of the pollutant's transformation, fate, transportation, and dispersion, and is useful for ambient pollutants modelling. However, due to this limitation, the PM<sub>4</sub> concentrations were not correlated with meteorological data, such as wind direction, wind speed, temperature, and humidity.

The study also took into consideration the dust emitted from outdoor activities such as nearby road, children playing outside, unpaved open spaces, and other community activities, which may have influenced the outdoor results. Although every effort was made to sample within the set weather parameters, the results are representative of conditions on the days of sampling. Ambient dust concentrations depend highly on ambient environmental conditions, such as air temperature, relative humidity, and wind conditions (speed and direction). The samples taken were therefore a reflection of the specific conditions prevailed at the time of sampling. None of the PM<sub>4</sub> samples contained quantifiable concentrations of crystalline silica.

### **5.4. RECOMMENDATIONS**

The results of this study suggest that nearby mine tailings dumps may be the primary source of PM in the indoor and outdoor environments; however the strength of this source in comparison to other sources remain unknow. Therefore, it is recommended that further studies focusing on source apportionment be carried out to determine the relative contribution of the mine tailings dust to the overall PM load in the environment. Although the difference was not statistically significant, indoor and outdoor PM<sub>4</sub> concentrations were greater in Zones A&B, with the lowest PM<sub>4</sub> concentrations in Zone C. The I/O ratio indicated that there was contribution of PM from

outdoor sources. It is also recommended that further studies be conducted, with focus on monitoring PM<sub>4</sub> over a 30 days period, to determine the level of free crystalline silica that may be present in PM<sub>4</sub> mass concentrations.

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## APPENDICES

### APPENDIX 1: PLAGIARISM DECLARATION REPORT



#### PLAGIARISM DECLARATION TO BE SIGNED BY ALL HIGHER DEGREE STUDENTS

SENATE PLAGIARISM POLICY: APPENDIX ONE

I Nkateko Makhubele (Student number: 2331391) am a student registered for the degree of MSc Medicine (Exposure Science) in the academic year 2021.

I hereby declare the following:

- I am aware that plagiarism (the use of someone else's work without their permission and/or without acknowledging the original source) is wrong.
- I confirm that the work submitted for assessment for the above degree is my own unaided work except where I have explicitly indicated otherwise.
- I have followed the required conventions in referencing the thoughts and ideas of others.
- I understand that the University of the Witwatersrand may take disciplinary action against me if there is a belief that this is not my own unaided work or that I have failed to acknowledge the source of the ideas or words in my writing.
- I have included as an appendix a report from "Turnitin" (or other approved plagiarism detection) software indicating the level of plagiarism in my research document.

Signature: 

Date: 2023/03/28

## APPENDIX 2: ETHICS CERTIFICATE



### Human Research Ethics Committee (Medical)

Research Office Secretariat:  
Faculty of Health Sciences, Philip Tobias Health Sciences Building, 3<sup>rd</sup> Floor, Office 301/2/4, 29 Princess of Wales Terrace, Parktown, 2193  
Private Bag 3, Wits 2050  
Office email: [HREC-MedicalResearchOffice@wits.ac.za](mailto:HREC-MedicalResearchOffice@wits.ac.za)  
Website: [www.wits.ac.za/research/about-our-research/ethics-and-research-integrity/](http://www.wits.ac.za/research/about-our-research/ethics-and-research-integrity/)

Ref: W-CBP-220120-01

20/01/2022

#### TO WHOM IT MAY CONCERN:

**Waiver:** This certifies that the following research does not require clearance from the Human Research Ethics Committee (Medical)

**Investigator:** Mr Nkateko Makhubele

**Supervisor:** Dr Masilu Daniel Masekameni

**School:** Public Health

**Project title:** Indoor/ outdoor PM<sub>4</sub> (respirable dust) and respirable crystalline silica source tracking in households located in close proximity to gold mine tailing dumps

**Reason:** Review of information in the public domain. No human participants will be involved in the study.

Dr CB Penny

Chairperson: Human Research Ethics Committee (Medical)

Copy – HREC (Medical) Secretariat: Ms Zanele Ndlovu, Ms Mapula Ramaila and Mr Rhulani Mkansi

