

**ACCEPTABILITY OF RAT-BASED DIAGNOSTIC APPROACH OF
TUBERCULOSIS AMONG TUBERCULOSIS HEALTH CARE WORKERS IN
DAR-ES-SALAAM,
TANZANIA**

Tabia Hassan

A research report submitted to the Faculty of Health Sciences, University of the Witwatersrand, Johannesburg, in partial fulfilment of the requirements for the degree of Master of Science in Epidemiology in the field of Implementation Science.

Johannesburg, 2018

DECLARATION

I, **Tabia Hassan**, declare that this research report is my own, unaided work. It is being submitted for the Degree of Master of Science in Epidemiology (Field of Implementation Science) at the University of Witwatersrand, Johannesburg. It has not been submitted before for any degree or examination at this or any other University.

A handwritten signature in blue ink, appearing to read 'Tabia Hassan', with a stylized, cursive script.

Signature of candidate:

Date: 15/5/2018

DEDICATION

In memory of my father

Hassan S. Magulu

1942 – 2016

Background: Since the start of rat-based TB diagnostic tool in Tanzania in 2008, detection of new TB cases has increased by around 40%. In this study we aimed at examining factors that may affect acceptability of the rat-based TB diagnostic tool among TB health care workers in health care facilities served by APOPO in Dar-es-Salaam, Tanzania.

Materials and Method: This was a mixed method exploratory sequential study design. We used in-depth interviews (IDIs) and structured questionnaire to collect qualitative and quantitative data respectively. Qualitative and quantitative data were analyzed using NVIVO software version 10 and Stata 14 respectively.

Results: Twenty-five (56.8%) of participants aged between 18-34 years old and 26 (57.8%) were males. A total of 25 (55.6%) study participants showed higher levels of acceptability of the rats-based approach. Health care workers or personal factors score (perceived benefit and need, self-efficacy and skill proficiency on this new health innovation) was associated with acceptability having Odds ratio of 3.8 [95% CI: 1.0-14.2]. Through qualitative exploration, acceptability was motivated by support from their superior medical staffs, and relative advantages of the tool like fastness, and quality of the results. Issues found to be negatively associated with acceptability of the rat-based approach included lack of clear policy from specific government authority, limited patient awareness, inability of the rats to quantify concentration of TB bacteria, and failure to predict the resistance to the available drugs.

Conclusion: This study provides critical information that can guide policy makers to expand and integrate this new innovation into the current TB diagnostic algorithm.

ACKNOWLEDGEMENT

My biggest appreciation goes to my scholarship sponsor, the Tropical Disease Research (TDR) special program for research and training in tropical diseases. This program has enabled me to undertake this MSc Epidemiology-Implementation Science at the University of the Witwatersrand, South Africa. I acknowledge my supervisors, Dr. Jabulani Ncayiyana (University of the Witwatersrand, South Africa), Dr. Massaga Julius (National Institute for Medical Research, Tanzania) and Dr. Daniel Nyogea (National Institute for Medical Research, Tanzania) for their supervision, support and assistance that resulted to the successful completion of this research project.

I am grateful to all TB health care workers in all health care facilities served by APOPO, who voluntarily agreed to participate in this study regardless of their busy schedules. My heartfelt thanks to all the administrative staff and lecturers at the School of Public Health, University of the Witwatersrand for good management of the programme.

Table of Contents

DECLARATION	II
DEDICATION	III
ACKNOWLEDGEMENT	V
OPERATIONAL DEFINITION OF TERMS USED IN THE STUDY.....	VII
ACRONYMY	VIII
LIST OF TABLES	IX
CHAPTER 1: INTRODUCTION	1
1.1 BACKGROUND	1
1.2 LITERATURE REVIEW	2
1.2.1 THE BURDEN OF THE TB	2
1.2.2 TB DIAGNOSTIC METHODS	3
1.2.3 TB DIAGNOSTIC METHODS USED IN TANZANIA.....	3
1.2.4 IMPLEMENTATION OF RAT-BASED TB DIAGNOSTIC TOOL	3
1.2.5 STUDIES ON THE FACTORS AFFECTING ACCEPTABILITY.....	4
1.2.6 CONCEPTUAL FRAMEWORK FOR FACTORS AFFECTING ACCEPTABILITY	6
1.3 PROBLEM STATEMENT	7
1.4 JUSTIFICATION	7
1.5.0 STUDY OBJECTIVES.....	8
1.5.1 RESEARCH QUESTION	8
1.5.2 AIM	8
1.5.3 SPECIFIC OBJECTIVES	8
CHAPTER 2: METHODOLOGY	8
2.1 STUDY DESIGN	8
2.2 STUDY SITE	9
2.3 STUDY POPULATION.....	9
2.4 SAMPLING	9
2.4.1 INCLUSION CRITERIA	9
2.4.2 EXCLUSION CRITERIA.....	10
2.5 VARIABLES AND THEIR DEFINITIONS	10
2.5.1 OUTCOME VARIABLE	10
2.5.2 EXPLANATORY VARIABLES:	10
2.6 DATA COLLECTION, MANAGEMENT AND ANALYSIS	10
2.6.1 QUALITATIVE DATA COLLECTION, MANAGEMENT AND ANALYSIS	10
2.6.2 QUANTITATIVE DATA COLLECTION, MANAGEMENT AND ANALYSIS	11
2.7 ETHICAL CONSIDERATION	13
CHAPTER 3: RESULTS	14
3.1 QUALITATIVE RESULTS	14
3.1.1 POLICIES, PROCEDURES AND GUIDELINES RELATED FACTORS	14
3.1.2 DIAGNOSTIC TOOL RELATED FACTORS	15
3.1.3 HEALTH CARE WORKERS (PERSONAL) RELATED FACTORS.	16

3.1.4 ENVIRONMENT RELATED FACTORS	17
3.2 QUANTITATIVE RESULTS	19
3.2.1 SOCIO-DEMOGRAPHIC AND OTHER STUDY CHARACTERISTICS	19
3.2.2 EXPLORATORY FACTOR ANALYSIS AND CORRELATION OF HEALTH CARE WORKER’S (PERSONAL) RELATED FACTORS	20
3.2.3 EXPLORATORY FACTOR ANALYSIS AND CORRELATION OF ENVIRONMENT RELATED FACTORS	20
3.2.4 EXPLORATORY FACTOR ANALYSIS AND CORRELATION OF DIAGNOSTIC TOOL (NATURE OF RAT-BASED TEST) RELATED FACTORS	20
3.2.5 EXPLORATORY FACTOR ANALYSIS AND CORRELATION OF POLICIES, PROCEDURES AND GUIDELINES RELATED FACTORS	21
3.2.6 EXPLORATORY FACTOR ANALYSIS AND CORRELATION OF ACCEPTABILITY SCORE	22
3.2.7 A DESCRIPTIVE ANALYSIS OF THE DETERMINANTS OF THE ACCEPTABILITY.	23
3.2.8 UNIVARIATE ANALYSIS	24
3.2.9 MULTIVARIATE ANALYSIS	25
<u>CHAPTER 4: DISCUSSION, CONCLUSION AND RECOMMENDATIONS.....</u>	<u>27</u>
4. 1 DISCUSSION	27
4.2 STRENGTHS AND LIMITATIONS	31
4.3 CONCLUSION.....	31
4.4 RECOMMENDATIONS	31
<u>5. 0 REFERENCES.....</u>	<u>32</u>
<u>APPENDICES</u>	<u>35</u>
CLEARANCE CERTIFICATE: HUMAN RESEARCH ETHICS COMMITTEE (MEDICAL) OF THE UNIVERSITY OF THE WITWATERSRAND	35
CLEARANCE CERTIFICATE: NATIONAL HEALTH RESEARCH ETHICAL COMMITTEE OF THE NATIONAL INSTITUTE FOR MEDICAL RESEARCH (NIMR)-TANZANIA	37
PLAGIARISM DECLARATION FORM.....	38
<u>IN-DEPTH INTERVIEW GUIDE SWAHILI VERSION</u>	<u>39</u>
<u>IN-DEPTH INTERVIEW GUIDE ENGLISH VERSION</u>	<u>40</u>
<u>ACCEPTABILITY QUESTIONNAIRE ENGLISH VERSION</u>	<u>42</u>

OPERATIONAL DEFINITION OF TERMS USED IN THE STUDY

APOPO- (an acronym for Anti-Persoonsmijnen Ontmijnende Product Ontwikkeling: means “Anti-Personnel Landmines Removal Product Development”) - Is a registered Belgian non-governmental organization, which trains African giant pouched rats to detect pulmonary tuberculosis from human sputum sample.

Rats-based TB diagnostic tool- These are trained rats to detect TB from heat-inactivated human sputum samples through vapour detection technique.

Acceptability-Is the perception among TB health care workers (implementation stakeholders) that a given diagnostic tool is agreeable, palatable, or satisfactory for diagnosing TB.

TB Health Care Workers- These are study participants including laboratory technologists, and clinicians or doctors who are dealing with TB diagnosis and treatment programs in selected hospitals served by APOPO

Acceptability score - Acceptability level.

Clinicians-Referrers to any medical personnel who diagnoses and prescribed. So in this study clinicians puts together clinical officers, assistant medical doctors, and medical officer (doctors).

Laboratory technologist: Referrers to any laboratory personnel who attend six years of secondary education and 3 years of laboratory professional training (bachelor or degree level of study).

Laboratory technician: Referrers to any laboratory personnel who attend four or six years of secondary education and 2 or 3 years of laboratory professional training (certificate or diploma level of study).

Laboratory assistant: Referrers to any laboratory personnel who attend four or six years of secondary education and 3 years of laboratory professional training (diploma level of study).

Laboratory attendant: Referrers to any laboratory personnel who attend four years of secondary education and 2 years of laboratory professional training (certificate level of study).

ACRONYMY

TDR- Tropical Disease Research, a special program for research and training in tropical diseases

WHO- World Health Organization

NIMR- National Institute for Medical Research

TB- Tuberculosis

DOTS - Direct Observation Treatment Short-Course

DTLC-District Tuberculosis and Leprosy Coordinator

RTL-Regional Tuberculosis and Leprosy Coordinator

NTLP-National Tuberculosis and Leprosy Program

CTRL- Central Tuberculosis Reference Laboratory

MDR- Multi Drug Resistance
mRDTs - Malaria Rapid Detection (diagnostic) Tests
RDTs - Rapid Diagnostic Tests
GDP-Gross Domestic Product
SGD-Sustainable Development Goals
MGD- Millennium Development Goals
ZN - Ziehl-Neelsen
PCR- Polymerase Chain Reaction
IDIs- In-Depth-Interviews
OR- Odds ratio

LIST OF TABLES

Table 1: Characteristics of the surveyed TB laboratory personnel in Dar-es-Salaam	19
Table 2: Exploratory Factors Analysis for the factors affecting acceptability of rats-based TB diagnostic technique in Dar-es-Salaam, Tanzania	21
Table 3: Exploratory Factors Analysis for acceptability score of rats-based TB diagnostic tool	22
Table 4: A descriptive analysis of the constructed acceptability and the estimated determinants	23
Table 5: Crude Odds Ratios (OR) of acceptability of rat-based TB diagnosis	24
Table 6: Adjusted Odd Ratio (OR) of acceptability of rat-based TB diagnosis	25

1 CHAPTER 1: INTRODUCTION

2 1.1 Background

3 Tuberculosis (TB) is an airborne infectious disease caused mainly by bacillus *Mycobacterium*
4 *tuberculosis* (*M. tuberculosis*). It may be transmitted from a TB infected person whose bacteria
5 load is not in any way suppressed to another person (1). TB can be categorized as active or latent
6 infection. The commonest form of active and infectious TB is pulmonary TB (2). The TB bacteria
7 may also invade other organs like pleura, lymph nodes, pericardium, spine, joints, abdomen and so
8 called extra pulmonary TB (1,2). TB is commonly found in settings with low standard of living. It
9 is more prevalent among those with compromised immunity including individuals infected with
10 human immunodeficiency virus (HIV), children, pregnant women and diabetic patients (3).
11 According to the World Health Organization (WHO) 2016 report, there were an estimated 10.4
12 million new TB cases and 1.4 million TB deaths worldwide (1). In sub-Saharan Africa (SSA) TB
13 has the highest incidence rates with Tanzania listed among the top 20 countries with the highest
14 burden of TB (1).

15 A major precedent event in TB management is an early and correct diagnosis of an active TB
16 disease. This helps in both treatment as well as prevention by reducing the transmission risk (4–6).
17 There are different diagnostic techniques that are used to diagnose TB. These techniques include
18 culture, chest photofluorography, tuberculin, rapid molecular tests, sputum smear microscopy,
19 polymerase chain reaction (PCR), and rapid line probe assays (1,2). However, these TB diagnostic
20 tools take longer time, they are less sensitive, they need steady electricity, trained personnel and
21 special laboratory facilities (1,7,8). In resource-limited settings where TB is endemic, there has
22 been a need for a quicker, accurate and cost effective diagnostic tool to improve TB case detection.

23 The Anti-Persoonsmijnen Ontmijnende Product Ontwikkeling (APOPO) project came up with a
24 unique, rapid, accurate and cost effective tool for TB detection, which involves the use of giant
25 African pouched trained rats (*Cricetomys gambianus*) (9). These rats have well-developed
26 olfactory receptor genes in their nasal organs which make them extremely sensitive sense to smell.
27 The APOPO project has utilized that unique characteristic to detect specific volatile organic
28 compounds (VOC) produced by TB organisms present in positive TB sputum samples (10,11).
29 Rats are trained for a specific period of time to detect the presence of *M. tuberculosis* in heat
30 inactivated human sputum samples by sniffing and pausing above the hole for confirmed positive
31 sample and not pausing for negative sample (12).

The training starts at 3-6 weeks of age whereby the rats are handled to familiarize them to humans and to a variety of sights and sounds (13). They learn to associate a clicker with a food reward , a process known as operative conditioning by using confirmed positive human sputum samples (9,13). The training period needed for rats to qualify for diagnosis of TB ranges between six (6) and nine (9) months (11).

