

***Anti-Streptococcus mutans* property of *Uvaria chamae*, and its
anticariogenicity**

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Degree of Master of Science in Medicine by research only

Dissertation submitted to the Faculty of Health Sciences, University of the
Witwatersrand, Johannesburg, in fulfillment of the requirements for the degree of
the Master of Science in Medicine

Johannesburg, 2022

DECLARATION

I, Madiba Mukonazwothe declare that this dissertation is my own. It is being submitted for the Degree of Master of Science in Medicine at the University of the Witwatersrand, Johannesburg. It has not been submitted before for any degree or examination at any other University and has been complemented by referenced works duly acknowledged.

I further declare that this dissertation has the approval by The Human Research Ethics Committee, University of The Witwatersrand. Ethical waiver (W-CBP-200529-03)

m.madiba

Signature of candidate

12/04/2022

Date

DEDICATION

I dedicate this dissertation to my family. Thank you for investing in my future. Your words of encouragement will forever be remembered.

PUBLICATIONS AND PRESENTATIONS

Poster presentation

Anti-*Streptococcus mutans* property of *Uvaria chamae*, and its anticariogenicity. The International Association for Dental Research (IADR) South African Division, 51st Scientific meeting, Cape town (online), 20-21 October 2021

Oral presentation

Anti-*Streptococcus mutans* property of *Uvaria chamae*, and its anticariogenicity. The International Association for Dental Research (IADR), South African Division, 51st Scientific meeting, Cape town (online), 20-21 October 2021

ACKNOWLEDGMENT

This dissertation is a result of the combined efforts of many people and institutions who made invaluable contributions to make sure it was a success. I wish to express my sincere gratitude to my supervisors Prof. M Patel and Dr. ZM Gulube. Thank you for your commitment in guiding me through the journey of completing this dissertation. I will forever be grateful for your constant supervision, constructive criticism, expert guidance, and enthusiastic encouragement to pursue new ideas and never-ending inspiration. I would also like to express my gratitude to Dr. MP Ngoepe for assistance with the statistical analysis of the results and Dr. MD Marimani for assistance with the RT-qPCR experiment. To Sibongile Nciki, thank you for all the assistance and support provided during my study. I would also like to acknowledge my colleagues in the Department of Oral Biological Sciences and Clinical Microbiology and Infectious Diseases for the fruitful atmosphere you accorded me with. I would also like to appreciate my sponsor from the Council of Scientific and Industrial Research (CSIR) for financial support.

ABSTRACT

Introduction

Dental caries is the most prevalent oral infection. *Streptococcus mutans* is a major cause of dental caries in humans. These bacteria form biofilms and produce acids and extracellular polysaccharides which contribute to the development of dental caries. Many oral hygiene products containing antimicrobial chemicals have been used to prevent dental caries. In recent years, medicinal plants have been researched for their beneficial properties in the prevention of dental caries. *Uvaria chamae* have been used to treat various infections. It has proven antiparasitic, antiplasmodial, antidiabetic, antimicrobial, and antioxidant properties. Although the anti-*S. mutans* activity of this plant has been reported, its effect on the virulence properties has not been studied. Therefore, this study aimed to investigate the antimicrobial activity of *U. chamae* roots extracts on *S. mutans* virulence factors.

Methods and materials

Stock cultures of *S. mutans* were obtained from the Oral microbiology laboratory, the University of the Witwatersrand, and the plant extracts were provided by Dr. Ogunyemi Olajide Oderinlo from Nigeria. The plant extracts were prepared using methanol, dichloromethane, hexane, ethanol, and methanol: water. Minimum Inhibitory Concentration (MIC) and Minimum Bactericidal Concentration (MBC) were determined using the microdilution technique. Based on the MIC, the solvent with the best results was selected and three different concentrations were evaluated for their effect on biofilm formation, acid, and extracellular polysaccharides production in *S. mutans*. The effect of the plant extract on the expression of virulence genes (*gtfB*, *gtfC*, *spaP*, *IDH*, *atpD*, *vicR*, *brpA*, and *gpbB*) was also investigated using RT-qPCR. The results were analyzed using the one-way ANOVA and Wilcoxon Rank Sum Test.

Results

The mean MIC of *U. chamae* roots extracts against *S. mutans* ranged between 0.02 and 1.25 mg/ml and the MBC ranged between 0.04 and 1.25 mg/ml. The dichloromethane plant extract showed the best antibacterial activity against all the five cariogenic *S. mutans* strains with an average MIC and MBC of 0.02 and 0.04 mg/ml respectively and was used in the subsequent experiments such as the biofilm, acid, EPS, and RT-qPCR assay. At 6 hours, exposure to 0.005, 0.01, and 0.02 mg/ml of the plant extract reduced biofilm formation by 39.70, 59.17, and 76.82 % respectively. At 24 hours, the percentage reduction of the biofilm counts significantly improved up to 91 %. Not much difference in the test results was observed between 24 and 30 hours. The plant extract also significantly inhibited acid production ($p < 0.01$). The roots extract did not inhibit the production of soluble and insoluble extracellular polysaccharides. Furthermore, a significant decline in the transcription of virulence genes (*gbpB*, *vicR*, *brpA*, *spaP*, *gtfB*, *gtfC*, *atpD*, and *IDH*) was observed in the presence of the plant extract.

Conclusion

The dichloromethane extracts showed the best antibacterial activity. At subinhibitory concentrations, this plant extract significantly inhibited biofilm formation, acid production, and virulent gene expression by *S. mutans*. Therefore, this suggests that *U. chamae* has the potential to control and prevent dental caries.

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LIST OF ABBREVIATIONS AND ACRONYMS

| | |
|-----------------|--|
| μ l | Microliters |
| ABS | ATP-binding cassette |
| <i>brpA</i> | Biofilm regulatory protein |
| CDC | Centre for disease control and prevention |
| cDNA | Complementary DNA |
| CFU | Colony Forming Unit |
| CHX | Chlorohexidine |
| CO ₂ | Carbon dioxide |
| DCM | Dichloromethane |
| DNA | Deoxyribonucleic acid |
| ECM | Extracellular matrix |
| eDNA | Extracellular DNA |
| EPS | Extracellular polysaccharides |
| F-ATPase | ATP synthase |
| Fruct-6-P | Fructose-Phosphate |
| <i>gbpA</i> | Glucan binding protein A |
| <i>gbpD</i> | Glucan binding protein D |
| GlcN-6-P | Glucosamine-6-phosphate Glucosyltransferase B |
| <i>gtfB</i> | Glucosyltransferase B |
| <i>gtfC</i> | Glucosyltransferase C |
| <i>gtfD</i> | Glucosyltransferase D |
| Hrs | hours |
| ICDAS | International Caries Detection and Assessment System |
| INT | Iodonitrotetrazolium chloride |
| IPS | Intracellular polysaccharides |
| LDH | Lactate dehydrogenase |
| LTA | Lipoteichoic acid |

| | |
|------------------|-------------------------------------|
| MBC | Minimum Bactericidal Concentration |
| mg | Milligrams |
| mg/ml | Milligrams per milliliter |
| MIC | Minimum Inhibitory Concentration |
| mins | Minutes |
| mL | Milliliter |
| nm | Nanometers |
| OD | Optical density |
| PBS | Phosphate Buffered Saline |
| QS | Quorum sensing |
| RNA | Ribonucleic acid |
| RT-qPCR | Real-Time Polymerase Chain Reaction |
| <i>S. mutans</i> | <i>Streptococcus mutans</i> |
| spp | Species |
| TB | Tryptone Broth |
| TSB | Tryptone Soy Broth |
| <i>U. chamae</i> | <i>Uvaria chamae</i> |

Chapter 1: Introduction and literature review

Introduction

The human oral cavity harbour up to 700 different types of commensal bacteria, and only a few cause infections such as dental caries (Abid et al., 2015). Dental caries is the most common chronic infectious disease in the oral cavity and the most prevalent bacterial infection affecting all age groups (Yactayo-Alburquerque et al., 2021). In South Africa, 60% of 6 years old have had dental caries (Bhayat and Chikte, 2019). Factors such as the inadequate ratio of dentists per 1,000 population, cost of service, lack of oral health service providers due to geographic isolation, and shortage of healthcare providers play an important role in delayed diagnosis and compromised health status (Tiwari et al., 2021).

Dental caries is caused by the oral bacteria *Streptococcus mutans* which ferments dietary carbohydrates and produces organic acids that demineralize the enamel of the tooth (Culp et al., 2021). This bacterium alters the ecology of dental plaque primarily due to its acidic metabolic products. In addition, *S. mutans* may express certain virulence factors to maintain their ecological niche in the oral cavity (Li et al., 2016). These bacteria form biofilms and produce extracellular polysaccharides which also contribute to the development of caries. Although dental caries is not a life-threatening infection, it has become a major financial burden to the public health system due to its costly and ongoing treatment, which consumes between 5 to 10 % of the health budget in developed countries (Ngabaza et al., 2018; Lee et al., 2019).

The prevention of dental caries is based on reducing oral bacteria, maintaining an alkaline environment, and providing appropriate dietary advice (Jacob and Nivedhitha, 2018). Antibacterial agents are recommended for the prevention of dental caries. Chlorhexidine (CHX) is an antibacterial compound against most bacterial species found in the oral cavity. However, there is controversy on the use of chlorhexidine for caries prevention due to common side effects such as irritation of the mucosa, tooth staining, taste alteration, and formation of calculus on the tooth surfaces (Moghadam et al., 2020). Fluoride is widely used as a highly effective anticaries

agent (Villa et al., 2018) because it promotes caries lesion remineralization and inhibits demineralization of tooth surfaces subjected to organic acids (Almohefer et al., 2018).

In contrast, natural products have been proven to be safe and they contain bioactive compounds with potential therapeutic applications in dentistry (Jacob and Nivedhitha, 2018). *Uvaria chamae* have been used throughout the world to treat infections. This plant synthesizes various secondary metabolites from its root, stem, leaf, and fruit which has beneficial medicinal properties (Abu et al., 2018). It has proven antiparasitic, antiplasmodial, antidiabetic, antimicrobial, and antioxidant activity. Although the anti-*S. mutans* activity of this plant has been reported, its effect on the virulence properties has not been studied.

Therefore, this study aimed to investigate the antimicrobial effect of *U. chamae* roots extracts on acid production, biofilm formation, extracellular polysaccharides production, and virulence gene expressions by *S. mutans*. Antibacterial activity was studied using a microdilution technique. Biofilm assay was performed using a glass slide technique. In the acid assay, sequential pH measurements were performed. Extracellular polysaccharides assay was performed using the phenol-sulphuric acid technique. The expression of virulence genes was quantified using Real-Time Polymerase Chain Reaction (RT-qPCR).

1. Literature review

1.1. Dental caries

Dental caries as shown in Figure 1.1a is a chronic bacterial disease that involves the destruction of tooth hard tissue structure. The term dental caries originates from the Latin word “caries”, which means decay (Rathee and Sapra, 2019). Untreated dental caries in permanent teeth affect 2.3 billion people, and it is the most prevalent non-fatal non-communicable disease worldwide (Chikte et al., 2020). Dental caries is caused by acid-producing bacteria e.g *Streptococcus mutans*, *Lactobacillus acidophilus*, *Actinomyces viscosus*, and *Nocardia spp* that ferment dietary carbohydrates in the dental plaque and produces organic acids such as lactic, acetic, formic, and propionic acids (Chu et al., 2016;Kabra et al., 2012). These acids have been reported to readily dissolve the enamel and

dentine of the teeth (Featherstone, 2008). Lifestyle behavioral factors such as poor oral hygiene, frequent consumption of refined carbohydrates, frequent use of oral medications that contain sugar, and inappropriate methods of feeding infants contribute to the risk of developing dental caries (Pitts et al., 2017a).

Figure 1.1b presents the first clinical sign of dental caries called the “white spot”. This is the first sign that can be seen with human eyes and as the disease progress into the enamel, they can be detected by radiographs (Featherstone, 2008). During the disease process, the organic substances on the tooth surfaces are broken down and demineralization of the calcified tissues of the tooth occurs (El Sherbiny, 2014). If caries progresses it can lead to cavitation, the condition can cause considerable pain and discomfort. Dental caries can also spread to the dental pulp and cause infection and ultimately sepsis and tooth loss (Peres et al., 2019). The progression of dental caries is determined by the equilibrium status between protective factors which are components of the saliva e.g carbonate (Ca^{2+}), phosphates, fluoride, protective proteins of the pellicle, saliva antibacterial components, and pathological factors (cariogenic bacteria, a dysfunction of the salivary glands, frequent consumption of carbohydrates). A preponderance of pathological factors results in the processes of demineralization and dental caries (Struzycka, 2014). Over time, this process leads to either caries lesions- or the repair and reversal of a lesion. Reversal of the lesions occurs through the process of remineralization, in which calcium, phosphate, and fluoride are incorporated in the areas damaged due to demineralization processes, resulting in a stronger, fluoridated mineral (Pitts et al., 2017a).



Figure 1.1 a) Carious lesions on different sites of teeth adapted from <https://image.shutterstock.com/z/stock-photo-neglected-teeth-cleaned-for-restoration-98850518.jpg> and b) patient with white spot lesions at the Bucco-cervical surfaces (Deveci et al., 2018).

1.2. Classification of dental caries

The oldest dental caries lesions classification is the G.V Blacks classification. The G.Vs Black classification was first introduced in the year 1908 (Macri and Chitlall, 2017). This classification was based on the type of tooth and the tooth surface where caries was more likely to begin e.g occlusal fissures, proximal contacts, and cervical areas. Based on these observations, he developed a classification of caries lesions dependent on the position of a lesion and prescribed a cavity design regardless of the size and extent of the lesion (Nagarajan and Anjaneyulu, 2019). Other epidemiologic studies have used the modified versions of Klein and colleagues, decayed, missing, and filled (DMF) method to measure the prevalence and severity of caries. The Decayed-Missing-Filled (DMF) was proposed by the World Health Organisation (WHO) and it is the most common method used in oral health epidemiology for assessing and measuring dental caries (Campus et al., 2019). This method records both current and past caries experiences for an individual or group by numerically counting affected teeth per individual collected at either tooth (DMFT) or tooth surface level (DMFS) (Tellez and Lim, 2020).

Other indexes were designed to describe additional stages of the caries (Young et al., 2015). The International Caries Detection and Assessment System (ICDAS) was developed to create a caries detection method that might be used universally to measure caries at different stages (Pradeep, 2020). This method assesses both the presence of caries lesions and their severity from initial and reversible states to cavitated lesions, and classify them in increasing severity codes from 0 to 6 (Coelho, 2020). In 2008, the American Dental Association (ADA) convened a group of experts and stakeholders to begin the development of a Caries Classification System (CCS) that would be useful in clinical practice while incorporating up-to-date scientific evidence (Campus et al., 2019). The ADA CCS scores each surface of the dentition based on the tooth surface, presence or absence of a caries lesion, anatomic site of origin, the severity of the change, and estimation of lesion activity. The ADA CCS uses categories such as sound, initial, moderate, advanced to score a tooth surface's clinical appearance. The initial, moderate, and advanced categories are each subdivided to account for variations in appearance- Figure 1.2.(Young et al., 2015).





















| American Dental Association Caries Classification System. | | | | | | | |
|---|---|---|---|--|--|--|--|
| AMERICAN DENTAL ASSOCIATION CARIES CLASSIFICATION SYSTEM | | | | | | | |
| | Sound | Initial | Moderate | Advanced | | | |
| Clinical Presentation | No clinically detectable lesion. Dental hard tissue appears normal in color, translucency, and gloss. | Earliest clinically detectable lesion compatible with mild demineralization. Lesion limited to enamel or to shallow demineralization of cementum/dentin. Mildest forms are detectable only after drying. When established and active, lesions may be white or brown and enamel has lost its normal gloss. | Visible signs of enamel breakdown or signs the dentin is moderately demineralized. | Enamel is fully cavitated and dentin is exposed. Dentin lesion is deeply/severely demineralized. | | | |
| Other Labels | No surface change or adequately restored | Visually noncavitated | Established, early cavitated, shallow cavitation, microcavitation | Spread/disseminated, late cavitated, deep cavitation | | | |
| Infected Dentin | None | Unlikely | Possible | Present | | | |
| Appearance of Occlusal Surfaces (Pit and Fissure)*† | ICDAS 0  | ICDAS 1  | ICDAS 2  | ICDAS 3  | ICDAS 4  | ICDAS 5  | ICDAS 6  |
| Accessible Smooth Surfaces, Including Cervical and Root‡ |  |  |  |  |  |  |  |
| Radiographic Presentation of the Approximal Surface§ |  E0¶ or R0* No radiolucency |  E1¶ or RA1*  E2¶ or RA2*  D1¶ or RA3* Radiolucency may extend to the dentinoenamel junction or outer one-third of the dentin. Note: radiographs are not reliable for mild occlusal lesions. |  D2¶ or RB4* Radiolucency extends into the middle one-third of the dentin |  D3¶ or RC5* Radiolucency extends into the inner one-third of the dentin | | | |
| <p>* Photographs of extracted teeth illustrate examples of pit-and-fissure caries. † The ICDAS notation system links the clinical visual appearance of occlusal caries lesions with the histologically determined degree of dentinal penetration using the evidence collated and published by the ICDAS Foundation over the last decade; ICDAS also has a menu of options, including 3 levels of caries lesion classification, radiographic scoring and an integrated, risk-based caries management system ICCMS. (Pitts NB, Ekstrand KR. International Caries Detection and Assessment System [ICDAS] and its International Caries Classification and Management System [ICCMS]: Methods for staging of the caries process and enabling dentists to manage caries. <i>Community Dent Oral Epidemiol</i> 2013;41 [1]:e41-e52. Pitts NB, Ismail AI, Martignon S, Ekstrand K, Douglas GAV, Longbottom C. ICCMS Guide for Practitioners and Educators. Available at: https://www.icdas.org/uploads/ICCMS-Guide_Full_Guide_US.pdf. Accessed April 13, 2015.) ‡ "Cervical and root" includes any smooth surface lesion above or below the anatomical crown that is accessible through direct visual/tactile examination. § Simulated radiographic images. ¶ E0-E3, D1-D3 notation system.³³</p> | | | | | | | |

Figure 1.2: Classification of dental caries according to the American Dental Association Classification System (Ng and Fida, 2016).

1.3. Microbiology of dental caries

Dental caries was believed to be caused by only a few Gram-positive bacterial species, such as *Streptococcus mutans*, *Streptococcus sobrinus*, and *Lactobacillus* spp. These findings were based on cultivation studies and the determination of the cariogenicity of these bacteria. This is termed the specific plaque hypothesis. Recent studies have shown that different population groups and individuals are susceptible to dental caries even when they have a low level of *S. mutans*, and vice versa. This led to the formulation of the ecological plaque hypothesis which was proposed by Phil Marsh with an attempt to explore dental caries etiology (Al-Shahrani, 2019). According to the "Ecological Plaque Hypothesis", the disease is caused by an imbalance in the total microflora due to ecological stress, resulting in an enrichment of oral pathogens (Rosier et al., 2014). Recently, the ecological hypothesis was extended and highlighted the role of the metabolic activity of the oral microbiota rather than its composition as the principal modulator of the environment (Nyvad and Takahashi, 2020). According to the extended ecological plaque hypothesis, changes in the local environment, e.g. frequent carbohydrate availability, assumedly favour certain representatives of the oral biofilm and thus lead to a distinct shift in the microbiota composition towards a higher proportion of acidogenic and acid-tolerant species (Anderson et al., 2018). Previous studies suggested that the main pathogenic bacteria in dental caries are *Streptococcus mutans* and *Lactobacillus* spp (Ahirwar et al., 2019a).

1.3.1. *Streptococcus mutans*

Streptococcus mutans is a Gram-positive bacterium with thick cell walls that are composed of a layer of peptidoglycan (murein) and teichoic acids. These thick walls are important in preventing osmotic lysis of the cell protoplast and they provide the rigidity needed to maintain the shape of the cell (Ramawat and Mérillon, 2015). *S. mutans* are commonly found in the human oral cavity and contribute significantly to tooth decay (David et al., 2011). These bacteria generally appear as pairs or chains: spherical under a light microscope (Hardie and Whiley, 1997). Their diagram is presented in Figure 1.3. On Mitis Salivarius Agar (MSA), they grow as highly convex colonies. Unlike other oral streptococci, majority of *S. mutans* strains can grow on a selective media, Mitis Salivarius agar containing 20% sucrose and 0.2% units/ml of bacitracin (Rajendran, 2009).

Streptococcus mutans was first isolated from human cavities and received the name *S. mutans* because Clarke believed that it was a mutant version of *Streptococcus* (Clarke, 1924). The mutans streptococci comprise a group of seven species, of which *S. mutans* and *S. sobrinus* are the predominant species isolated from human saliva and dental plaque (Banas, 2004a). This bacterium is first acquired by infants soon after their first tooth emerges and mothers are considered to be a major source since identical genotypic profiles of the isolated strains are shared between mother and child (Matsui and Cvitkovitch, 2010). In some cases, *S. mutans* can coexist with *S. sobrinus*, and their collaboration leads to various oral clinical conditions (Ranganathan and Akhila, 2019a).

Strains of *S. mutans* are classified into four different serological groups, which are *c*, *e*, *f*, and *k*. This classification is based on the composition of the cell-surface rhamnose-glucose polysaccharide, which is composed of a backbone of rhamnose and side chains of *a*1,2 (serotype *c*), *b*1,2-(serotype *e*), and *a*1,3-(serotype *f*) glucosidic residues (Nakano et al., 2008). Serotype *c* accounts for approximately 70 to 80% of *S. mutans* strains in the oral cavity, followed by *e* (20%) and less than 5% of serotype *f* (Nakano et al., 2010). Up to date, the genome of only two serotypes of *S. mutans* are known. The genome analysis provides further insight into how *S. mutans* have adapted to surviving the oral environment through resource acquisition, defense against host factors, and the use of gene products that maintain its niche against microbial competitors. The recent completion of three *S. mutans* genome sequences (UA159, NN2025, and LJ23) indicates a large degree of diversity and genome rearrangement within the species (Al-Shahrani, 2019). Of the four *S. mutans* serotypes, serotype *c* is the most predominant oral isolate, with over 70% of strains isolated from dental plaque (Al-Shahrani, 2019). The cariogenic potential of *S. mutans* includes the ability to metabolize numerous dietary carbohydrates into organic acids, and survive under low pH environmental stress conditions. This bacterium also synthesizes extracellular polysaccharides polymers which promote bacterial adherence and accumulation on tooth surfaces (Garcia et al., 2021).

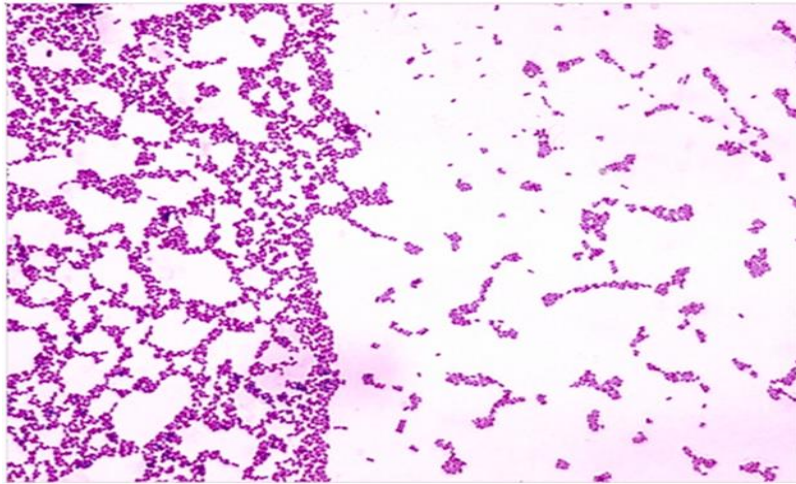


Figure 1.3: Microscopic depiction of *Streptococcus mutans* (Ranganathan and Akhila, 2019b).

1.4. Virulence factors of *Streptococcus mutans*

Streptococcus mutans possess various virulence factors that enable them to ferment numerous carbohydrates and produce organic acids. Unlike other oral plaque, *S. mutans* can accumulate in large numbers in the presence of sucrose (Alejandra and Daniel, 2020). These bacteria secrete glucosyltransferase on their cell wall, which allows the bacteria to produce polysaccharides from sucrose. The sticky, highly hydrated extracellular matrix created by dental plaque bacteria, largely comprised of glucans, which act as a glue that encourages plaque formation (Chen et al., 2016). Glucans mediate the attachment of bacteria to the tooth surface and other members of the oral biota and form biofilm (Argimón et al., 2013). Each of these virulence factors works coordinately to change dental plaque ecology. The ecological changes are characterized by increased proportions of *S. mutans* and other species that are similarly acidogenic and aciduric. The selection for a cariogenic flora increases the magnitude of the drop in pH following the fermentation of available carbohydrates and increases the probability of enamel demineralization (Banas, 2004b). The main virulence factors associated with cariogenicity include adhesion, biofilm formation, acidogenicity, acid tolerance, and extracellular polysaccharides production (Li et al., 2020).

1.4.1. Biofilm production

Biofilm is an organized aggregate of microorganisms living within an extracellular polymeric matrix that they produce and irreversibly attached to the living surface which will not be cleared unless rinsed quickly (Jamal et al., 2018). The formation of cariogenic biofilm is regulated by genes that are responsible for microbial adhesion and biofilm formation. The genes *gbpB*, *sacB* (*ftf*), and *vicR* are involved in sucrose-dependent adhesion, and *spaP* is involved in sucrose-independent adhesion. In addition to the genes, *S. mutans* encode several surface-associated glucan-binding proteins, *gbpA*, *gbpB*, *gbpC*, and *gbpD* (Gabe et al., 2019). The major role of the *gbpB* includes promoting bacterial adhesion, cell aggregation, and biofilm maturation process (Fujita et al., 2011). The gene *ftf* catalyzes the formation of fructans from sucrose. Fructan polymers serve as the storage of extracellular nutrients, which may be utilized during periods of nutrient deprivation. The *vicR* gene encodes a *vicR* response regulator, which is an essential part of VicRKX TCS. It is known to regulate a set of genes (*gtfB*, *gtfC*, *gtfD*, and *gbpB*) that code for the synthesis of glucan matrix, which is crucial for adhesion to a smooth tooth surface (Senadheera et al., 2005). *spaP* gene participates in bacterial adherence to teeth via interaction with the salivary pellicle (Lemos et al., 2019).

The formation of biofilm involves several stages as presented in Figure 1.4. In the beginning, salivary proteins are selectively adsorbed to the tooth enamel. This forms an initial layer of material called salivary pellicle, which acts as a substrate for the fixation of cariogenic bacteria such as *S. mutans*. The salivary pellicle is formed by salivary components namely proline-rich proteins, amylase, lysozyme, histatin, peroxidase, mucin, and bacterial components, e.g., *ftf*, *Gtf* and lipoteichoic acid (Krzyściak et al., 2014;Castro et al., 2006a). The second step is bacterial adhesion to the pellicle. Early colonizers such as oral streptococci and *Actinomyces spp* recognize the binding proteins i.e., α -amylase and proline-rich glycoproteins in the acquired pellicle and bind to the pellicle. The early attachments are primarily based on hydrogen bonds, hydrophobic interactions, calcium bridges, van der Waals forces, acid-base interactions, and electrostatic interactions. Chemical forces become predominant at a later stage (Huang et al., 2011).

Specific interactions between the pathogen and components of the salivary coating are mediated by the streptococcal antigen I/II protein. This polypeptide plays an important role in the binding activities, such as binding with the salivary glycoproteins, host cell receptors, and soluble extracellular matrix glycoproteins (Xu et al., 2007). Secondary colonizer species such

as *Prevotella intermedia*, *Prevotella loescheii*, *Capnocytophaga* spp, and *Fusobacterium nucleatum* adhere to the microbes that are already present in the dental plaque. After the adherence of the oral pathogen into the tooth surfaces, the dental biofilm undergoes maturation and numerous microbial interactions such as synergistic and antagonistic microbial interactions occur (Pitts et al., 2017a; Aruni et al., 2015).