## APENDIX 3: TURNITIN REPORT



Masekameni MD

2331391 Research Report NR Makhubele-2-2-1.docx 20230330

### ORIGINALITY REPORT

<b>10</b> %	<b>7</b> %	<b>9</b> %	<b>1</b> %
SIMILARITY INDEX	INTERNET SOURCES	PUBLICATIONS	STUDENT PAPERS

### PRIMARY SOURCES

<b>1</b>	<a href="http://www.mdpi.com">www.mdpi.com</a> Internet Source	<b>3</b> %
<b>2</b>	<a href="http://www.ncbi.nlm.nih.gov">www.ncbi.nlm.nih.gov</a> Internet Source	<b>1</b> %
<b>3</b>	<a href="http://financedocbox.com">financedocbox.com</a> Internet Source	<b>&lt;1</b> %
<b>4</b>	&NA; "ISEE 20th Annual Conference, Pasadena, California, October 12-16, 2008", <i>Epidemiology</i> , 11/2008 Publication	<b>&lt;1</b> %
<b>5</b>	Alshitawi, Mohammed, Hazim Awbi, and Norhayati Mahyuddin. "Particulate Matter Mass Concentration (PM10) under Different Ventilation Methods in Classrooms", <i>International Journal of Ventilation</i> , 2009. Publication	<b>&lt;1</b> %
<b>6</b>	<a href="http://mdpi-res.com">mdpi-res.com</a> Internet Source	<b>&lt;1</b> %

# APPENDIX 4: NIOH ADOPTED SAMPLING SHEET

## Occupational Hygiene Department Environmental Air Sampling Sheet

Company name \_\_\_\_\_  
Pump ID \_\_\_\_\_ Sample ID \_\_\_\_\_

Report no: \_\_\_\_\_

### Calibration

Pre sampling calibration				Calibrator ID _____			Post sampling verification					Comments			
Done by	Date	Leak Y/N	Target flow rate (l/m)	Flow rate (l/m)			Average	Done by	Date	Leak Y/N	Flow rate (l/m)			Average	
				1	2	3					1		2		3

### Sampling

Date \_\_\_\_\_ Sample location \_\_\_\_\_

Start time:		End time:			Duration of sample:	
Start of sampling	Wind speed (m/s)	Direction	Temp. (°C)	Humidity %	Comments	
End of sampling						
Average						
Observations						

Sample taken by \_\_\_\_\_

Data transfer to the report checked by \_\_\_\_\_

NIOH OCC 008

VERSION002

07-11-2014

# APPENDIX 5: PRIMARY FLOW CALIBRATOR CERTIFICATE

**Technology Solutions**  
Measurement Science Laboratory



## Certificate of Calibration

ANSI National Accreditation Board (ANAB) is a member of the International Laboratory Accreditation Cooperation (ILAC) Mutual Recognition Agreement (MRA). This arrangement allows for the mutual recognition of technical test and calibration data by the member accreditation bodies worldwide. For more information on the arrangement please consult [www.ilac.org](http://www.ilac.org). The accuracies of all measurements were traceable to the SI (International System of Units) through NIST, NMISA, PTB or International Measuring Standards, unless otherwise noted. The uncertainties of measurement were estimated for a coverage factor of  $k=2$  which approximates to a 95% confidence level.



Certificate No	L83063	As Found/As Left	Rev 0	<p><b>American Standard Calibration Laboratory</b> Measurement Science Laboratory</p>  
Manufacturer	Sensidyne			
Description	Primary Flow Calibrator; Bubble Generator Standard Flow; Standard Flow Cell; Sensor Block Std Flow			
Model No	Gillan Gilibrator 2; 850190-1; 800286; 800266-1; 800289-1			
Serial No	0609056; 1601012-S			
Plant No	069904; LAB 81; LAB 209			
Calibrated for	National Health Laboratory Services - NIOH			
Address	25 Hospital Street, Hillbrow, Braamfontein, 2000			
Temperature	(23.5 ± 2) °C			
Relative humidity	(53 ± 5) %rh			
Date of calibration	04 January 2022			
Expiry date	04 January 2023	Issue Date	04 January 2022	
Calibrated by	 <small>Digitally signed by Pieter W Botha Date: 2022.01.04 11:48:01 +0200</small>			

This certificate is issued without alteration, and in accordance with the conditions of accreditation granted by ANAB. Copyright of this certificate is owned by Technology Solutions & American Standard Calibration Laboratory and may not be reproduced other than in full, except with the prior written approval. It is a correct record of the measurements performed at the time of calibration. Subsequently the accuracy will depend on factors such as care exercised in handling the instrument and frequency of use. Recalibration should be