In Tanzania, the implementation of the APOPO project started in 2008 in a few select health facilities. It was mainly used as a secondary screening tool for TB after the screening had been done by health care laboratories (9). However, the level of and the factors that may be affecting the tool's acceptability as a TB diagnostic tool has not been assessed. Although the tool has showed promising potentials as a TB diagnostic tool, there is a need to evaluate how well it is accepted by TB health care workers before it is rolled out for implementation in wider scale.

1.2 Literature review

1.2.1 The burden of the TB

TB is among the top ten causes of death in humans including children, individuals living with HIV, especially those living in poverty, pregnant women, and diabetic patients (1). The Millennium Development Goal (MDG), Stop TB Partnership and the World Health Assembly target of halving TB prevalence and TB-related mortality by 2015, has not been met (3). The main reason being failure of proper TB diagnostic policies especially in the African region (3). Although the disease incidence and death rates continually drops (1.5% and 22% respectively). The world's efforts at achieving the Sustainable Development Goal (SDGs) of ending TB epidemic by 2030, which was adopted by the United Nation (UN) in 2015 is still too far to be met (1,3). The global target to end TB epidemic strategy identified four barriers in achieving progress in the fight against this epidemic including weak health system, basic determinant of TB (poverty, malnutrition, migration and other factors), lack of effective tool for early diagnosis of TB, and continuous unmet funding needs for proper supply of TB treatment (14) .

Tanzania is a developing country with about 36% of the country's population living below poverty line with gross domestic product (GDP) Per capita income of \$3,296 and it is among the 30 tuberculosis high burden countries (1). The prevalence of TB in Tanzania is 295/100, 000 affecting more males than females (1). The estimated mortality rate of TB patients excluding those with TB/HIV co-infection is 56/100,000 of the population and it is 47/100,000 for those with HIV co-infection (1).

65 1.2.2 TB diagnostic methods

66 There are several diagnostic tools that are currently available for TB including culture, chest
67 photofluorography, tuberculin, PCR and other molecular tests, sputum smear microscopy, and
68 rapid line probe assays (1,2). WHO has recommended the use of commercially accessible liquid
69 culture systems and molecular line probe assays to rapidly detect TB even with multi-drug
70 resistance gene (1). But due to the tools complexity, cost and the necessity of sophisticated
71 laboratory set-up and trained personnel, their uptake is limited in many low resource settings (1,7).
72 The implementation of sputum smears microscopy which not only misses over half of TB cases but
73 also does not indicate drugs susceptibility to guide appropriate TB treatment remains the standard
74 diagnostic tool in low resource settings (7,15).

75

76 1.2.3 TB diagnostic methods used in Tanzania

77 Like in any other resource-limited settings, in Tanzania sputum smear microscopy is widely used
78 as a diagnostic technique for pulmonary TB (7,15). The detection level of gene expert is the highest
79 compared to microscopy and culture as it provides timely results with relatively high sensitivity
80 and specificity. However, in Tanzania only some referral hospitals and research institutions can
81 afford and implement gene expert (16). The gene expert tool is not cost effective as its cartridges
82 have short life spans; the need for stable electricity, trained operators and payment for annual
83 preventive maintenance pose a challenge. The *M. tuberculosis* culture still remains the gold
84 standard and most available tool for TB diagnosis regardless of its turnaround time being between
85 6-12 weeks and the requirement of specialized laboratory facilities (8).

86 1.2.4 Implementation of Rat-based TB diagnostic tool

87 In Tanzania the use of trained African giant pouched rats to detect TB has showed great potentials
88 in TB diagnostics. The trained rats can detect TB at earlier stages than smear microscopy which is
89 routinely and widely used in resource limited settings (17,18). A study conducted to compare the
90 use of trained giant African rat with culture and PCR showed that the sensitivity of 10 rats compare
91 to culture/PCR was 68.4%, and the mean specificity was 87.3%. Sensitivity of rat exceeded that of
92 Ziehl-Neelsen (ZN) done in Direct Observed Treatment (DOTS) centers as part of routine TB
93 screening (18). Unlike sputum smear microscopy, the sensitivity of rats does not seem to be
94 affected by the HIV status of the patient (17).

95 In Tanzania, since 2008 the trained rats from APOPO project is used as a secondary screening tool
96 for TB (9). All TB suspected patients leave sputum samples at the health care facilities laboratory
97 for classical screening using smear microscopy. Then, all TB negative and positive sputum samples
98 are delivered to APOPO project for further screening (12,19). The trained rats do screen all the
99 samples very quickly (1 sample in 3 seconds) before signaling for “TB suspect” samples missed

100 from the DOTs centers (20). All “TB positive suspects” by rats are re-evaluated using a
101 concentrated smear light-emitting diode fluorescence microscopy (LED-FM) and other TB
102 screening techniques by qualified laboratory technologists to confirm their positivity status (12,20).
103 The confirmed positive cases are sent to respective hospitals within 24 hours so that the patients
104 can receive immediate and appropriate treatment (13). All the health care facilities where rats-
105 based TB diagnostic tool has been deployed and is being implemented are selected based on high
106 population density and high TB disease prevalence.

107 1.2.5 Studies on the factors affecting acceptability

108 The level of acceptability can be assessed in an early stage of implementation before adoption,
109 ongoing for penetration or late stage of implementation for sustainability purpose and in additional
110 can be assessed by an individual provider or individual consumer (21). The evaluation of
111 acceptability and performance of three LED fluorescent microscopes among laboratory technicians
112 in Zambia showed that acceptability of an intervention depends upon the extent of the intervention
113 meeting the needs of the intended beneficiaries. The laboratory technician expressed about
114 comfort, quality of images, fast reading times, easy adjustable microscope and good contrast and
115 resolution are among the factors which increases their acceptability level to a given type of
116 microscope (22). The study done to assess acceptability and performance of the FluoLED Easy™
117 for TB fluorescence microscopy among technicians in TB reference laboratory in Thailand and
118 Tanzania demonstrated that there was high user acceptance to the extent that technicians refused to
119 return to using ZN. The technician agreed that relative advantage and support from their superior
120 medical staffs are among the factors which motivated them to accepting the TB diagnostic tool
121 (23).

122 Another study done among TB experts worldwide to evaluate current use and acceptability of
123 novel rapid diagnostic tests for active tuberculosis indicated a high level of acceptability. Although
124 level of education, and income of the country significantly were associated with acceptability level,
125 the respondent having a decision-making role, respondent age and respondent years of experience
126 in the area of tuberculosis showed no association with acceptability (24). Furthermore, presence of
127 sufficient supporting data, reasonable or cheap price, presence of clear policies, and intensive
128 training was among the factors perceived by study participant that positively influence
129 acceptability level (24).

130 An excellent acceptability level was demonstrated in a study done by A. Banerjee on acceptability
131 of traditional healers as directly observed treatment providers in tuberculosis control in a tribal area
132 of Andhra Pradesh, India. The study findings demonstrated that traditional healers were accessible
133 and acceptable to the population to action as DOTs providers and were easily contacted for
134 treatment of different diseases including treating TB disease (25). It was mentioned that lack of

135 education among community members about TB treatment, insufficient care from a specialist and
 136 being not satisfied with their DOT provider were among the factors which influenced TB patients
 137 to highly accept traditional healers for TB treatment (25).

138 In addition, another study conducted to assess early experiences on the acceptability, feasibility,
 139 and use of mRDTs at peripheral health facilities in Uganda. The findings from this study showed
 140 that existence of clear policy guidelines, comprehensive training, characteristics of the diagnostic
 141 tool (perceived benefit, good design, tools easy to use, and learn) and good support supervision
 142 were mentioned to be among the reasons and factors for mRDTs acceptability by the target users
 143 (26). It was further described that the acceptance and attitude toward using a given new innovation
 144 or health intervention depends on different issues including personal, cultural, and organizational
 145 factors (26). Another study done in Tanzania on acceptability of mRDTs, showed positive
 146 perceptions, attitudes and acceptance of mothers towards the use of RDT that administered by
 147 village health care workers. On-top of that, communities' members expressed the presence of
 148 training, good relationship with village health workers, reliable supplies, close supervision, and
 149 affordability of mRDTs as among the factors that motivate them to accept the implementation of
 150 RDT by village health workers (27) .

151 There was a study done in war-torn Democratic Republic of Congo on the acceptability (use and
 152 limitations) of malaria rapid diagnostic testing by community health workers. The study finding
 153 showed that this mRDT is likely to be acceptable to community health workers. However, they
 154 mentioned some factors which hinder or motivate them on using mRDT in their setting. They
 155 expressed that presence of training, experience, utility and ease of use of RDTs are the reasons
 156 which motivate them keeping using the test, although cost remains a major obstacle to the use of
 157 RDTs in areas of high malaria burden (28).

158 Another study conducted in Rwanda to explore factors affecting the acceptability and use of
 159 malaria preventive intervention. This study provides direction on the perspectives that there are
 160 different factors like cultural, social, knowledge, environmental condition and experience that have
 161 been identified as delaying or hindering the acceptability and use of malaria intervention (29).

162 A 2015 study by Prafula and colleagues assessed acceptability, feasibility and challenges of
 163 implementing an HIV prevention intervention for people living with HIV/AIDS among healthcare
 164 providers in Mozambique. They found that positive prevention interventions were acceptable and
 165 feasible to providers, though many providers noted social and cultural challenges to implementing
 166 positive prevention and improved intervention uptake among patients. They mentioned training as
 167 a major factor which influence implementation of this new approach with their patients (30).

168 There was another study conducted in Tanzania evaluating RDT acceptance and usage by providers
 169 and patients. There was a high level of acceptance of and satisfaction with RDTs among providers
 170 and patient/caregiver. The results of this study indicated that intensive training, closer supervision,

positive community and/or individual pressure, fast/quickness of test, effective distribution and good management systems are among the factors which positively affect acceptability and usage of RDT (31). There is no study that has been conducted to assess the level of and factors affecting acceptability of rat-based TB diagnostic tool in Tanzania.

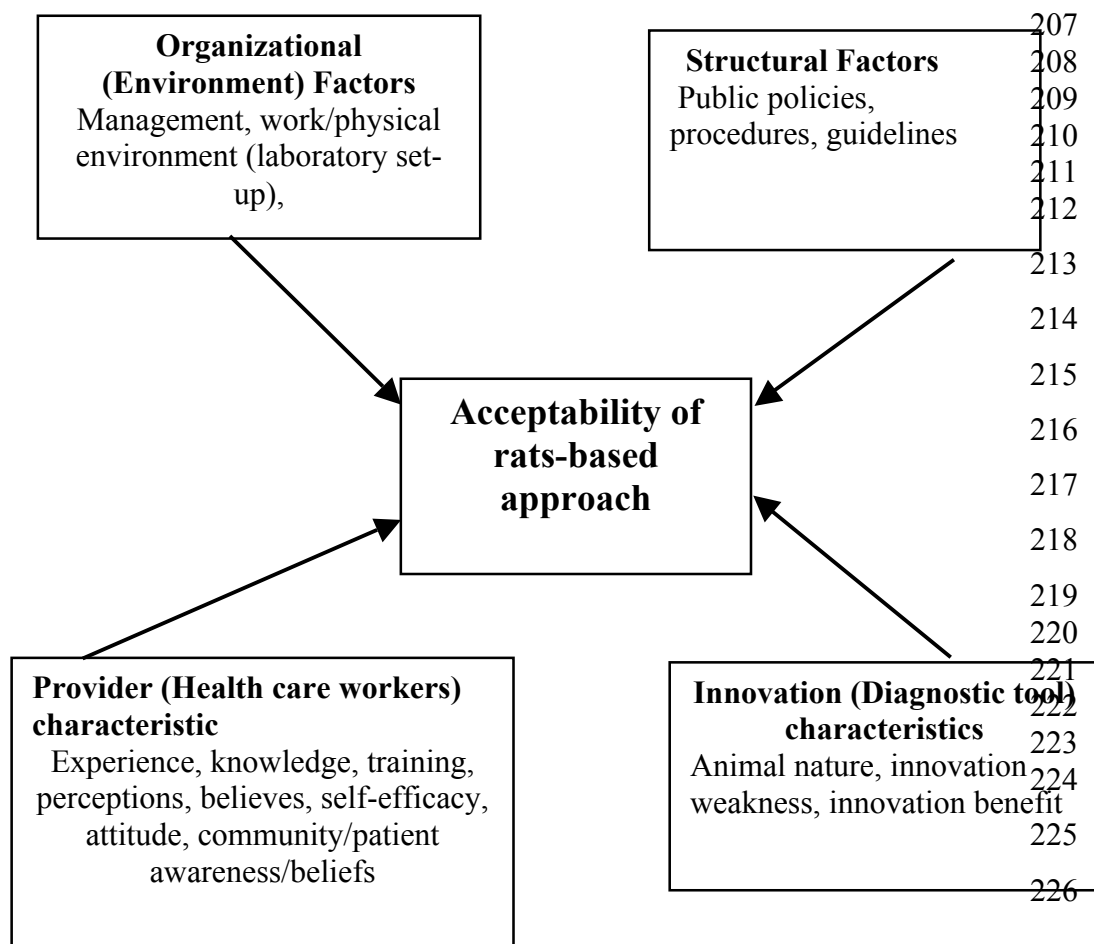
Key findings as per the literature:

In general, the factors that positively affect acceptability for the different health intervention as per the literature section are cost (affordable or cheap price), fast/quickness of intervention, support from superior staffs, presence of clear policies, presence of education, characteristics of that health intervention, formal training, positive community or individual pressure, and good management system.

1.2.6 Conceptual Framework for factors affecting Acceptability

Through synthesizing the present theories and frameworks by Damshroder et al. from a Fostering implementation of health services research findings into practice: a consolidated framework for advancing implementation science (32), two systematic literature review by Durlak and Dupre (33) and by Chaudoir et al. (34) multi- level conceptual framework that articulates predominant factors that predict or impact implementation outcomes, we hypothesize that the factors affecting acceptability in our study fall under four main constructs. Those four constructs are Structural factors, organizational factors, innovation level factors and provider/ Health care workers level factors. The literature from low resources setting countries and our study interviews guide us to group the identified factors from our study under each construct adapted. The conceptual framework for factors hypothesized to affect acceptability can be seen below.