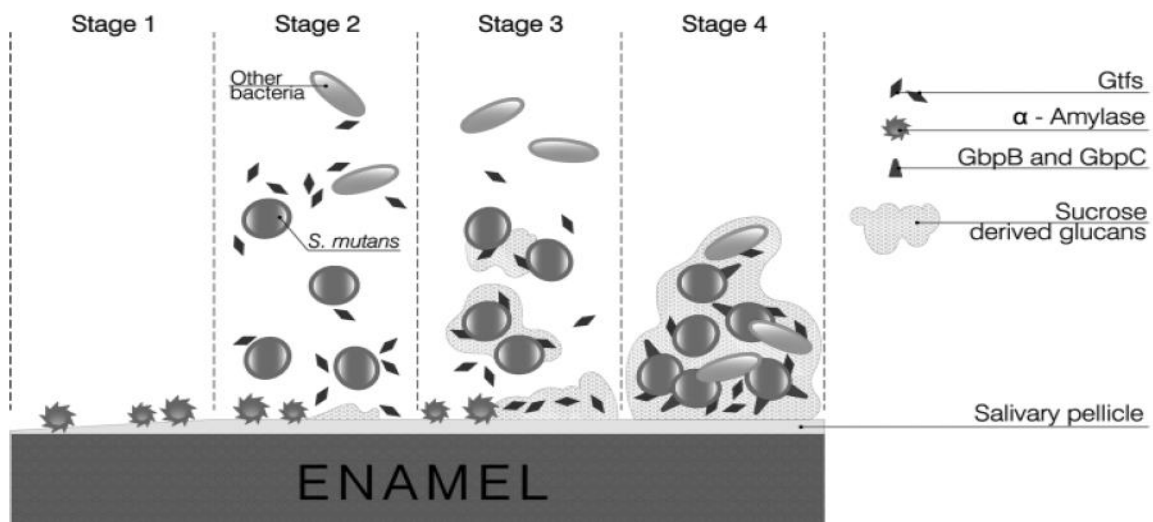


Figure 1.4: Schematic illustration of cariogenic biofilm formation in the presence of fermentable sugar (Kalesinskas et al., 2014).

1.4.2. Acid production

The production of organic acids such as lactic acid from dietary carbohydrates metabolism is another major virulence factor in *S. mutans*. Lactic acid is produced via the glycolysis pathway, a biochemical reaction that occurs in the cytoplasm of a cell (Gomes et al., 2018). This acid erodes the hydroxyapatite of dentin and enamel thereby leading to the initiation and progression of caries. When acid is released into the environment, it dissociates as anions and conjugates protons, thereby reducing the pH of the environment (Kianoush et al., 2014). These protons may also diffuse into the cytoplasm of a cell through bacterial membranes, thereby acidifying the cytoplasm (Quivey et al. 2001). As *S. mutans* carry out glycolysis at a pH lower than 4.0, the organism protects its acid-sensitive glycolytic enzymes by transporting the protons across the cell membrane through the membrane-associated F-ATPase (Matsui and Cvitkovitch, 2010). *S. mutans* is also capable of surviving at low pH and continue with metabolic activities.

This is termed aciduricity (Alejandra and Daniel, 2020). The genes *atpD*, *aguD*, *brpA*, and *relA* in *S. mutans* enable it to overcome an acidic environment (You, 2019). The gene *atpD* in *S. mutans* encodes the F1F0ATPase. F1F0-ATPase is a proton pump that discharges H⁺ from within the bacteria to the outside, to overcome acid stress and maintain acid tolerance, which may confer a selective advantage over other members of dental biofilm (Argimón and Caufield, 2011). The gene *relA* and *brpA* are involved in the formation of dental plaque, acid, and oxidative stress tolerance mechanisms (He et al., 2019). In addition, *aguD* encodes the agmatine deiminase system, which produces alkali by converting agmatine to carbon dioxide, ammonia, and putrescine, enabling it to overcome acid stress and maintain acid tolerance (Griswold et al. 2006).

During sucrose metabolism (Figure 1.5), sucrose is broken down into fructans and glucans by the action of fructosyltransferase and glucosyltransferase enzymes respectively. The enzyme DexA breaks glucans down the α 1, 6-linkage thereby yielding maltodextrans whereas fructans are degraded by the enzyme fructanase enzyme FruA to yield fructose which is used for energy production (Lemos et al., 2019). After being transported into the cell, oligosaccharides (e.g maltodextrans) are degraded into monosaccharides by the enzyme DexB glucosidase. The transport of oligosaccharides is primarily conducted by the activity of ATP binding cassette (ABC) transporters encoded in the genome of *S. mutans*. The ATP binding cassette (ABC) transporters include multiple sugar metabolism (MSM) and malXFGK transport systems. The predominant route for the uptake of mono- and disaccharides by *S. mutans* is the phosphoenolpyruvate: sugar phosphotransferase system (Moye et al., 2014). In the intracellular environment, carbohydrates are phosphorylated and processed to fructose-6-phosphate (Fru-6-P) and fermented by glycolysis with the production of organic acids, mainly lactic acid. Furthermore, glucosamine-6-phosphate (GlcN-6-P) is synthesized from Fru-6-P, which is a precursor for cell wall biosynthesis. When carbohydrates are abundant, cells can produce an intracellular polysaccharide (IPS), a glycogen-amylopectin polymer that can be stored as intracellular granules and is used as an energy source reserve during starvation (Lemos et al., 2019).

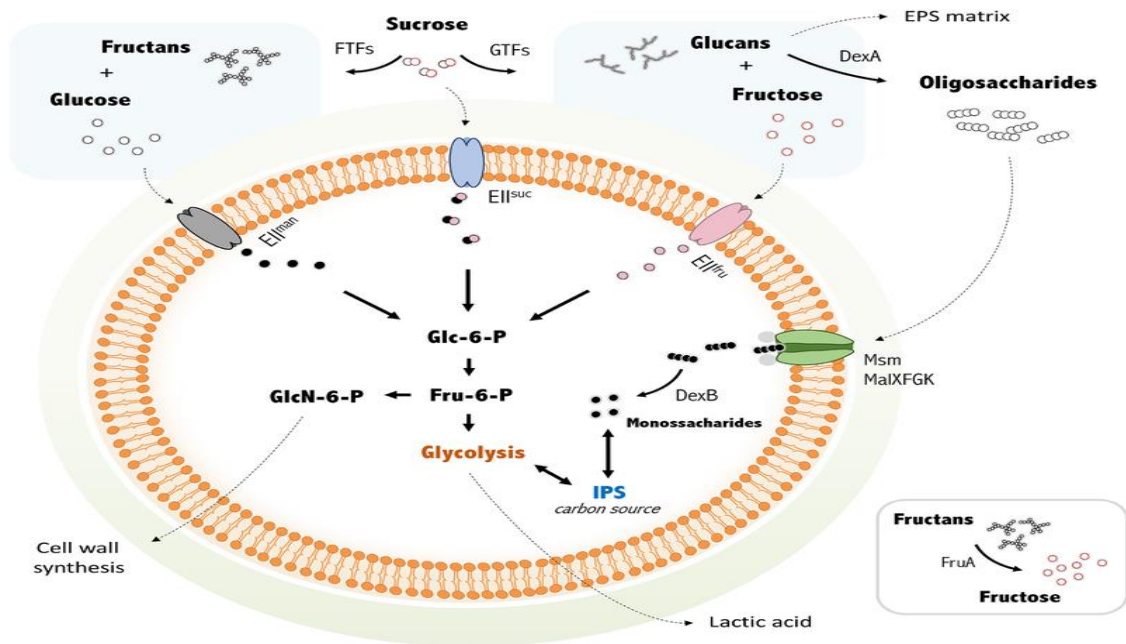


Figure 1.5: Schematic illustration of sucrose metabolism by *S. mutans* (Lemos et al., 2019).

1.4.3. Extracellular polysaccharides (EPS) production

Extracellular polysaccharides are high molecular weight sugar-based polymers that are synthesized and secreted by different microbes. These sugar-based polymers are a critical virulence factor in the production of biofilm formed in the presence of sucrose (Bowen and Koo, 2011). The composition of the polysaccharide matrix is shown in Figure 1.6 (Krzyściak et al., 2014). The production of EPS promotes the cariogenic potential of dental biofilms and their resistance to oral hygiene measures (Decker et al., 2014). Various genes are involved in the formation of extracellular polysaccharides. The genes *gtfs*, *gtfB*, *gtfC*, and *gtfD* encode glycosyltransferase (GTF) B, GTF C, and GTF D respectively whereas *ftf* encode fructosyltransferase (Shemesh et al., 2007). The gene *gtfB* synthesizes water-insoluble polysaccharides containing α 1,3-linked glucans, which contributes to the scaffolding of the EPS matrix and facilitates cell aggregation in stable biofilms. The gene *gtfC* catalyzes the synthesis of a mixture of water-insoluble and alkali-soluble glucan from sucrose, with both α -1,3 and α -1,6-linked glucans, which are required for plaque formation and structurally stable biofilms. GtfD forms a soluble polysaccharide that acts as a primer for GtfB (De et al., 2018). The gene *ftf* catalyzes the formation of fructans from sucrose. Fructan polymers serves as the

storage of extracellular nutrients, which may be utilized during periods of nutrient deprivation. In addition, EPS serves as a storage nutrient for the bacteria (Lemos et al., 2005).

Streptococcus mutans decomposes sucrose in the oral cavity into glucose and fructose using bacterial invertase and then synthesizes glucan by polymerizing glucose using the enzyme glycosyltransferase whereas fructosyltransferase synthesizes polysaccharides such as fructan by polymerizing fructose. The Gtfs secreted by *S. mutans* binds avidly to the pellicle formed and bacterial surfaces. In the presence of sucrose, glucans are formed in situ within minutes (Leme et al., 2006b). The exopolymers contribute to the bulk and physical stability of the biofilm matrix. The glucan-mediated processes promote tight adherence and coherence of bacterial cells bound to each other and to the apatitic surface, which leads to the formation of microcolonies and thereby modulates the initial steps of cariogenic biofilm development (Kooi et al., 2010). As the biofilm develops, the EPS formed in situ enmeshes and surrounds the microorganisms while forming an insoluble matrix facilitating the assembly of spatially heterogeneous yet cohesive 3D multicellular structures. The spatial heterogeneities shaped by EPS synthesis form a complex 3D matrix architecture and create environmental and protective niches within biofilms that can directly modulate caries pathogenesis (Klein et al., 2015).

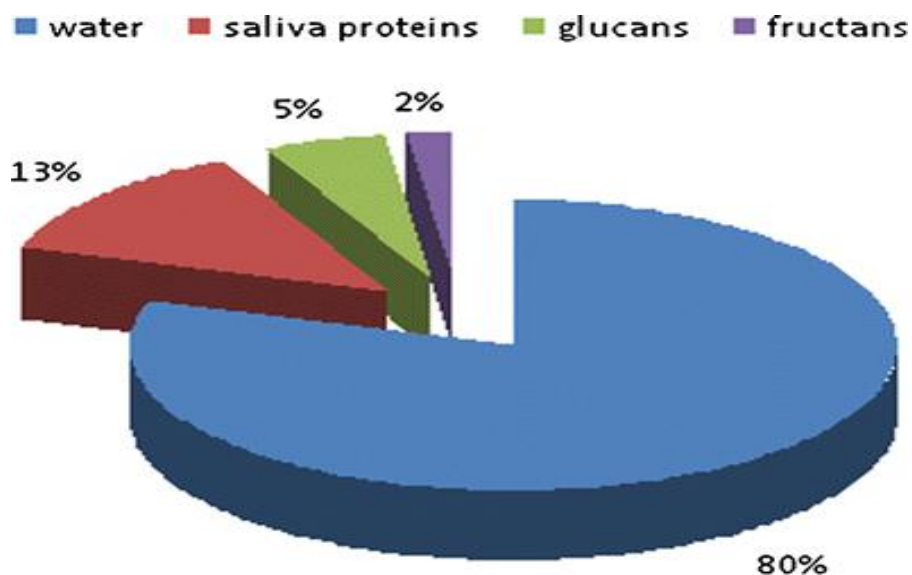


Figure 1.6: The percentage composition of polysaccharide matrix (Krzyściak et al., 2014)

1.5. *Lactobacillus spp.*

Lactobacillus spp. is considered the second most cariogenic bacteria of oral microflora and it plays a major role in the progression of caries. These bacteria are part of the normal flora of the oral cavity and they are present in a large number on the saliva, surfaces of mucous membranes, and tooth surfaces (Ahirwar et al., 2019b). The presence of lactobacilli in the oral cavity depends on the presence of ecological niches such as natural anfractuositities of the teeth, partly erupted third molars, or orthodontic devices (Badet and Thebaud, 2008). The main virulence property of *Lactobacillus spp.* includes adhesion, biofilm formation, and their ability to ferment glucose and produces lactic acid that demineralizes the enamel of the tooth. The dominant *Lactobacillus* species associated with the pathogenesis of dental caries are *Lactobacillus gassier*, *Lactobacillus fermentum*, and *Lactobacillus casei*. These bacteria use two types of metabolism methods including homo-fermentative and heterofermentative to produce lactic acid and acetic acid (Sachidananda and Mallya, 2020). *Lactobacillus spp.* carry out glycolysis at pH as low as 3. After colonizing the established dental plaque, the lactobacilli can further acidify the plaque and suppress the acid susceptible microorganism, further enriching acidogenic and aciduric bacteria (Salveti et al., 2012).

1.6. The role of diet in the occurrence of dental caries

Dental diet and nutrition play a crucial role in childhood caries (Tungare and Paranjpe, 2018). The consumption of fermentable carbohydrates such as sucrose, glucose, and starch have both local and systemic effects on dental caries. The local dietary effect is dependent on individual diet and it is influenced by factors such as overall dietary habits, biofilm composition, saliva, and fluoride (Hujoel and Lingström, 2017). Numerous studies conducted within different population groups have demonstrated the role of high intake of sugars and carbohydrates in the occurrence of dental caries (Palacios et al., 2016; Touger-Decker and Van Loveren, 2003; van Loveren, 2019). The World Health Organization (WHO) guideline recommends reducing the consumption of free sugars below 10% of the energy intake and below 5% of the total diet. Free sugars are defined as all monosaccharides and disaccharides added to foods by the manufacturer, cook, or consumer, and sugars naturally present in honey, syrups, fruit juices, and fruit juice concentrates (van Loveren, 2019). Figure 1.7 presents the changes in plaque pH following a sucrose rinse. This phenomenon creates a Stephan curve. After sucrose rinse, the plaque pH was reduced to less than 5.0. When the pH of the enamel is below the critical pH of

5.5, demineralization of the enamel occurs. The plaque pH stays below the critical pH for 15-20 and then returns to a normal pH of 6.5 after 40 min. In the presence of saliva, the enamel is remineralized when the plaque pH recovers to a level above the critical pH (Bilbilova, 2020).

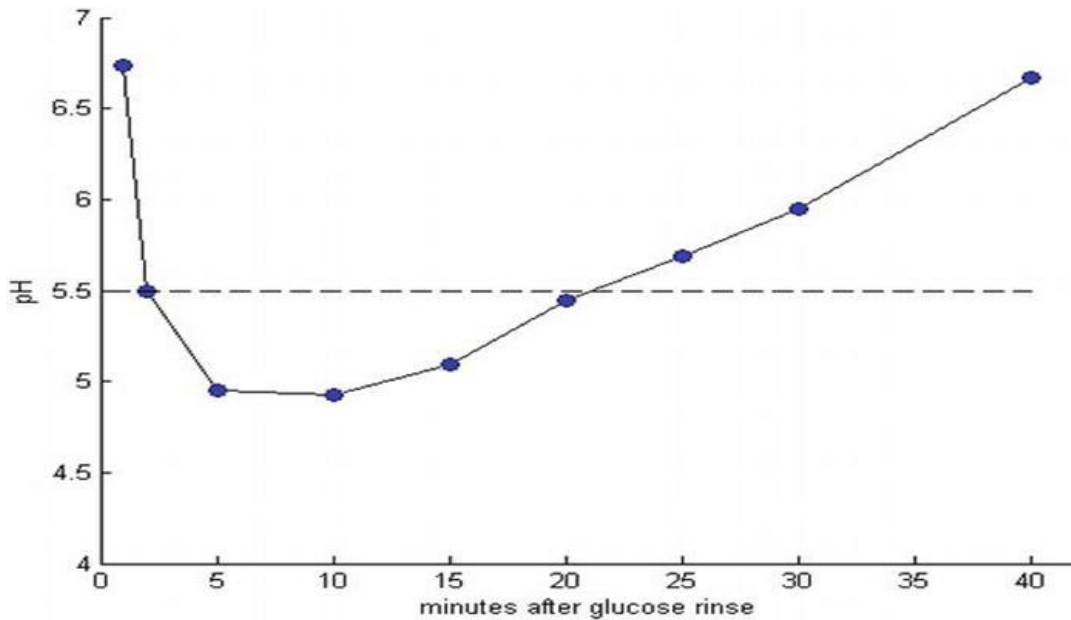


Figure 1.7: Stephan's curve illustrating the changes in plaque pH over time following a sucrose rinse (Bilbilova, 2020).

1.7. Role of saliva in the caries process

Saliva plays a significant role in maintaining oral health (Dodds et al., 2015). It contains water (99.5%), protein (0.3%), and inorganic and organic substances (0.2%). Organic constituents of the saliva include amylases, growth factors, peroxidases, lipases, lysozyme, kallikreins, mucins, cystatins, lactoferrin, hormones, and, whereas inorganic constituents include salt, potassium, calcium, magnesium, chlorides, and carbonates (Marsh et al., 2016; Kubala et al., 2018). The functions of the saliva in the mouth include antibacterial action, buffering properties, cleansing effect, and maintenance of saliva supersaturated in calcium phosphate. Importantly, saliva has been recognized as having the ability to reduce the incidence of dental caries due to its antimicrobial compounds such as immunoglobulins, lactoperoxidases, and lactoferrin which inhibit the growth of bacteria (Kertiasih and Artawa, 2015). The presence of calcium, phosphate, and fluoride within the saliva enhances the resistance of the tooth surfaces to a cariogenic attack, therefore decreasing the chances of demineralization and promoting remineralization of previously demineralized enamel and root surfaces (Hicks et al.,

2004;Stookey, 2008). Several salivary proteins attach to hydroxyapatite and help maintain saliva supersaturated state. This allows calcium and phosphate-containing mineral components in solution at saliva's resting pH (close to neutral) and prevents precipitation out of solution (Hicks et al., 2004). A dry mouth exposes the teeth to acidic challenges from food, drinks, and organic acids produced by acidogenic bacteria. When the pH in the oral cavity falls below the critical $\text{pH} \leq 5.5$, demineralization occurs. In a dry mouth, natural remineralization and protection may not occur. These may be due to a lack or inadequate salivary calcium and phosphate ions (Su et al., 2011).

1.8. Prevention of dental caries

Oral hygiene, healthy eating, increasing fluoride availability, and the placement of fissures and sealants are the four main principles to prevent dental caries (Morgan, 2008). Mechanical plaque control by brushing and flossing is the most widely used method in disrupting and eliminating oral biofilm on tooth surfaces (Hughes and Dean, 2015). However, mechanical plaque control alone may be insufficient in preventing the development or recurrence of caries (Figuro et al., 2019). With more than 50 years of clinical success, fluoride serves as the gold standard agent for preventing caries (Karlinsey and Pfarrer, 2012). Animals and in vitro systems have demonstrated that dairy products have hypoacidogenic, anti-acidogenic properties, and prevent demineralization, and enhance remineralization (Walsh, 2006). Consumption of sugar-free gums containing xylitol and sorbitol can reduce the acidogenic potential of dental plaque and neutralize lactase produced by dental plaque thereby promoting enamel remineralization. Xylitol reduces caries by keeping sucrose molecules from binding to the *S. mutans*, thereby blocking sucrose metabolism. Chewing gum can also prevent dental caries by stimulating salivary flow and also enhancing salivary function, especially for those people with low flow rates (Shen et al., 2001). Increasing availability of fluoride using methods such as water fluoridation, fluoride toothpaste, fluoride mouth rinse, dietary fluoride supplements, and professionally applied fluoride compounds such as gels and varnishes also reduces dental caries. Mechanisms of action of fluoride for caries control are based on inhibiting demineralization of the crystal structures inside the tooth and enhancing remineralization. Additionally, fluoride inhibits bacterial enzymes (Lee, 2013). Placement of fissure sealants is one of the methods which can be used to prevent and control dental caries. By restoring teeth with fissure sealants, occlusal pits and fissures become less morphologically

susceptible. This preventive measure is recommended in young patients with erupting teeth and adults with a high caries index. Sealants prevent food from collecting in molar pits and fissures and, therefore, prevent dental caries (Goršeta, 2015).

Many medicinal plants have been studied for their anticariogenic activity. *Punica granatum*, *Dodoneae viscosa var. angustifolia*, *Cedrus deodara*, *Terminalia chebula*, *Psidium guajava*, *Azadirachta indica* and *Pongamia pinnata* are some of the plants that have shown a very good anticariogenic potential. The present study examined *Uvaria chamae*.

1.9. *Uvaria chamae*

1.9.1. Taxonomy of *Uvaria chamae*

The name “*Uvaria chamae*” is derived from the Greek word *chamai*, which means "on the ground" (Bongers et al., 2005). This plant is a member of the Annonaceae family. The Annonaceae family consists of flowering plants such as trees, shrubs, and lians, and it contains 2106 species and over 130 genera. Several genera, most notably *Annona*, *Anonidium*, *Asimina*, *Rollinia*, and *Uvaria*, produce edible fruits (Tamokou et al., 2017).

1.9.2. Description of *Uvaria chamae*

Uvaria chamae is an evergreen plant that grows to a height of 3.6-4.5 m. The leaves are stipulate, the leaf apex acuminate, and the leaf vestiture is glabrous (Monon et al., 2015). The fruit carpels are in finger-like clusters, the shape giving rise to many vernacular names translated as a bush banana. The fruit is yellow when ripe and has a sweet pulp which is widely eaten-Figure 1.8 (Abu et al., 2018).



Figure 1.8: *Uvaria chamae* tree and fruits (Teapaisan et al., 2014)

1.9.3. Origin and distribution of *Uvaria chamae*

Uvaria chamae is a Nigerian medicinal plant. It is commonly called Ayiloko by the Igala people of Kogi State, Kaskaifi by the Hausas, Oko Oja by the Yorubas in Nigeria as well as Akotompo by the Fula-fainte of Ghana (James et al., 2013). This plant is native to tropical West and Central Africa. It has been introduced to other parts of Africa and elsewhere in the tropics as a curiosity plant because of its finger-like, ornamental fruits (Lim, 2012a).

1.9.4. Traditional uses of *Uvaria chamae*

In West Africa, *U. chamae* is mainly used to treat jaundice and intermittent fevers. The root bark is used for respiratory catarrh and dysentery (Kadiri et al., 2014). A root infusion of this plant is used to treat severe abdominal pain. The root decoction is also administered as a purgative for the treatment of hepatitis. The juice of the fresh leaves is applied to fresh wounds, sores, and into the eyes to treat conjunctivitis (Jalil et al., 2020). An alcoholic extract prepared from root bark, stem, or dried leaves is taken to treat inflammatory condition known as “Calabar swelling” (Iwu, 2014). The roots, bark, and leaves extracts are used to treat inflamed gums, gastroenteritis, malaria, fever, vomiting, wounds, and sore throat. The roots powder is consumed to treat hyperprolactinemia (Yakubu and Fayemo, 2021).

1.9.5. Major chemical constituents of *Uvaria chamae*

The medicinal properties of a plant depend on its bioactive phytochemical constituents (Sheikh et al., 2013). The composition of essential bioactive compounds in medicinal plants depends on the plant species, the soil type, and as well as their association (Egamberdieva et al., 2017). Secondary metabolites isolated and characterized from the Annonaceae family include monoterpenes, diterpenes, triterpenes, lignans, flavonoids, asarone-derived phenylpropanoids, acetogenins, and primarily typical isoquinoline-derived alkaloids. Some of these secondary metabolites have been shown to have important biological activities, such as antimicrobial, anti-inflammatory, anticarcinogenic and urease-inhibiting properties (Costa et al., 2021). Phytochemical analysis of *U. chamae* reported the presence of bioactive compounds with potential antimicrobial activity against a variety of pathogens. The ethanolic roots extracts of *U. chamae* contain flavonoids, alkaloids, cardiac glycosides, terpenes, saponins, and tannins.

1.9.5.1. Alkaloids

Alkaloids are low molecular weight compounds with a nitrogen atom in a heterocyclic ring. Alkaloids include neuroactive molecules like caffeine and nicotine, anti-tumoral vincristine, vinblastine as well as emetine, which is used to treat oral intoxication (Matsuura and Fett-Neto, 2015). Figure 1.9 shows the basic structures of common alkaloids. Alkaloids are classified as isoquinolines, quinolines, indoles, piperidine alkaloids, etc. This classification is based on the chemical core structures of these alkaloids. Various pharmacological properties such as anticancer, antiviral, anti-inflammatory, and antibacterial activities have been reported (Yan et al., 2021). The phytochemical analysis of *U. chamae* leaves extract resulted in the isolation of *Uvaria* of benzyloisoquinoline alkaloids (+)-armepavine and racem O, O-dimethyl coclaurine. The aporphines nornantenine, nantenine, and corydine have been isolated for the first time for the species (Thomas et al., 2018).

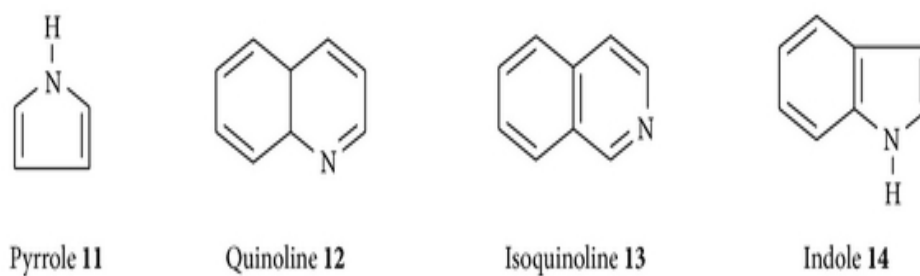


Figure 1.9: Basic structure of alkaloids (Achilonu and Umesiobi, 2015)

1.9.5.2. Saponins

Saponins are a group of naturally occurring plant glycosides. They are distinguished by their high foaming properties in aqueous solutions. Saponins have been isolated from over 100 plant families, and at least 150 different types of natural saponins have been shown to have significant anti-cancer properties. Saponins are classified into more than 11 distinct classes, including dammaranes, tirucallanes, lupanes, hopanes, oleananes, taraxasteranes, ursanes, cycloartanes, lanostanes, cucurbitanes, and steroids (Man et al., 2010). Figure 1.10 shows the basic structures of common saponins. The majority of plants used in traditional medicine worldwide contain saponins. Saponins are widely distributed in higher plants have a wide range of biological properties such as antimicrobial, anti-tumor, and anti-inflammatory properties (Sparg et al., 2004).

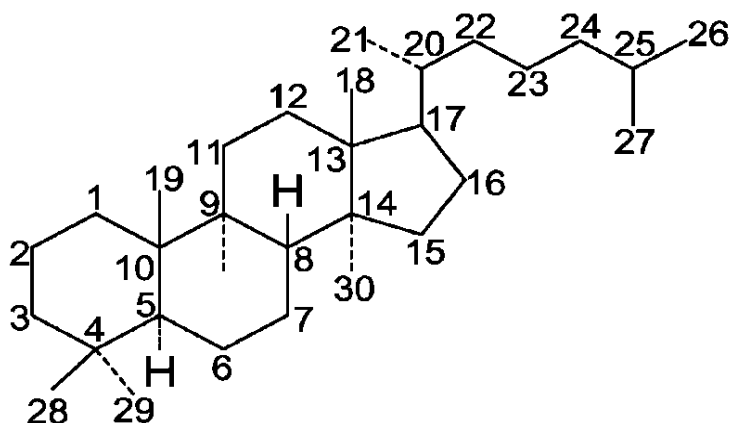


Figure 1.10: Basic structure of triterpenoid (Xia et al., 2014).

1.9.5.3. Tannins

Tannins are present in a wide range of fruits and vegetables and they have been isolated and characterized from several medicinal herbs such as cinnamon, thyme, black cohosh, and feverfew. Tannins are a common phenolic antioxidant that has been shown to have medicinal and therapeutic properties. Tannic acid is one such magical molecule with strong antioxidant properties. Tannic acid is one such magic molecule with potent antioxidant activity (Ghosh, 2015). Figure 1.11 shows a basic structure of common tannins. Their mechanism of action includes the inhibition of extracellular microbial enzymes, the deprivation of microbial growth substrates, or direct action on microbial metabolism via oxidative phosphorylation inhibition (Haslam, 1989).