## APPENDIX : DRY SEASON LABORATORY TEST REPORT

Laboratory ID	Client ID	Comments	
OH1074		<0,007	<0,005
OH1080		0,204	<0,005
OH1081		0,099	<0,005
OH1084		0,062	<0,005
OH1087		0,137	<0,005
OH1090		0,111	<0,005
OH1091		0,052	<0,005
OH1092		0,096	<0,005
OH1098		0,082	<0,005
OH1157		0,133	<0,005
OH1159		0,159	<0,005
OH1161		0,065	<0,005
OH1162		0,088	<0,005
OH1163		0,211	<0,005
OH1164		0,090	<0,005
OH1172		0,201	<0,005
OH1173		0,264	<0,005
OH1192		0,103	<0,005
OH1193		0,076	<0,005





<p>These results relate to the samples tested. The laboratory was not responsible for sampling. This report must not be reproduced without the written approval of the NIOH.</p>	 Jonas Shai: Scientific Analyst	 Technical Manager
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 <b>NATIONAL INSTITUTE FOR OCCUPATIONAL HEALTH</b> Division of the National Health Laboratory Service	<b>CERTIFICATE OF ANALYSIS</b>  XRD Laboratory Occupational Hygiene Section 25 Hospital Street, Hillbrow 2001 Tel:+27(0)11 712 6421/6547
Email: Jonassh@nioh.ac.za	
<b>Report No:</b> OH-2022-007 <b>Client Reference No:</b> OH24/22 <b>Client Name:</b> NIOH-OH <b>Address:</b> 25 Hospital Street <b>Phone:</b> 011 712 6588 <b>Contact Name:</b> Nkateko Makhubele <b>email:</b> nkatekom@nioh.ac.za <b>PO No:</b> N/A	<b>Sample Description:</b> 25 mm PVC filters <b>Sample condition:</b> Good  <b>No. of Samples:</b> 19 <b>Date received:</b> 10-08-2022 <b>Date of Analysis:</b> 12-08-2022 GW and 15-08-2022 XRD <b>Date of Report:</b> 23-08-2022
<b>Analysis:</b> Gravimetric Weighing      RCS by XRD <b>Unit:</b> mg/filter      mg/filter <b>Method:</b> MDHS 14/4      MDHS101/2 <b>LOD:</b> 0,007      0,005 <b>Uncertainty:</b> 0,27%      2.15%	

## APPENDIX 7: WET SEASON LABORATORY TEST REPORT

Laboratory ID		Client ID	Comments
OH1343		0,007	<0,005
OH1344		0,061	<0,005
OH1345		0,073	<0,005
OH1346		0,089	<0,005
OH1347		0,074	<0,005
OH1348		0,092	<0,005
OH1349		0,108	<0,005
OH1350		0,069	<0,005
OH1351		0,115	<0,005
OH1352		0,143	<0,005
OH1353		0,081	<0,005
OH1354		0,215	<0,005
OH1355		0,103	<0,005
OH1392		0,087	<0,005
OH1393		0,179	<0,005
OH1394		0,059	<0,005
OH1395		0,090	0,005
OH1396		0,057	<0,005
OH1398		0,065	<0,005

 <b>NATIONAL INSTITUTE FOR OCCUPATIONAL HEALTH</b> Division of the National Health Laboratory Service		<b>CERTIFICATE OF ANALYSIS</b> 	
Email: Jonassh@nich.ac.za Report No: OH-2022-011 Client Reference No: OH24/22 Client Name: NIOH-OH Address: 25 Hospital Street, Hillbrow, 2001 Phone: 011 712 6588 Contact Name: Nkateko Makhubele email: makhubelem@nich.ac.za PO No: N/A		XRD Laboratory Occupational Hygiene Section 25 Hospital Street, Hillbrow 2001 Tel:+27(0)11 712 6421/6547 Sample Description: 25mm PVC filters in cassettes Sample condition: Good No. of Samples: 19 Date received: 16-11-2022 Date of Analysis: 18-11-2022 GW & 22-11-2022 XRD Date of Report: 24-11-2022	
Analysis: Gravimetric Weighing Unit: mg/filter Method: MDHS 14/4 LOD: 0,007 Uncertainty: 0,27%		RCS by XRD mg/filter MDHS101/2 0,005 2,15% XRD	
These results relate to the samples tested. The laboratory was not responsible for sampling. This report must not be reproduced without the written approval of the NIOH.		 Jonas Shai: Scientific Analyst	 Technical Manager