Figure 1. Conceptual framework, of hypothesized factors affecting Acceptability of the rat-based TB diagnostic tool among health care workers providing TB treatment in health care facilities served by APOPO in Dar-es-Salaam, Tanzania. Adapted and synthesized from Damshroder et al., Chaudoir et al., Durlak and Dupre



1.3 Problem Statement

Although TB is a major cause of mortality in Tanzania, still the main TB diagnostic tool remains sputum smear microscopy (1,35). Sputum smear microscopy in Tanzania is regarded as gold standard tool despite the rate of false negative results being high and its sensitivity being very low (7,15). Since the launch of the rat-based TB diagnostic tool in Tanzania in 2008 reports have showed that new TB cases detection has increased by around 40% (12). However, until to date, rat-based diagnostic tool has not used as a TB diagnostic tool in routine TB diagnosis. Further, there is no data on the reasons for this low uptake and acceptability of the tool. With limited understanding of acceptability and factors that may affect rat-based TB diagnostic tool, it would be difficult to modify and roll out the tool to more health care settings for routine diagnosis.

1.4 Justification

This research explores the factors affecting acceptability of rat-based TB diagnostic tool among TB health care workers. Acceptability is an important measure because it highlights the agreeability, palatability and satisfaction of this new tool. It allows for adaptation of a diagnostic tool to fit a given local setting. Thus knowledge, opinions, views and perceptions of health care workers is key to scaling-up of any new diagnostic tool. The findings from this research will inform clinical protocol, implementers and TB policy makers about acceptability views around rat-based TB

244 diagnosis in Tanzania. It will invariably provide key information that can help in strategic planning
245 to improve the uptake and scale-up of the diagnostic tool across the country.

246 1.5. 0 Study Objectives

247 1.5.1 Research Question

248 What are the factors affecting acceptability of the rat-based TB diagnostic tool among TB health
249 care workers in Dar-es-Salaam, Tanzania?

250 1.5.2 Aim

251 To examine the factors affecting acceptability of the rat-based TB diagnostic tool among TB health
252 care workers in health care facilities served by APOPO in Dar-es-Salaam, Tanzania.

253 1.5.3 Specific objectives

- 254 1) To explore the factors affecting acceptability of rat-based TB diagnostic tool among TB
255 health care workers in health care facilities served by APOPO in Dar-es-Salaam.
- 256 2) To determine/measure the level of acceptability of rat-based TB diagnostic tool among TB
257 health care workers in health care facilities served by APOPO in Dar-es-Salaam.
- 258
- 259 3) To determine the association between acceptability score and other factors that may be
260 affecting acceptability of the rats-based TB diagnostic tool.

261

262

263

264

265

266

267

268

269

270

271 CHAPTER 2: METHODOLOGY

272 2.1 Study design

273 This was a mixed method exploratory sequential study design. Qualitative data was collected first
274 using in-depth interviews (IDIs) to explore TB health care worker's perceptions, knowledge,
275 opinions and views regarding acceptability and factors that might be affecting acceptability of rat-
276 based TB diagnostic tool. The findings from qualitative approach were then used to refine and
277 prepare a structured or close-ended questionnaires for collecting quantitative data.

278

279 2.2 Study site

280 This study was conducted in Dar es Salaam, Tanzania. It involved twenty health care facilities that
281 are served by the APOPO project. Dar-es-Salaam is the largest commercial city in Tanzania
282 consisting a population of over 4.4 million accounting for 10 percent (10%) of the total Tanzania
283 Mainland population (36). It covers a total land area of 1,393 km², which is divided into three
284 administrative municipal councils. It is a fast growing city with an estimated population of 6
285 million by 2020 (37). The high population density and growth rate due to in-migration, increasing
286 birth rates, and other factors affects negatively the health care systems in this city. The high
287 population density and poor infrastructure result in different diseases outbreak and TB is among the
288 top five diseases that cause mortality in Dar-es-Salaam region (37).

289

290 2.3 Study population

291 The study population was the TB health care workers including clinicians or doctors, and
292 laboratory technologists or technicians. All the study participants were working for TB sections in
293 selected 20 health care facilities served by APOPO project.

294

295 2.4 Sampling

296 For the qualitative data collection, 19 study participants (clinicians/doctors and laboratory
297 personnel) were purposively selected among TB health care workers based on their knowledge and
298 experience on rat-based TB diagnostic tool. The selection of the study participants ensured
299 maximum variation in characteristics including gender, age, job category and length of service at
300 TB section. In all health care facility, we purposively selected study participant to participate in the
301 study. Therefore, the final number those who participated in the IDIs was 19.

302 For quantitative data collection part, all TB health care workers (only laboratory personnel's) in 20
303 health care facilities served by APOPO project within Dar es Salaam were included in the study.
304 No sampling procedure was needed for this part of the study. The total number of study
305 participants involved in quantitative data collection was 45. For quantitative statistical analysis, this
306 sample size is too small, thus it limits the generalizability of the study findings.

307

308 2.4.1 Inclusion criteria

309 A study participants included in the study was required to be a TB health care worker, who has
310 worked for more than twelve months in a TB section and has attended at least one APOPO project
311 seminars or trainings.

312

313 2.4.2 Exclusion criteria

314 A study participant was excluded if s/he was a health care worker but not in TB section, or working
315 under TB section for less than twelve months and has never attended a single APOPO project
316 seminars or trainings

317

318 2.5 Variables and their Definitions

319 2.5.1 Outcome Variable

320 Acceptability of rat-based TB diagnostic tool was the outcome or dependent variable in this study.
321 It was defined as the perception among TB health care workers that the rat-based TB diagnostic
322 tool is agreeable, palatable or satisfactory. Acceptability was measured using Likert scale based on
323 a range of questions in the structured questionnaire that assessed the agreeable, palatable or
324 satisfactory about rat-based TB diagnostic tool. The adapted Likert scale used 5 points to score the
325 acceptability (1=strongly disagree to 5=strongly agree). The 5 points score measured respondent
326 attitudes either positive, negative or neutral to a given statement or question regarding the rat-based
327 TB diagnostic tool. The acceptability average score was calculated and categorized into low,
328 moderate and high.

329

330 2.5.2 Explanatory variables:

331 These comprised of a number of factors that have been hypothesized to influence acceptability of
332 rat-based TB diagnostic tool identified from the in-depth interviews. These factors were grouped
333 into four: health care worker (personal) related factors, environmental factors, diagnostic tool
334 (nature of the diagnostic tool), and policy related factors. The personal factors included
335 experience, knowledge, training, perceptions, community/patient awareness, beliefs, self-efficacy
336 and attitude. Environmental factors were the organizational management and working or physical
337 environment. Factors related to nature of the diagnostic tool were the animal or rat nature,
338 innovation weakness and innovation benefits. Policy related factors included public health policy,
339 procedures and guidelines regarding inclusion of rat-based TB diagnostic tool. Other characteristics
340 of health care workers were age, sex, job category, and experience working under TB section.

341

342 2.6 Data collection, management and analysis

343 2.6.1 Qualitative data collection, management and analysis

344 Face-to-face IDIs were conducted in Swahili using a semi-structured interview guide. The IDIs
345 guide was piloted in one hospital to ensure its validity and reliability before starting the actual
346 study interviews. Open-ended, non-directed questions were asked to explore study participants'
347 general knowledge, views, awareness and their perceptions on acceptability and the factors that

might influence the acceptability of rat-based TB diagnostic tool. Acceptability, willingness to diagnose TB using rats, the use of rats-based results, and their general beliefs in the tool and the results were explored. The interviews were conducted in a private room and the interviewer ensured interviewee's confidentiality of the data being collected to make them talk freely. The process of audio recording and other procedures was introduced to the interviewee before interviews. The interviews lasted between 30-45 minutes, depending on the level of information offered by study participant. All interviews were centered on the main question followed by a probing process to get more information. Also, interviewee's body language and facial expressions were noted by the interviewer as part of data.

The recorded IDIs were transcribed verbatim and translated from Swahili to English language. Transcriptions were reviewed, checked and re-checked against the recorded audio for accuracy and completeness. The identified variations or discrepancies from the data were corrected accordingly. The recorded audios and soft-copies of the interview transcripts were kept in a password-protected computer while hard copy transcripts were kept in a lockable cabinet. The analysis was done using thematic framework analysis in Nvivo version 10. Key steps taken in the analysis included familiarization, identifying thematic analytical framework, indexing, charting, and results interpretation. Briefly, the transcripts were read and re-read in detail in order to be familiar with the content of the data collected. Then, codes were clearly defined and classified into categories in order to develop a thematic framework. The codes were derived inductively from the soft copy transcripts and the categories of the identified themes were aligned with the objective of the research study. The coding was done by carefully reading the transcripts line by line to identify and assign codes to important issues that are related to the study's themes of interest i.e. policies, procedures and guideline, environment, health care workers or personal, and diagnostic tool related factors. The identified codes that had been assigned to different themes or factors were then compared to capture similarities and differences between the two data analysts. Then, these codes were applied to all the transcripts using Nvivo software. The charting process brought all the data related to a particular coding theme together in the same place and Nvivo software was used to organize the charts of the discovered themes or issues. Thereafter, the charted data were analysed, visualised, summarized, and interpreted into specific themes or factors from each transcript making sure that original meanings were retained.

2.6.2 Quantitative data collection, management and analysis

A well-designed and pre-tested questionnaire was administered to the eligible study participants to capture data on acceptability and factors affecting acceptability of the rat-based TB diagnostic tool. Double data entry was performed in Epi-data version 3 database. Data cleaning was performed specifically to check for entry errors, inconsistencies and missing values.

Socio-demographic and other study characteristics were summarized and presented as frequency (n) and percentage (%). Sets of variables describing a given factor or theme were assigned to that particular factor or theme. To simplify the analysis, factors (themes) were grouped into four: health care worker (personal), environment, diagnostic tool (nature of rat-based test) and policies, procedures and guidelines related factors. Then total scores, mean and median (IQR) in five points' Likert scale (1; Strongly disagree up to 5; Strongly agree) for each factor were calculated. Briefly: to create a single variable related to health care worker (personal) factor five questions or variables, were used to calculate the total and mean scores. For policy related factors, four variables or questions were used to obtain the total and mean scores. Environment factors score was calculated from two questions. For nature of diagnostic tool score, three questions were used to create the total score and mean diagnostic tool score.

Acceptability was measured using self-report measures or domains captured by the five questions. These included responses to hypothetical scenarios, satisfaction measures, attitudinal measures, reports of individuals on their perceptions of, and experiences with the intervention. Then, to create the acceptability as a single variable, the total and mean scores were calculated from all the five questions. Then mean acceptability score was categorized into 3 groups (0=low, 1=medium and 2=high) based on the following criterion 1-2.9="low", 3.0-3.99="medium" and 4.0-5="high".

To determine the measure of each variables reliability (internal consistency) that is, how closely related a set of variables are as a group we performed a correlation and Cronbach's alpha test. The importance of each variable was determined by comparing the variable Cronbach's alpha with the overall Cronbach's alpha. The higher the Cronbach's alpha coefficient, the more the variables are correlated or measuring the same outcome. If a variable is dropped from the correlation matrix, its corresponding alpha is indicative of the overall alpha. Thus a variable that reduces the overall alpha if it were to be dropped should be retained i.e. it is an important variable or factor to be kept.

We further performed Exploratory Factor Analysis (EFA) to assess and identify important variables to be kept. All the variables identified by EFA were used in regression model. Ordered logistic regression analysis was performed to identify variables or factors affecting acceptability score (0=low, 1=medium and 2=high) of rat-based TB diagnosis. To determine the association between acceptability of rat-based TB diagnosis and other variables, crude and adjusted odd ratios (OR) were calculated. The variables with a P value ≤ 0.20 in the univariate analysis were eligible for entry into the multivariable logistic regression model, where adjusted OR and 95%CI were calculated accordingly. A two-tailed p-value of 0.05 was considered statistically significant. All quantitative data analyses were performed using Stata 14 (StataCorp, College Station, Texas 77845 USA).

419 2.7 Ethical consideration

420 Ethical clearance for the study was obtained from the Tanzanian National Health Research Ethical
421 Committee of the National Institute for Medical Research (NIMR) with approval number
422 NIMR/HQ/R.8a/Vol.IX/2404. Also the Human Research Ethics Committee (Medical) of the
423 University of the Witwatersrand approved the study with number M161193. A written informed
424 consent was sought from participating before enrolment into the study. Participation in this study
425 was completely voluntary

426

427 CHAPTER 3: RESULTS

428 3.1 Qualitative Results

429 A total of 19 TB health care workers participated in IDIs. Ten participants were males and nine
430 were females. Out of 19 IDIs, 13 were doctors or clinicians and 6 are laboratory technologists or
431 technicians. Almost all study participants had more than one year of working experience in the TB
432 section in collaboration with APOPO project. A high interplay and inter relationship between the
433 themes or factors affecting acceptability of the rats-based TB diagnostic tool was noted. From this
434 interplay four broad themes came-up after doing thematic framework analysis of the IDIs.

435 3.1.1 Policies, Procedures and Guidelines related factors

436 Study participants reported that there were guidelines followed for any diagnostic tool to be used in
437 the health care facility. To be used as a diagnostic tool and for it to be incorporated into the
438 national diagnosis policies, usually a country consults WHO or has to wait until WHO recommends
439 it. In Tanzania, all diagnostic tools used in governmental healthcare facilities are those approved by
440 ministry of health guided by WHO guidelines. Study participants expressed their feeling by seeing
441 that WHO endorsement in any diagnostic tool is mandatory.