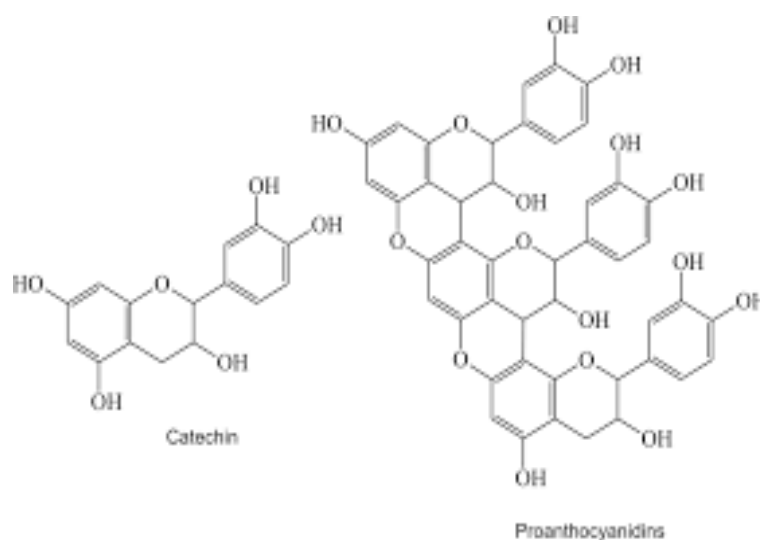


Figure 1.11: Basic structure of common tannins (Amorim et al., 2012)

1.9.5.4. Flavonoids

Flavonoids are a group of natural substances that contains various phenolic structures and they are commonly found in fruits, vegetables, grains, bark, roots, stems, flowers, and wines. Flavonoids have long been recognized for their beneficial effects in nutraceutical, pharmaceutical, medicinal, and cosmetic applications (Panche et al., 2016). Figure 1.12 shows the basic structures of common flavonoids. The novel C- benzylated flavanones and C- benzylated dihydrochalcones have been obtained from several *U. chamae* (Thomas et al., 2018). The flavonoid pinocembrin, chamanetin, uvaretin, uvarinol, and pinostrobin isolated

from stem bark and root bark (Enin et al., 2021). The drug benzyl benzoate used as antifungal preparation has a mutagenic compound, chamuvertin, a benzyldihydrochalcone that was isolated from *U. chamae* (Olumese and Onoagbe, 2019). Chalcones isolated from *Uvaria chamae* have been found to have strong antimicrobial activity against Gram-positive cocci (*Staphylococcus aureus* and *Streptococcus pyogenes*) compared to Gram-negative bacteria (*Salmonella typhimurium* and *Escherichia coli*) (Koudokpon et al., 2018).

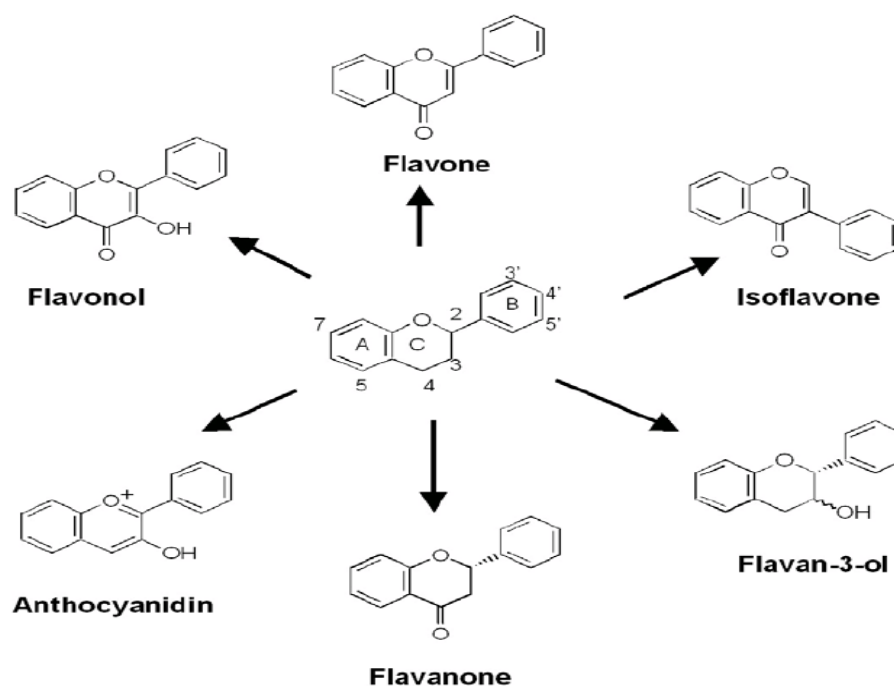


Figure 1.12: Basic structure of flavonoid (Nishiumi et al., 2011)

1.9.5.5. Terpenes

Terpenes are the largest and the most diverse group of naturally occurring secondary metabolites. They are commonly found in plants but larger classes of terpenes such as sterols and squalene can be found in animals (Cox-Georgian et al., 2019). Terpenes are classified based on their distinct carbon skeleton. It consists of a basic five-carbon isoprene unit (2-methyl-1,3-butadiene). Terpenes are typically composed of two, three, four, or six isoprene units. These are known as monoterpenes, sesquiterpenes, diterpenes, and triterpenes respectively (Man et al., 2010). Sesquiterpenes were reported as the predominant constituents of *U. chamae* leaf. The major constituent of *U. chamae* leaf oil was D-cadinene while thymoquinoldimethyl ether

and benzyl benzoate were the major components of the root oil. Two benzyldihydrochalcones and the known benzyl benzoate were identified in the roots of *U. chamae*. A monobenzylated monoterpene, chamanen, and the dimethyl ether of thymoquinol were isolated from the root bark of *U. chamae* (Lim, 2012b). Essential oil constituents from the leaves and root also revealed the presence of terpenes of 1-Nitro-2-phenylethane, Linalool, Germacrene D, (E)-Caryophyllene, (E)- β -Ocimene, (E)-erolidol, 1,8-Cineole, 1-epi-Cubebol, α -Humulene, α -Copaene and others in trace quantities (Enin et al., 2021).

1.10. The role of medicinal plants in oral diseases

Medicinal plants include a variety of plants that are used in herbalism (Rasool Hassan, 2012). In developing countries, medicinal plants are used as a primary source of medicine (Palombo, 2011). These plants have been used throughout the world to treat various diseases since ancient times and they continue to play a vital role in the health care systems in many regions, especially Africa where modern drugs are not affordable (Agbor and Naidoo, 2019). Medicinal plants are inexpensive and available through traditional knowledge of medicinal plants that is passed from generation to generation (Nemudzivhadi and Masoko, 2015). The traditional healers typically diagnose and treats the psychological basis of an illness before prescribing medicines, particularly medicinal plants to treat the symptoms. The profound knowledge of herbal remedies in traditional cultures was developed through trial and error over many centuries, along with the most important cures was carefully passed on verbally from one generation to another (Mahomoodally, 2013). Other factors such as poverty, the inadequacy of health services, shortage of health care workers, and rampant shortage of drugs and equipment in existing health care facilities make traditional medicine an important component of health in Africa (Ashu Agbor and Naidoo, 2015). Various parts of these plants used in the preparation of traditional medicine include root, stem, seed, flower, fruit, and twig exudates (Kalaivani et al., 2012).

A pilot study conducted in Limpopo, South Africa reported the use of more than 41 plant species belonging to 30 botanical families, mainly the Asteraceae and Solanaceae as remedies for different oral diseases. The results showed that the most common medicinal plants used to treat oral diseases in Limpopo province, Lepelle Nkumpi Municipality are *Artemisia afra*, *Cannabis sativ*, *Carpobrotus edulis*, *Mentha longifolia*, *Nicotianatabacum*, *Punica granatum*, *Ricinus communis*, *Solanum panduriforme*, *Zanthoxylum capense*, and *Ziziphus mucron*. In

some regions, medicinal plants are used traditionally as a toothbrush or chewing sticks. The plants such as *Diospyros lycioides*, the sticks of *Salvadora persica* (miswak), *Salvadora persica* leaves, *Acacia mellifera*, *Jasminum Fluminense*, *Azadirachta indica* as toothbrush or chewing sticks (Bodiba et al., 2018). Tapsoba and Deschamps. (2006) reported the use of 62 plant species belonging to 29 families. The study reported that these plant species are effective in the management of toothache, gingivitis, acute necrotizing gingivitis, loose teeth, dental abscesses, sores on the tongue and lips. All the plant parts are used as remedies and they are prepared in various ways. Vegetable materials are often boiled for drinking, mouth washing, gargling, or inhalation. The principal plant parts include fresh or dried roots, stems, leaves, and barks. Plants were used either alone or in association with other species. For instance, a decoction made with roots of *Capparis tomentosa Lam.*, *Cassia sieberiana DC.*, and *Indigofera tinctoria L.* is used for mouthwash against toothache (Tapsoba and Deschamps, 2006).

1.11. Anticariogenic effects of plant extracts against *Streptococcus mutans*

There are nearly 500,000 species of plants that occur in all parts of the world, and only 1% has been investigated phytochemically (Agbor and Naidoo, 2019). In recent years, oral care products and medicinal plant extracts are gaining high interest because they are less toxic and have fewer side effects compared to synthetic drugs (Şener and Kiliç, 2019). With the increase in the development of resistant strains, there is a need for an alternative therapy since resistance microbes are difficult to treat and require a higher dose which is more expensive and toxic to the human body (Adedayo et al., 2020). These findings have led to the screening of natural products for possible pharmaceutical value, particularly for anti-inflammatory, cytotoxic, antimicrobial, and antioxidant properties. Several studies have investigated the activity of traditional plants against oral pathogens and the examination was mainly to validate the traditional use of the medicinal plants.

1.11.1. *Artemisia princeps*

Artemisia princeps is originally native to eastern Asia (China, Japan, and Korea). It has been present for at least two decades in several localities in Belgium and the Netherland. This plant is frequently used as a medicine, culinary herb, essential oil, and for re-vegetation (Verloove

and Andeweg, 2020). *Artemisia princeps* extract shows antibacterial activity against *S. mutans* at a concentration of 0.4 mg/mL. The bacterial activity was observed at a concentration range of 0.05-0.4 mg/mL. This extract also reduces the expression of the gene *gftB*, *gftD*, and *relA* at a concentration greater than 0.1 mg/mL, *gftC*, and *vicR* at a concentration greater than 0.2 mg/mL, *spaP*, and *brpA* at the concentration greater of 0.005 mg/ml (Yang et al., 2019).

1.11.2. Ethyl gallate

Ethyl gallate is a phenolic compound richly contained in Longan. Longan (also known as *Dimocarpus longan*) is a member of the soapberry family (Sapindaceae). It is commonly regarded as a "hot" fruit in traditional Chinese medicine because it induces inflammatory immunological responses. It is grown extensively in China and Southeast Asia, as well as in Australia, Florida, southern Europe, and southern Africa (Wang et al., 2018). Ethyl gallate significantly suppressed *S. mutans* biofilm build-up on polystyrene and glass surfaces by 68% and more than 91% and inhibit acidogenicity by 95% of *S. mutans*. The study also demonstrated that this extract produced a significant gene expression change in the genes *gftB* and *gfpB* at the concentration of 3.53 mM (Gabe et al., 2019).

1.11.3. *Rhodiola rosea*

Rhodiola rosea is a flowering biennial grown in high latitude and altitude regions of the world. It has been a part of traditional medicine systems in parts of Europe, Asia, and Russia for centuries. The traditional use of *R. rosea* as a treatment of cancer and Tuberculosis, and as a fertility booster has been documented previously in countries such as Mangolia and Siberia. In Norway, it has been used as food and hair wash (Ishaque et al., 2012). The extract significantly decreased the expression of the *gft* genes and slightly decreased the expression of *comD* and *comE* genes. Biofilm formation was strongly inhibited at a concentration of 0.25 µg/µL and 0.50 µg/µL on ex vivo bovine enamel (Zhang et al., 2020a).

1.11.4. *Chamaecyparis obtusa*

Chamaecyparis obtusa is a tropical tree species found in Japan and the southern region of South Korea, and the essential oil is extracted from the leaves and twigs of the *C. obtusa* tree. The essential oil has several types of terpenes and has been commercially used in soaps, toothpaste, and cosmetics as a functional additive (Bae et al., 2012; Hong et al., 2004). *Chamaecyparis obtusa* oil extract significantly inhibited organic acid production from 5.30-7.40 produced by *S. mutans* at a concentration range of 0.025 mg/mL-0.2 mg/mL. The expression of virulence genes *brpA*, *gbpA*, *gtfC*, and *gtfD* were significantly decreased at a concentration of 0.025 mg/mL of *C. obtuse* (Kim et al., 2016).

1.11.5. *Prangos acaulis* Bornm.

Prangos acaulis Bornm. is one of the important species of genus *Prangos* in Iran that is used in folk medicine as a sedative and anti-infective agent. The use of *Prangos acaulis* Bornm. as a traditional medicine for tooth whitener and pain relievers have been validated (Rustaiyan et al., 2006). The roots extracts showed a greater antibacterial activity compared to flowers, leaf, stem, and seed extracts. The MIC and MBC for the roots and seeds extract against *S. mutans* ranged between 500-1000 and 2000-3000 with the greatest antibacterial activity at 500-1000 and 2000-3000 μ l/mL. The extract significantly reduced biofilm formation by 66.40% and 22 +/- 0.20% (Nosrati et al., 2018).

Although the antibacterial activity of *U. chamae* against *S. mutans* is known, its effect on biofilm formation and the production of acid and extracellular polysaccharides has not been studied.

1.12. Aim

This study aimed to evaluate the anti-*S. mutans* property of *U. Chamae* and its antivirulence activity.

1.13. Objectives

- a) To investigate the antibacterial effect of methanol, dichloromethane, ethanol, hexane, and methanol: water crude roots extracts against *S. mutans* using microdilution technique.
- b) To determine the effect of subinhibitory concentrations of the dichloromethane crude roots extracts on *S. mutans* biofilm formation using a glass slide technique.
- c) To determine the effect of subinhibitory concentrations of the dichloromethane crude roots extracts on *S. mutans* acid production using sequential pH measurements.
- d) To determine the effect of subinhibitory concentration of dichloromethane crude roots extract on *S. mutans* extracellular polysaccharides (EPS) production using phenol sulfuric acid assays.
- e) To analyze the effect of the dichloromethane crude roots extract on the expression of virulence genes using Real-Time Polymerase Chain Reaction (RT-qPCR).

Chapter 2: The antibacterial effect of *Uvaria chamae* dichloromethane extract against *Streptococcus mutans*

2.1. Introduction

Streptococcus mutans, a Gram-positive, facultatively anaerobic bacterium is generally known as a major pathogen of dental caries and a possible causative agent of bacteremia and infective endocarditis (Nakano et al., 2008; Nakano and Ooshima, 2009). It is a part of the normal flora of the oral cavity and it resides primarily in the biofilm, also called plaque formed on the teeth surfaces (Metwalli et al., 2013; Lemos et al., 2013). In the prevention and control of dental caries, it is important to reduce the number of cariogenic bacteria such as *S. mutans*. There are several commercially available oral hygiene products such as toothpaste and mouth rinses containing fluoride, triclosan, and chlorhexidine with varied efficacy and a few have been shown to reduce dental plaque formation (Prasanth, 2011).

The use of medicinal plants in the treatment and prevention of oral diseases including dental caries has been well documented in recent years. Herbal extracts have been used in dentistry for many years to reduce inflammation, inhibit the growth of oral pathogens, prevent the release of histamine, and as antiseptics, antioxidants, and analgesics (Megersa et al., 2019). These extracts consist of many bioactive compounds that are considered good alternatives in the management and treatment of various oral diseases (Semenya et al., 2019). In some countries, many synthetic and routine drugs are inaccessible. In addition, there is an increase in the loss of effectiveness and potency to multidrug resistance organisms to oral antibacterial agents. Therefore there is a need to source locally available drugs and alternative medicine to treat ailments (Udoh et al., 2019).

Uvaria chamae is used in many African countries to treat bacterial infections. In addition to its antimicrobial activity, the plant has also been reported to have anti-inflammatory properties (Emordi et al., 2018; Abachi et al., 2016). Ogbulie et al. (2007) demonstrated that cold water extracts of *U. chamae* fresh leaves can moderately inhibit the growth of *Staphylococcus aureus* and *Streptococcus pyogenes*. The cold and hot ethanol extract profoundly inhibited *Staphylococcus aureus*, *Streptococcus pyogenes*, *Escherichia coli*, and *Salmonella typhi* (Ogbulie et al., 2007). Stem bark extracts were found to have the greatest antimicrobial activity

against *Escherichia coli*, Methicillin-resistant *Staphylococcus aureus* (MRSA), *Klebsiella spp*, and *Proteus spp*, *Escherichia coli* ATCC 25922, *Staphylococcus aureus* ATCC 25923, and *Pneumonia aeruginosa*. Leaf extracts showed the least antimicrobial activity against *Escherichia coli*, *Klebsiella spp*, and *Proteus spp* with MIC of 250 mg/mL (Oluremi et al., 2010).

This chapter aimed to determine antimicrobial activity of *U. chamae* against *S. mutans* by investigating the minimum inhibitory concentration (MIC) and Minimal Bactericidal Concentrations (MBC).

2.2. Methods and materials

2.2.1. Plant materials

Uvaria chamae roots extracts were provided by Dr. Ogunyemi Olajide Oderinlo from the Department of Chemistry, Faculty of Science, Federal University, Otuoke, Bayelsa, Nigeria. The plant was identified and authenticated by a qualified botanical expert in Nigeria. The voucher specimen number of the plant was FHI 107901. Plant extracts were prepared using methanol, methanol: water, hexane, ethanol, and dichloromethane. Briefly, the roots were harvested, washed, dried, milled, and stored at 4°C until required for use. The crude extracts were obtained by mixing 1g of the root powder separately with 10 ml of each solvent. The solution was shaken at 120 rpm at room temperature overnight and centrifuged at 10000 rpm for 10 minutes using a microcentrifuge. This procedure was done 3 times. Thereafter, the solvents were allowed to evaporate under a cold air stream and stored at 4° C until required. The crude extracts were weighed and reconstituted in 10% Dimethyl sulfoxide (DMSO) to obtain a final concentration of 50 mg/ml.

2.2.2. Bacterial cultures

Five clinical strains (SM1, SM6, SM7, SM12, and SM13) of cariogenic *S. mutans* were obtained from the Oral Microbiology laboratory, University of Witwatersrand. An ethics

waiver (W-CBP-200529-03) for the use of stock cultures was granted by The Human Research Ethics Committee, University of The Witwatersrand. Cultures were grown on blood agar at 37°C for 48 hours. For each experiment, fresh cultures were used. To prepare inoculum which was used in this experiment, colonies were emulsified in phosphate-buffered saline and the suspension was adjusted to 0.5 McFarland standard containing approximately 10^5 - 10^6 cfu/ml.

2.2.3. Antimicrobial activity

Antimicrobial activity of *U. chamae* roots extracts was performed using the microdilution technique as previously described by Gulube and Patel (2016) and Kuete et al (2012) with modifications. Dry *U. chamae* extracts were reconstituted in DMSO to obtain a concentration of 50 mg/ml. Two-fold dilutions of *U. chamae* roots extracts were prepared using tryptone broth to obtain concentrations of 5 mg/ml to 0.02 mg/ml. Thereafter, 50 µl of *S. mutans* inoculum prepared as a 0.5 McFarland standard containing approximately 10^5 - 10^6 cfu/ml cells was added to all the wells of the 96-microtiter plate containing 50 µl diluted plant extract. A well-containing 50 µl tryptone soy broth, inoculated with 50 µl *S. mutans* was used as a positive control, while different wells containing 50 µl of *S. mutans* inoculum and 50 µl of chlorohexidine was used as a negative control. The effect of 10 % DMSO on *S. mutans* growth was determined by adding 50 µl of the inoculum in a well containing 50 µl of DMSO. The 96-microtiter plates were incubated at 37°C for 48 hours under CO₂ -enriched environment. After incubation, 5µl from each microtiter well was aliquoted on blood agar plates to determine the MBC. Thereafter, 0.2 % iodinitrotetrazolium chloride (INT) was added to each well. The microtiter plates were incubated for an additional 48 hours. The MIC was interpreted as the sample concentrations that prevent change in colour of the medium from colourless to pink and completely prevent microbial growth. The MBC was defined as the lowest concentration of the extract which did not produce any growth after 48 hours of incubation. The experiment was performed in triplicates for all 5 clinical strains of *S. mutans*. Based on the MIC concentrations, the solvent with the best results was selected and two subinhibitory concentrations were used for further studies, such as biofilm, acid assay, and extracellular polysaccharides study.

2.3. Results

The results of the MIC/MBC of *U. chamae* roots extracts are presented in Tables 2.1 and the summarized results are presented in Table 2.2. A total of five extracts, extracted using different solvents of varying polarity (ethanol, dichloromethane hexane, methanol, and methanol: water) were investigated. All five crude extracts had a degree of antibacterial activity against *S. mutans*. The MIC values of *U. chamae* against *S. mutans* ranged from 0.02 to 1.25 mg/ml whereas the MBC ranged from 0.04 to 1.25 mg/ml. The dichloromethane extracts of the roots showed the best antibacterial activity against all the five *S. mutans* strains with a MIC of 0.02 mg/ml and MBC of 0.04 mg/ml (Table 2.2). Ethanol and methanol showed a moderate activity with MIC of 0.63 mg/ml. Hexane and methanol: water extract showed the minimum antimicrobial activity with a MIC of 1.25 mg/ml. The positive control (chlorhexidine) killed *S. mutans*, and the negative control (tryptone soy broth) supported the growth of *S. mutans*. Dimethyl sulfoxide (DSMO) did not affect the *S. mutans* bacterial growth.

Table 2.1: Minimum Inhibitory concentrations (MIIC) and Minimum Bactericidal Concentrations (MBC) of *U. chamae* roots extract against *S. mutans*.

| Clinical strains | Repeats | <i>Uvaria chamae</i> MIC and MBC (mg/ml) | | | | | | | | | |
|------------------|-------------|--|-------------|-----------------|-------------|-------------|-------------|-------------|-------------|-----------------|-------------|
| | | Ethanol | | Dichloromethane | | Hexane | | Methanol | | Methanol: water | |
| | | MIC | MBC | MIC | MBC | MIC | MBC | MIC | MBC | MIC | MBC |
| SM1 | 1 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 1.25 |
| | 2 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 1.25 |
| | 3 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 1.25 |
| SM6 | 1 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 1.25 | 1.25 | 1.25 |
| | 2 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 1.25 | 1.25 | 1.25 |
| | 3 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 1.25 | 1.25 | 1.25 |
| SM7 | 1 | 0.63 | 2.5 | 0.02 | 0.02 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 1.25 |
| | 2 | 0.63 | 2.5 | 0.02 | 0.02 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 1.25 |
| | 3 | 0.63 | 1.25 | 0.02 | 0.02 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 1.25 |
| SM12 | 1 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 1.25 |
| | 2 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 1.25 |
| | 3 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 1.25 |
| SM13 | 1 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 2.5 |
| | 2 | 0.63 | 2.5 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 2.5 |
| | 3 | 0.63 | 1.25 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.63 | 1.25 | 2.5 |
| Mean | n=15 | 0.63 | 2.33 | 0.02 | 0.04 | 1.25 | 1.25 | 0.63 | 0.75 | 1.25 | 1.50 |
| ±SD | | 0.00 | 0.44 | 0.00 | 0.01 | 0.00 | 0.00 | 0.26 | 0.26 | 0.00 | 0.52 |

Table 2.2: Summary results of the mean MIC and MBC of *U. chamae* roots extract against *S. mutans*.

| Extraction solvents | Mean MIC (mg/ml) | Mean MBC (mg/ml) |
|---------------------|------------------|------------------|
| | n=15 | n=15 |
| Ethanol | 0.63 (moderate) | 2.33 |
| Dichloromethane | 0.02 (good) | 0.04 |
| Hexane | 1.25 (weak) | 1.25 |
| Methanol | 0.63 (moderate) | 0.75 |
| Methanol: water | 1.25 (weak) | 1.50 |

2.4. Discussion

It is well established that medicinal plants have antimicrobial activity against oral bacteria (Ghamari et al., 2017). The efficacy of natural products is significantly affected by the solvent and method used for extraction (Miliauskas et al., 2004). In this study, the MIC and MBC of *U. chamae* roots extracts against *S. mutans* were determined. The results showed that methanol: water, hexane, methanol, dichloromethane, and ethanol of *U. chamae* roots extracts had antimicrobial activity against *S. mutans* (Table 2.2). Antimicrobial activity of plant extracts has been classified previously as good (MIC < 0.1 mg/mL), moderate ($0.1 \leq \text{MIC} \leq 0.625$ mg/mL) and weak (MIC > 0.625 mg/mL) (Famuyide et al., 2019). Based on these criteria, ethanol and methanol showed moderate antimicrobial activity against *S. mutans*. Hexane and methanol: water extracts showed weak antimicrobial activity against *S. mutans*. Dichloromethane extract showed a good activity with an average MIC of 0.02 mg/ml. These results suggest that bioactive compounds that inhibit the growth of *S. mutans* were better extracted with dichloromethane compared to other solvent. Earlier studies investigating aqueous root bark extracts of *U. chamae* have shown poor antimicrobial activity against *S. mutans*. They reported the MIC of 400 mg/ml (Amadi et al., 2007). The present study demonstrated that the mean MIC of *U. chamae* root extract against *S. mutans* ranged between 0.02 to 1.25 mg/ml. The difference in results may be due to the season of plant harvesting, solvents used for extraction, location, growth conditions, and storage duration of the plant extracts (Seleshe and Kang, 2019).

Generally, Gram-positive bacteria are more susceptible to antimicrobial drugs than Gram-negative bacteria (Kapoor et al., 2017). This can be attributed to the presence of an outer membrane layer that is rigid and rich in lipopolysaccharide (LPS) in Gram-negative bacteria. This protective layer limits the diffusion of hydrophobic compounds through it. Gram-positive bacteria cell is surrounded by thick peptidoglycan walls which are not dense enough to resist small antimicrobial molecules, thereby facilitating access to the cell membrane. Additionally, the presence of the lipophilic ends of lipoteichoic acids present in the cell membrane of Gram-positive may ease the infiltration of the hydrophobic bioactive compounds present in this plant extract (Chouhan et al., 2017)

The activity of this plant extract may be attributed to alkaloids, tannins, steroids, terpenes, and flavonoids known to be present in the family Annonaceae. Annonaceae plants have been shown to possess antibacterial activities (Tamfu et al., 2019). A previous study by Lindsey reported that when active compounds are found in one species, the majority of species within the same genus contain active compounds of a similar nature (Lindsey et al., 1998). Similarly, the antimicrobial effect of this plant may be affiliated with the bioactive compounds present within the family Annonaceae (Attiq et al., 2017). These bioactive substances have different functions and mechanisms of action. For instance, the antimicrobial activity of flavonoids is due to their ability to complex with extracellular and soluble protein and to complex with bacterial cell wall while tannins may inactivate microbial adhesions, enzymes and cell envelop proteins (Mogana et al., 2020). A recent study (2019) reported that 2,4,6-trihydroxy-30-methylchalcone flavonoids lead *S. mutans* to leak intracellular substances such as protein and ions (Górniak et al., 2019).

2.5. Conclusion

Uvaria chamae dichloromethane extract had the best antimicrobial activity followed by ethanol and methanol. Methanol: water and hexane extract had the least activity with MIC of 1.25 mg/ml. At a low MIC of 0.02 mg/ml, dichloromethane extract completely inhibited the growth of *S. mutans*. This study demonstrated that *U. chamae* dichloromethane extracts have the potential to be used to prevent dental caries. Further studies can be undertaken to study the effect of *U. chamae* on *S. mutans* virulence factors as well as its specific mechanism of action. These results also encourage further investigations on the active chemical compounds responsible for the observed antibacterial effect in this study.