442 *“Anything that is not recommended by WHO will not be accepted and there is no possibility to use*
443 *that thing in our country because anything that is not approved by WHO is invalid to use ”* (study
444 participant D14)

445 *“But then to use it as part of the national policies in diagnosis usually we consult WHO or we wait*
446 *for the WHO policies to at least mention where the test should be placed in diagnostic algorithm”.*
447 (study participant D1)

448 *“I see directly people are waiting for WHO to say yes rats from APOPO deserves to be used. But*
449 *Tanzania as a country still has no mandate to approve its usage directly”.* (study participant D8)

450 WHO has not yet endorsed the rats-based TB diagnostic tool, which limits the Tanzanian ministry
451 of health to adopt this technology and integrate it into the TB diagnostic algorithms. Participants
452 went further on indicating that their practices are largely dependent on healthcare policies and
453 recommendations. The inclusion of rats-based TB technology in TB treatment guidelines from
454 ministry of health would be a strong reinforcement towards new TB case detection. The
455 government’s endorsement through the ministry of health was deemed crucial to acceptability of
456 the diagnostic tool.

457 *“The time the ministry will say that from now on APOPO technology has been accepted for us we*
458 *cannot oppose to implement it. But as health care workers, without higher authorities giving us*
459 *clearance we cannot accept it directly.”* (study participant D7)

460 *“We are the final users. I mean we depend on the ministry of health...if the ministry of health says*
461 *no, we don't know this technology we are not going to accept it”* (study participant D14)

Another reason mentioned by study participants that affect acceptability of the tool was lack of space in TB logbooks to write the rats-based positive results. Because the tool has not WHO approval, the ministry of health does not prepare TB logbooks to record rats-based positive results. Therefore, the rats-based positive results coming are not recorded in the TB logbook. This results in limited follow-up for rats-based positive patients although those patients were put on TB medications.

"If we get results from APOPO (rats-based results) we don't write in our logbook as it is indicated we administer treatment but we write the previous results the patient got at the beginning as smear negative" (study participant D13)

"Big problem for the rat's results is patients follow up... that is why as I said is not easy to be accepted." (study participant D10)

"Even if APOPO says it is positive, am not allowed 100% to write as positive unless I get results from other diagnostic tools like microscopy or gene expert" (study participant D9)

3.1.2 Diagnostic tool related factors

The nature of technology was also found to affect the study participants in satisfaction, trust and comfort with rat-based diagnostic tool. There is a feeling that they are medical personnel trained to handle human beings and no other animals. They do not have much knowledge and experience to deal with animal-based tools.

"I said these are animals and we as medical personnel are not experts when it comes to animals, so it going to be challenging for us now to start dealing with animals " (study participant D1).

There is a feeling that the act of repeating the screening process after rat's indication makes study participants to be doubtful of their tool. The study participants indicated that the APOPO project personnel are not confident with rat's ability due to its nature, because after rats' indication they do confirm the results with other techniques before returning the results to the specific health care facilities.

"APOPO are not yet confirming if the results are only rat-based by 100% for patient(s) to start medication. When rats detect TB still they do concentration method, gene x-pert and then culture" (study participant D9)

"Even APOPO themselves are hesitant in believing the rat-based results directly" (study participant D13)

Though most of study participant mentioned the advantages of using rats-based TB technology and their contribution in eradicate TB compared to other TB diagnostic tools. Issues of early TB detection, quickness, and cheapest technology compared to molecular tool were repeatedly mentioned. The study participants pointed out that if our goal as a national is to eradicate or combat

497 TB by 2035, there is a need for the government to accept this rats-based technology and endorse it
 498 as TB diagnostic tool.

499 *"APOPO technology has demonstrated that rats can detect TB in a very early stage compared to*
 500 *many other TB technologies...as you know if there is early TB detection and early TB diagnosis*
 501 *and treatment becomes better"* (study participant D2)

502 *"Within a minute, rats can screen a lot of sputum samples....and these rats use sense of smell so*
 503 *even when bacteria or bacilli are in a very low concentration which is not possible to detect by*
 504 *microscope, yet the rats can detect it."* (study participant D9)

505 *"Rats do help me to detected more tuberculosis positives, additionally to what I missed from*
 506 *classical diagnostic facilities"* (study participant D10)

507

508 3.1.3 Health care workers (Personal) related factors.

509 There were different views or issues related to the study participants themselves that emerged.
 510 Perceptions and beliefs appeared to emerge most often as principal factors that may affect in one
 511 way or another the implementation of rats-based TB technology. These factors were crosscutting as
 512 they closely interrelated with most of other themes i.e. diagnostic tool, environmental and policies,
 513 procedures and guidelines related factors. Most of the study participants indicated that they are not
 514 ready to work with rats-based TB diagnostic tool because these are animals. In additional, the TB
 515 health care workers pointed out that they do not have detailed information and formal training on
 516 rats-based technique for them to be competent and comfortable with the rat-based tool.

517 *"For sure we are not comfortable living with animals"* (study participant D1)

518 *"I don't know ethically how to handle rats...whether or not it is allowed to mix rats with other*
 519 *diagnostic machines in the laboratory"* (study participant D5)

520 *"I don't have that knowledge to deal with animals...am not trained on that"* (study participant D15)

521

522 Some of the study participants pointed out that the rat's inability to quantify TB bacteria and to
 523 predict the drug resistance also lower their acceptability by most health care workers. The negative
 524 perceptions and doubts about the rat-based diagnostic tool by some of the study participants impact
 525 negatively the delivery of rats-based results to the community members, because they are the ones
 526 who are dealing with the community member directly.

527 *"I do not know if this rats are capable of isolating the mycobacterium or to quantify them... if rats*
 528 *are capable to do that...I will accept it. And also I do not know if rats are trained to detect multi-*
 529 *drug resistance tuberculosis (MDR TB)"* (study participant D12)

530

531 Most of the health care workers explained the lack of awareness among community members with
 532 regard to the rats-based TB diagnostic tool negatively affects acceptability of this technique. Some

533 of the study participants indicated that there were some patients still doubting rats' ability in TB
534 detection that resulted in disbelieving rats' results. Sometimes people advise their patients having
535 TB positive results from rats to produce another sputum samples for it to be confirmed by other TB
536 diagnostic tools. This situation reduces healthcare worker's confidence in better engaging patients
537 in decision making towards TB medications after having rat-based positive results. The study
538 participants suggested the need for APOPO project to provide education about the technique to
539 raise awareness among community members.

540 *"Sometimes patients do not believe the rat-based results...in such occasions they advised the*
541 *patients to produce another sputum"* (study participant D5)

542 *"Due to limited awareness I was getting hard time to explain to my clients, the TB patients that*
543 *they were diagnosed by rats and found to be TB positive"* (study participant D8)

544

545 *"We normally tell him or her that s/he has been discovered to be TB positive by more superior*
546 *devices... you don't tell him or her that he has been discovered by rats-based technique, because*
547 *they might not come.... because people are not aware of it yet".* (study participant D13)

548

549 *"APOPO should provide education to community members, because most of community members*
550 *are not aware on this technique, they do not know what APOPO is all about"* (study participant
551 D14)

552

553 Some study participants suggested that, there is a need for other people to attend APOPO project
554 seminars and training to increase their knowledge about rats-based TB diagnostic tool. There a
555 perception that, there was a relationship between seminars or training attended and level of
556 acceptability of this diagnostic tool.

557

558 *"If a person attended a number of APOPO seminars or trainings and see or observe what is going*
559 *on over there it won't be possible for him/her to resist on rats-based technique"* (study participant
560 D14).

561

562 *"I accept it because I have seen the rats doing the TB detection process...I have already been*
563 *trained and showed how rats work and they just show me practically"* (study participant D16)

564

565 3.1.4 Environment related factors.

566 Factors related to the working environment in particular the laboratory set-up. Some of the study
567 participants expressed that the present laboratory set-up in our health care facilities are not meant
568 for rats-based techniques. The study participants pointed out to the limited laboratory space

569 available. Currently they are a few small rooms which most of the time only one room or two
570 rooms are available to accommodate all the machines for different disease detection. The study
571 participants felt that limited laboratory space is among the reasons hindering the acceptance of rats
572 as a routine TB diagnostic tool

573

574 *"Laboratory set-ups also is a big problem, because even the laboratories located in Dar-es-Salaam*
575 *where pilot study takes place still does not allow mixing up with rats' technology"* (study
576 participant D10)

577

578 *"For now our laboratory set-up has has no space special for rats-based technique "*. (study
579 participant D12)

580

581 *"For the present laboratory...we don't have that infrastructure to accommodate rats"* (study
582 participant D15)

583

584 Nevertheless, most of the administration staff from health facilities served by APOPO project do
585 support and accept rats-based TB diagnostic tool and its results. Due to that, some study
586 participants do think that it is better to open standard veterinary laboratories which can serve not
587 only for animals but also for human beings including TB detection.

588

589 *"But if we want to accommodate the rats there is a need to build another standard and special*
590 *laboratory for rats"* (study participant D19)

591

592 *"May be they should construct special laboratories for rats only but not in the laboratories of our*
593 *hospitals"* (study participant D16)

594

595 Qualitative: Key findings

596 Key findings identified are: The factors identified from IDIs which affect acceptability includes:
597 Health care worker related factors (lack of formal training, limited patient awareness, inability of
598 the rats to quantify TB disease and in predicting the resistance to the given drugs), policies,
599 procedures and guidelines related factors (lack of clear policies and treatment guidelines),
600 environment related factors (laboratory set-up, hospital management), and diagnostic tool related
601 factors (compatibility, complexity, and relative advantage of the tool).

602

3.2 Quantitative Results

3.2.1 Socio-demographic and other study characteristics

Out of 45 study participants, 19 (42.2%) were females and 26 (57.8%) were males. Twenty-five participants (55.6%) were aged between 18 and 34 years old. Twenty-eight participants (62.2%) were laboratory technologist, 12 (26.7%) were laboratory assistant and 5 (1.1%) were laboratory attendants. Twenty-five participants (55.6%) agreed that rat-based TB diagnostic tool is the most accurate TB diagnostic tool and 25(55.6%) reported high level of acceptability of the rats-based TB diagnostic tool (Table 1).

Table 1: Characteristics of the surveyed TB laboratory personnel in Dar-es-Salaam

Characteristics	No.	%
TB screening results used in the facility		
Microscope	45	100
Gene expert	34	75.6
Culture	8	17.8
Rats-based	45	100
Age category for participants		
18-34	25	56.8
35+	19	43.2
Sex		
Female	19	42.2
Male	26	57.8
Job category (laboratory education levels)*		
Assistant	12	26.7
Attendant	5	11.1
Technologist	28	62.2
Time working in TB section		
Between one year and two years	10	22.2
>2 years	35	77.8
Level of acceptability^{&}		
Low	6	13.3
Medium	14	31.1
High	25	55.6
Accuracy of microscope		
More accurate	32	71.1
Mostly accurate	12	26.7
Not accurate	1	2.2
Accuracy of gene expert		
More accurate	20	58.8
Mostly accurate	14	38.2
Not accurate	1	2.9
Accuracy of culture		
More accurate	3	37.5
Mostly accurate	5	62.5
Accuracy of rats-based		
More accurate	19	42.2
Mostly accurate	25	55.6
Not accurate	1	2.2

[&]Acceptability scale: ≤2.99 (Low), 3-3.99 (medium), ≥4 (High)

*Attendants are laboratory personnel with certificate

*Assistants are laboratory personnel with diploma

3.2.2 Exploratory Factor Analysis and correlation of health care worker's (personal) related factors

The correlation of each variable (factor), Cronbach's alpha (α) and Exploratory Factor Analysis (EFA) were performed to identify Health care worker's (personal) related factors (Table 2). There is a positive correlation between all the personal related factors that may affect rat-based diagnostic tool acceptability among healthcare workers. Mean and median score (IQR) in five points' Likert scale (1; Strongly disagree up to 5; Strongly agree) for no doubts if rats-based technique should be used with other confirmation tools and not as a stand-alone tool was 4.20 and 4 (4-5) respectively, with a correlation of 0.4788, Cronbach's alpha (α) of 0.6104 and uniqueness value of 0.4139. The mean and median score (IQR) for the importance of attending APOPO seminars in ultimately increasing knowledge and acceptability of rats-based TB diagnostic tool was 4.42 and 5 (4-5) respectively, with a correlation of 0.4738, Cronbach's alpha (α) of 0.6113 and uniqueness value of 0.5212. The mean and median score (IQR) of not having knowledge to deal with rats-based TB tool because healthcare workers are human based laboratory personnel's was 4.0 and 4 (4-5) respectively, a correlation of 0.5337, Cronbach's alpha (α) of 0.5999 and uniqueness value of 0.4578.

3.2.3 Exploratory Factor Analysis and correlation of environment related factors

The mean and median score (IQR) for the present laboratory set-up that the laboratories cannot accommodate rats-based TB diagnostic tool was 4.09 and 4 (4-5) respectively, a correlation of 0.5681, Cronbach's alpha (α) of 0.5931 and uniqueness value of 0.5795. Suggesting the view that specialized veterinary laboratories should be constructed. The mean and median score (IQR) for the hospital management to support rats-based results and put rat's positive patients under medication was 3.93 and 4 (4-5) respectively, a correlation of 0.3487, Cronbach's alpha (α) of 0.6334 and uniqueness value of 0.2937 (Table 2).

3.2.4 Exploratory Factor Analysis and correlation of diagnostic tool (nature of rat-based test) related factors

The mean and median score (IQR) of resulting in stigmatization for rats' positive patients because of its animal nature was 2.64 and 2 (2-3) respectively, a correlation of 0.3985, Cronbach's alpha (α) of 0.6249 and uniqueness value of 0.6657. The mean and median score (IQR) of rats-based not being approved by WHO because of its animal nature was 2.93 and 3 (2-4) respectively, a correlation of 0.2669, Cronbach's alpha (α) of 0.6468 and uniqueness value of 0.6387 (Table 2).

3.2.5 Exploratory Factor Analysis and correlation of policies, procedures and guidelines related factors

The mean and median score (IQR) for the variable investigating that there are problems in doing follow-up for rat-based positive patients due to lack of WHO endorsement was 3.71 and 4 (4-5) respectively, a correlation of 0.3062, Cronbach's alpha (α) of 0.6405 and uniqueness value of 0.6611. The median score (IQR) of repeating all the samples after rats' indication to confirm its positivity was 4 (4-5), a correlation of 0.6888, Cronbach's alpha (α) of 0.5677 and uniqueness value of 0.3967 (Table 2).

Table 2: Exploratory Factors Analysis for the factors affecting acceptability of rats-based TB diagnostic technique in Dar-es-Salaam, Tanzania

Factors or Reasons	Mean(SD)	Median(IQR) #	Item-test correlation	Alpha ^s	Uniquenes
I will have no doubt if rats-based technique used with other confirmation tool and not a stand-alone tool	4.20(0.99)	4(4-5)	0.4788	0.6104	0.4139
I am not aware on the ability of rats based technique on differentiating between normal TB bacteria and non-TB bacteria	2.98(1.23)	3(2-4)	0.2358	0.6517	0.5039
I believe that rats-based TB diagnostic technique will result in stigmatization because of its animal nature	2.64(1.15)	2(2-3)	0.3985	0.6249	0.6657
I know it is un usual for WHO to approve an animal based technique	2.93(1.05)	3(2-4)	0.2669	0.6468	0.6387
I think lack of WHO endorsement of rats-based TB diagnostic tool cause a big problem in doing follow-up for rats positive patients	3.71(1.27)	4(4-5)	0.3062	0.6405	0.6611
I am sure the laboratory set-up present in our health care facilities cannot accommodate rats as TB diagnostic tool	4.09(1.12)	4(4-5)	0.5681	0.5931	0.5795
I think that it is more expensive to use the rat-based TB diagnostic tool as a routine TB screening technique	3.60(1.16)	4(3-5)	0.4320	0.6189	0.5197
Attending APOPO seminars increases my knowledge and acceptance of rats-based ability in TB detection	4.42(0.92)	5(4-5)	0.4738	0.6113	0.5212
I am a human based laboratory technologist I do not have much knowledge to deal with animal based tools	4.0(1.21)	4(4-5)	0.5337	0.5999	0.4578
I think is tedious work to use rats-based as a routinely diagnostic tool because needs someone to confirm it after rats indication	3.98(1.06)	4(4-5)	0.6888	0.5677	0.3967
I believe that community member are still skeptics on the rats-based technique	3.93(1.12)	4(3-5)	0.3952	0.6255	0.4557

I know when APOPO bring back the positive results am not using those results directly as positive because these rats technology still not validated not even accepted by government as a diagnosis technology	2.87(1.25)	3(2-4)	0.3593	0.6316	0.5427
I believe rat-based diagnostic results has improved my individual confidence to discuss with TB patients	3.93(0.96)	4(4-5)	0.3788	0.6283	0.2478
I feel that the hospital management in this health facility support rat-based diagnostic results	3.93(0.94)	4(4-5)	0.3487	0.6334	0.2937
Total Cronbach's alpha				0.6386	

^sCronbach's alpha

[#]Likert scale range: 1-Strongly disagree up to 5-Strongly agree

3.2.6 Exploratory Factor Analysis and correlation of Acceptability score

The analysis showed the overall mean and median (IQR) for all variables describing acceptability in 5-points Likert scale was 3.8 and 4 (4-5) respectively. Specifically, the variables with the highest scores were for the variable explaining about trusting rat's ability in TB detection whose mean and median (IQR) score was 3.71 and 4 (3-5) respectively, a correlation of 0.8270, Cronbach's alpha (α) of 0.8156 and uniqueness value of 0.4073. Recommending rats based technique to be placed in TB diagnostic tool algorithm and be used as a routine TB screening in the hospital with mean and median (IQR) score was 3.91 and 4 (4-4) respectively, a correlation of 0.8125, Cronbach's alpha (α) of 0.8214 and uniqueness value of 0.3488 (Table 3)

Table 3: Exploratory Factors Analysis for acceptability score of rats-based TB diagnostic tool

Acceptability Questions	Mean(SD)	Median(IQR) [#]	Item-test correlation	Alpha ^s	Uniqueness
I trust the ability of the rat-based technique in TB detection compared to that from other diagnostic tools.	3.71(1.14)	4(3-5)	0.8270	0.8156	0.4073
I like rats-based results because it has high quality compared to the results from other TB diagnostic tool.	3.60(0.97)	4(3-4)	0.7958	0.8279	0.4103
I am satisfied with the ability of the rat-based TB diagnostic technique in TB detection and the result coming from it	3.87(1.04)	4(3-5)	0.7563	0.8425	0.4937
It is very enjoyable to use the rat-based TB diagnostic technique over other methods	3.96(1.09)				

for TB screening.		4(3-5)	0.7955	0.8280	0.4114
I would recommend rat-based technique to be placed in TB diagnostic tool algorithm and be used as a routine TB screening in the hospital	3.91(0.97)	4(4-4)	0.8125	0.8214	0.3488
Total Cronbach's alpha				0.8568	

^sCronbach's alpha

[#]Likert scale range: 1-Strongly disagree up to 5-Strongly agree

3.2.7 A descriptive analysis of the determinants of the acceptability.

In order to assess the level of acceptability of rat-based technology on the TB screening among TB health care workers, a descriptive analysis of determinants was done using the mean and median of estimated determinants identified in the survey. The analysis presented the mean (SD) and median (IQR) of the determinants of the acceptability in 5-point Likert scale ranging from 1-Strongly disagree to 5-Strongly agree (Table 4). Personal and environmental factors contributed the highest scores especially in the high acceptability category. Personal factors showed a mean (SD) 4.07(0.51) and median (IQR) 4.0 (3.67-4.33) while environmental factors showed a mean of 4.12(0.71) and median (IQR) of 4.0 (4.0-4.5). This means that, for the 45 study participants who completed the survey, most of them agreed that personal and environment factors highly influenced their acceptability of the rat-based technology. This was followed by policy factors as well as factors related to the diagnostic tool itself. Sex, age and job category of the TB health care workers showed mean and median ranging from strongly disagreed to neutral to have influence in acceptability.

Table 4: A descriptive analysis of the constructed acceptability and the estimated determinants

DETERMINANTS ESTIMATED		ACCEPTABILITY LEVEL			
		Total	LOW	MEDIUM	HIGH
Personal factors	Mean±(SD*)	3.91(0.53)	3.41(0.40)	3.8(0.49)	4.07(0.51)
	Median(IQR^)	4.0(3.67- 4.33)	3.25(3.17-3.83)	4.0(3.67-4.17)	4.0(3.67- 4.33)
Environment factors	Mean±(SD*)	4.01(0.70)	3.42(0.97)	4.07(0.43)	4.12(0.71)
	Median(IQR^)	4.0(3.5-4.5)	3.5(3-3.5)	4.0(4.0-4.5)	4.0(4.0-4.5)
Diagnostic tool factors	Mean±(SD*)	3.06(0.71)	2.67(.37)	2.95(0.65)	3.21(0.77)
	Median(IQR^)	3(2.67- 3.33)	2.67(2.33-2.67)	3.0(2.33 -3.33)	3.0(2.67-3.67)
Policy factors	Mean±(SD*)	3.52(0.79)	3.44(1.03)	3.29(0.73)	3.67(0.76)
	Median(IQR^)	3.67(3-4)	3.5(2.33-4.33)	3.33(3.33-3.67)	4.0(3.0-4.0)
sex	Mean±(SD*)	1.58(0.50)	1.67(0.52)	1.5 (0.52)	1.6 (0.5)
	Median(IQR^)	2.0(1.0-2.0)	2.0(1.0-2.0)	1.5(1.0-2.0)	2.0(1.0-2.0)
Job category	Mean±(SD*)	2.4(0.88)	2.83(0.41)	2.86(0.36)	1.96(0.98)
	Median(IQR^)	3.0(1.0-3.0)	3.0(3.0-3.0)	3.0(3.0-3.0)	2.0(1.0-3.0)
Age category	Mean±(SD*)	1.43(0.50)	1.5(0.55)	1.28(0.47)	1.5(0.51)
	Median(IQR^)	1.0(1.0-2.0)	1.5(1.0-2.0)	1.0(1.0-2.0)	1.5(1.0-2.0)
SD*- Standard Deviation					
IQR^- Inter Quarter Range					

3.2.8 Univariate Analysis

Univariate analysis was performed to determine crude odd ratios (ORs) for each factor on acceptability score of rat-based TB diagnosis tool (Table 5). Gender, job category, working experience (years working under TB section), and age of study participants were not associated with acceptability score of rat-based TB diagnosis. Health care workers (personal) factor is the only factor that was significantly associated with acceptability score. The odds of high (compared to low and medium) acceptability score increases with increase in mean score of health care workers (personal) factors; OR: 4.99 [95% CI: 1.42-17.5]

Environment and diagnostic tool (nature of rat-based TB diagnostic tool) factors were marginally associated with acceptability score. The odds of high acceptability score increases with the increase in mean score of environment factors; OR: 2.29 [95% CI: 0.92-5.71], p=0.076. The odds of high acceptability of rat-based TB diagnosis increases with the increase in mean score of diagnostic tool (nature of rat-based TB diagnostic tool) factors; OR: 2.18 [95% CI: 0.92-5.20]

Table 5: Crude Odds Ratios (OR) of acceptability of rat-based TB diagnosis

Factor	OR	95% CI	p-value
Health care workers (personal) factors (mean score)	4.99	[1.42-17.5]	0.012
Environment factors (mean score)	2.29	[0.92-5.71]	0.076
Diagnostic tool (nature of rat-based test) factors (mean score)	2.18	[0.92-5.20]	0.078
Policies, procedures and guidelines factors (mean score)	1.63	[0.77-3.46]	0.201
Sex			
Female	1.00		.

Male	1.09	[0.35-3.40]	0.887
Age (years)			
<=34	1.00		.
35+	1.55	[0.48-5.05]	0.467
Job category (Lab. Education level)			
Attendant	0.00	[0.00-.]	0.991
Assistant	1.00	[1.00-1.00]	.
Technologist	0.00	[0.00-.]	0.991

3.2.9 Multivariate analysis

A multivariate analysis was performed to estimate the adjusted ORs for acceptability score of rat-based TB diagnostic tool (Table 6). The odds of health care workers (personal) factors adjusted for environment factors (model A) was 4.2 [95% CI: 1.2-15.2], $p < 0.05$. This means that for a one-unit increase in mean score of health care worker's (personal) related factors adjusted for environment factors, the odds of high acceptability increases by a factor of 4.2 compared to low and medium acceptability levels

The odds of health care worker (personal) factors adjusted for diagnostic tool or nature of rat-based TB diagnostic tool factors (model B) was 4.4 [95% CI: 1.2-15.9], $p < 0.05$. Meaning that for a one-unit increase in mean score of health care worker (personal) factors adjusted for diagnostic tool factors, the odds of high acceptability increases by a factor of 4.4 compared to low and medium acceptability levels

The odds of health care workers (personal) factors adjusted for policies, procedures and guidelines factors (model C) was 4.8 [95% CI: 1.4-17.2], $p < 0.05$. For a one-unit increase in mean score of health care workers (personal) factors adjusted for Policies, procedures and guidelines factors, the odds of high acceptability increases by a factor of 4.8 compared to low and medium acceptability levels

The odds of health care workers (personal) factors adjusted for all other factors (model D) was 3.8 [95% CI: 1.0-14.2], $p < 0.05$. For a one-unit increase in mean score of health care workers (personal) factors adjusted for all other factors, the odds of high acceptability of rat-based TB diagnosis increases by a factor of 3.8 compared to low and medium acceptability levels.

Table 6: Adjusted Odd Ratio (OR) of acceptability of rat-based TB diagnosis

Factors	Model A	Model B	Model C	Model D
health care workers factors	4.2*[1.2-15.2]	4.4* [1.2-15.9]	4.8*[1.4-17.2]	3.8* [1.0-14.2]
Environment factors	1.8 [0.7-4.6]			1.6 [0.6-4.6]
Diagnostic tool factors		1.9 [0.8-4.5]		1.6 [0.6-4.1]
Policies, procedures and guidelines factors			1.5[0.7-3.4]	1.4 [0.6-3.3]

AOR; 95% Confidence Interval (CI) in brackets

718 * p<0.05, ** p<0.01, *** p<0.001

719 Model A= health care worker factors adjusted for Environment factors;

720 Model B= health care worker factors adjusted for diagnostic tool factors;

721 Model C= health care worker factors adjusted for Policies, procedures and guidelines factors;

722 Model D= fully adjusted model (health care worker factors adjusted for all other factor)

723

724 Quantitative key findings

725 The level of acceptability of the rats-based TB diagnostic tool and its results reported in this study
726 was high (55.6%). Health care workers (personal) factor is the only factor that was significantly
727 associated with acceptability score while environment and diagnostic tool (nature of rat-based TB
728 diagnostic tool) factors were marginally associated with acceptability score. Policies, procedures
729 and guidelines, gender, job category, working experience(years working under TB section) and age
730 were not statistically associated with the acceptability level of rats-based TB diagnostic tool

731

732

733

734

735

736

737

738

739

740

741

742

743

744

745

746

747

748

749

CHAPTER 4: DISCUSSION, CONCLUSION AND RECOMMENDATIONS

4. 1 Discussion

In this chapter, results of major findings are discussed in detailed. Opinions, strength and limitation of this study that need to be taken into consideration in future studies, conclusion and recommendations are also explained. This study aimed to examine the factors affecting the acceptability of the rat-based TB diagnostic tool using IDIs and semi-structured questionnaires. It involved all TB health care workers in all health care facilities served by APOPO in Dar-es-Salaam, Tanzania. It is the first study that has been conducted to investigate implementation and acceptability of a unique evidence-based diagnostic technology that uses giant African pouched rats trained to detect TB disease. The research findings from the IDIs demonstrated the multifactorial nature of introducing new health intervention or technology in limited resources settings. It highlighted some health care worker (personal), environment, nature of diagnostic tool and policies, procedures and guidelines related factors that are associated with the acceptability of the rats-based TB diagnostic tool and its results in TB detection and TB disease management. The study findings suggest that the introduction and process of scaling up of any new health intervention or technology in Tanzania needs a clear policy from specific government authority, needs formal health care workers training, reliability or availability of that technology, community awareness and support supervision.