Chapter 3: The effect of *Uvaria chamae* dichloromethane extract on the biofilm formation by *Streptococcus mutans*

3.1. Introduction

Dental caries is a biofilm-induced oral disease that results due to a disruption of the microbial ecological balance in the oral cavity. This disturbance results in a population shift leading to the over-representation of pathogenic species in the oral cavities. Additionally, normal biota may also become opportunistic pathogens by the acquisition of *gtfs* genes through horizontal gene transfer thereby contributing to the onset and establishment of dental caries (Chen et al., 2016; Adedayo et al., 2020; Hoshino et al., 2012). Dental plaque is an oral microbial biofilm that forms on exposed tooth surfaces and is characterized by species diversity (Yu et al., 2017). Dental plaque comprises surface-attached bacterial communities encased in extracellular polysaccharides, proteins, and DNA (Davies, 2003) and they form through sequential events which may result in a structurally and functionally organized species-rich microbial community. The species composition of a plaque at a site is characterized by a degree of stability among the component species once it has formed. This stability is a result of a balance imposed by biochemical interactions where complex host glycoproteins catabolize to develop food chains and cell to cell signalling which leads to coordinated gene expression within the microbial community (Yadav and Prakash, 2017).

Streptococcus mutans has been discovered as the primary etiological bacteria that predominantly proliferates in the dental biofilm (Akhilwaya et al., 2018). The pathogen *S. mutans* is a major bacterium producing the extracellular polysaccharide matrix in dental biofilms. During this biological process, *S. mutans* secretes the enzyme glucosyltransferases (GTFs) to synthesize glucans and binds them to the interface of bacteria and teeth leading to bacterial adhesion and biofilm formation. Bacteria in the plaque biofilm respond to many factors, such as cellular recognition of specific or non-specific attachment sites on a surface and nutritional signal using quorum sensing mechanisms (Yu et al., 2017; Yoshida and Kuramitsu, 2002; Zhang et al., 2020b). Quorum sensing plays a critical role in the formation of biofilm with its surrounding extracellular matrix (Munir et al., 2020; Lu et al., 2019). There are many treatments plans available in treating oral biofilms. These treatments include mechanical and chemical strategies. Mechanical strategies include physical removal of the dental plaque

by brushing and scrubbing. Chemical strategies include the use of mouthwashes and other products that contain chlorhexidine, stannous fluoride, and conventional antimicrobials (Sandasi et al., 2011).

To date, several studies have investigated the effect of plant extracts and their products against different oral pathogens. Other researchers focused on the ability of the medicinal products to inhibit the formation of dental biofilms by reducing the adhesion of microbial pathogens to the tooth surface, which is a primary event in the formation of dental plaque and the progression to tooth decay (Palombo, 2011;Gabe et al., 2019;Nosrati et al., 2018;Teanpaisan et al., 2014). Many medicinal plants including *Dodonaea viscosa var. angustifolia* (Ngabaza et al., 2018), Curcuma (Kim et al., 2008), *Prangos acaulis Bornm* (Nosrati et al., 2018), *Rhodiola rosea* (Zhang et al., 2020b), Mikania (Yatsuda et al., 2005) have been shown to inhibit biofilm formation by *S. mutans*. The ability of these plant extracts to suppress and destruct mature biofilms has the potential to reduce microbial colonization of tooth surfaces and epithelial mucosa.

In this chapter, the antibiofilm effects of *U. chamae* on *S. mutans* were investigated. Antibacterial effects of *U. chamae* have already been studied by some authors, but no report on the antibiofilm activity is available. Therefore, this chapter was to examine the effect of *U. chamae* extract on *S. mutans* biofilm formation.

3.2. Methods and materials

3.2.1. Bacterial cultures

Five clinical isolates of cariogenic *S. mutans* (SM1, SM6, SM7, SM12, and SM13) were revived by culturing on blood agar plates. These cultures were grown at 37°C in a CO₂-enriched environment for 48 hours. The inoculum was prepared by suspending the freshly grown *S. mutans* cells in phosphate buffered saline.

3.2.2. Plant materials

The dichloromethane extract was selected based on its antimicrobial activity against *S. mutans* described in chapter 2. The results for antimicrobial activity can be found in chapter 2 (Table 2.1). The two subinhibitory concentrations were selected for the biofilm assay.

3.2.3. Biofilm assay

A biofilm assay was performed to determine the effect of *U. chamae* roots extracts on *S. mutans* biofilm formation. This was done using the glass slide technique adapted from (Gulube and Patel, 2016) with modifications. Briefly, three glass slides (25×77mm) were held side by side in an upright (90°C) position with an autoclave tape in four separate 100 ml beakers. The beakers were autoclaved at 121°C for 2 hours before use. Tryptone broth was used as a media broth for this assay. Fifty milliliters of tryptone soy broth were added to each of the four beakers. One beaker was used as a control with no plant extract. The other three beakers were used to test the effect of the three concentrations of the *U. chamae* extracts. The plant extract with the concentration of 0.005, 0.01, and 0.02 mg/ml was added in each of the three beakers respectively. One milliliter of *S. mutans* culture containing approximately 10⁵-10⁶ CFU/ml was added to each of the four beakers. The four test beakers were then incubated at 37°C under CO₂. After 6, 24, and 30 hours, one glass slide was removed from each of the four beakers and rinsed with 50 ml distilled water to remove unattached cells and media. The attached cells were removed from the glass slide by scrapping off the biofilm using a sterile glass slide. The cells were resuspended and vortexed in 5 ml of PBS. Ten-fold serial dilutions from 1:10, 1:100, and 1:1000 were performed in bijoux bottles. Hundred microliters of each dilution were spread on blood agar and colony count was obtained after 48 hours. The number of colonies on each plate was quantified and the counts were multiplied by dilution factors to determine viable bacterial counts (CFU/ ml). The experiment was performed in triplicates using 5 strains of *S. mutans*.

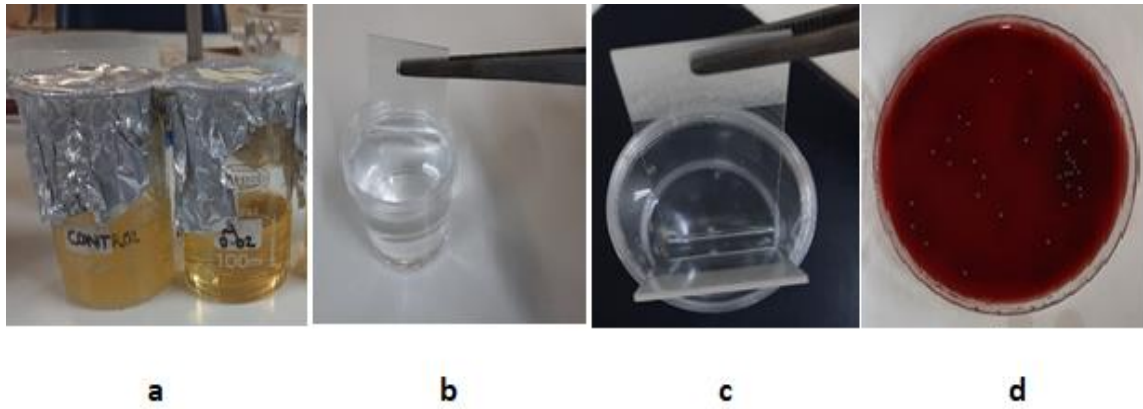


Figure 3.1: Biofilm assay (a) Beaker containing glass slides after incubation at 37°C (b) Washing of biofilm (c) Removal of the biofilm by scraping off the attached cells with a clean slide, (d) *S. mutans* colonies on blood agar.

3.2.4. Statistical analysis

The efficacy of the plant extract on *S. mutans* biofilm formation was compared using GraphPad Prism software. To determine the effect of different concentrations of *U. chamae* extract on biofilm formation, bacterial counts in the test biofilms were compared to the control using Wilcoxon Rank Sum Test. P values of <0.05 were considered significant. The mean and standard deviations were calculated using Microsoft Excel (Table 3.1). The percentage reduction in the biofilm formation was calculated after 6, 24, and 30 hours at all test concentrations. The formula used was $(x-y/x) \times 100$ where x is the control while y is the test biofilm counts.

3.3. Results

At 3 concentrations, the effect of *U. chamae* on the biofilm formation by 5 strains of *S. mutans* was studied. The results in the form of viable bacterial counts in the biofilms are shown in Table 3.1. Bacterial counts were converted into logs and the graph (Figure 3.1) was prepared. Using the control and the test results, percentage reductions were calculated which are depicted in Table 3.1 and Figure 3.2. The results showed that the biofilm formation was inhibited by all three test concentrations in all the test strains of *S. mutans*. The reduction in the biofilm increased with time. However, not much difference in the results from 24 hours and 30 hours

was found. At 6 hours, the average percentage reduction at 0.005, 0.01 and 0.02 mg/ml was 39.7, 59.17 and 76.82 % respectively. At 24 hours, the average percentage reduction at 0.005, 0.01 and 0.02 mg/ml was 83.63, 90.75 and 91.12 % respectively. At 30 hours, the average percentage reduction at 0.005, 0.01 and 0.02 mg/ml was 94.73, 98.46 and 97.82 % respectively. When the test results of the bacterial biofilm counts were compared to the test counts it was found that all the test concentrations at all the exposure time period significantly reduced the biofilm formation with p values of ≤ 0.01 (Table 3.2).

Table 3.1: The effect of 0.005, 0.01, and 0.02 mg/ml of *U. chamae* roots extract on *S. mutans* biofilm formation

| | | 6 hours | | | | 24 hours | | | | 30 hours | | | |
|----------------------|------|---|--------------|--------------|--------------|---|--------------|--------------|--------------|---|--------------|--------------|--------------|
| | | <i>S. mutans</i> cfu/ml in various concentrations (mg/ml) | | | | <i>S. mutans</i> cfu/ml in various concentrations (mg/ml) | | | | <i>S. mutans</i> cfu/ml in various concentrations (mg/ml) | | | |
| Strains | Rep. | Control | 0.005 | 0.01 | 0.02 | Control | 0.005 | 0.01 | 0.02 | Control | 0.005 | 0.01 | 0.02 |
| SM1 | 1 | 28650 | 16300 | 16250 | 3850 | 47200 | 7600 | 7400 | 300 | 47200 | 8950 | 1700 | 12150 |
| | 2 | 37200 | 25100 | 13450 | 8250 | 76800 | 4900 | 800 | 100 | 40000 | 2300 | 400 | 100 |
| | 3 | 36700 | 24750 | 16600 | 7800 | 39400 | 33600 | 3300 | 1000 | 47400 | 2000 | 400 | 300 |
| SM6 | 1 | 19700 | 4050 | 4300 | 3200 | 66800 | 85000 | 60000 | 46800 | 197000 | 5400 | 1300 | 4650 |
| | 2 | 20300 | 25100 | 16700 | 15100 | 127867 | 3100 | 1600 | 1500 | 136000 | 30000 | 21500 | 11200 |
| | 3 | 16950 | 9750 | 6750 | 1100 | 128100 | 6550 | 6950 | 35350 | 97500 | 3400 | 800 | 600 |
| SM7 | 1 | 39500 | 23000 | 18800 | 21850 | 87500 | 5750 | 3750 | 2450 | 132300 | 4500 | 2300 | 1200 |
| | 2 | 39000 | 20000 | 22450 | 18200 | 72800 | 3450 | 3750 | 1950 | 210000 | 1800 | 1700 | 1200 |
| | 3 | 33000 | 23900 | 800 | 1900 | 71550 | 8000 | 3100 | 800 | 145900 | 11000 | 1450 | 400 |
| SM12 | 1 | 30950 | 22500 | 23200 | 5900 | 63200 | 800 | 400 | 100 | 200867 | 1600 | 600 | 18700 |
| | 2 | 32250 | 10600 | 2100 | 5150 | 41200 | 2600 | 1100 | 200 | 112500 | 1200 | 500 | 100 |
| | 3 | 30500 | 16950 | 9250 | 1100 | 56200 | 1400 | 400 | 200 | 850000 | 1050 | 2200 | 200 |
| SM 13 | 1 | 30700 | 27400 | 10500 | 3150 | 74100 | 2000 | 2400 | 1650 | 13900 | 3350 | 400 | 200 |
| | 2 | 35850 | 3300 | 12000 | 5000 | 56400 | 4100 | 850 | 200 | 75000 | 44500 | 100 | 100 |
| | 3 | 22250 | 20750 | 12000 | 3550 | 39000 | 2750 | 1100 | 500 | 49150 | 3000 | 1000 | 100 |
| Combined mean | | 30233 | 18230 | 12343 | 7007 | 69874 | 11440 | 6460 | 6207 | 156981 | 8270 | 2423 | 3413 |
| Combined ±SD | | 7317 | 7852 | 6880 | 6376 | 27751 | 21830 | 14972 | 14340 | 201754 | 12405 | 5322 | 5814 |
| % Reduction | | | 39.70 | 59.17 | 76.82 | | 83.63 | 90.75 | 91.12 | | 94.73 | 98.46 | 97.82 |

Table 3.2: Statistical analysis of the data obtained in the biofilm formation assay

| Time (hours) | Comparison | p-value |
|--------------|---|---------|
| 6 | Control to all concentrations (overall) | 0.0079 |
| | Control to 0.005 mg/ml | 0,0024 |
| | Control to 0.01 mg/ml | <0,0001 |
| | Control to 0.02 mg/ml | <0,0001 |
| 24 | Control to all concentrations (overall) | <0,0001 |
| | Control to 0.005 mg/ml | <0,0001 |
| | Control to 0.01 mg/ml | <0,0001 |
| | Control to 0.02 mg/ml | <0,0001 |
| 30 | Control to all concentrations (overall) | 0.0079 |
| | Control to 0.005 mg/ml | 0,0108 |
| | Control to 0.01 mg/ml | 0,0082 |
| | Control to 0.02 mg/ml | 0,0086 |

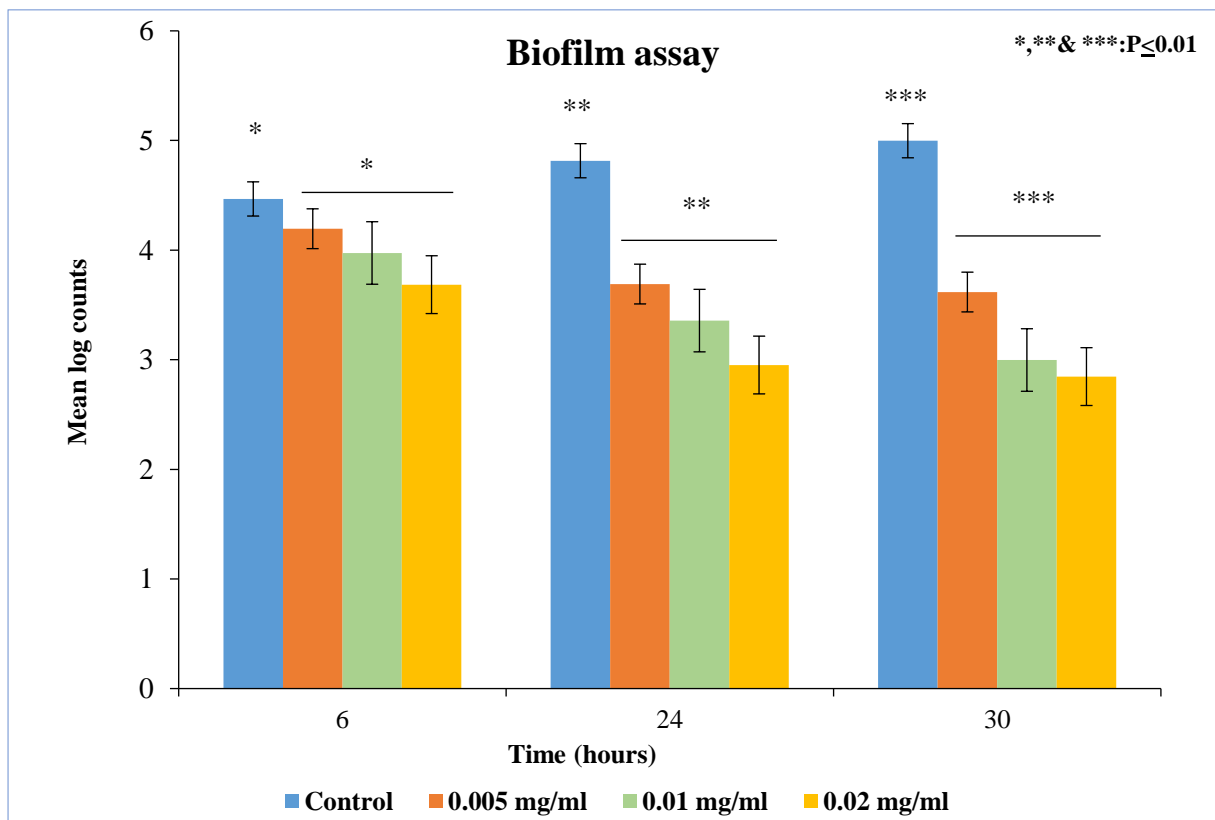


Figure 3.2: The effect of *Uvaria chamae* on *Streptococcus mutans* biofilm formation

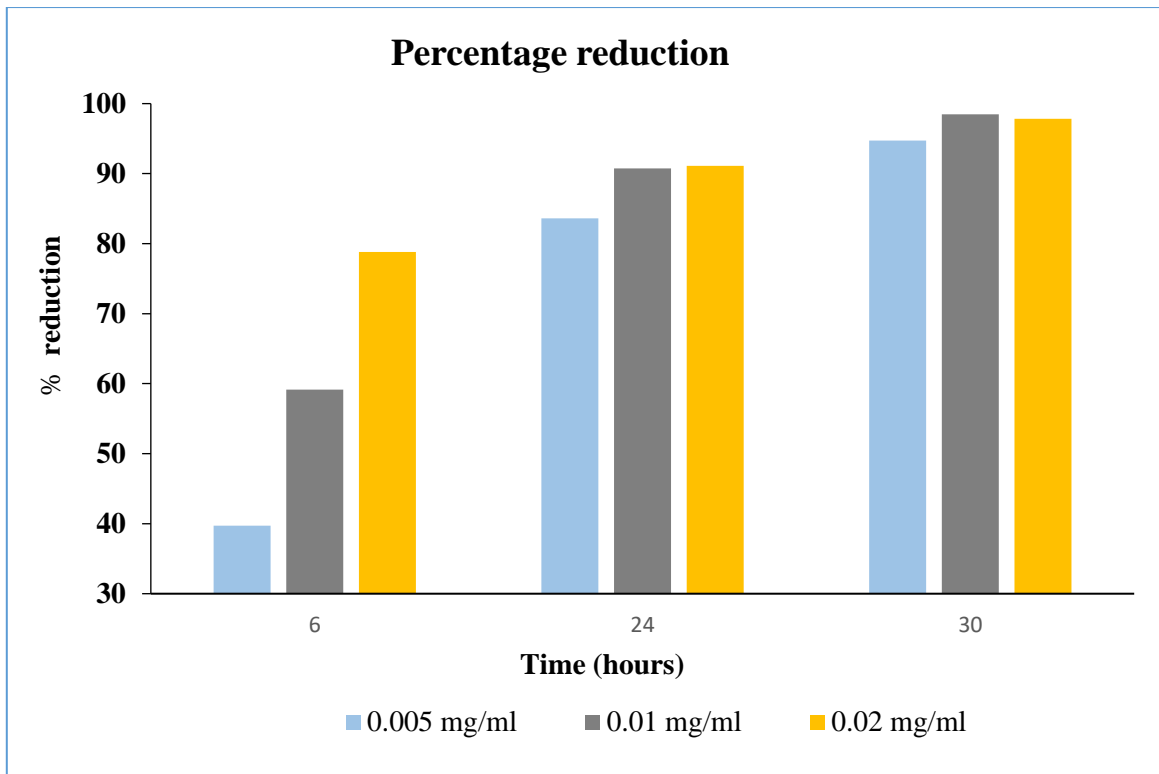


Figure 3.3: Percentage reduction in *Streptococcus mutans* biofilm formation by *Uvaria chamae*

3.4. Discussion

Oral biofilm has been recognized as a major virulence factor in the development of dental caries (Kuang et al., 2018). One of the best strategies to control and prevent dental caries involves the reduction and elimination of dental plaque on the tooth surfaces (Ramalingam and Amaechi, 2018). In this study, the anti-biofilm activity of the *U. chamae* extract against *S. mutans* was investigated. This plant extract significantly inhibited the adherence and biofilm formation of *S. mutans* to a glass slide. The ability of this extract to reduce biofilm formation in the initial attachment phase at 6 hours and plateau accumulated phase at 24 hours suggests that this extract does not only inhibit mature biofilm formation but also its maturation at critical developmental stages. Additionally, this plant extract does not only inhibit planktonic *S. mutans* but also biofilm formation. These findings suggest that *S. mutans* that survive exposure to the plant extract may be unable to adhere to the acquired pellicle and form dental plaque. The antimicrobial effect of *U. chamae* extract might be attributed to interference with the adhesion forces such as Brownian, sedimentation, Lifshitz–Van der Waals, and electrostatic interaction forces. These adhesive forces favour the deposition and adherence of bacteria to the tooth surfaces. Additionally, the plant extract might have affected the cell surface protein adhesion P1, also known as antigen I/II which are responsible sucrose-independent bacteria adherence to the tooth surfaces.

Phytochemical screening of *U. chamae* root extracts revealed the presence of flavonoids, saponins, phenols, and tannins (Ogbuanu and Emmanuel, 2020). These bioactive compounds, especially flavonoids have been found to inhibit *S. mutans* adhesion and biofilm formation. A study reported that a flavone (5,6,8-Trihydroxy-7-methoxy-2-(4-methoxyphenyl)-4H-chromen-4-one) isolated from *Dodonaea viscosa* var. *angustifolia* has been identified as the main compound exhibiting anti-*S. mutans* and antibiofilm properties against *S. mutans* (Ngabaza et al., 2018). Furthermore, the flavonoids, guaijaverin, and quercetin isolated from guava leaf have been reported to significantly inhibit *S. mutans* biofilm formation. Guaijaverin inhibits *S. mutans* surface adherence by reducing the bacterial surface hydrophobicity. Additionally, it also inhibits sucrose-dependent and sucrose-independent surface adherences of *S. mutans* (Phaiboon et al., 2019). Das et al. (2011) discovered that eDNA is involved in enhanced bacterial adhesion, aggregation, architecture, and biofilm mechanical stability (Das et al., 2011). Thus, *U. chamae* extract might have interfered with the eDNA and exopolysaccharides by increasing the hydrophobicity and decreasing the aggregation of the

cells to adhere to the glass surface, thereby reducing the biofilm formation (He et al., 2019). In addition to flavonoids, tannins are generally responsible for the decreased adherence property because they bind to bacterial cell surface lipoteichoic acid. The tannin, 4-O- β -D-xylopyranoside was associated with decreased adherence properties of *S. mutans* on a glass slide (Naidoo et al., 2012). Therefore, tannins might be responsible for the reduced adherence of *S. mutans*. The plant might have also affected the production of glucosyltransferases especially GTF B and C enzymes which are required for maximum biofilm formation through the production of insoluble extracellular polysaccharides by *S. mutans* (Ansari et al., 2017;Koo et al., 2010).

The oral cavities are constantly exposed to secretions and maintaining the correct concentrations of the antimicrobial compounds is thus challenging unless the compound's substantivity is effective (Patel et al., 2009). In the presence of this extract, *S. mutans* will be unable to form biofilm and it will prevent further colonization of the *S. mutans* by preventing their adhesion to the host tissues once the concentration is reduced. The beneficial effects of this plant extract will be increased at night due to reduced salivary flow, and slower dilution. Continuous exposure to the *U. chamae* will be necessary to reduce bacterial counts and prevent bacterial growth. The diminishing antimicrobial effect of the extract might be due to the accumulation of bacterial by-products that might have neutralized the plant extract.

3.5. Conclusion

This study has demonstrated that *U. chamae* extracts can be used as anti-cariogenic agents. In this experiment, *U. chamae* significantly reduced biofilm formation at all three concentrations. At 6 hours, exposure to 0.005, 0.01 and 0.02 mg/ml reduced bacterial count by 39.70, 59.17, and 76.82 % respectively. At 24 hours, exposure to 0.005, 0.01 and 0.02 mg/ml reduced bacterial count by 83.63, 90.75, and 91.12 % respectively. At 30 hours, exposure to 0.005, 0.01 and 0.02 mg/ml reduced bacterial count by 94.73, 98.46, and 97.82 % respectively. This suggests that if this plant extract is used in the form of oral hygiene products such as toothpaste, mouth rinses, and chewing gums, it can provide long-term protection against dental plaque. Therefore, *U. chamae* have the potential to be used in the prevention of dental caries.

Chapter 4: The effect of *Uvaria chamae* dichloromethane extract on *Streptococcus mutans* acid production

4.1. Introduction

Acid production is another major virulence factor responsible for dental caries. *Streptococcus mutans* can generate acidic end products from dietary carbohydrates (Zayed et al., 2021). Upon ingestion of food, the level of sugar available to oral bacteria may increase from the normal resting level of 5-40 μ M of salivary secretions to 5-40 mM. Under these conditions, the *S. mutans* fermentation pattern shift from the production of mixed acid end-products to lactic acid (Dashper and Reynolds, 1996). It has been reported that lactic acid reduces the pH of the saliva from 7.0 to 5.0 (Dashper and Reynolds, 2000). Glycolysis is the main pathway for organic acid production. The bacteria carry out glycolysis continuously by metabolizing a wide range of dietary carbohydrates and produces organic acids such as lactic, acetic, propionic, and formic acid. These organic acids are produced as fermentation products during carbohydrate metabolism through the Embed-Meyerhof-Parnas pathway (Kawada-Matsuo et al., 2017). Lactic acid is a major product of the fermentation process when sucrose is present in large quantities (Walsh, 2006). The critical pH value of 5.0–5.5 is important for the balance between demineralization and remineralization of tooth enamel. If the surrounding solution pH caused by the accumulation of acid is less than the critical pH, tooth demineralization and subsequent initiation of dental caries occur (He et al., 2019).

Organic acids drive the dissolution of critical ions such as calcium and phosphate, which are involved in the demineralization and remineralization of enamel and dentin in the oral environment (Vaillancourt et al., 2021;Cai et al., 2016). The deprivation of these minerals in the hydroxyapatite may result in increased porosity and softening of the tooth structure. Additionally, the space between the enamel crystal may also widen. As a consequence, the acids diffuse deeper into the tooth resulting in demineralization of the mineral below the surface (Pitts et al., 2017b). The demineralization phases are followed by a period of remineralization through the presence of calcium, phosphate, and fluoride found in saliva (Featherstone, 2008). When acidification phases exceed the neutralizing capacity of both alkali-producing bacteria and the saliva, dental caries can occur (Du et al., 2020;Burne and Marquis, 2000). The acidic environment promotes the growth of aciduric species e.g *S. mutans*

and *Lactobacillus*. Aciduric strains of non-mutans such as streptococci, Actinomyces, bifidobacteria, and yeasts facilitate the progression and formation of dental caries (Nyvad et al., 2013; Du et al., 2020).

The oral pathogen *S. mutans* surpasses the rates of acid production by other oral streptococci due to its acidogenicity and acid tolerance mechanisms (Guo et al., 2013). The membrane-bound F1F0-ATPase system protects *S. mutans* against environmental acid stress by regulating pH homeostasis (Hasan et al., 2014). F1F0-ATPase pump maintains the intracellular pH at 7.5 (Ajdić et al., 2002). *Streptococcus mutans* constitute an acid tolerance response (ATR) to combat the destructive nature of the acidic environment it produces. ATR is characterized by the induction of multiple cellular pathways upon exposure to mildly acidic conditions to allow cells to adapt to the acid challenge. In addition to this mechanism, some oral streptococci use the arginine deiminase pathway to survive a low pH environment (Banas, 2004b).

Amongst dietary carbohydrates, sucrose is the most cariogenic carbohydrate because it is easily fermentable and also serves as a substrate for extracellular enzymes (GTF) of plaque bacteria. Plaque bacteria synthesize sucrose-derived polymers such as soluble and insoluble extracellular polysaccharides (Krzyściak et al., 2016). Sucrose fermentation by oral bacteria can rapidly reduce the pH in dental biofilms, which results in a shift in the balance of resident plaque microflora to become more cariogenic (Cai et al., 2016). In vivo studies showed that sucrose treatment produced more severe caries lesions than glucose, fructose, maize starch, and amylopectin (Du et al., 2020). Prevention of acid production by *S. mutans* using a therapeutic agent may reduce the development of dental caries.