During IDIs, health care worker (personal) related factors appeared to be affecting the acceptability of rats-based TB diagnostic tool and its results. It was noted that study participants who had attended seminars and training regarding APOPO and rat-based diagnosis of TB expressed high acceptance of the tool. This led to majority suggesting that trainings and seminars would hugely improve acceptability of rats-based TB diagnostic tool. However, the rat's inability to quantify TB disease and predict drug resistance appeared to negatively affect acceptance of the tool. It was further found that community awareness (as reported by study participants) is one among the factors that hamper the acceptability of the rats-based TB diagnostic tool and the results. The issue of community's skeptics regarding rat's results makes healthcare workers more demoralized and negatively affected to keep using rats-based results. Healthcare workers become dishonest by telling patients that they have been diagnosed using more superior devices without mentioning rat's technique. This situation also reduces healthcare workers' confidence in engaging patients in decision making towards TB medications after having positive rat's results. These findings are comparable with other acceptability studies that had previously reported the effect of health care worker (personal) factors in acceptability of diagnostic tools (22,25–27). This factor can be explained further using social cognitive theory factor, which explains the impact of personal factor (personal goal and self-efficacy), environment (social pressure), and individual cognitive (individual behavior) in influencing an individual to accept or reject an intervention or technology.

786 This theory means that the acceptance and use of a new innovation or health technology depends
787 on an individual goal and self-efficacy, social pressure including community or patient awareness
788 as well as individual behavior. However, all the negative perceptions and doubts regarding rats-
789 based technology underscores the need for APOPO project to provide detailed information
790 regarding rat's ability in TB detection.

791 The environmental related factors were also identified to affect the acceptability of rats-based TB
792 diagnostic tool and its results. Most of the study participants agreed that the present laboratory set-
793 ups in health care facilities couldn't accommodate rats as a routine TB diagnostic tool. These
794 findings are comparable with other studies documenting that acceptance of diagnostic tool or
795 intervention was too affected by some environment factors (26,29,31). Hospital management and
796 administration staff in all hospitals served by APOPO however were supporting the rats-based TB
797 diagnostic and its results. In fact, rat-based diagnosis is so important in that it helps identify
798 positive TB patients that were missed out by classical or conventional bacteriological TB
799 diagnostics tools. Given that importance, it should be advocated that the government plans to build
800 dedicated veterinary laboratories for housing trained rats that can serve as TB diagnostic centers.

801 It was also found out that lack of clear policies and diagnostic guidelines were one among the
802 contributing factors, which make health care workers' misconception of the role of rat-based TB
803 diagnostic tool in TB management. Otherwise the rat-based TB technology would be welcomed as
804 an addition technology to their clinical TB diagnostic technology. Healthcare facilities need
805 support from ministry of health to include this technology in TB diagnostic tool algorithm. They
806 are a feeling that if the government adopts this technology it would increase accessibility. This
807 finding was comparable with research findings from a study done by Asiimwe (26) and by Ood P
808 (24) which reported that the presence of the clear, supportive policies and treatment guidelines play
809 a major role in the acceptance of any new health technologies and the uses of the test results. It is
810 crucial time for the government to clear out the doubt and provide scientific evidence on the benefit
811 of rats over other TB diagnostic tool.

812 The nature of the rats-based TB diagnosis itself was a factor that could affect its acceptability. It
813 was noted that for medical personnel trained to deal with human, there is lack confidence to handle
814 rats. This observation could be explained using diffusion of innovation model where by adopter of
815 the new innovation adopt the technology depending on the innovation characteristics. Those
816 characteristics are observability, relative advantage, compatibility, trial ability, and complexity are
817 key to adoption of an innovation. There is a perception that rat-based TB diagnostic tool cannot
818 quantify TB bacteria which is very challenging on their side during follow-up. Incompatibility of
819 the rat-based technology with existing laboratory set-up, and the perception that handling rats is
820 complex is another challenge towards acceptability. This calls for APOPO to advocate and expand
821 trainings and seminars among TB health care workers.

On the other hand, we quantitatively assessed the level of acceptability of rat-based TB diagnostic tool among TB healthcare workers in health care facilities served by APOPO in Dar-es-Salaam. The level of acceptability of the rats-based TB diagnostic tool and its results reported in this study was high (55.6%). This study's acceptability level was relatively higher than the acceptability level (40%) of Leapfrog computerized physician order entry evaluation tool reported by Insook et al. in South Korea (38). The acceptability level in this study was comparable with other studies that had previously reported acceptability levels on newly introduced diagnostics tool. A comparable acceptability level of 50% for FluoLED Easy™ microscopy for TB screening in TB reference laboratory in Thailand and Tanzania (23), and high acceptability of LED fluorescent microscopes among laboratory technicians from government health centers in Zambia by Turnbull (22). However, the acceptability level reported in this study (55.6%) was relatively lower than the reported acceptability level of 84% on traditional healers as DOTs providers in TB control in India (25). There could be several factors for this study's observed acceptability level of rats-based TB diagnostic tool in Tanzania. It could be due to the fact that majority of study participant knowing that there was confirmation process by other TB diagnostic tools following the rat's indication. Also, study found out that rat-based TB diagnostic tool have shown potential in detecting TB at earlier stage than other conventional bacteriological TB diagnostic tool. Although, in the community there was lack of awareness on the use and ability of rats-based TB diagnostic tool in TB diagnosis. This was explained by the fact there were sceptics to that rat-based positive result and sometimes or patients were reported to refuse to be treated based on rats positive results. To address this, it was recommended that there should be community advocacy regarding the existence and use of rat-based TB diagnostic tool. These findings are comparable with studies (27,31) that had previously reported the effect of community awareness in using the given tool. The successfully implementation of any new diagnostic tool or intervention is dependent on the community knowledge, environment background and awareness (39).

In this study we further analyzed several factors that could affect the acceptability level of rats-based TB diagnostic tool. Health care workers (personal) related factors appeared to be significant ($p=0.012$) predictors for the acceptability of rats-based TB diagnostic tool. The odds of high (compared to low and medium) acceptability score appeared to increase with the increase in mean score of health care worker (personal) factors (OR: 4.99). These findings are comparable with other acceptability studies that had previously reported the effect of health care workers (personal) factors in acceptability level (22–24,26,28,31). The plausible reasons for this could be the perceived benefit and need for this health innovation, self-efficacy and health care workers' skill proficiency. This is because, the acceptance and attitude toward using a given new innovation or health intervention depends on perceptions, beliefs, attitude and the extent at which it meets the needs of the intended beneficiaries of that technique. The quickness (speed) of the rats, so one

858 trained rat can screen a number of specimen in few second or minute that is good because it
859 reduces workload for them in the laboratory to take too much time by using microscope or gene
860 expert to screen the same number of sample. Also, relatively cheaper when compared with gene
861 expert and other molecular techniques. This is because rats do not need cartridges, stable
862 electricity, annual re-calibration, reagents and temperature monitoring. Although, the issue of
863 lacking a substantial information on the use of the trained rats as an effective TB diagnostic tool
864 was voiced out. This was further expressed by point out that the attendance to APOPO seminars
865 and training would increase knowledge to implement and acceptance of rats-based TB technology.

866

867 Acceptability level of using rats-based TB diagnostic tool seemed to be marginally ($p=0.076$)
868 affected by environment related factors. The odds of high acceptability score increases with the
869 increase in mean score of environmental factors (OR: 2.29). These findings are comparable with
870 the study done by Williams HA (31) documenting that acceptance of RDT was mostly influenced
871 by environmental factors (good management systems). Although we observed a weak influence of
872 environmental related factors on rats-based TB diagnostic acceptability level. It was evident that
873 the hospital management in health facility support rat-based diagnostic results. We noted further
874 during our investigation that the laboratories in these health care facilities cannot accommodate rats
875 as TB diagnostic tool in the current set ups, because the present laboratory rooms are small. It is
876 absolutely not capable of mixing rats may be to construct special laboratories for trained rats only
877 but not in the laboratories in our health care facilities. This is because, the available laboratories do
878 not have a required standards to mix with animal based diagnostic tool. Also, you will find few
879 small laboratory rooms contain machines for diagnosing every disease not possible to
880 accommodate this trained rats. Although everything needs preparation, if government approval this
881 rats-based TB diagnostic tool the government with the help from different sponsors will build
882 another special laboratories for rats-based TB diagnostic tool.

883 The diagnostic tool (nature of rat-based test) itself was investigated to determine its influence on
884 the acceptability level of rats-based TB diagnostic tool. The diagnostic tool (nature of rat-based
885 test) was a weak predictor ($p=0.078$) of rats-based TB acceptability. The odds of high acceptability
886 of rat-based TB diagnosis increases with the increase in mean score of diagnostic tool (nature of
887 rat-based test) factors (OR: 2.2). This could be due to the fact that, the trained rats do detect TB at
888 earlier stage of which other TB diagnostic tool like microscope failed. These study findings are
889 comparable with other acceptability studies (24,27,31) that had previously reported the effect of
890 diagnostic tool factors in acceptability level. Although, this factor can be explained based on the
891 adaptability (program modification) and compatibility (fitness or appropriateness of the innovation
892 to our setting) of this health care intervention. The trained rats are not like microscope that you put
893 in the cupboard and not look at it for a month. The trained rats you need to put them on the cages

894 inside the room, routinely feeding, breeding them, and providing a proper shelter and treatment if
895 they get some infection. However, not sure if government will manage to pay those cost if rats used
896 as TB diagnostic tool in all parts of Tanzania. This is because sometimes in health care facility
897 even miss reagents for microscope. Furthermore, the issues of adaptability of this new health
898 intervention. They are not sure if rat-based TB diagnostic tool can be modified to fit their
899 preferences, health care facility practices, and community needs because of animal nature.
900 However, APOPO project are advised to transfer rats based ability of detecting TB from animal
901 based into device (electronic device) or something of that nature like RDT. This will be more
902 acceptable than rats nature. This is because some of them their big interest from APOPO project is
903 to see the ability of trained rats in early detection of TB transferred to a device (electronic device)
904 or a RDT.

905 In our study, policies, procedures and guidelines, gender, job category, working experience(years
906 working under TB section) and age were not statistically associated with the acceptability level of
907 rats-based TB diagnostic tool.

908

909 4.2 Strengths and Limitations

910 The research findings obtained from this study are likely to represent some of the higher score that
911 would be found among other health care facilities in Tanzanian using this diagnostic tool. In
912 additional, the mixed method study design provides complete understanding on the factors
913 affecting acceptability of the rat-based TB diagnostic technique as a tool for TB diagnosis among
914 TB health care workers in the health care facilities served by APOPO. The study has limitations,
915 that there is possibility of lack of generalization of this study findings for the whole of Tanzania.
916 This is because, study sample size is small and only health care facilities that had long experience
917 with this rats-based TB diagnostic tool were involved. Another limitation of the study may be the
918 potential loss of true meaning that can occur through the direct transcription and translation from
919 Swahili language to English language by the study team.

920

921 4.3 Conclusion

922 This study provides critical information that can guide policy makers in developing a model to
923 integrate this new and unique innovation into TB diagnostic tool algorithm.

924

925 4.4 Recommendations

926 Our study recommended similar studies should be conducted on other implementation outcomes
927 like feasibility, fidelity, sustainability, cost effectiveness of this new and unique rats-based TB
928 diagnostic tool to provide more evidence to support scaling-up of this new innovation

929

930
931
932
933
934
935
936
937
938
939
940
941
942

943 5. 0 REFERENCES

- 944 1. World Health Organization. Global Tuberculosis Report, 2016. Geneva, WHO.; 2016.
- 945 2. Ministry of Health and Social Welfare. Tanzania manual for National Tuberculosis and
946 Leprosy Program. 2006.
- 947 3. Donald PR, Van Helden PD. The global burden of tuberculosis - Combating drug resistance
948 in difficult times. *N Engl J Med*. 2009;360(23):2393–5.
- 949 4. Hinderaker SG, Madland S, Ullenes M, Enarson D a, Rusen I, Kamara D. Treatment delay
950 among tuberculosis patients in Tanzania. *BMC Public Health*. 2011;11(1):1–6.
- 951 5. Kranzer K, Afnan-Holmes H, Tomlin K, Golub JE, Shapiro A, Schaap A, et al. The benefits
952 to communities and individuals of screening for active tuberculosis disease: a systematic
953 review. *Int J Tuberc Lung Dis*. 2013;17(4):432–46.
- 954 6. Golub JE, Mohan CI, Comstock GW, Chaisson RE. Active case finding of tuberculosis:
955 historical perspective and future prospects. *Int J Tuberc Lung Dis*. 2005;9(11):33.
- 956 7. Mfinanga G, Ngadaya E, Mtandu R, Mutayoba B, Basra D, Kimaro G, et al. The quality of
957 sputum smear microscopy diagnosis of pulmonary tuberculosis in Dar es Salaam, Tanzania.
958 Vol. 9, Tanzania Health Research Bulletin. 2007. p. 164–168.
- 959 8. Githui W.A, Mwangi M, Orina F, Kiptoo M, Ogaro T, Wanzala P, Sang W.K OSKJN.
960 Performance of Ziehl-Neelsen Microscopy, Light Emitting Diode –FM and Xpert MTB/RIF
961 in the Diagnosis of Tuberculosis in People with Presumptive TB. *African J Heal Sci*.
962 2014;27(4):432–42.
- 963 9. Poling A, Weetjens. short Report: Giant African Pouched Rats to Detect TB in Human
964 Sputum Samples: 2009 Findings. *Am J Trop Med Hyg*. 2010;83(6):1308–10.

- 965 10. Mgode GF, Weetjens BJ, Cox C, Jubitana M, Machang 'u RS, Lazar D, et al. Ability of
966 Cricetomys rats to detect Mycobacterium tuberculosis and discriminate it from other
967 microorganisms. *Tuberculosis*. 2012;92:182–6.
- 968 11. Mgode GF, Weetjens BJ, Nawrath T, Lazar D, Cox C, Jubitana M, et al. Mycobacterium
969 tuberculosis volatiles for diagnosis of tuberculosis by Cricetomys rats. *Tuberculosis*.
970 2012;92:535–42.
- 971 12. Poling A, Mahoney A, Beyene N, Mgode G, Weetjens B, Cox C, et al. Using giant african
972 pouched rats to detect human tuberculosis: a review. *Pan Afr Med J*. 2015;21:1–6.
- 973 13. Poling A, Weetjens B, Cox C, Beyene N, Ontmijnende A-P, Ontwikkeling P, et al.
974 Tuberculosis Detection by Giant African Pouched Rats. 2011;34(1):47–54.
- 975 14. World Health Organization. Global Plan To End TB_The Paradigm Shift_2016-2020. 2015.
- 976 15. Matee M, Mtei L, Lounasvaara T, Wieland-Alter W, Waddell R, Lyimo J, et al. Sputum
977 microscopy for the diagnosis of HIV-associated pulmonary tuberculosis in Tanzania. *BMC*
978 *Public Health*. 2008;8(1):68.
- 979 16. Reither K, Manyama C, Clowes P, Rachow A, Mapamba D, Steiner A, et al. Xpert
980 MTB/RIF assay for diagnosis of pulmonary tuberculosis in children: A prospective, multi-
981 centre evaluation. *J Infect*. 2008;70(4):392–9.
- 982 17. Reither K, Jugheli L, Glass TR, Sasamalo M, Mhimbira FA, Weetjens BJ, et al. Evaluation
983 of Giant African Pouched Rats for Detection of Pulmonary Tuberculosis in Patients from a
984 High-Endemic Setting. *PLoS One*. 2015;10(10):e0135877.
- 985 18. Amanda Mahoney, Bart J. Weetjens, Christophe Cox, Negussie Beyene, Klaus Reither,
986 George Makingi, Maureen Jubitana, Rudovick Kazwala, Godfrey S. Mfinanga, Amos
987 Kahwa, Amy Durgin and AP. Pouched Rats' Detection of Tuberculosis in Human Sputum:
988 Comparison to Culturing and Polymerase Chain Reaction. *Tuberc Res Treat*. 2012;1–5.
- 989 19. Anti-Persoonsmijnen Ontmijnende Product Ontwikkeling project. APOPO'S Tuberculosis
990 Anual Report. 2015.
- 991 20. Timothy L. Edwards, Emilio Valverde, Christiaan Mulder CC and AP. Pouched rats as
992 detectors of tuberculosis: comparison to concentrated smear microscopy. *Eur Respir J*.
993 2016;1–3.
- 994 21. Proctor E, Silmere H, Raghavan R, Hovmand P, Aarons G, Bunger A, et al. Outcomes for
995 Implementation Research: Conceptual Distinctions, Measurement Challenges, and Research
996 Agenda. *Adm Policy Ment Heal Ment Heal Serv Res*. 2011;38:65–76.
- 997 22. Turnbull ER, Kaunda K, Harris JB, Kapata N, Muvwimi MW, Kruuner A, et al. An
998 Evaluation of the Performance and Acceptability of Three LED Fluorescent Microscopes in
999 Zambia: Lessons Learnt for Scale-Up. *PLoS One*. 2011;6(11):e27125.
- 1000 23. Van Deun A, Chonde TM, Gumusboga M, Rienthong S. Performance and acceptability of

- the FluoLED Easy module for tuberculosis fluorescence microscopy. *Int J Tuberc Lung Dis.* 2008;12(9):1009–14.
24. Ood P. Current use and acceptability of novel diagnostic tests for active tuberculosis : a worldwide survey. 2017;43(5):380–92.
 25. Banerjee A, Sharma B V., Ray A, Kannuri NK, Venkateswarlu T V. Acceptability of traditional healers as directly observed treatment providers in tuberculosis control in a tribal area of Andhra Pradesh, India. *Int J Tuberc Lung Dis.* 2004;8(10):1260–5.
 26. Asiimwe C, Kyabayinze DJ, Kyalisiima Z, Nabakooza J, Bajabaite M, Counihan H, et al. Early experiences on the feasibility, acceptability, and use of malaria rapid diagnostic tests at peripheral health centres in Uganda-insights into some barriers and facilitators. *Implement Sci.* 2012;7(1):5.
 27. Mushi AK, Massaga JJ, Mandara CI, Mubyazi GM, Francis F, Kamugisha M, et al. Acceptability of malaria rapid diagnostic tests administered by village health workers in Pangani District, North eastern Tanzania. *Malar J.* 2016;15(1):439.
 28. Hawkes M, Katsuva JP, Masumbuko CK. Use and limitations of malaria rapid diagnostic testing by Congo. 2009;8:1–8.
 29. Ingabire CM, Rulisa A, Van Kempen L, Muvunyi C, Koenraadt CJ, Van Vugt M, et al. Factors impeding the acceptability and use of malaria preventive measures: implications for malaria elimination in eastern Rwanda. *Malar J.* 2015;14:136.
 30. Jaientilal P, Gutin SA, Cummings B, Mbofana F, Rose CD. Acceptability, feasibility and challenges of implementing an HIV prevention intervention for people living with HIV/AIDS among healthcare providers in Mozambique: results of a qualitative study. *J Soc Asp HIV/AIDS Res.* 2015;12(1):2–9.
 31. Williams HA, Causer L, Metta E, Malila A, Reilly TO, Abdulla S, et al. Dispensary level pilot implementation of rapid diagnostic tests : an evaluation of RDT acceptance and usage by providers and patients. 2008;13:1–13.
 32. Damschroder LJ, Aron DC, Keith RE, Kirsh SR, Alexander JA, Lowery JC. Fostering implementation of health services research findings into practice : a consolidated framework for advancing implementation science. 2009;15:1–15.
 33. Durlak JA, Dupre AEP. *Implementation Matters : A Review of Research on the Influence of Implementation on Program Outcomes and the Factors Affecting Implementation.* 2008;327–50.
 34. Chaudoir SR, Dugan AG, Barr CHI. Measuring factors affecting implementation of health innovations : a systematic review of structural , organizational , provider , patient , and innovation level measures. 2013;
 35. Sabiiti W, Mtafya B, Kuchaka D, Azam K, Viegas S, Mdolo A, et al. Optimising molecular

- 1037 diagnostic capacity for effective control of tuberculosis in high-burden settings. Vol. 20,
1038 International Journal of Tuberculosis and Lung Disease. 2016. p. 1004–9.
- 1039 36. The United Republic of Tanzania. Population and Housing Census(2012):Population
1040 Distribution by Administrative Areas. Dar es Salaam-Tanzania; 2013.
- 1041 37. Fairhurst L, Rowswell P, Lebogang N. Sub-Saharan African Cities: A five-City Network to
1042 Pioneer Climate Adaptation through Participatory Research & Local Action: Temeke
1043 Municipality, Baseline Study. Dar-es-Salaam-Tanzania; 2011.
- 1044 38. Cho I, Lee JH, Choi SK, Choi JW, Hwang H, Bates DW. Acceptability and feasibility of the
1045 Leapfrog computerized physician order entry evaluation tool for hospitals outside the United
1046 States. Int J Med Inform. 2014;84(9):694–701.
- 1047 39. Harrison MB, Légaré F, Graham ID, Fervers B. Adapting clinical practice guidelines to local
1048 context and assessing barriers to their use. Can Med Assoc J. 2010;182(2).

1049

1050

1051

1052

1053

1054

1055

1056

1057

1058 APPENDICES

1059 Clearance Certificate: Human Research Ethics Committee (Medical) of the University of the
1060 Witwatersrand

1061



R14/49 Ms Tabia Hassan

HUMAN RESEARCH ETHICS COMMITTEE (MEDICAL)

CLEARANCE CERTIFICATE NO. M161193

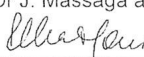
NAME: Ms Tabia Hassan
(Principal Investigator)
DEPARTMENT: Epidemiology and Biostatistics
School of Public Health
Health Care Facilities Covered by APOPO TB Project in
Dar es Salaam, Tanzania

PROJECT TITLE: Acceptability of Rat-Based Diagnostic Approach of
Tuberculosis among Tuberculosis Health Care
Workers in Dar-es-Salaam, Tanzania

DATE CONSIDERED: 25/11/2016

DECISION: Approved unconditionally
CONDITIONS:

SUPERVISOR: Dr J. Ncayiyana, Dr J. Massaga and Dr D. Nyogea

APPROVED BY: 
Professor P Cleaton-Jones, Chairperson, HREC (Medical)

DATE OF APPROVAL: 13/01/2016

This clearance certificate is valid for 5 years from date of approval. Extension may be applied for.

DECLARATION OF INVESTIGATORS

To be completed in duplicate and **ONE COPY** returned to the Research Office Secretary in Room 301, Third Floor, Faculty of Health Sciences, Phillip Tobias Building, 29 Princess of Wales Terrace, Parktown, 2193, University of the Witwatersrand. I/we fully understand the conditions under which I am/we are authorized to carry out the above-mentioned research and I/we undertake to ensure compliance with these conditions. Should any departure be contemplated, from the research protocol as approved, I/we undertake to resubmit the application to the Committee. **I agree to submit a yearly progress report.** The date for annual re-certification will be one year after the date of convened meeting where the study was initially reviewed. In this case, the study was initially reviewed in November and will therefore be due in the month of November each year. Unreported changes to the application may invalidate the clearance given by the HREC (Medical).


Principal Investigator Signature

 30th JANUARY 2017
Date

PLEASE QUOTE THE PROTOCOL NUMBER IN ALL ENQUIRIES

1063 Clearance Certificate: National Health Research Ethical Committee of the National Institute for
1064 Medical Research (NIMR)-Tanzania



THE UNITED REPUBLIC
OF TANZANIA



National Institute for Medical Research
3 Barack Obama Drive
P.O. Box 9653
11101 Dar es Salaam
Tel: 255 22 2121400
Fax: 255 22 2121360
E-mail: headquarters@nimr.or.tz

Ministry of Health, Community
Development, Gender, Elderly & Children
6 Samora Machel Avenue
P.O. Box 9083
11478 Dar es Salaam
Tel: 255 22 2120262-7
Fax: 255 22 2110986

NIMR/HQ/R.8a/Vol. IX/2404

16th February 2017

Tabia Hassan
University of Witwatersrand, South Africa
C/O Dr Julius Massaga,
NIMR HQ
3 Barack Obama Drive,
P O Box 9653,
11101, DAR ES SALAAM

CLEARANCE CERTIFICATE FOR CONDUCTING
MEDICAL RESEARCH IN TANZANIA

This is to certify that the research entitled: Acceptability of Rat-Based Diagnostic Approach of Tuberculosis among Tuberculosis Health Care Workers in Dar es Salaam, Tanzania (Hassan T *et al*), whose Local Investigator is Dr Julius Massaga, NIMR HQ, Dar es Salaam, has been granted ethical clearance to be conducted in Tanzania.

The Principal Investigator of the study must ensure that the following conditions are fulfilled:

1. Progress report is submitted to the Ministry of Health, Community Development, Gender, Elderly & Children and the National Institute for Medical Research, Regional and District Medical Officers after every six months.
2. Permission to publish the results is obtained from National Institute for Medical Research.
3. Copies of final publications are made available to the Ministry of Health, Community Development, Gender, Elderly & Children and the National Institute for Medical Research.
4. Any researcher, who contravenes or fails to comply with these conditions, shall be guilty of an offence and shall be liable on conviction to a fine as per NIMR Act No. 23 of 1979, PART III Section 10(2).
5. Site: Twenty Health Centres in Dar es Salaam covered by APOPO TB project.

Approval is for one year: 16th February 2017 to 15th February 2018.

Name: Prof. Yunus Daud Mgaya

Name: Prof. Muhammad Bakari Kambi

Signature
CHAIRPERSON
MEDICAL RESEARCH
COORDINATING COMMITTEE

Signature
CHIEF MEDICAL OFFICER
MINISTRY OF HEALTH, COMMUNITY
DEVELOPMENT, GENDER, ELDERLY
& CHILDREN

CC: RMO Dar es Salaam
DMO/DED in Dar es Salaam Districts

1065
1066
1067
1068



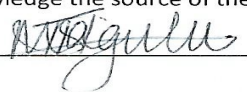
PLAGIARISM DECLARATION TO BE SIGNED BY ALL HIGHER DEGREE STUDENTS

SENATE PLAGIARISM POLICY: APPENDIX ONE

I TABIA HASSAN (1506192) am a student registered for the degree of MASTERS OF SCIENCE IN EPIDEMIOLOGY FIELD OF IMPLEMENTATION SCIENCE in the academic year 2016/2017.

I hereby declare the following:

- ❖ I am aware that plagiarism (the use of someone else's work without their permission and/or without acknowledging the original source) is wrong.
- ❖ I confirm that the work submitted for assessment for the above degree is my own unaided work except where I have explicitly indicated otherwise.
- ❖ I have followed the required conventions in referencing the thoughts and ideas of others.
- ❖ I understand that the University of the Witwatersrand may take disciplinary action against me if there is a belief that this is not my own unaided work or that I have failed to acknowledge the source of the ideas or words in my writing.

Signature:  Date: 16/08/2017

26/04/2015

1

1070

1071

1072

1073

1074

1075

1076



1078
1079

1080 **KIAMBATANISHO 1: TOLEO LA KISWAHILI**

1081 **MWONGOZO WA MAHOJIANO YA KINA**

1082 Cheo/nafasi:

1083 Shirika / Kitengo:

1084 1) Je, hapa nchini kuna chombo cha ndani (utaratibu wa ndani/wizara) wa kupitisha teknolojia ya
1085 uchunguzi/utambuzi wa ugonjwa fulani kwa ajili ya matumizi ya taifa?

1086 Ulizia zaidi: Unaweza kuelezea kwa undani zaidi juu ya hilo.

1087 2) Je, hapa nchini kunahitaji mapendekezo kutoka shirika la afya duniani (WHO) ili kupitisha
1088 teknolojia au chombo cha uchunguzi/utambuzi wa kifua kikuu kwa ajili ya matumizi ya taifa?

1089 Ulizia zaidi: Kwanini ni ivyo?

1090 3) Je, inawezekana kutumia teknolojia au chombo cha uchunguzi/utambuzi wa kifua kikuu
1091 ambacho kimetathiminiwa ndani ya nchi kwenye mpango wa kutambua ugonjwa wa kifua
1092 kikuu bila kupitishwa na shirika la afya duniani?