Uvaria chamae has antibacterial properties against *S. mutans*. Although the anti-*S. mutans* activity of this plant has been reported, its effect on acid production by *S. mutans* has not been studied. Therefore, this study aimed to investigate the effect of *U. chamae* roots extracts on acid production by planktonic *S. mutans*.

4.2. Method and materials

4.2.1. Plant extractions

The extracts were prepared and provided by our collaborator. The extraction procedure is described in Chapter 2, section 2.2.1.

4.2.2. Bacterial cultures

Isolation of *S. mutans* strains is described in Chapter 2, section 2.2.2.

4.2.3. Acid production assay

The effect of the *U. chamae* roots extracts on acid production by the *S. mutans* was studied using pH sequential technique as previously described by (Gulube and Patel, 2016). Five hundred microlitres of *S. mutans* inoculum containing approximately 10^5 - 10^6 cfu/ml were transferred into a specimen jar containing 25 ml of 5 % sucrose tryptone broth and *U. chamae* extract at different concentrations of 0.005, 0.01, and 0.02 mg/ml respectively. A specimen jar containing *S. mutans* and 5 % sucrose tryptone broth with no plant extract was used as an experimental control. The specimen jars were incubated at 37°C for 16 hours. At 0, 10, 12, 14, and 16 hours, 2 ml of *S. mutans* culture was removed and the pH was measured with a pH glass electrode. In addition, bacterial counts were obtained by plating 100 µl of the serially diluted *S. mutans* culture into blood agar at each incubation period. These experiments were repeated three times using five strains of *S. mutans*.

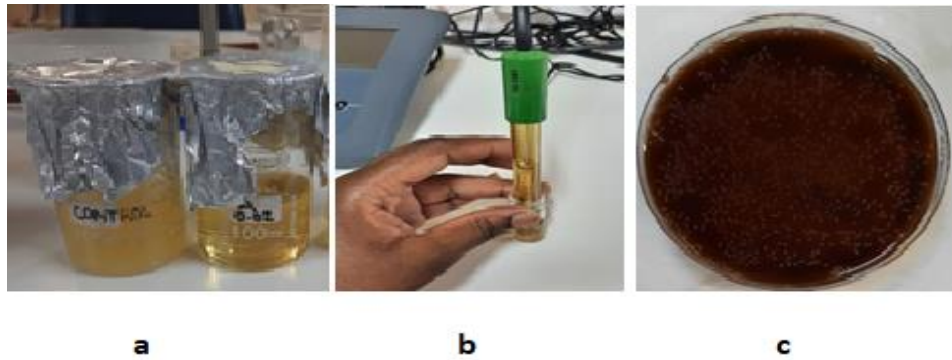


Figure 4.1: Acid production assay a) Grow *S. mutans* at 37°C for 48 hours b) pH measurements c) *S. mutans* colonies on blood agar

4.2.4. Statistical analysis

The measured pH readings of the test results were compared with the experimental control readings using the Wilcoxon Rank Sum Test. The test bacterial counts were compared with the experimental control using ordinary one-way ANOVA. P values <0.05 were considered significant.

4.3. Results

The effects of *U. chamae* on *S. mutans* acid production were evaluated using pH measurements at 3 different concentrations. The results in a form of pH readings are shown in Table 4.1. The results of the bacterial counts are shown in Table 4.2-4.4. Bacterial counts were converted into logs and the graph showing time vs pH readings and bacterial counts were prepared (Figure 4.1). The results showed that all three concentrations inhibited acid production and bacterial counts in all the five strains of *S. mutans* in a concentration-dependent manner. The reduction in bacterial counts and acid production increased with time. At 10 hours, the pH of the control experiment significantly decreased from pH 6.62 to pH 5.41 and it was not due to bacterial counts because the test counts were not significantly different from the control. In the presence of the plant extract, the pH of the culture did not drop below 6.50 after 16 hours. The percentage reduction of the bacterial counts was 66.66, 77.37 and 86.39 % in 0.005, 0.01, and 0.02 mg/ml respectively after 10 hours. After 12 hours, *S. mutans* counts were reduced by 79.42, 81.15 and

87.13 % at 0.005, 0.01, and 0.02 mg/ml respectively. At 14 hours, the percentage reduction was 90.10, 89.69 and 94.34 % in 0.005, 0.01, and 0.02 mg/ml respectively. Percentage reduction was 89.68, 88.41 and 93.91 at 0.005, 0.01, and 0.02 mg/ml respectively at 16 hours. Statistical analysis showed that all the test concentrations significantly reduced acid and bacterial counts with $p < 0.01$.

Table 4.1: The effect of 0.005, 0.01 and 0.02 mg/ml of *U. chamae* extract on *S. mutans* acid production

| Strains | Repeats | 0 hours | | | | 10 hours | | | | 12 hours | | | | 14 hours | | | | 16 hours | | | |
|-------------|---------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | | control | 0.005 | 0.01 | 0.02 | Control | 0.005 | 0.01 | 0.02 | Control | 0.005 | 0.01 | 0.02 | Control | 0.005 | 0.01 | 0.02 | control | 0.005 | 0.01 | 0.02 |
| SM1 | 1 | 6.73 | 6.75 | 6.72 | 6.77 | 6.09 | 6.45 | 6.54 | 6.61 | 5.33 | 6.23 | 6.56 | 6.42 | 4.82 | 6.07 | 6.28 | 6.56 | 4.70 | 5.66 | 6.36 | 6.47 |
| | 2 | 6.57 | 6.53 | 6.52 | 6.57 | 6.12 | 6.48 | 6.48 | 6.67 | 5.56 | 6.31 | 6.40 | 6.43 | 5.00 | 6.37 | 6.40 | 6.26 | 4.76 | 6.39 | 6.39 | 6.41 |
| | 3 | 6.58 | 6.53 | 6.50 | 6.55 | 6.14 | 6.47 | 6.48 | 6.55 | 5.54 | 6.33 | 6.38 | 6.49 | 5.09 | 6.26 | 6.43 | 6.43 | 4.68 | 6.36 | 6.44 | 6.44 |
| SM6 | 1 | 6.51 | 6.61 | 6.66 | 6.73 | 4.83 | 6.35 | 6.25 | 6.48 | 4.53 | 6.19 | 6.64 | 6.62 | 4.49 | 6.59 | 6.45 | 6.59 | 4.52 | 6.31 | 6.48 | 6.55 |
| | 2 | 6.52 | 6.57 | 6.64 | 6.66 | 4.70 | 6.40 | 6.43 | 6.47 | 4.72 | 6.54 | 6.53 | 6.59 | 4.52 | 6.4 | 6.37 | 6.50 | 4.47 | 6.36 | 6.40 | 6.55 |
| | 3 | 6.54 | 6.57 | 6.61 | 6.67 | 4.42 | 6.36 | 6.51 | 6.49 | 4.59 | 6.40 | 6.53 | 6.59 | 4.49 | 6.61 | 6.52 | 6.58 | 4.50 | 6.40 | 6.53 | 6.54 |
| SM7 | 1 | 6.73 | 6.82 | 6.70 | 6.82 | 5.62 | 6.54 | 6.63 | 6.67 | 4.83 | 6.53 | 6.82 | 6.78 | 4.77 | 6.81 | 6.89 | 6.86 | 4.75 | 6.86 | 6.92 | 6.9 |
| | 2 | 6.74 | 6.78 | 6.81 | 6.69 | 5.66 | 6.60 | 6.64 | 6.69 | 4.98 | 6.83 | 6.74 | 6.76 | 4.76 | 6.82 | 6.89 | 6.88 | 4.63 | 6.91 | 6.93 | 6.91 |
| | 3 | 6.72 | 6.69 | 6.70 | 6.70 | 5.56 | 6.63 | 6.65 | 6.75 | 4.96 | 6.83 | 6.8 | 6.76 | 4.81 | 6.85 | 6.8 | 6.96 | 4.66 | 6.89 | 6.93 | 6.9 |
| SM12 | 1 | 6.58 | 6.82 | 6.65 | 6.77 | 5.86 | 6.67 | 6.5 | 6.81 | 5.37 | 6.47 | 6.55 | 6.54 | 6.67 | 6.75 | 6.71 | 6.67 | 4.78 | 6.82 | 6.62 | 6.66 |
| | 2 | 6.74 | 6.81 | 6.70 | 6.82 | 5.78 | 6.77 | 6.76 | 6.54 | 5.64 | 6.81 | 6.18 | 6.56 | 4.49 | 6.75 | 6.63 | 6.79 | 4.78 | 6.65 | 6.34 | 6.81 |
| | 3 | 6.72 | 6.70 | 6.75 | 6.65 | 5.79 | 6.63 | 6.57 | 6.53 | 5.31 | 6.55 | 6.54 | 6.52 | 5.03 | 6.73 | 6.61 | 6.78 | 4.75 | 6.73 | 6.88 | 6.72 |
| SM13 | 1 | 6.57 | 6.61 | 6.56 | 6.58 | 4.82 | 6.51 | 6.47 | 6.45 | 4.61 | 6.45 | 6.42 | 6.47 | 4.47 | 6.39 | 6.46 | 6.45 | 4.44 | 6.36 | 6.46 | 6.41 |
| | 2 | 6.44 | 6.62 | 6.46 | 6.52 | 4.93 | 6.49 | 6.48 | 6.48 | 4.49 | 6.45 | 6.45 | 6.49 | 4.44 | 6.39 | 6.35 | 6.42 | 4.44 | 6.38 | 6.45 | 6.44 |
| | 3 | 6.59 | 6.65 | 6.62 | 6.61 | 4.80 | 6.44 | 6.48 | 6.46 | 4.56 | 6.44 | 6.44 | 6.44 | 4.45 | 6.36 | 6.37 | 6.31 | 4.45 | 6.45 | 6.43 | 6.44 |
| Mean | | 6.62 | 6.67 | 6.64 | 6.67 | 5.41 | 6.52 | 6.52 | 6.58 | 5.00 | 6.49 | 6.53 | 6.56 | 4.82 | 6.52 | 6.54 | 6.60 | 4.62 | 6.50 | 6.57 | 6.61 |
| ±SD | | 0.10 | 0.10 | 0.10 | 0.10 | 0.59 | 0.12 | 0.12 | 0.12 | 0.42 | 0.20 | 0.17 | 0.12 | 0.56 | 0.23 | 0.20 | 0.21 | 0.14 | 0.32 | 0.23 | 0.19 |

Table 4.2: The effect of 0.005 mg/ml of *U. chamae* on *S. mutans* counts in acid assay

| Strains | Repeats | 0 hours | | 10 hours | | 12 hours | | 14 hours | | 16 hours | |
|--------------------|---------|---------------|---------------|----------------|---------------|----------------|---------------|----------------|---------------|----------------|---------------|
| | | Control | Plant | Control | Plant | Control | Plant | Control | Plant | Control | Plant |
| SM1 | 1 | 435000 | 371500 | 133000 | 220900 | 276000 | 227000 | 892000 | 230300 | 1216000 | 302600 |
| | 2 | 335000 | 345000 | 1056000 | 380000 | 226800 | 214400 | 3240000 | 285600 | 1368000 | 304000 |
| | 3 | 418000 | 323000 | 1536000 | 341600 | 2136000 | 463000 | 4800000 | 196600 | 341600 | 144200 |
| SM6 | 1 | 480000 | 472000 | 185000 | 265600 | 3540000 | 192000 | 5600000 | 444000 | 5640000 | 248000 |
| | 2 | 372000 | 172400 | 5680000 | 264000 | 3384000 | 369600 | 5600000 | 461600 | 4095000 | 270400 |
| | 3 | 318400 | 605600 | 444000 | 362400 | 1992000 | 395200 | 454200 | 278200 | 4812000 | 304000 |
| SM7 | 1 | 286400 | 936000 | 1870000 | 384000 | 2160000 | 344000 | 578000 | 288800 | 3370000 | 420000 |
| | 2 | 358800 | 346000 | 2460000 | 216000 | 3400000 | 252000 | 560000 | 284800 | 5760000 | 282400 |
| | 3 | 456000 | 345000 | 1568000 | 384000 | 216000 | 234400 | 3674000 | 228000 | 2736000 | 280000 |
| SM12 | 1 | 864000 | 1170000 | 2460000 | 525600 | 152950 | 744000 | 2540000 | 294000 | 726000 | 423600 |
| | 2 | 856000 | 774000 | 482000 | 420400 | 2160000 | 257600 | 840000 | 257600 | 428800 | 422400 |
| | 3 | 672000 | 784000 | 340000 | 362400 | 3420000 | 464000 | 3670000 | 204000 | 3612000 | 344000 |
| SM13 | 1 | 354000 | 218400 | 128000 | 364800 | 390000 | 312800 | 7680000 | 269600 | 4200000 | 372000 |
| | 2 | 435000 | 371500 | 133000 | 220900 | 276000 | 227000 | 892000 | 230300 | 1216000 | 302600 |
| | 3 | 309600 | 384000 | 4220000 | 2400000 | 540000 | 297600 | 4836000 | 588000 | 5832000 | 260000 |
| Mean | | 463347 | 507893 | 1513000 | 474173 | 1617983 | 332973 | 3057080 | 302760 | 3023560 | 312013 |
| ±SD | | 186297 | 285598 | 1645285 | 539618 | 1377127 | 143517 | 2314712 | 109637 | 2017434 | 75509 |
| % Reduction | | | | 66.66 | | 79.42 | | 91.00 | | 89.68 | |

Table 4.3: The effect of 0.01 mg/ml of *U. chamae* on *S. mutans* counts in acid assay

| Strains | Repeats | 0 hours | | 10 hours | | 12 hours | | 14 hours | | 16 hours | |
|--------------------|---------|---------------|---------------|----------------|---------------|----------------|---------------|----------------|---------------|----------------|---------------|
| | | Control | Plant | Control | Plant | Control | Plant | Control | Plant | Control | Plant |
| SM1 | 1 | 435000 | 361500 | 133000 | 267800 | 276000 | 210600 | 892000 | 191300 | 1216000 | 218000 |
| | 2 | 335000 | 311000 | 1056000 | 228000 | 226800 | 206800 | 3240000 | 285500 | 1368000 | 254100 |
| | 3 | 418000 | 300000 | 1536000 | 289200 | 2136000 | 143500 | 4800000 | 226200 | 341600 | 240500 |
| SM6 | 1 | 480000 | 240800 | 185000 | 112000 | 3540000 | 374400 | 5600000 | 284000 | 5640000 | 312800 |
| | 2 | 372000 | 360000 | 5680000 | 275200 | 3384000 | 236000 | 5600000 | 376800 | 4095000 | 282400 |
| | 3 | 318400 | 268000 | 444000 | 239200 | 1992000 | 202000 | 454200 | 305200 | 4812000 | 256000 |
| SM7 | 1 | 286400 | 580000 | 1870000 | 240800 | 2160000 | 309600 | 578000 | 268000 | 3370000 | 471000 |
| | 2 | 358800 | 264000 | 2460000 | 254400 | 3400000 | 116800 | 560000 | 131200 | 5760000 | 188800 |
| | 3 | 456000 | 4110000 | 1568000 | 360000 | 216000 | 231200 | 3674000 | 81600 | 2736000 | 182400 |
| SM12 | 1 | 864000 | 640000 | 2460000 | 754200 | 152950 | 664000 | 2540000 | 804000 | 726000 | 372000 |
| | 2 | 856000 | 682000 | 482000 | 444000 | 2160000 | 680000 | 840000 | 242800 | 428800 | 600000 |
| | 3 | 672000 | 656000 | 340000 | 525600 | 3420000 | 388000 | 3670000 | 560800 | 3612000 | 580000 |
| SM13 | 1 | 354000 | 318000 | 128000 | 421600 | 390000 | 398400 | 7680000 | 434400 | 4200000 | 230000 |
| | 2 | 435000 | 361500 | 133000 | 267800 | 276000 | 210600 | 892000 | 191300 | 1216000 | 218000 |
| | 3 | 309600 | 456000 | 4220000 | 456000 | 540000 | 202800 | 4836000 | 344000 | 5832000 | 850000 |
| Mean | | 463347 | 660587 | 1513000 | 342387 | 1617983 | 304980 | 3057080 | 315140 | 3023560 | 350400 |
| ±SD | | 186297 | 966370 | 1645285 | 157280 | 1377127 | 171169 | 2314712 | 180189 | 2017434 | 192692 |
| % Reduction | | | | 77.37 | | 81.15 | | 89.69 | | 88.41 | |

Table 4.4: The effect of 0.02 mg/ml of *U. chamae* extract on *S. mutans* counts in acid assay

| Strains | Repeats | 0 hours | | 10 hours | | 12 hours | | 14 hours | | 16 hours | |
|--------------------|---------|---------------|---------------|----------------|---------------|----------------|---------------|----------------|---------------|----------------|---------------|
| | | Control | Plant | Control | Plant | Control | Plant | Control | Plant | Control | Plant |
| SM1 | 1 | 435000 | 420000 | 133000 | 143550 | 276000 | 135000 | 892000 | 119900 | 1216000 | 110300 |
| | 2 | 335000 | 345000 | 1056000 | 127900 | 226800 | 151500 | 3240000 | 164000 | 1368000 | 79450 |
| | 3 | 418000 | 205000 | 1536000 | 148000 | 2136000 | 77600 | 4800000 | 141500 | 341600 | 190500 |
| SM6 | 1 | 480000 | 994400 | 185000 | 235600 | 3540000 | 180800 | 5600000 | 74800 | 5640000 | 172800 |
| | 2 | 372000 | 344000 | 5680000 | 143000 | 3384000 | 87200 | 5600000 | 124400 | 4095000 | 50800 |
| | 3 | 318400 | 322400 | 444000 | 215000 | 1992000 | 226800 | 454200 | 113200 | 4812000 | 96000 |
| SM7 | 1 | 286400 | 2440000 | 1870000 | 176000 | 2160000 | 176000 | 578000 | 288800 | 3370000 | 222200 |
| | 2 | 358800 | 410400 | 2460000 | 215200 | 3400000 | 176000 | 560000 | 240800 | 5760000 | 192000 |
| | 3 | 456000 | 287000 | 1568000 | 220000 | 216000 | 242400 | 3674000 | 148800 | 2736000 | 82400 |
| SM12 | 1 | 864000 | 602000 | 2460000 | 301600 | 152950 | 230400 | 2540000 | 138200 | 726000 | 204900 |
| | 2 | 856000 | 1640000 | 482000 | 444000 | 2160000 | 218400 | 840000 | 240400 | 428800 | 262000 |
| | 3 | 672000 | 868000 | 340000 | 164100 | 3420000 | 300000 | 3670000 | 218800 | 3612000 | 260000 |
| SM13 | 1 | 354000 | 361200 | 128000 | 301600 | 390000 | 562000 | 7680000 | 269600 | 4200000 | 320800 |
| | 2 | 435000 | 420000 | 133000 | 143550 | 276000 | 135000 | 892000 | 119900 | 1216000 | 110300 |
| | 3 | 309600 | 288000 | 4220000 | 110300 | 540000 | 224000 | 4836000 | 189700 | 5832000 | 408000 |
| Mean | | 463347 | 663160 | 1513000 | 205960 | 1617983 | 208207 | 3057080 | 172853 | 3023560 | 184163 |
| ±SD | | 186297 | 618262 | 1645285 | 88321 | 1377127 | 114772 | 2314712 | 64558 | 2017434 | 100171 |
| % Reduction | | | | 86.39 | | 87.13 | | 94.34 | | 93.91 | |

Table 4.5: Statistical analysis of the data obtained in the acid production assay

| Test | Comparison | P value |
|-------------------------|-------------------------------|---------|
| pH | Control to all concentrations | <0.0001 |
| | Control to 0.005 mg/ml | 0,0004 |
| | Control to 0.01 mg/ml | 0,0004 |
| | Control to 0.02 mg/ml | 0,0003 |
| Bacterial counts | Control to all concentrations | <0.0001 |
| | Control to 0.005 mg/ml | 0,0060 |
| | Control to 0.01 mg/ml | 0,0052 |
| | Control to 0.02 mg/ml | 0.0034 |

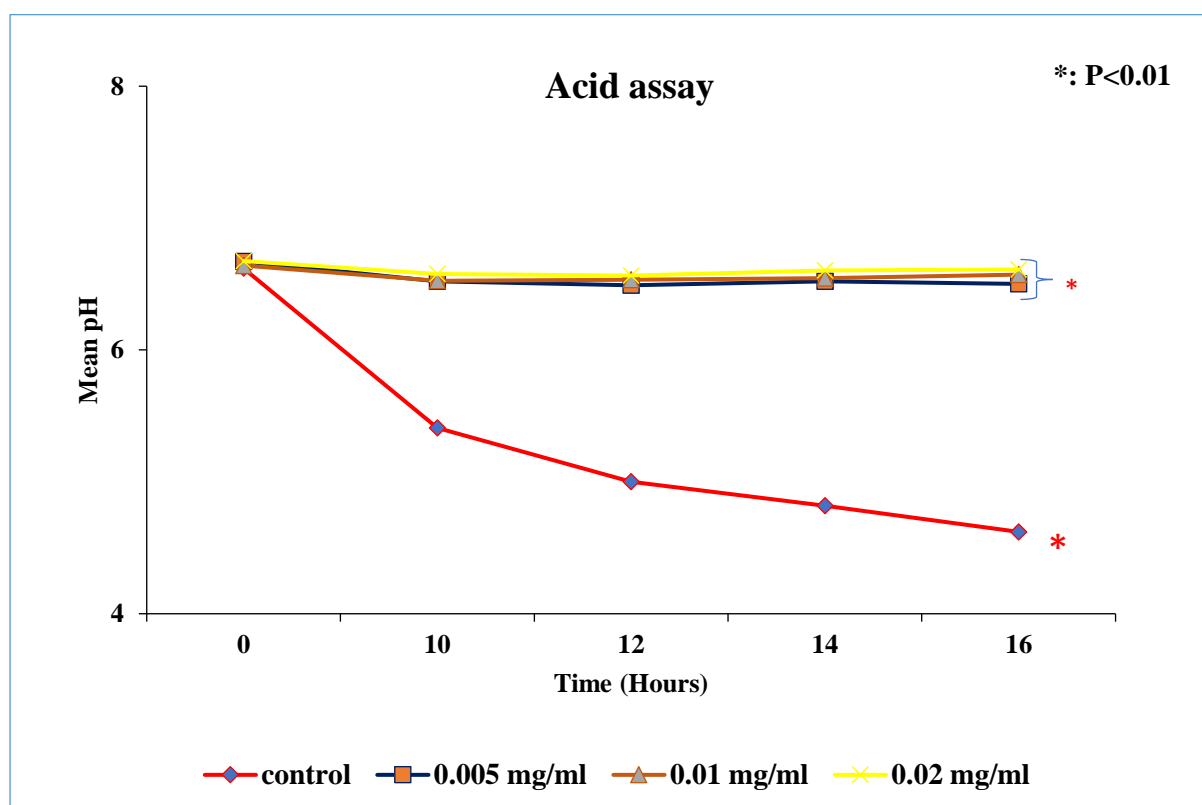


Figure 4.2: The effect of *U. chamae* on *S. mutans* acid production in acid assay

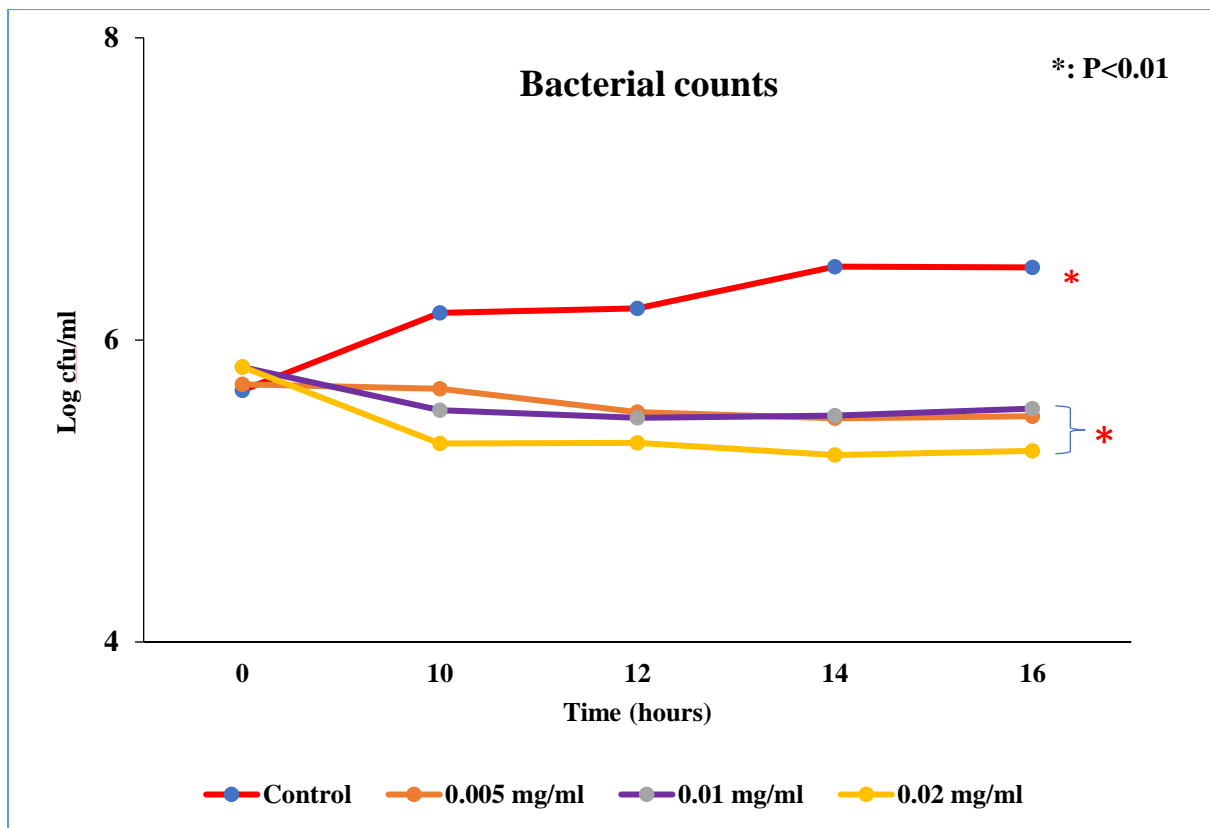


Figure 4.3: The effect of *U. chamae* on the growth of *S. mutans* in acid production assay

4.4. Discussion

Acid production is another important virulence factor in *S. mutans* (Xu et al., 2014). Natural plant products, mainly phytochemicals, and their derivatives have been used as major sources of effective therapeutic agents throughout history and are considered as an alternative to antimicrobial agents (Jeon et al., 2011). Phytochemicals that can inhibit the glycolytic pathway in oral bacteria are of interest. In the present study, *U. chamae* significantly reduced the level of acid produced by *S. mutans*. At 10 hours, the control experiment produced a critical pH below 5.5 in which demineralization of tooth enamel occurs and it was independent of the bacterial counts. The presence of the plant extract did not allow the pH to drop below 6.50. The plant extract might have interfered with the glycolytic pathway e.g F-ATPase which is responsible for the aciduric and acidogenic properties of *S. mutans*. Additionally, the plant extract might have affected the catalytic enzymes lactate dehydrogenase involved in the conversion of glucose to pyruvate and subsequently into lactic acid, and/or the enolase enzyme which is responsible for the conversion of 2-phosphoglycerate to phosphoenolpyruvate and subsequent production of acid. After 10 hours, the inhibitory effect of the plant extract was due to antibacterial activity rather than the direct effect on the glycolytic pathway and/or disruption on the membrane-associated F-ATPase activity since the bacterial counts were significantly reduced.

Medicinal plants and their compounds are known to inhibit acid production of cariogenic streptococci and some of them appear to reduce bacterial growth rather than inhibiting the glycolytic activity and/ or aciduricity of the cariogenic organisms. For example, a study by Prabu et al. (2006) found that subinhibitory concentrations of guaijaverin were associated with a gradual decrease in the acid production of *S. mutans*. The effect of the guaijaverin on the acid production of *S. mutans* was related to the bacteriostatic effect of the bioactive compounds present on the plant extract (Prabu et al., 2006). Moreover, Gregoire et al. (2007) has shown that methanolic extracts of *Polygonum cuspidatum* can inhibit glycolytic acid production thereby reducing *S. mutans* acid production. The author suggested that the inhibitory effect may be due to the presence of bioactive compounds in the extract (Palombo et al., 2011). Smullen et al. (2007) have shown that 70% aqueous propanone extracts of fermented cocoa, unfermented cocoa, cocoa liquor, and several polyphenol fractions derived from unfermented

cocoa extract inhibited the growth and acid production by *S. mutans*. The effect of these extracts was associated with the bacteriostatic effect of the extracts (Smullen et al., 2007).

Recent studies have shown that some medicinal plants and their metabolites affect the acidogenicity of *S. mutans* by disrupting the membrane proton motive force, enzymatic activity, and expression of specific enzymes related to sugar transport, glycolysis, and general metabolism. For example, 7-epiclusianone isolated from *Rheedia garneriana*, and α -farnesol has been reported to increase the proton permeability of *S. mutans* cells, causing cytoplasmic acidification, and thereby inhibiting the acid-sensitive intracellular glycolytic enzymes (Jeon et al., 2011). Moreover, Gregoire et al., (2007) has shown that the cranberry flavonols disrupt the membrane-associated F₁-ATPase activity of *S. mutans*, thereby reducing acid production (Gregoire et al., 2007). The fruit extracts such as cranberry, cocoa, babchi, mangosteen, and grape have shown inhibitory effects on the F₀-ATPase, F₁-ATPase, and acid production activities of *S. mutans*. In addition to the F₁-F₀-ATPase, the flavones have also been shown to interact with the Ca²⁺-ATPase and Na⁺/K⁺-ATPase. The α -mangostin has been shown to inhibit the *S. mutans* glycolysis thereby leading to indirect inhibition of respiration (Abachi et al., 2016). The propolis extracts have been found to inhibit the acidogenicity and aciduricity of *S. mutans*. Furthermore, apigenin and α -farnesol significantly reduce caries development in rats with minimal effects on the population of viable cells in the plaque. The antimicrobial effect of this extract was attributed to inhibition of the bacterial glycolysis (Jeon et al., 2011). A crude extract of *Psidium cattleianum* and epigallocatechin gallate (isolated from the green tea extracts) has been shown to disrupt the expression and activity of lactate dehydrogenase enzyme, which is involved in the glycolytic pathway of *S. mutans* (Hirasawa et al., 2006; Brighenti et al., 2008).

4.5. Conclusion

The concentrations of 0.005, 0.01, and 0.02 mg/ml of *U. chamae* extract reduced the bacterial counts and acid production of *S. mutans*. The activity of *U. chamae* extract on acid production was due to the antibacterial effect of the extract. This extract did not target the virulence of *S. mutans* acid production but affected the number of infectious agents thereby reducing acid production. These results are significant as acid production has a direct influence on the

aetiology of dental caries and advocates this extract as a candidate for the development of a natural product-based caries prevention product.

Chapter 5: The effect of *Uvaria chamae* dichloromethane extract on soluble and insoluble extracellular polysaccharides production by *Streptococcus mutans*

5.1. Introduction

Extracellular polysaccharides are recognized as an essential virulence factor associated with dental caries (Szkaradkiewicz-Karpińska and Szkaradkiewicz, 2021). The ability of *S. mutans* to produce extracellular polysaccharides has a significant impact on the development and composition of pathogenic biofilms (Lins de Sousa et al., 2015). Extracellular glucans are involved in the pathogenesis of dental caries and they are synthesized by the enzyme glucosyltransferases (Gtfs). These glucans promote adherence and accumulation of cariogenic bacteria to the tooth surfaces (Chen et al., 2016). Although *S. mutans* accounts for only a small fraction of the oral microflora in healthy conditions, this cariogenic oral pathogen can rapidly assemble virulence biofilms through the production of exopolysaccharides in the presence of high exposure to dietary sugar (Palmer et al., 2019). Other microbial species e.g *Actinomyces viscosus*, *Lactobacillus casei*, and *Candida albicans* become extracellular glucan producers when they are bound to *S. mutans* Gtfs and contribute to the growing multispecies biofilm (Cugini et al., 2019).

Sucrose is considered the most cariogenic dietary carbohydrate, and also serves as a substrate for the synthesis of extracellular (EPS) and intracellular (IPS) polysaccharides (Oliveira et al., 2021; Leme et al., 2006a). *Streptococcus mutans* utilize dietary sucrose to synthesize soluble and insoluble exopolysaccharides (EPS) by glucosyltransferases (GtfBCD, forming homopolymers of glucose called glucans) and fructosyltransferase (Ftf, forming homopolymers of fructose termed fructans) secreted by *S. mutans* and can be internalized for fermentation through the sugar: phosphotransferase system-Figure 5.1(Cai et al., 2016; Napimoga et al., 2005). The glucans promote bacterial attachment and biofilm accumulation, whereas fructans serve primarily as extracellular storage polysaccharides that contribute to the persistence and cariogenicity of *S. mutans* (Oliveira et al., 2021). The glucan-binding domain (GLU) is responsible for the composition of the glucans and promotes adherence to the tooth surface, forming compact dental plaque (Huang et al., 2013). EPS and IPS are storage nutrients for the bacteria (Costa Oliveira et al., 2017).

In addition to GTFs, another two cell-associated antigens (Ags) are correlated directly with the ability of *S. mutans* to adhere to EPS and accumulate in the tooth surface. These are antigen I/II (AgI/II) as well as the glucan-binding protein (Smith, 2002). Glucan binding protein A contains carboxyl-terminal repeats similar to the glucan-binding domain of glucosyltransferase enzymes and it contributes to the development of optimal plaque biofilm. Furthermore, gbpA also plays an important role in binding proteins and exopolysaccharides during the construction of the biofilm. A deficiency of gbpA results in loose binding to the EPS matrix, resulting in a weak non-uniform biofilm structure (Matsumoto-Nakano, 2018).

Extracellular polysaccharides contain biologically active components, such as antimicrobial enzymes. The biological function of these enzymes is to protect the biofilm community against noxious environmental stimuli (Seneviratne et al., 2011). Due to the presence of LTA and possibly eDNA, EPS from *S. mutans* may be charged. The presence of negatively charged EPS affects the diffusion and antimicrobial activity of positively charged chlorohexidine. This results in acid accumulation and hinders neutralization by buffering saliva that surrounds the teeth (Klein et al., 2015). Furthermore, EPS could constitute an extracellular energy reserve in which soluble glucans and fructans are degraded by specific hydrolases, releasing glucose and fructose to be metabolized, resulting in acids production. While EPS degradation may occur during "famine" periods, the acid produced by a non-removed biofilm may prolong enamel demineralization, particularly at night when the salivary flow rate is low (Costa Oliveira et al., 2017).

The widespread usage of fluoride has had a significant impact on caries prevention (Thurnheer and Belibasakis, 2018). Fluoride plays an important role in preventing the prevalence and severity of dental caries. It is a well-known cariostatic agent that inhibits demineralization while promoting remineralization. It also plays an important role in caries prevention by inhibiting the synthesis of extracellular polysaccharides (EPS) by reducing the secretion of the enzyme glucosyltransferase (Li et al., 2020; Nassar and Gregory, 2017). However, excess use of fluoride results in adverse effects such as fluorosis. Therefore, the development of an alternative cariostatic agent with minimal side effects is urgent. Nowadays, oral care products and medicinal plant extracts are gaining high interest because they are less toxic and have fewer side effects compared to synthetic drugs (Şener and Kiliç, 2019). Therefore, this study aimed to investigate the effect of *U. chamae* on the production of soluble and insoluble extracellular polysaccharides by *S. mutans*.

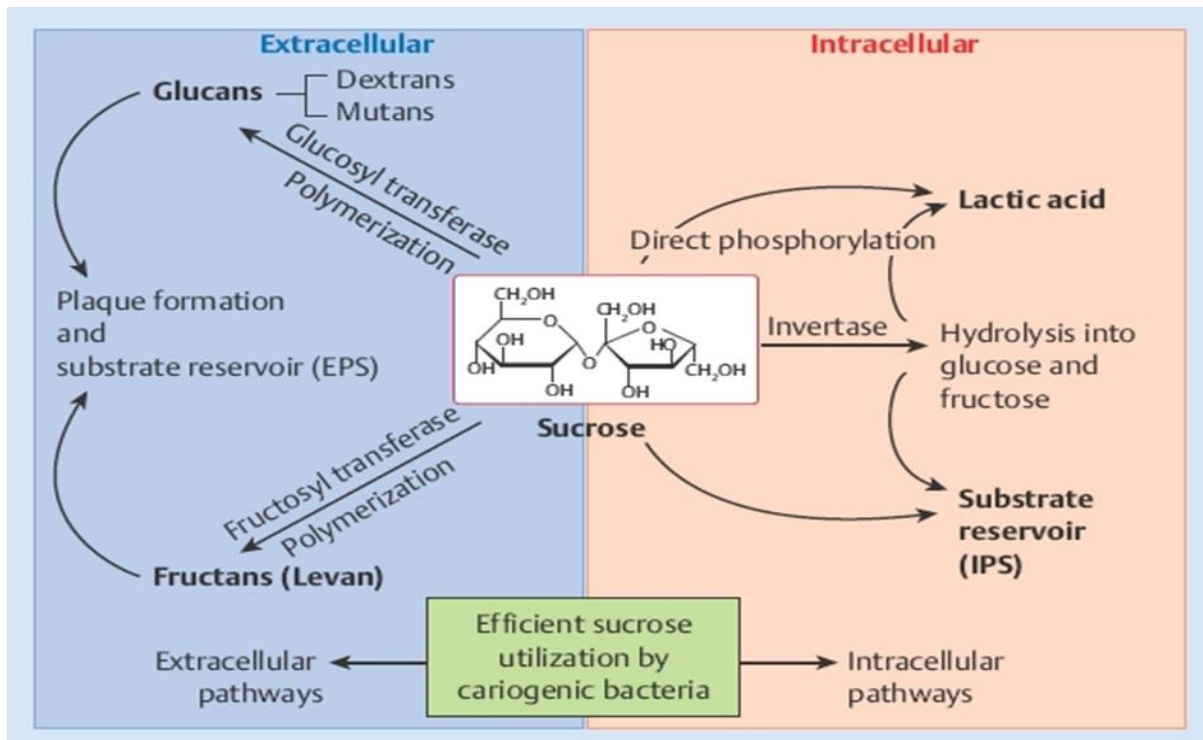


Figure 5.1: Illustration of the efficient metabolism of sucrose by cariogenic *S. mutans*.

5.2. Method and materials

5.2.1. Bacterial cultures

Five cariogenic *S. mutans* clinical strains were obtained and isolated as described in Chapter 2, section 2.2.2.

5.2.2. Plant materials and extraction

Uvaria chamae extracts were prepared and provided by our collaborator from Nigeria. The extraction procedure is described in Chapter 2, section 2.2.1.

5.2.3. Soluble extracellular polysaccharide production assay

The effect of *U. chamae* on the SEPS production was studied using a technique as previously described by (Koo et al., 2002). The *S. mutans* was grown in 5% sucrose broth for 48 hours. Thereafter, 5 ml of the resulting culture was centrifuged at 5000×g for 10 minutes. The procedure was repeated 3 times. After centrifugation, the supernatant was collected and placed on a clean 50 millilitres centrifuge tube. Pellets were used in the subsequent assay. Three volumes of absolute ethanol were added into the supernatant and placed at -20°C for 30 minutes to precipitate polysaccharides. The resulting mixture was centrifuged at 5000×g for 5 minutes followed by washing the pellets with 70% ethanol. The precipitate was resuspended in a 5 ml sodium hydroxide (NaOH). Two ml of the 5% aqueous phenol and 5 millilitres of the concentrated sulphuric acid were added to the mixture and allowed to stand at room temperature in dark for 10 minutes. Thereafter, the mixture was vortexed for 30s then placed in a water bath at the temperature of 25°C for 20 minutes. The absorbance was read at 490 nm. This study was performed in triplicates using 5 strains of *S. mutans*.

5.2.4. Insoluble Extracellular Polysaccharide production assay

Five millilitres of 1 M sodium hydroxide was added to the pellets (described above). The suspension was vortexed for 30 s, agitated for 15 minutes, and centrifuged for 5 minutes at 5000×g. The supernatant was transferred to a clean 50 ml centrifuge tube. This step was repeated three times. The supernatant was pooled together and three times the volume of cold absolute ethanol was added. The polysaccharides were allowed to precipitate at -20°C for 30 minutes. The mixture was centrifuged at 5000×g for 5 minutes and the pellet was washed with 70% ethanol. The precipitate was then resuspended in 5 ml of NaOH. Two millilitres of 5% aqueous solution of phenol and 5 mL of concentrated sulphuric acid were added rapidly to the mixture and were left to stand at room temperature in the dark for 10 minutes. Thereafter, it was vortexed for 30 s, placed in the water bath at 25°C for 20 minutes and the absorbance was read at 490 nm. These experiments were repeated three times for 5 strains of *S. mutans*.

5.2.5. Statistical analysis

The effect of different concentrations of *U. chamae* extract on SEPS and IEPS production by *S. mutans* was determined by comparing optical density (OD) readings of the test results to the controls using the Wilcoxon Rank Sum Test. The mean and standard deviations were calculated using Microsoft Excel. The percentage reduction in the SEPS and IEPS was calculated using the formula $(x-y/x) \times 100$ where x is the control while y is the test EPS optical density.

5.3. Results

The amount of SEPS and IEPS produced by *S. mutans* were quantitatively measured using the phenol sulphuric acid technique. The results in a form of optical density readings are shown in Table 5.1 and are presented in the graphs (Figure 5.1). Additionally, the percentage reduction was calculated and a graph is presented in Figure 5.2. The percentage reduction in this test results ranged between 14.29 to 36.19% for SEPS and 0 to 46.67% for IEPS. The percentage reduction of the test results on IEPS was similar at approximately 0.005 and 0.02 mg/ml. At 0.01 mg/ml, the percentage reduction of SEPS was 0 %. The statistical analysis showed that the test concentrations of *U. chamae* extract had no significant effect on the SEPS and IEPS production in the planktonic growth of *S. mutans* with the p value < 0.05.

Table 5.1: The effect of 0.005, 0.01, and 0.02 mg/ml of *U. chamae* on the production of soluble and insoluble extracellular polysaccharides by planktonic cells of *S. mutans*.

| Optical density at 490 nm | | | | | | | | | |
|---------------------------|---------|-------------|--------------|--------------|--------------|-------------|--------------|--------------|--------------|
| Strain | Repeats | SEPS | | | | IEPS | | | |
| | | Control | 0.005 | 0.01 | 0.02 | Control | 0.005 | 0.01 | 0.02 |
| SM1 | 1 | 0.441 | 0.599 | 0.724 | 0.559 | 0.182 | 0.066 | 0.057 | 0.059 |
| | 2 | 0.596 | 0.666 | 0.167 | 0.491 | 0.175 | 0.055 | 0.06 | 0.059 |
| | 3 | 0.264 | 0.179 | 0.162 | 0.178 | 0.243 | 0.065 | 0.058 | 0.062 |
| SM6 | 1 | 1.625 | 0.648 | 1.064 | 2.231 | 0.130 | 0.071 | 0.083 | 0.083 |
| | 2 | 1.625 | 0.939 | 0.382 | 0.386 | 0.120 | 0.081 | 0.101 | 0.079 |
| | 3 | 0.722 | 0.948 | 0.762 | 1.132 | 0.108 | 0.106 | 0.053 | 0.083 |
| SM7 | 1 | 2.466 | 0.737 | 2.348 | 0.406 | 0.207 | 0.085 | 0.093 | 0.086 |
| | 2 | 2.712 | 0.929 | 1.822 | 0.742 | 0.150 | 0.087 | 0.589 | 0.082 |
| | 3 | 2.578 | 1.508 | 1.405 | 1.001 | 0.321 | 0.153 | 0.094 | 0.108 |
| SM12 | 1 | 0.325 | 0.239 | 0.315 | 0.087 | 0.097 | 0.064 | 0.080 | 0.055 |
| | 2 | 0.234 | 0.087 | 0.113 | 0.112 | 0.125 | 0.058 | 0.059 | 0.059 |
| | 3 | 0.298 | 0.111 | 0.741 | 0.155 | 0.126 | 0.058 | 0.061 | 0.083 |
| SM13 | 1 | 0.532 | 0.975 | 1.175 | 0.815 | 0.092 | 0.090 | 0.089 | 0.105 |
| | 2 | 0.718 | 1.253 | 1.035 | 0.889 | 0.116 | 0.071 | 0.097 | 0.075 |
| | 3 | 0.67 | 0.255 | 1.213 | 1.34 | 0.100 | 0.080 | 0.703 | 0.084 |
| Mean | | 1.05 | 0.67 | 0.90 | 0.71 | 0.15 | 0.080 | 0.150 | 0.08 |
| ±SD | | 0.90 | 0.43 | 0.64 | 0.57 | 0.06 | 0.02 | 0.20 | 0.02 |
| % Reduction | | | 36.19 | 14.29 | 32.38 | | 46.67 | 0 | 46.67 |

Table 5.2: Statistical analysis of the data obtained in the extracellular polysaccharides production assay

| Test | Comparison | P value |
|-------------|---|---------|
| SEPS | Control to all concentrations (overall) | 0,3125 |
| | Control to 0.005 mg/ml | 0,6672 |
| | Control to 0.01 mg/ml | 0.9596 |
| | Control to 0.02 mg/ml | 0.7222 |
| IEPS | Control to all concentrations (overall) | 0,3125 |
| | Control to 0.005 mg/ml | 0,2194 |
| | Control to 0.01 mg/ml | >0,9999 |

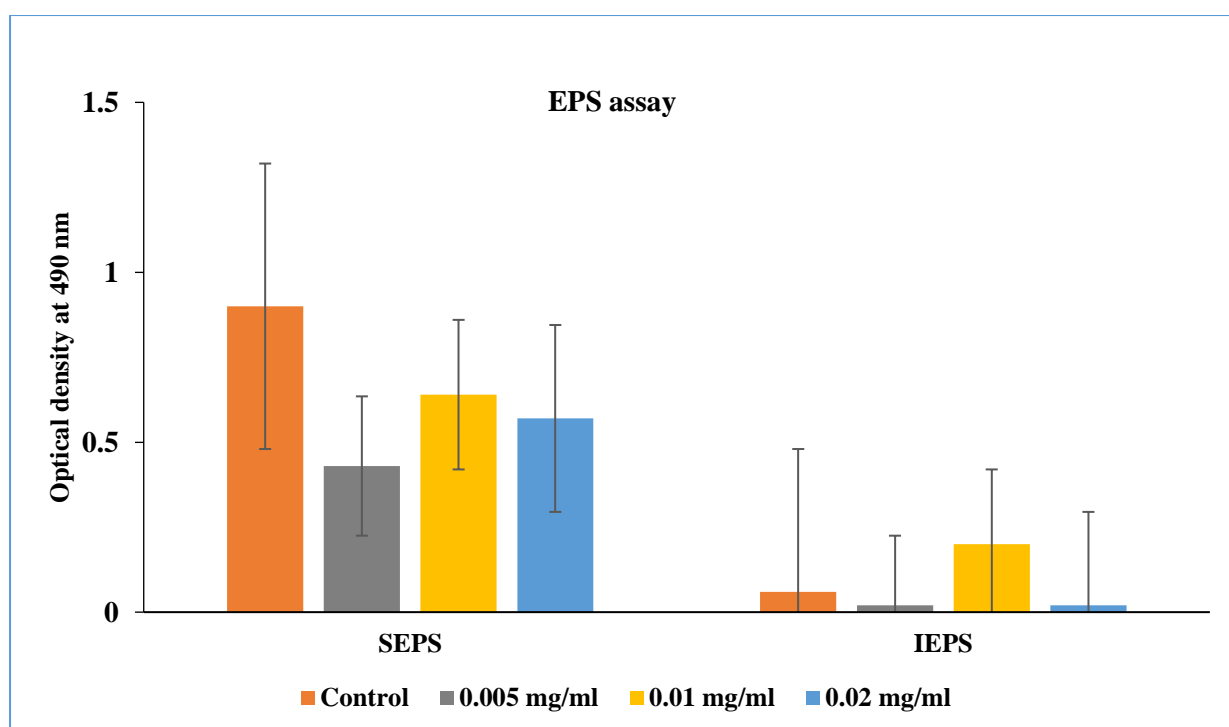


Figure 5.2: The effect of *Uvaria chamae* on soluble and insoluble extracellular polysaccharides by *Streptococcus mutans*

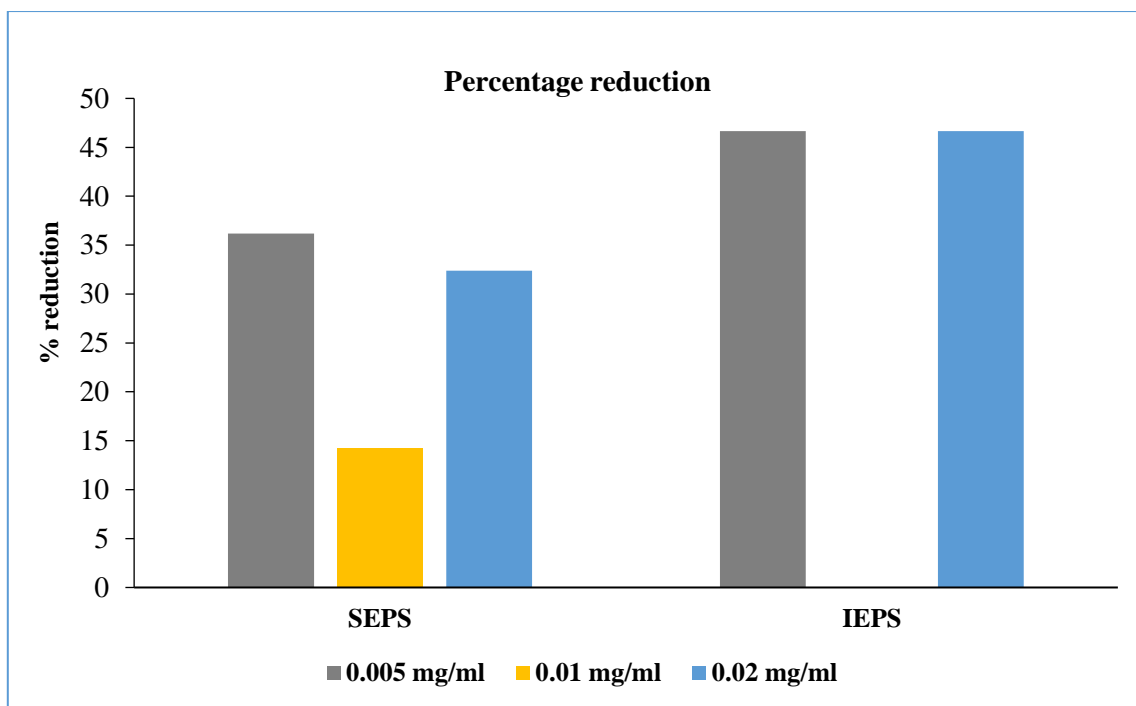


Figure 5.3: Percentage reduction of *S. mutans* soluble and insoluble extracellular polysaccharides production by *U. chamae*

5.4. Discussion

The production of extracellular polysaccharides by *S. mutans* contributes to the cariogenic potential of dental biofilms and its disruption is important for the control of dental caries (Mandava et al., 2019). Water-soluble polysaccharides serve as a source of energy for plaque bacteria when nutrient conditions become limited, and thus support cariogenic attack at the enamel surface. The water-insoluble glucans promote the adhesive interactions of bacteria with the tooth surfaces and contribute to the formation of dental biofilm. Therefore, this study investigated the effect of *U. chamae* extracts on the synthesis of SEPS and IEPS by *S. mutans*. The results showed that *U. chamae* partially inhibited the synthesis of SEPS and IEPS production. However, the reduction was not statistically significant. These results suggest that EPS might have prevented the penetration of bioactive compounds present in the plant extract due to the presence of negatively charged LTA and possibly eDNA (Klein et al., 2015). Both eDNA and LTA influence diffusion properties and thereby affect the activity of cationic antimicrobial or anti-biofilm agents (Castillo Pedraza et al., 2017). The detailed mechanisms involved in limiting diffusion remain unclear (Klein et al., 2015). In contrast, EPS were

metabolized in a small quantity, enough to maintain the bacterial basal metabolism, and were not detected by the phenol sulfuric acid method.

Medicinal plants and their products have been reported to partially inhibit the activity of the *gtfB* and *gtfC*. For example, aqueous-ethanol extracts of propolis, have shown to be effective *gtfB* and *gtfC* inhibitors, and the effect was dependent on the geographical origin and the biodiversity of the sample collected. The inhibitory effect of propolis on Gtf activity was due to the presence of flavones and flavonols compounds (Bowen and Koo, 2011). Rahim and Thurairajah has shown that the *Piper betle L.* extract affects the adhering capacity of *S. mutans* by inhibiting the activity of the GTF and hence the EPS formation (Rahim and Thurairajah, 2011). Tea polyphenols and the grape extracts inhibited EPS synthesis. Cranberry proanthocyanidins inhibited the activities of GTFs and EPS biovolumes (Lin et al., 2021). An in vivo study has shown that the polymeric polyphenols Oolong tea inhibited both the glucosyltransferase production and the sucrose-dependent cell adherence of the *S. mutans* thus preventing plaque accumulation and the development of dental caries (Gulube and Patel, 2016). The chloroform/methanol fractions of *Nidus Vespae* extract and their chemical fractions inhibited the enzymatic activity of cell-associated and extracellular GTFs by *S. mutans* at a sub-MIC concentration (Xiao et al., 2007). The *B. crassifolia* water leaves extract and sub extracts has been shown to inhibit water-insoluble glucans more than the water-soluble glucans (Liu et al., 2017). *Punica granatum* methanolic extracts have been shown to reduce insoluble EPS production by *S. mutans*. The author suggested that the plant extract might have affected the production of the enzyme glucosyltransferase, which is an enzyme responsible for the production of EPS (Gulube and Patel, 2016).

5.5. Conclusion

The results showed that *U. chamae* had no significant effect on SEPS and IEPS production in the planktonic growth. Therefore, future studies using a longer period of starvation or a methodology using radiolabelled sucrose in the fructosyl (3H) and glucosyl (14C) moieties, instead of the phenol sulfuric method, might be useful to clarify EPS metabolism. Further research was performed to fully elucidate the effect of *U. chamae* on the gene expression of GTFs (following chapter).

Chapter 6: The effect of *Uvaria chamae* extract on the expression of virulence genes in *Streptococcus mutans*

6.1. Introduction

Streptococcus mutans is the most common pathogen associated with dental caries through products of multiple genes involved in bacterial adhesion, extracellular polysaccharide formation, sugar uptake and metabolism, acid tolerance, biofilm formation, and regulation (Lee et al., 2019; You, 2019; Schneider-Rayman et al., 2021). The summary of virulence genes in *S. mutans* is shown in Figure 6.1. The first step in the development of caries is initial adherence to a solid surface, which is followed by the formation of dental plaque (Krzyściak et al., 2014). This process is regulated by several genes including the *gfpB*, *sacB (ftf)*, *vicR*, and *wapA*. These genes are involved in the sucrose-dependent adhesion, and *spaP* is involved in sucrose-independent adhesion. The genes *atIA*, *sacB (ftf)*, SMU.609, *vicR*, and *wapA* play a significant role in the formation of biofilms. Other genes such as the *gtfB*, *gtfC*, *gtfD*, *ftf*, and *vicR* are involved in the formation of extracellular polysaccharides (Gabe et al., 2019). The *gtfB* promotes cell aggregation and cohesion of various bacterial strains and can convert non glucan-producing bacteria into glucans producers (Wu et al., 2020). These genes also encode several groups of proteins and enzymes, including glucosyltransferases (GTFs) GTFB and GTFC, which synthesize water-insoluble glucans, and GTFD, which synthesizes water-soluble glucans, and glucan-binding proteins (Zain, 2011).