1093 Ulizia zaidi: kivi? Elezea kwa undani zaidi

1094 -Je, kuna jitihada zozote zinazofanyika ili kuwezesha teknolojia au chombo cha
1095 uchunguzi/utambuzi wa kifua kikuu kutoka APOPO (Panya) kupitishwa kama chombo cha
1096 utambuzi wa kifua kikuu.

1097 - Je, ipo hatua gani kwa sasa?

1098 4) Je, wewe unaikubali teknolojia ya panya kama chombo cha uchunguzi/utambuzi wa kifua kikuu
1099 na majibu yanayotokana na teknolojia hiyo?

1100 Ulizia zaidi: Sababu ya kukubali au kutokukubali teknolojia hiyo

1101 5) Je, unaweza kupendekeza kupitishwa kwa teknolojia ya panya kama chombo cha
1102 uchunguzi/utambuzi wa kifua kikuu?

1103 Ulizia zaidi: Kwanini ivyo?

1104 6) Nini mtazamo wako kwa hii teknolojia ya panya kama chombo cha uchunguzi/utambuzi wa
1105 kifua kikuu?

1106 Ulizia zaidi: Au sio sayansi?

- 1107 -Je, inastahili au inavigezo vya kuwa chombo cha uchunguzi/utambuzi wa kifua kikuu?
- 1108 7) Ni sababu zipi zinazopelekea ukubalikaji huu uliopo sasa wa hii teknolojia ya panya
- 1109 kama chombo cha uchunguzi/utambuzi wa kifua kikuu?
- 1110 -Ulizia zaidi: maabara ilivyokuwa, asili ya chombo chenyewe cha uchunguzi (panya),
- 1111 gharama, msaada wa usimamizi kutoka uongozi wa juu, mambo binafsi (ufahamu wa
- 1112 teknolojia).
- 1113 8) Ni kwanini mpaka sasa hivi teknolojia ya panya haijawekwa kwenye mpango wa kumaliza
- 1114 kifua kikuu kama teknolojia ya kugundua kifua kikuu.
- 1115
- 1116 9) Kwa mtazamo wako, nini faida na hasara za kutumia teknolojia ya panya kama chombo cha
- 1117 uchunguzi/utambuzi wa kifua kikuu?

1118 **NASHUKURU SANA NA ASANTE KWA KUSHIRIKI!**

1119
1120
1121
1122
1123
1124
1125
1126
1127

1128 IN-DEPTH INTERVIEW GUIDE_ENGLISH VERSION



1129
1130
1131



1132 APPENDIX 1: ENGLISH VERSION

1133 IN-DEPTH INTERVIEW GUIDE

1134 Position:

1135 Organization:

- 1136 1) Does the country have an organization/ministry or in-house procedure to approve a test or
1137 technology for disease detection for a national use?
1138 Probe: Can you briefly describe it?
- 1139 2) Does the country through the specific ministry require WHO recommendation to approve a
1140 tuberculosis diagnosis test for national use?
1141 Probe: Why?
- 1142 3) Can an in-house evaluated diagnostic test be used in the TB programme?
1143 Probe: Has there been any effort to get the APOPO diagnostic test approved?
1144 What is the status with this test?
- 1145 4) Do you accept rat-based (an animal based) tuberculosis diagnosis technique/ test and test
1146 results generated?
1147 Probe: Reasons for acceptance or not acceptance?
- 1148 5) Can you recommend the rat-based tuberculosis diagnosis technique to be approved and used
1149 routinely as tuberculosis diagnosis test?
1150 Probe: Why?
- 1151 6) What is your perception on the rat-based tuberculosis diagnosis test/technique?
1152 Probe: Is it not science?
1153 -Does it qualify as tuberculosis diagnosis test/ tool?
- 1154 7) What are the factors (reasons) for the current uptakes of rat-based tuberculosis diagnosis
1155 test/technique?
1156 Probe: Laboratory set-up, nature of diagnostic tool (Animal based), Cost, support supervision from
1157 management, personal factors (Awareness), quality of results
- 1158 8) Why rats-based TB diagnostic tool is not integrated in tuberculosis program to be used as
1159 tuberculosis diagnostic tool?
- 1160 9) What are the pros and cons of rat-based tuberculosis diagnosis technique for your
1161 perceptions?

1162 **Thank you very much for your participation.**

1163

1164

1165

1166

1167



ACCEPTABILITY QUESTIONNAIRE - ENGLISH VERSION

Part A: TB Health Worker's Socio Demographic Characteristics

INSTRUCTIONS- we would like to ask you some questions about general information include social demographic status. Your honest answers will help us come up with true conclusion about this study. Tick one answer of your choice which is the most appropriate.

i. Date of birth: D / M / Y
 / / / /

ii. Sex

a) Male

b) Female []

iii. Job Category:

Laboratory technologist []

Laboratory assistant []

Laboratory attendant []

iv. Hospital Name.....

Part B: Laboratory information

Instruction: Now we are going to ask you some questions about your laboratory knowledge and experiences. Tick one answer which is the most appropriate to your knowledge and experience.

1. How long have you been working in the TB diagnostic section?

[] One year or less ¹

[] Between one year and two years ²

[] > 2 years ³

2. How long do you spend in the laboratory per day?

[] < 5 five hours ¹

[] 5-8 hours ²

[] > 8 hours ³

3. In this health facility, Which TB screening results are you using for TB diagnosis? (Tick all that apply)

[] Microscope (if "NO" do not answer question number 4 and 8)

[] Gene expert (if "NO" do not answer question number 5 and 9)

[] Culture (if "NO" do not answer question number 6 and 10)

- 1212 ☐ Rats-based (if "NO" do not answer question number 7 and 11)
- 1213 ☐ Other (*Please specify*)
- 1214 4. How long have you been using microscope results for TB diagnosis?
- 1215 ☐ One year or less ¹
- 1216 ☐ Between one year and two years ²
- 1217 ☐ > 2 years ³
- 1218 ☐ We don't use this method⁴
- 1219 5. How long have you been using Gene expert results for TB diagnosis?
- 1220 ☐ One year or less ¹
- 1221 ☐ Between one year and two years ²
- 1222 ☐ > 2 years ³
- 1223 ☐ We don't use this method⁴
- 1224 6. How long have you been using culture results for TB diagnosis?
- 1225 ☐ One year or less ¹
- 1226 ☐ Between one year and two years ²
- 1227 ☐ > 2 years ³
- 1228 ☐ We don't use this method⁴
- 1229 7. How long have you been using rat-based results for TB diagnosis?
- 1230 ☐ One year or less ¹
- 1231 ☐ Between one year and two years ²
- 1232 ☐ > 2 years ³
- 1233 ☐ We don't use this method⁴
- 1234 8. How accurate do you think the results coming from the microscope?
- 1235 ☐ More accurate ¹
- 1236 ☐ Mostly accurate ²
- 1237 ☐ Not accurate ³
- 1238 9. How accurate do you think the results coming from Gene expert ?
- 1239 ☐ More accurate ¹
- 1240 ☐ Mostly accurate ²
- 1241 ☐ Not accurate ³
- 1242 10. How accurate do you think the results coming from culture?
- 1243 ☐ More accurate ¹
- 1244 ☐ Mostly accurate ²
- 1245 ☐ Not accurate ³
- 1246 11. How accurate do you think the results coming from the rat-based technique?
- 1247 ☐ More accurate ¹
- 1248 ☐ Mostly accurate ²
- 1249 ☐ Not accurate ³
- 1250

1251 **Part C: Factors affecting acceptability of rats-based TB diagnostic**

1252 **technique**

1253

1254 **Instruction: We are interested in assessing the factors affecting acceptability of the rat-based**

1255 **technique on TB screening. Tick one answer of your choice which is most appropriate to your**

1256 **knowledge, perception and believes about rat-based TB screening technique.**

1257

1258 1. I will have no doubt if rats-based technique used with other confirmation tool and not a stand-

1259 alone tool

- 1260 ☐ Strongly disagree¹
- 1261 ☐ Disagree²
- 1262 ☐ Neutral³
- 1263 ☐ Agree⁴
- 1264 ☐ Strongly agree⁵

- 1265 2. I am not aware on the ability of rats based technique on differentiating between normal TB
 1266 bacteria and non- TB bacteria?
 1267 ☐ Strongly disagree¹
 1268 ☐ Disagree²
 1269 ☐ Neutral³
 1270 ☐ Agree⁴
 1271 ☐ Strongly agree⁵
- 1272 3. I believe that rats-based TB diagnostic technique will result in stigmatization because of its
 1273 animal nature?
 1274 ☐ Strongly disagree¹
 1275 ☐ Disagree²
 1276 ☐ Neutral³
 1277 ☐ Agree⁴
 1278 ☐ Strongly agree⁵
- 1279 4. I know it is un usual for WHO to approve an animal based technique
 1280 ☐ Strongly disagree¹
 1281 ☐ Disagree²
 1282 ☐ Neutral³
 1283 ☐ Agree⁴
 1284 ☐ Strongly agree⁵
- 1285 5. I think lack of WHO endorsement of rats-based TB diagnostic tool cause a big problem in
 1286 doing follow-up for rats' positive patients
 1287 ☐ Strongly disagree¹
 1288 ☐ Disagree²
 1289 ☐ Neutral³
 1290 ☐ Agree⁴
 1291 ☐ Strongly agree⁵
- 1292 6. I am sure the laboratory set-up present in our health care facilities cannot accommodate rats as
 1293 TB diagnostic tool?
 1294 ☐ Strongly disagree¹
 1295 ☐ Disagree²
 1296 ☐ Neutral³
 1297 ☐ Agree⁴
 1298 ☐ Strongly agree⁵
- 1299 7. I think that it is more expensive to use the rat-based TB diagnostic tool as a routine TB screening
 1300 technique?
 1301 ☐ Strongly disagree¹
 1302 ☐ Disagree²
 1303 ☐ Neutral³
 1304 ☐ Agree⁴
 1305 ☐ Strongly agree⁵
- 1306 8. Attending APOPO seminars and trainings increases my knowledge and acceptance of rats-
 1307 based ability in TB detection
 1308 ☐ Strongly disagree¹
 1309 ☐ Disagree²
 1310 ☐ Neutral³
 1311 ☐ Agree⁴
 1312 ☐ Strongly agree⁵
- 1313 9. I am a human based laboratory technologist I do not have much knowledge to deal with animal
 1314 based tools
 1315 ☐ Strongly disagree¹
 1316 ☐ Disagree²
 1317 ☐ Neutral³

- 1318 ☐ Agree⁴
- 1319 ☐ Strongly agree⁵
- 1320 10. I think is tedious work to use rats-based tool because needs someone to confirm it after rats'
- 1321 indication
- 1322 ☐ Strongly disagree¹
- 1323 ☐ Disagree²
- 1324 ☐ Neutral³
- 1325 ☐ Agree⁴
- 1326 ☐ Strongly agree⁵
- 1327
- 1328 11. I believe that community members are still skeptics on the rats-based technique?
- 1329 ☐ Strongly disagree¹
- 1330 ☐ Disagree²
- 1331 ☐ Neutral³
- 1332 ☐ Agree⁴
- 1333 ☐ Strongly agree⁵
- 1334 12. I know when APOPO bring back the positive results am not using those results directly as
- 1335 positive because these rats' technology still not validated not even accepted by government
- 1336 (specific ministry) as a diagnosis technology
- 1337 ☐ Strongly disagree¹
- 1338 ☐ Disagree²
- 1339 ☐ Neutral³
- 1340 ☐ Agree⁴
- 1341 ☐ Strongly agree⁵
- 1342 13. I believe rat-based diagnostic results has improved my individual confidence
- 1343 ☐ Strongly disagree¹
- 1344 ☐ Disagree²
- 1345 ☐ Neutral³
- 1346 ☐ Agree⁴
- 1347 ☐ Strongly agree⁵
- 1348 14. I feel that the hospital management in this health facility support rat-based diagnostic results
- 1349 ☐ Strongly disagree¹
- 1350 ☐ Disagree²
- 1351 ☐ Neutral³
- 1352 ☐ Agree⁴
- 1353 ☐ Strongly agree⁵
- 1354

1355 **Part D: Acceptability of rat-based techniques**

1356

1357 **Instruction: We are interested in assessing the level of acceptability of rat-based TB**

1358 **diagnostic tool. Tick one answer of your choice which is most appropriate to your**

1359 **acceptability of the rat-based TB screening technique.**

1360

- 1361 1. I trust the ability of the rat-based technique in TB detection compared to that from other
- 1362 diagnostic tools?
- 1363 ☐ Strongly disagree¹
- 1364 ☐ Disagree²
- 1365 ☐ Neutral³
- 1366 ☐ Agree⁴
- 1367 ☐ Strongly agree⁵
- 1368 2. I like rats-based results because it has high quality compared to the results from other TB
- 1369 diagnostic tool

1370 [] Strongly disagree¹
1371 [] Disagree²
1372 [] Neutral³
1373 [] Agree⁴
1374 [] Strongly agree⁵
1375 3. I am satisfied with the ability of the rat-based TB diagnostic technique in TB detection and the
1376 result coming from it
1377 [] Strongly disagree¹
1378 [] Disagree²
1379 [] Neutral³
1380 [] Agree⁴
1381 [] Strongly agree⁵
1382 4. It is very enjoyable to use the rat-based TB diagnostic technique over other methods for TB
1383 screening?
1384 [] Strongly disagree¹
1385 [] Disagree²
1386 [] Neutral³
1387 [] Agree⁴
1388 [] Strongly agree⁵
1389 5. I would recommend rat-based technique to be placed in TB diagnostic tool algorithm and be
1390 used as a routine TB screening in the hospital?
1391 [] Strongly disagree¹
1392 [] Disagree²
1393 [] Neutral³
1394 [] Agree⁴
1395 [] Strongly agree⁵
1396 6. Any comments on using rat-based technique as a routine technique for TB
1397 screening.....
1398 This is the end of the questionnaire, thank you for your participation in
1399 this study!
1400
1401
1402
1403