Another virulence factor that is essential for the onset of dental caries is acid production via the glycolytic pathway (Walsh, 2006). During this process, glucose is converted into organic acids such as pyruvic acid, lactic acid, and formic acid. Sugars are processed via the Embden Meyerhof pathway and metabolic degradation, in which the phosphorylated glucose is metabolized to form fructose-6-phosphate, which is then used to produce organic acids (Kawada-Matsuo et al., 2017). Lactic acid is the major end product of glycolysis when sugar levels are high (Dashper and Reynolds, 1996). Lactate dehydrogenase (LDH), encoded by the *IDH* gene, catalyzes the conversion of pyruvate to lactic acid (Guan et al., 2020; Walther et al., 2021). This causes rapid acidification of the dental biofilm, which is then sustained by the proton-translocating F-type ATPase (Gabe et al., 2020). The F-ATPase regulates the internal

pH of the cell by pumping protons out of the cell, resulting in an internal pH that is more basic than that of the plaque environment, thereby protecting relatively acid-sensitive glycolytic enzymes (Kuhnert and Quivey Jr, 2003). The expression of several genes, including the *gtfB*, *gtfC* and *gtfD*, *fff*, and *gbpB* are regulated by the gene *vicR* (Guan et al., 2020).

This chapter investigated the effect of *U. chamae* on the expression of virulence genes (*gbpB*, *vicR*, *brpA*, *spaP*, *gtfB*, *gtfC*, *atpD*, and *IDH*). This study may aid the development of a natural product as a novel therapeutic agent to counteract the virulence effect of *S. mutans*.

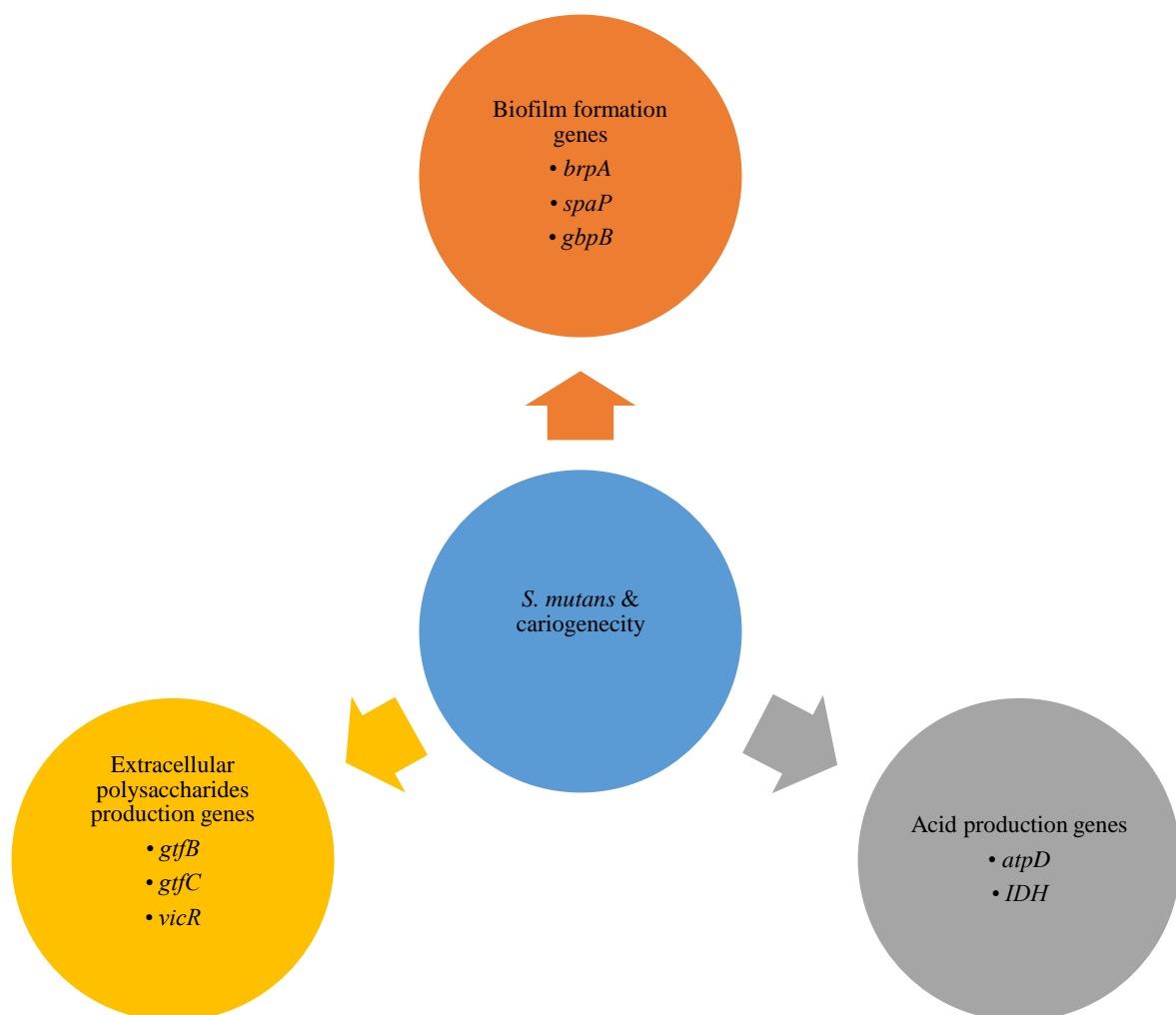


Figure 6.1: Virulence genes in *Streptococcus mutans*

6.2. Methods and materials

6.2.1. Plant materials and preparation

The extract was prepared by our collaborator from Nigeria. The extraction procedure is described in Chapter 2, section 2.2.1.

6.2.2. Bacterial cultures and growth conditions

Five cariogenic *S. mutans* clinical strains were obtained and isolated as described in Chapter 2, section 2.2.2. *Streptococcus mutans* strains SM1 and SM12 were independently grown in culture plates. These strains were used to perform acid production, biofilm formation, and secretion of extracellular polysaccharides (EPS) assays. For all assays, both strains prepared as 0.5 MacFarland standard containing approximately 10^5 to 10^6 cfu/ml were treated with *U. chamae* at a $\frac{1}{2}$ MIC concentration of 0.01 mg/ml, while untreated samples were used as negative controls. For extraction of biofilm cells, the attached cells were removed from the glass slide by scrapping off the biofilm using a sterile glass slide. The cells were resuspended and vortexed in 5 ml phosphate buffered saline (pH 7.0). For the acid assay, the culture was grown in 25 ml of tryptone broth containing 5 % sucrose. For EPS assay, the culture was grown in 5% sucrose broth at 37°C.

6.2.3. Total RNA extraction from microbial cultures

The total RNA was isolated at 10 hours after treatment with *U. chamae* for acid production assay and 24 hours post administration for biofilm and EPS experiments. Subsequently, 200 μ l of the *S. mutans* culture was transferred to a sterile 1.5 ml Eppendorf tube and mixed with 200 μ l TRI Reagent (Sigma-Aldrich, MI, USA). The solutions were mixed by vortexing and incubated at room temperature for 5 minutes. Fifty microliters of chloroform and an equal volume of acid-phenol (pH 4.5) were dispensed and mixed by shaking. The mixtures were centrifuged (Eppendorf centrifuge 5424, Merck NJ, USA) at $12\ 000 \times g$ for 15 minutes, and 150 μ l of the upper aqueous phase containing nucleic acids was transferred to a new 1.5 ml Eppendorf tube. Thereafter, 150 μ l isopropanol was added to each sample and mixed by

inverting the tube. Samples were incubated at -70°C for 1 hour followed by centrifugation at $12\,000 \times g$ for 20 minutes. The resulting supernatants were discarded and the pellets were washed with $100\ \mu\text{l}$ 70% ethanol. The ethanol was removed by centrifuging at $7500 \times g$ for 5 minutes and the supernatants were removed. The RNA pellets were resuspended in $50\ \mu\text{l}$ nuclease-free water and stored at -70°C until use.

6.2.4. Generation of complementary DNA by reverse transcription

To allow amplification and quantification by the reverse transcription-quantitative polymerase chain reaction (RT-qPCR) technique, total RNA was subjected to reverse transcription to generate complementary DNA (cDNA). For each RNA sample, $1\ \mu\text{g}$ was used to produce cDNA and the reaction was performed in a total volume of $20\ \mu\text{l}$ (ProtoScript®II First Strand cDNA Synthesis Kit, New England BioLabs, MA, USA). Reaction mixtures were incubated at room temperature for 5 minutes followed by reverse transcription at 42°C for 1 hour. Enzyme activity was inactivated by incubating samples at 80°C for a minute. The concentration and purity of the synthesized cDNA samples were evaluated by measuring the absorbance ratio at A260/A280 using the Nanodrop ONE instrument (Thermo Fisher Scientific, MA, USA).

6.2.5. Examining the expression level of virulence genes by RT-qPCR

The RT-qPCR method was used to determine the level of target gene expression in treated and untreated *S. mutans* strains. This assay was applied to determine the impact of administering the plant extract *U. chamae* on the expression of various virulence genes. Specifically, genes involved in acid production *atpD* and *IDH*, and biofilm formation *brpA*, *gbpB*, *spaP*, and *vicR* were investigated. Additionally, the transcription profile of *gtfB* and *gtfC* candidate genes implicated in the secretion of EPS was also evaluated. The RT-qPCR reactions were prepared by using $2\times$ Luna® Universal qPCR Master Mix (New England BioLabs, MA, USA), $10\ \mu\text{M}$ of each gene-specific primer and $2\ \mu\text{l}$ cDNA ($100\ \text{ng}/\mu\text{l}$). The nucleotide sequence of the primers employed for amplifying the target genes and the *16S ribosomal RNA* reference gene are shown in Table 6.1. Negative control samples lacking cDNA and water blanks were included in all gene expression experiments for quality control validation.

The thermal cycling conditions used for all amplifications consisted of hot start at 95°C for 60 seconds; followed by 45 cycles of denaturation at 95°C for 15 seconds, annealing at 50°C for 30 seconds, and extension at 60°C for 30 seconds. Amplification reactions were conducted using the LightCycler® 480 II (Roche Diagnostics, Germany). The mRNA concentration of each gene was determined by crossing-point analysis and the specificity of gene expression data was verified by melting curve analysis. Data were analysed from three replicates representing treated and untreated *S. mutans* samples. The gene expression level was calculated by applying the formula: $\Delta Cq = 2^{Cp_{\text{target gene}} - Cp_{\text{reference gene}}}$, where the crossing point (Cp) was the average Cp value of the target gene minus the mean of the *16S* reference gene. In addition, fold change in gene expression was determined by relativizing the mean $2^{Cp_{\text{target gene}}}$ in treated samples to the average $2^{Cp_{\text{target gene}}}$ in untreated samples.

Table 6.1: Primer sequences used to amplify the virulence genes and the 16 S ribosomal RNA reference genes in *S. mutans* RT-qPCR

| Gene | Gene description | Forward primer | Reverse primer |
|-------------|---|-------------------------------|-----------------------------|
| <i>atpD</i> | ATP-binding protein | CCAGGCGGTTTCATTCATCTGAC | GGCGGGATTTTCGGTATTTACTG |
| <i>brpA</i> | Biofilm regulatory protein A | GGAGGAGCTGCATCAGGATTC | AACTCCAGCACATCCAGCAAG |
| <i>gpbB</i> | Glucan binding protein B | ATGGCGGTTATGGACACGTT | TTTGGCCACCTTGAACACCT |
| <i>gjfB</i> | Water insoluble glucan production | AGCAATGCAGCCAATCTACAAAT | ACGAACTTTGCCGTTATTGTCA |
| <i>gjfC</i> | Water soluble and insoluble glucan production | GGTTTAACGTCAAAATTAGCTGTATTAGC | CTCAACCAACCGCCACTGTT |
| <i>IDH</i> | Lactate dehydrogenase | TTGCTCGTATCACTAAGGCTATTC | GGGCTGACCGATAAAGACTTC |
| <i>spaP</i> | Surface protein attachment | TCCGTGCCGATAATCCAAGA | CGCTGTTTGTCCCATTTGT |
| <i>vicR</i> | Response regulator | GCATCACTTAGCGACACACA | CAGACGACGAACAGTAACATCA A |
| <i>16S</i> | Normalizing internal standard | CCATGTGTAGCGGTGAAATGC | TCATCGTTTACGGCGTGGAC |

6.3. Data analysis

Gene expression data were expressed as the mean \pm standard error of the mean (\pm SEM). Statistical analysis was carried out using the non-parametric test (GraphPad Software Inc, CA, USA) and data were considered statistically significant when $p < 0.05$.

6.4. Results

6.4.1. Variable virulence gene expression in treated and untreated *S. mutans* strains

Gene expression experiments were performed to ascertain if application of the natural test compound *U. chamae* would result in a significant change in the expression of candidate genes that advance virulence of *S. mutans*. Accordingly, total RNA was isolated at 10 hours following drug treatment for acid production test and at 24 hours post-treatment for biofilm and EPS experiments. The extracted RNA was converted to cDNA by reverse transcription to allow amplification by PCR. The concentration of cDNA samples ranged from 1000-1850 ng/ μ l. These DNA samples also had high purity with an A260/280 ratio of between 1.8 and 2.0. Thereafter, RT-qPCR was applied to assess and quantify the relative expression of *atpD* and *IDH* genes involved in acid production, and *brpA*, *gbpB*, *spaP*, and *vicR* that induce biofilm formation. Moreover, the expression level of *gtfB* and *gtfC* genes that play a crucial role in EPS secretion was also determined.

Compared to untreated cells, administration of *U. chamae* triggered a considerable decrease in the expression of target genes that promote virulence of *S. mutans* SM1 (Figure 6.1) and SM12 strains (Figure 6.2). *Uvaria chamae* exerted an antibacterial efficacy ranging from 35% in *brpA* to 67% in *vicR* gene in the SM1 pathogen (Table 6.2). Interestingly, both genes are implicated to promote biofilm formation in *S. mutans*. Furthermore, a significant decline in the transcription of virulence genes was also observed following treatment of the SM12 strain with the natural antibacterial agent (Figure 6. 2). Delivery of *U. chamae* to SM12 markedly induced the lowest gene repression of 30% in *brpA* gene and the highest downregulation of 75% in the *vicR* target gene (Table 6.3). Similarly to SM1 gene expression data, both *brpA* and *vicR* in SM12 facilitate bacterial virulence by inducing biofilm formation. Importantly, the transcription profile of all virulence genes was dramatically decreased after treating both *S. mutans* SM1 and SM12 strains with a single $\frac{1}{2}$ MIC dosage of 0.01 mg/ml.

Table 6.2: Fold change in gene expression in SM1 strain

| Target gene | Untreated fold change | Treated fold change | % Reduction |
|--------------------|------------------------------|----------------------------|--------------------|
| <i>brpA</i> | 1 | 0.654 | 34.6 |
| <i>gpbB</i> | 1 | 0.590 | 41.0 |
| <i>spaP</i> | 1 | 0.449 | 55.1 |
| <i>vicR</i> | 1 | 0.338 | 66.2 |
| <i>atpD</i> | 1 | 0.688 | 31.2 |
| <i>IDH</i> | 1 | 0.361 | 63.9 |
| <i>gtfB</i> | 1 | 0.361 | 63.9 |
| <i>gtfC</i> | 1 | 0.579 | 42.1 |

Table 6.3: Fold change in gene expression in SM12 strain

| Target gene | Untreated fold change | Treated fold change | % Reduction |
|--------------------|------------------------------|----------------------------|--------------------|
| <i>brpA</i> | 1 | 0.700 | 30.0 |
| <i>gpbB</i> | 1 | 0.526 | 47.4 |
| <i>spaP</i> | 1 | 0.499 | 50.1 |
| <i>vicR</i> | 1 | 0.235 | 76.5 |
| <i>atpD</i> | 1 | 0.468 | 53.2 |
| <i>IDH</i> | 1 | 0.281 | 71.9 |
| <i>gtfB</i> | 1 | 0.272 | 72.8 |
| <i>gtfC</i> | 1 | 0.392 | 60.8 |

Table 6.4: Statistical analysis of SM1 and SM12 strains obtained in RT-qPCR

| Target gene | Comparison | P-value |
|-------------|--|---------|
| <i>brpA</i> | Untreated <i>brpA</i> to treated <i>brpA</i> | 0.0383 |
| <i>gbpB</i> | Untreated <i>gbpB</i> to treated <i>gbpB</i> | 0.0383 |
| <i>spaP</i> | Untreated <i>spaP</i> to treated <i>spaP</i> | 0.0383 |
| <i>vicR</i> | Untreated <i>vicR</i> to treated <i>vicR</i> | 0.0383 |
| <i>atpD</i> | Untreated <i>atpD</i> to treated <i>atpD</i> | 0.0383 |
| <i>IDH</i> | Untreated <i>IDH</i> to treated <i>IDH</i> | 0.0383 |
| <i>gtfB</i> | Untreated <i>gtfB</i> to treated <i>gtfB</i> | 0.0383 |
| <i>gtfC</i> | Untreated <i>gtfC</i> to treated <i>gtfC</i> | 0.0383 |

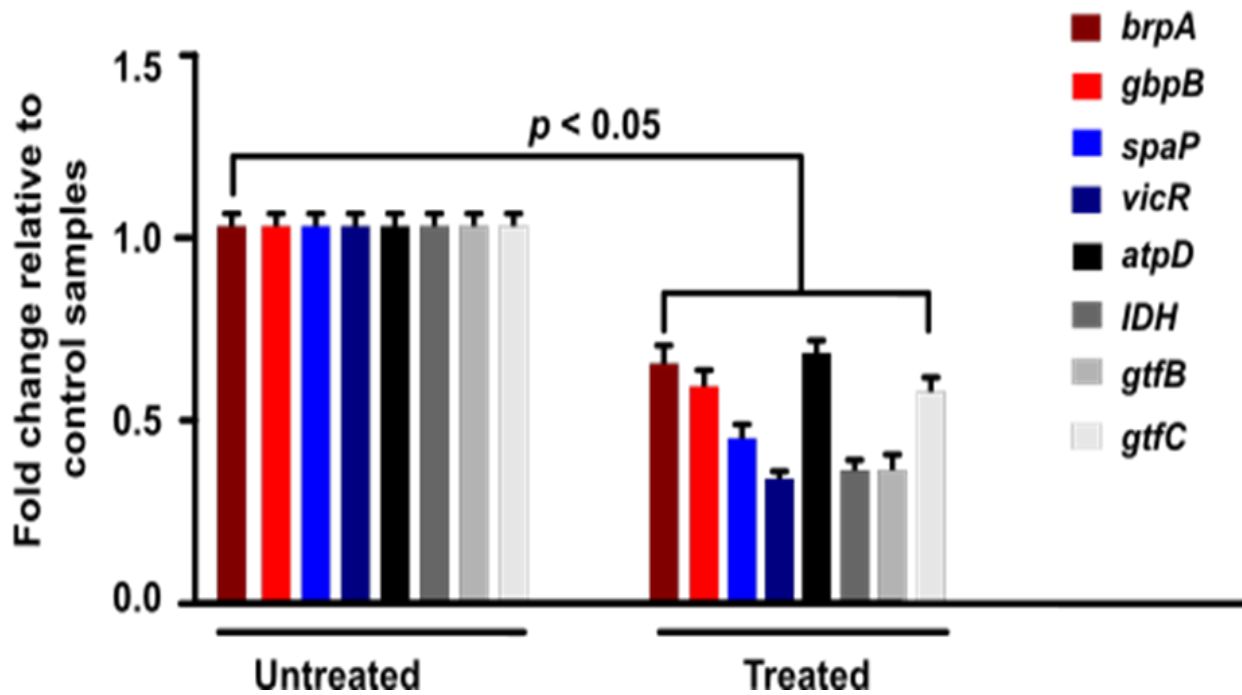


Figure 6.2: Target gene expression in treated and untreated *Streptococcus mutans* SM1 strain

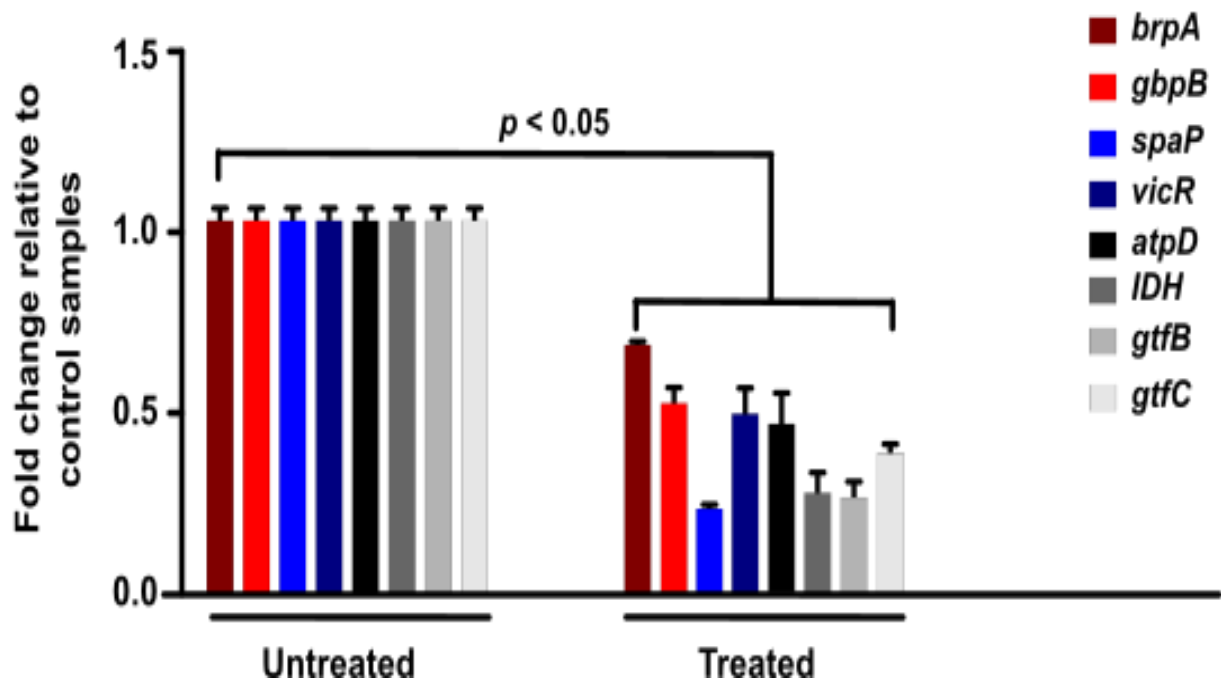


Figure 6.3: Target gene expression in treated and untreated *Streptococcus mutans* SM12 strain

6.5. Discussion

Administration of *U. chamae* to *S. mutans* (SM1) strain-induced considerable downregulation of virulence genes associated with biofilm production (*brpA*, *gbpB*, *spaP*, and *vicR*), acid production (*atpD* and *IDH*), and EPS secretion (*gtfB* and *gtfC*). Impressively, treatment of the SM12 strain with *U. chamae* also caused significant decrease in the transcription of mRNAs encoding *brpA*, *gbpB*, *spaP*, *vicR*, *atpD*, *IDH*, *gtfB*, and *gtfC* genes that drive the pathology of *S. mutans*. These results were consistent with previous studies that documented a significant decline in the transcription of *atpD* and *IDH* virulence after treatment of *S. mutans* with baicalein, a natural extract obtained from the roots of *Scutellaria lateriflora* and *Scutellaria baicalensis* (Vijayakumar et al., 2021). In addition, these results were also congruent with observations reported by Yoo et al., 2018, Park et al., 2019 and Elango et al., 2021 that demonstrated a significant downregulation of *atpD*, *brpA*, *gtfB*, *gtfC*, and *vicR* candidate genes following delivery of antibacterial agents baicalin, β -caryophyllene essential oil refined from clove and sabinene herbal essential oil extracted from medicinal plant *Chamaecyparis obtusa* to *S. mutans* respectively (Yoo and Jwa, 2018; Park et al., 2019; Elango et al., 2021).

In contrast, a drastic increase in the expression of *gbpB*, *gtfB*, and *gtfC* was observed after treating *S. mutans* with Ethyl gallate (Gabe et al., 2019). Interestingly, although the introduction of the natural extract oxyresveratrol to *S. mutans* cultures triggered downregulation of *gtfB* and *gtfC*, pronounced upregulation of *atpD*, *comDE*, *gtfD*, *IDH*, *liaR*, and *vicR* genes was also noted (Wu et al., 2020). The discrepancy observed in target gene transcription profiles may be due to the efficacy, specificity, and mechanism of action of the administered antimicrobial agent. It is well documented that the genes *gtfB* and *gbpB* are essential components of the sucrose-dependent pathway in biofilm formation (Viszwapriya et al., 2017; El-Ezmerli and Gregory, 2019). The inhibition of these genes may decrease the production of extracellular polysaccharides and cell aggregation, thus preventing biofilm formation. The downregulation of *gtfB* and *gbpB* explain the reduction in *S. mutans* adherence and preformed biofilm as demonstrated in Chapter 3.

Another cariogenic virulence factor in *S. mutans* is acid production. Organic acids are produced during the glycolysis pathway. During this process, *IDH* catalyzes the conversion of pyruvate to lactic acid, which in turn reduces the pH of the environmental medium (Wu et al., 2020). Lactic acid from dietary carbohydrates dissolves tooth minerals and causes dental caries. The suppression of the *IDH* and *atpD* gene impaired acid production and result in decreased

virulence expressions of these genes. VicRKX, encoded by the *vicR* gene is one of the response regulatory systems that are essential for the adaptation to environmental stresses. This system regulates the expression of genes responsible for biofilm formation, *gtfBCD*, oxidative stress, bacteriocin production, genetic competence, cell death, cell envelope stress, and many other external environmental stimulants (Wu et al., 2020;Chakraborty and Burne, 2017). The downregulation of this gene influenced the expression of *gfpB*, and all three glycosyltransferases *gtfBC* which are critical for the adherence to the tooth surfaces.

Overall, current and previous studies have highlighted the advantage of using natural compounds to treat various human diseases (Oliva et al., 2018;Jafri et al., 2019;Chowdaiah et al., 2019;Shlezinger et al., 2019;Nagasawa et al., 2020). Some of these natural extracts target and inhibit a single or multiple therapeutic targets in the infecting microbial pathogen. These targets mainly include DNA, RNA, virulence proteins, and associated signalling pathways. Natural compounds are convenient for therapeutic application as they are freely available in nature, generally cheaper to produce compared to commercially produced medicines, and often less toxic due to the absence of harmful artificial chemicals. These attributes promote the use of medicinal plant extracts for therapeutic purposes, especially in developing and underdeveloped countries with limited economic resources.

6.6. Conclusion

The plant extract showed a potential to act as an alternative anticaries agent. It inhibited *S. mutans* biofilm, EPS, and acid production in vitro by reducing the activity of related enzymes, downregulating virulence genes, and inactivating specific regulatory systems. Considering the complexity of gene regulation in *S. mutans*, further studies with transcriptomic and proteomic approaches should be carried out.

Chapter 7: Overall discussion, conclusion, limitations of the study, and future research

7.1. Overall discussion

In recent years, the use of natural plant products and their derivatives for oral diseases has been well documented (Tapsoba and Deschamps, 2006; Bairwa et al., 2012; Fatima et al., 2018; Akhalwaya et al., 2018). Natural plant products are now considered as an alternative to antibacterial agents because they are readily available, low levels of cytotoxicity, high chemical diversity and biochemical specificity, and are less prone to developing resistance to antibiotics compared to synthetic drugs (Karimi et al., 2015; Nisar et al., 2018). Antimicrobial activity of plant extracts has been classified previously as good ($MIC < 0.1$ mg/mL), moderate ($0.1 \leq MIC \leq 0.625$ mg/mL) and weak ($MIC > 0.625$ mg/mL) (Famuyide et al., 2019). This study demonstrated that *U. chamae* dichloromethane extract showed the best antibacterial activity and was used in the subsequent experiments. Other extracts showed weak to moderate activity. These results suggest that bioactive compounds that inhibit the growth of *S. mutans* were better extracted with dichloromethane compared to other extracts.

The formation of biofilm is divided into several stages including initial adherence to a solid surface, reversible attachment to that surface, production of extracellular polymeric substance (EPS), irreversible attachment, and maturation into a complex three-dimensional architecture (Castro et al., 2006b; He et al., 2019). The plant extract significantly reduced the initial adherence and maturation of biofilms into complex 3-dimensional architecture. The extract might have interfered with the cell surface protein antigen I/II (*spaP*) and the Brownian, sedimentation, Lifshitz–Van der Waals, and electrostatic interaction forces which are responsible for the adherence of the bacteria to the surfaces. Additionally, the extract might have interfered with the bacterial properties such as cell aggregation and cell hydrophobicity e.g. sucrose-independent mode, which depends on hydrogen bonding and hydrophobic interactions between bacteria and the adhering surface. Based on the RT-qPCR results, the inhibitory effect of this extract was due to the significant downregulation of the *brpA*, *spaP*, *vicR*, and *gbpB* at the transcriptional level. The gene *brpA* has been shown to contribute to biofilm formation and plays a major role in cell envelope biogenesis. The *gbpB* mediates the binding of bacteria to glucans and enables the development of biofilm (Kim et al., 2016).

Downregulation of these genes could decrease the production of extracellular polysaccharides and oral bacterial aggregation, thus inhibiting biofilm formation. The anti-biofilm activity of plant extracts and natural products against the biofilm of *S. mutans* is well documented. The medicinal plants such as *Myracrodruon urundeuva* All., *Qualea grandiflora* Mart. Leaves, green tea extract, and the guava leave extract have been shown to reduce the *S. mutans* counts in dental plaque, showing the potential use of plant extracts in the clinical prevention of caries (Prabu et al., 2006;Pires et al., 2018;Yabuta et al., 2018).

The ability to ferment dietary carbohydrates and produce organic acid are key physiological factors of *S. mutans* for the demineralization of the tooth surface (Matsui and Cvitkovitch, 2010;Kawada-Matsuo et al., 2017). The plant extract significantly inhibited acid production at subinhibitory concentrations. These findings suggest that this plant extract impairs acid tolerance and prevents tooth demineralization. The plant extract interfered with the *IDH* and the *atpD* genes responsible for aciduricity or acid tolerance properties in *S. mutans*. This plant extract will not only inhibit biofilm formation but also inhibit the production of organic acids from dietary carbohydrates. The production of EPS from sucrose contributes to microbial attachment and may also act as an extracellular energy reserve (Costa Oliveira et al., 2017). Based on the EPS assay, no significant reduction in the amount of soluble and insoluble EPS production was noted. These results suggest that EPS were metabolized in a small quantity, enough to maintain the bacterial basal metabolism, and were not detected by the phenol sulfuric acid method. However, molecular analysis using the RT-qPCR showed significant downregulation of the GTFs gene. Gtfs are a family of enzymes that catalyze the transformation of glucosyl groups from one chemical component to another and contribute to *S. mutans* cariogenicity. Their collective function includes the synthesis of glucans from dietary carbohydrates (Huang et al., 2015). Downregulation of GTFs genes disrupted both the adherence of *S. mutans* into the glass surface and the structure of biofilm.

7.2. Clinical implications

Based on these observations, this plant extract can be incorporated into toothpaste, mouth rinses, and chewing gums. If the product is used at MIC of 0.02 mg/ml, it will completely inhibit the growth of *S. mutans*. At subinhibitory concentrations, it will reduce biofilm formation, virulence gene expressions, and acid production. These plants will prevent further colonization of the *S. mutans* by preventing their adhesion, acid production, and virulence gene

expression once the concentration is reduced. The beneficial effects of this extract will be increased at night due to reduced salivary flow, and slower dilution. Continuous exposure of this plant extract will be necessary to reduce bacterial counts and prevent bacterial growth. Additionally, the extract can be coated onto nanoparticles and used as anti-cariogenic agents. The use of nanoparticles will prevent dilutions and washing away the effect of the extract by the saliva. The extract will become absorbed onto the oral mucosa, and released slowly providing long-lasting effects by inhibiting virulence factors of *S. mutans*.

7.3. Conclusion

All five crude extracts had a degree of antimicrobial activity against *S. mutans*. Dichloromethane showed the best antimicrobial activity against all five cariogenic *S. mutans* strains with the mean MIC of 0.02 mg/ml and it was used for subsequence studies. Other extracts such as hexanol, ethanol, methanol, and methanol: water showed weak to moderate activity with a mean MIC ranging between 0.63 to 1.25 mg/ml. The mean MBC ranged between 0.04 to 1.25 mg/ml. These results suggest dichloromethane solvent extract to be more active anti-cariogenic compounds with potential therapeutic application in dentistry compared to the other four extracts. At a concentration of 0.02 mg/ml, the dichloromethane extract completely inhibited the growth of *S. mutans*. At subinhibitory concentrations, this plant extract significantly inhibited biofilm formation, acid production, and virulence gene expressions. This suggests that if this extract is used in the form of oral hygiene products such as toothpaste and mouthwash, it may provide a long-lasting anti-cariogenic effect. Therefore, this plant extract has the potential to be developed as a novel anti-cariogenic agent for the prevention and treatment of dental caries. However, studies are required to characterize the active chemical compounds responsible for the observed antibacterial effect in this study.

7.4. Limitations to the study

- Dental biofilms are formed through the interaction between different microbial species, the host, diet, and other external factors instead of by an individual bacterium. However, only a single bacterial species (*S. mutans*) was investigated in this study.

- The antimicrobial activity of natural products depends on the combined effect of all the bioactive phytochemicals in them. The biological functions of each chemical compound in this plant responsible for antimicrobial activity are still unknown.
- Glass slides surfaces were used instead of hydroxyapatite discs to study the effect of *U. chamae* on biofilm formation.
- The biocompatibility of this plant extract against human cells was not studied.

7.5. Future research

- Future studies should investigate and characterize the active chemical compounds responsible for the observed antibacterial effect in this study.
- Prospective investigations should investigate the specificity and toxicity upon delivery into drug-susceptible and resistant *S. mutans* strains and other pathogenic microorganisms.
- An ex vivo assay based on bovine dental enamel biofilm formation should be performed for better simulation of the real situation
- Besides, experimental evaluations and rigorous analysis in mammalian cell cultures and animals are required to examine the activity, cytotoxicity, substantivity, and specificity of the natural plant extracts.
- The discovery of the cellular signalling pathways targeted by these compounds and a comprehensive understanding of their mode of action is essential for gaining insight into their pharmacodynamics.
- Animal experiments, in vivo experiments, and large clinical trials also need to be performed to ascertain the effect of *U. chamae* on dental caries.

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Appendices

Appendix I: Media composition and preparation

Blood agar

39 g of Columbia agar base

1000 ml distilled water

Dissolve by stirring and heating

Autoclave at 121 °C for 2 hours

Allow media to cool to 42.5°C

Add 5 % horse blood

Pour plates

Allow them to cool and set

Refrigerate until ready to use

Tryptone Broth

12.5 g tryptone powder

7.5 g yeast extracts

5 g sucrose

500 ml distilled water

Sterilise by autoclaving in 121 °C for 15 min

Tryptone Soya Broth

30 g tryptone soya broth

1000 ml of distilled water

Dissolve 30 g in 1 litre

Sterilise by autoclaving in 121 °C for 15 min

Phosphate buffered saline

Phosphate buffered saline tablet

250 ml of distilled water

Dissolve 1 PBS tablet in 250 ml of distilled water

Dissolve by stirring and heating

Autoclave at 121 °C for 2 hours

5 % sucrose broth

10 g tryptone

5 g protease peptone no.3

5 g protease peptone

1 g glucose

50 g sucrose

4 g dipotassium phosphate

1000 ml distilled water

Autoclave at 150 kPa, 121 °C for 10 minutes

5 % Aqueous phenol

5 g phenol crystals

Dissolve in 100 ml of distilled water

Dissolve by stirring and heating

Acid phenol

Dissolve 25 g phenol crystals in 25 ml absolute ethanol

Mix thoroughly by stirring

70 % ethanol

Add 700 ml of absolute ethanol and top up with 300 ml of distilled water

Appendix II: Primer preparation

16 s RRNA-F

For 100 μ M stock solution, add 825.05 μ l of nuclease free water

16 S RRNA-R

For 100 μ M stock solution, add 671.74 μ l of nuclease-free water

GBP-B-F

For 100 μ M stock solution, add 377.15 μ l of nuclease-free water

GBP-B-R

For 100 μ M stock solution, add 773.39 μ l of nuclease-free water

SPAP-F

For 100 μ M stock solution, add 521.17 μ l of nuclease-free water

SPAP-R

For 100 μ M stock solution, add 779.28 μ l of nuclease-free water

BRPA-F

For 100 μM stock solution, add 692.32 μl of nuclease-free water

BRPA-R

For 100 μM stock solution, add 748.78 μl of nuclease-free water

IDH-F

For 100 μM stock solution, add 689.7 μl of nuclease-free water

IDH-R

For 100 μM stock solution, add 842.88 μl of nuclease-free water

GTFB-F

For 100 μM stock solution, add 825.05 μl of nuclease-free water

GTFB-R

For 100 μM stock solution, add 823.13 μl of nuclease-free water

GTFC-F

For 100 μM stock solution, add 594.83 μl of nuclease-free water

GTFC-R

For 100 μM stock solution, add 679.0 μl of nuclease-free water

VICR-F

For 100 μM stock solution, add 670.23 μl of nuclease-free water

VICR-R

For 100 μM stock solution, add 596.43 μl of nuclease-free water

ATPD-F

For 100 μM stock solution, add 600.83 μl of nuclease-free water

ATPD-R

For 100 μM stock solution, 582.66 μl of nuclease-free water

Preparation of 100 μl : 10 μl of each primer into 90 μl of nuclease-free water. Then mix by centrifuging at 500 \times g for 2 seconds. Store at -20 $^{\circ}\text{C}$ until required for use.

Appendix III: Statistical analysis

Based on the arrangement of data, Dunnett's Multiple Comparison was used. Dunnett's is used after the ANOVA has been run to identify the pairs with significant differences. A one-way ANOVA was used to compare two means from two independent (unrelated) groups using the F-distribution. The null hypothesis for the test is that the two means are equal. Therefore, a significant result means that the two means are unequal.

Biofilm Control vs Each Concentration

| <i>Dunnett's multiple comparisons test</i> | <i>Mean Diff,</i> | <i>95,00% CI of diff,</i> | <i>Significant?</i> | <i>Summary Adjusted P Value</i> | <i>A-?</i> |
|--|-------------------|---------------------------|---------------------|---------------------------------|------------------------|
| Control (6 Hrs) vs. 0,005 mg/ml (6 Hrs) | 12003 | 4390 to 19616 | Yes | ** 0,0024 | B 0,005 mg/ml (6 Hrs) |
| Control (6 Hrs) vs. 0,01 mg/ml (6 Hrs) | 17890 | 10277 to 25503 | Yes | **** <0,0001 | C 0,01 mg/ml (6 Hrs) |
| Control (6 Hrs) vs. 0,02 mg/ml (6 Hrs) | 23226 | 15613 to 30839 | Yes | **** <0,0001 | D 0,02 mg/ml (6 Hrs) |
| Control (24 Hrs) vs. 0,005 mg/ml (24 Hrs) | 58434 | 33453 to 83415 | Yes | **** <0,0001 | F 0,005 mg/ml (24 Hrs) |
| Control (24 Hrs) vs. 0,01 mg/ml (24 Hrs) | 63414 | 38433 to 88395 | Yes | **** <0,0001 | G 0,01 mg/ml (24 Hrs) |
| Control (24 Hrs) vs. 0,02 mg/ml (24 Hrs) | 63667 | 38686 to 88648 | Yes | **** <0,0001 | H 0,02 mg/ml (24 Hrs) |
| Control (30 Hrs) vs. 0,005 mg/ml (30 Hrs) | 148714 | 33786 to 263642 | Yes | * 0,0108 | J 0,005 mg/ml (30 Hrs) |
| Control (30 Hrs) vs. 0,01 mg/ml (30 Hrs) | 154551 | 39623 to 269479 | Yes | ** 0,0082 | K 0,01 mg/ml (30 Hrs) |
| Control (30 Hrs) vs. 0,02 mg/ml (30 Hrs) | 153568 | 38640 to 268496 | Yes | ** 0,0086 | L 0,02 mg/ml (30 Hrs) |

Biofilm control vs all concentration

| | |
|-------------------------------------|--------------------|
| Table Analyzed | Biofilm Con vs All |
| Column B | All Concentrations |
| vs. | vs, |
| Column A | Control |
| Mann Whitney test | |
| P value | 0,0079 |
| Exact or approximate P value? | Exact |
| P value summary | ** |
| Significantly different (P < 0.05)? | Yes |
| One- or two-tailed P value? | Two-tailed |
| Sum of ranks in column A,B | 40 , 15 |
| Mann-Whitney U | 0 |
| Difference between medians | |
| Median of column A | 90024, n=5 |
| Median of column B | 7757, n=5 |
| Difference: Actual | -82267 |
| Difference: Hodges-Lehmann | -81887 |

Extracellular Polysaccharides Control vs Each Concentration

| Dunnett's multiple comparisons test | Mean Diff, | 95,00% CI of diff, | Significant? | Summary | Adjusted P Value | A-? | |
|---------------------------------------|------------|--------------------|--------------|---------|------------------|-----|--------------------|
| Control (SEPS) vs. 0,005 mg/ml (SEPS) | 0,3825 | -0,6603 to 1,425 | No | ns | 0,6672 | B | 0,005 mg/ml (SEPS) |
| Control (SEPS) vs. 0,01 mg/ml (SEPS) | 0,1588 | -0,8840 to 1,202 | No | ns | 0,9596 | C | 0,01 mg/ml (SEPS) |
| Control (SEPS) vs. 0,02 mg/ml (SEPS) | 0,3488 | -0,6940 to 1,392 | No | ns | 0,7222 | D | 0,02 mg/ml (SEPS) |
| Control (IEPS) vs. 0,005 mg/ml (IEPS) | 0,07347 | -0,03386 to 0,1808 | No | ns | 0,2194 | F | 0,005mg/ml(IEPS) |
| Control (IEPS) vs. 0,01 mg/ml (IEPS) | 0,001000 | -0,1063 to 0,1083 | No | ns | >0,9999 | G | 0,01 mg/ml (IEPS) |
| Control (IEPS) vs. 0,02 mg/ml (IEPS) | 0,07533 | -0,03200 to 0,1827 | No | ns | 0,2038 | H | 0,02 mg/ml (IEPS) |

Control vs All

SEPS Con vs All

Wilcoxon matched-pairs signed rank test

| | |
|-------------------------------------|---------------|
| P value | 0,3125 |
| Exact or approximate P value? | Exact |
| P value summary | ns |
| Significantly different (P < 0.05)? | No |
| One- or two-tailed P value? | Two-tailed |
| Sum of positive, negative ranks | 3,000, -12,00 |
| Sum of signed ranks (W) | -9,000 |
| Number of pairs | 5 |
| Number of ties (ignored) | 0 |

How effective was the pairing?

| | |
|----------------------|--------|
| rs (Spearman) | 0,9000 |
| P value (one tailed) | 0,0417 |
| P value summary | * |

Was the pairing significantly effective? Yes

IEPS Con vs All

Wilcoxon matched-pairs signed rank test

P value 0,3125
 Exact or approximate P value? Exact
 P value summary ns
 Significantly different (P < 0.05)? No
 One- or two-tailed P value? Two-tailed
 Sum of positive, negative ranks 3,000, -12,00
 Sum of signed ranks (W) -9,000
 Number of pairs 5
 Number of ties (ignored) 0

How effective was the pairing?

rs (Spearman) -0,3000
 P value (one tailed) 0,3417
 P value summary ns
 Was the pairing significantly effective? No

Acid assay

| Dunnnett's multiple comparisons test | Mean Diff, | 95,00% CI of diff, | Significant? | Summary | Adjusted P Value | A-? | |
|---|-------------------|---------------------------|---------------------|----------------|-------------------------|------------|----------------------|
| Control (0 Hrs) vs. 0,005 mg/ml (0 Hrs) | -0,05200 | -0,1903 to 0,08635 | No | ns | 0,6516 | B | 0,005 mg/ml (0 Hrs) |
| Control (0 Hrs) vs. 0,01 mg/ml (0 Hrs) | -0,02100 | -0,1593 to 0,1173 | No | ns | 0,9599 | C | 0,01 mg/ml (0 Hrs) |
| Control (0 Hrs) vs. 0,02 mg/ml (0 Hrs) | -0,05500 | -0,1933 to 0,08335 | No | ns | 0,6142 | D | 0,02 mg/ml (0 Hrs) |
| Control (10 Hrs) vs. 0,005 mg/ml (10 Hrs) | -1,111 | -1,651 to -0,5711 | Yes | *** | 0,0002 | F | 0,005 mg/ml (10 Hrs) |
| Control (10 Hrs) vs. 0,01 mg/ml (10 Hrs) | -1,117 | -1,657 to -0,5771 | Yes | *** | 0,0002 | G | 0,01 mg/ml (10 Hrs) |
| Control (10 Hrs) vs. 0,02 mg/ml (10 Hrs) | -1,169 | -1,709 to -0,6291 | Yes | *** | 0,0001 | H | 0,02 mg/ml (10 Hrs) |
| Control (12 Hrs) vs. 0,005 mg/ml (12 Hrs) | -1,490 | -1,913 to -1,067 | Yes | **** | <0,0001 | J | 0,005 mg/ml (12 Hrs) |

| | | | | | | | |
|---|--------|-------------------|-----|------|---------|---|----------------------|
| Control (12 Hrs) vs. 0,01 mg/ml (12 Hrs) | -1,531 | -1,954 to -1,108 | Yes | **** | <0,0001 | K | 0,01 mg/ml (12 Hrs) |
| Control (12 Hrs) vs. 0,02 mg/ml (12 Hrs) | -1,563 | -1,986 to -1,140 | Yes | **** | <0,0001 | L | 0,02 mg/ml (12 Hrs) |
| Control (14 Hrs) vs. 0,005 mg/ml (14 Hrs) | -1,723 | -2,172 to -1,274 | Yes | **** | <0,0001 | N | 0,005 mg/ml (14 Hrs) |
| Control (14 Hrs) vs. 0,01 mg/ml (14 Hrs) | -1,724 | -2,173 to -1,275 | Yes | **** | <0,0001 | O | 0,01 mg/ml (14 Hrs) |
| Control (14 Hrs) vs. 0,02 mg/ml (14 Hrs) | -1,783 | -2,232 to -1,334 | Yes | **** | <0,0001 | P | 0,02 mg/ml (14 Hrs) |
| Control (16 Hrs) vs. 0,005 mg/ml (16 Hrs) | -1,881 | -2,247 to -1,515 | Yes | **** | <0,0001 | R | 0,005 mg/ml (16 Hrs) |
| Control (16 Hrs) vs. 0,01 mg/ml (16 Hrs) | -1,950 | -2,316 to -1,584 | Yes | **** | <0,0001 | S | 0,01 mg/ml (16 Hrs) |
| Control (16 Hrs) vs. 0,02 mg/ml (16 Hrs) | -1,989 | -2,355 to -1,623 | Yes | **** | <0,0001 | T | 0,02 mg/ml (16 Hrs) |
| Combined times | | | | | | | |
| Control vs. 0.005 mg/ml | -1,251 | -1,908 to -0,5943 | Yes | *** | 0,0004 | B | 0,005 mg/ml |
| Control vs. 0,01 mg/ml | -1,269 | -1,926 to -0,6115 | Yes | *** | 0,0004 | C | 0,01 mg/ml |
| Control vs. 0,02 mg/ml | -1,312 | -1,969 to -0,6547 | Yes | *** | 0,0003 | D | 0,02 mg/ml |

Acid Assay Control vs All

Wilcoxon matched-pairs signed rank test

| | |
|-------------------------------------|--------------|
| P value | 0,0625 |
| Exact or approximate P value? | Exact |
| P value summary | Ns |
| Significantly different (P < 0.05)? | No |
| One- or two-tailed P value? | Two-tailed |
| Sum of positive, negative ranks | 15,00, 0,000 |
| Sum of signed ranks (W) | 15,00 |
| Number of pairs | 5 |
| Number of ties (ignored) | 0 |

How effective was the pairing?

| | |
|--|--------|
| rs (Spearman) | 0,1000 |
| P value (one tailed) | 0,4750 |
| P value summary | ns |
| Was the pairing significantly effective? | No |

Bacterial Counts

| Dunnett's multiple comparisons test | Mean Diff | 95,00 CI of diff Summary | Significant? | Summary | Adjusted P value | A-? |
|--|------------------|-------------------------------------|---------------------|----------------|-------------------------|------------------------|
| Control (0 Hrs) vs. 0,005 mg/ml (0 Hrs) | -44546 | -1025755 to 936663 | No | ns | 0.9988 | B 0,005 mg/ml (0 Hrs) |
| Control (0 Hrs) vs. 0,01 mg/ml (0 Hrs) | -197240 | -1178449 to 783969 | No | ns | 0.9152 | C 0,01 mg/ml (0 Hrs) |
| Control (0 Hrs) vs. 0,02 mg/ml (0 Hrs) | -199813 | -1181022 to 781396 | No | ns | 0.9124 | D 0,02 mg/ml (0 Hrs) |
| Control (10 Hrs) vs. 0,005 mg/ml (10 Hrs) | 1038827 | -388427 to 2466081 | No | ns | 0.1821 | F 0,005 mg/ml (10 Hrs) |
| Control (10 Hrs) vs. 0,01mg/ml (10 Hrs) | 1170613 | -256641 to 2597867 | No | ns | 0.1199 | G 0,01 mg/ml (10 Hrs) |
| Control (10 Hrs) vs. 0,02 mg/ml (10 Hrs) | 1307040 | -120214 to 2734294 | No | ns | 0.0760 | H 0,02 mg/ml (10 Hrs) |
| Control (12 Hrs) vs. 0,005 mg/ml (12 Hrs) | 1285010 | 137362 to 2432658 | Yes | * | 0.0270 | J 0,005 mg/ml (12 Hrs) |
| Control (12 Hrs) vs. 0,01 mg/ml (12 Hrs) | 1313003 | 165355 to 2460651 | Yes | * | 0.0238 | K 0,01 mg/ml (12 Hrs) |
| Control (12 Hrs) vs. 0,02 mg/ml (12 Hrs) | 1409776 | 262128 to 2557424 | Yes | * | 0.0153 | L 0,02 mg/ml (12 Hrs) |
| Control (14 Hrs) vs. 0,005 mg /ml (14 Hrs) | 2754320 | 848022 to 4660618 | Yes | ** | 0.0048 | N 0,005 mg/ml (14 Hrs) |
| Control (14 Hrs) vs. 0,01/ml mg (14 Hrs) | 2741940 | 835642 to 4648238 | Yes | ** | 0.0050 | O 0,01 mg/ml (14 Hrs) |
| Control (14 Hrs) vs. 0,02/ml mg (14 Hrs) | 2884227 | 977929 to 4790525 | Yes | ** | 0.0033 | P 0,02 mg/ml (14 Hrs) |
| Control (16 Hrs) vs. 0,005 mg/ml (16 Hrs) | 2711547 | 1046860 to 4376234 | Yes | ** | 0.0018 | R 0,005 mg/ml (16 Hrs) |
| Control (16 Hrs) vs. 0,01 mg/ml (16 Hrs) | 2673160 | 1008473 to 4337847 | Yes | ** | 0.0020 | S 0,01 mg/ml (16 Hrs) |
| Control (16 Hrs) vs. 0,02 mg/ml (16 Hrs) | 2839397 | 1174710 to 4504084 | Yes | ** | 0.0012 | T 0,02 mg/ml (16 Hrs) |

Overall comparison

| | Control | vs | all concentrations |
|---------------------------------|--------------------|-----------|---------------------------|
| Theoretical mean | 0.000 | | 0.000 |
| Actual mean | 1934994 | | 355843 |
| Number of values | 75 | | 225 |
| One sample t test | | | |
| t, df | t=8.769, df=74 | | t=14.23, df=224 |
| P value (two tailed) | <0.0001 | | <0.0001 |
| P value summary | **** | | **** |
| Significant (alpha=0.05)? | Yes | | Yes |
| How big is the discrepancy? | | | |
| Discrepancy | 1934994 | | 355843 |
| SD of discrepancy | 1911030 | | 375146 |
| SEM of discrepancy | 220667 | | 25010 |
| 95% confidence interval | 1495306 to 2374682 | | 306559 to 405128 |
| R squared (partial eta squared) | 0.5096 | | 0.4747 |

RT-qPCR assay

Streptococcus mutans 1 (SM1)

| | |
|---|------------------------|
| Column A | Untreated brpA |
| Vs | Vs |
| Column B | Treated brpA |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column A,B | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column C | Untreated gbpB |
| Vs | Vs |
| Column D | Treated gbpB |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column C,D | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column E | Untreated spaP |
| Vs | Vs |
| Column F | Treated spaP |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column E,F | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column G | Untreated vicR |
| Vs | Vs |
| Column H | Treated vicR |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column G,H | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column A | Untreated atpD |
| Vs | Vs |
| Column B | Treated atpD |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column A, B | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column C | Untreated IDH |
| Vs | Vs |
| Column D | Treated IDH |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column C,D | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column A | Untreated gtfB |
| Vs | Vs |
| Column B | Treated gtfB |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |

| | |
|-----------------------------|------------|
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column A,B | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column C | Untreated gtfC |
| Vs | vs |
| Column D | Treated gtfC |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column C,D | 15 , 6 |
| Mann-Whitney U | 0.0 |

Streptococcus mutans 12 (SM12)

| | |
|---|------------------------|
| Column A | Untreated brpA |
| Vs | Vs |
| Column B | Treated brpA |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column A,B | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column C | Untreated gbpB |
| Vs | Vs |
| Column D | Treated gbpB |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column C,D | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column E | Untreated spaP |
| Vs | Vs |
| Column F | Treated spaP |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column E,F | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column G | Untreated vicR |
| Vs | Vs |
| Column H | Treated vicR |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column G,H | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column A | Untreated atpD |
| Vs | Vs |
| Column B | Treated atpD |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column A,B | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|-------------------------------|------------------------|
| Column C | Untreated IDH |
| Vs | Vs |
| Column D | Treated IDH |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |

| | |
|---|------------------------|
| Column E | Untreated spaP |
| Vs | Vs |
| Column F | Treated spaP |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column E,F | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column G | Untreated vicR |
| Vs | Vs |
| Column H | Treated vicR |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column G,H | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|---|------------------------|
| Column A | Untreated atpD |
| Vs | Vs |
| Column B | Treated atpD |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |
| Are medians signif. different? (P < 0.05) | Yes |
| One- or two-tailed P value? | One-tailed |
| Sum of ranks in column A,B | 15 , 6 |
| Mann-Whitney U | 0.0 |

| | |
|-------------------------------|------------------------|
| Column C | Untreated IDH |
| Vs | Vs |
| Column D | Treated IDH |
| Mann Whitney test | |
| P value | 0.0383 |
| Exact or approximate P value? | Gaussian Approximation |
| P value summary | * |

Appendix IV: Ethical clearance



Human Research Ethics Committee (Medical)

Research Office Secretariat:
Faculty of Health Sciences, Phillip Tobias Health Sciences Building, 3rd Floor, Office 301/2/4, 29 Princess of Wales Terrace, Parktown, 2193
Private Bag 3, Wits 2050
Office email: HREC-Medical.ResearchOffice@wits.ac.za
Website: www.wits.ac.za/research/about-our-research/ethics-and-research-integrity/

Ref: W-CBP-200529-03

29/05/2020

TO WHOM IT MAY CONCERN:

Waiver: This certifies that the following research does not require clearance from the Human Research Ethics Committee (Medical)

Investigator: Miss Mukonazwothe Madiba

Supervisor: Prof M Patel and Dr Zandiswa Dube

Department: Clinical Microbiology and Infectious Diseases

Project title: Anti- *Streptococcus mutans* property of *Uvaria chamae*, and its anticariogenicity

Reason: *In vitro* laboratory study using stock cultures of bacteria. No human participants, human data or human tissues will be used.



Dr CB Penny

Chairperson: Human Research Ethics Committee (Medical)

Copy – HREC (Medical) Secretariat: Ms Zanele Ndlovu, Ms Mapula Ramaila and Mr Rhulani Mkansi

Appendix VI: Turn it in report

Ms

by Mukonazwothe Madiba

Submission date: 18-Nov-2021 12:43PM (UTC+0200)

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