

CHARACTERISATION OF BACTERIAL CAUSES OF DIARRHOEA IN AN UNDER-FIVE POPULATION IN SOUTH AFRICA

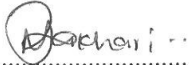
Zwiitavhathu Makhari
(307037)

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Sciences, University of the Witwatersrand,
Johannesburg, in fulfilment of the requirements
for the degree of Master of Science

Johannesburg, 2012

Declaration

I, MAKHARI ZWIITAVHATHU, declare that this dissertation is my own work. It is being submitted for the degree of Master of Science in Medicine in the University of the Witwatersrand, Johannesburg. It has not been submitted before for any degree or examination at this or any other University.

Signature: 

28th day of NOVEMBER 2012

Dedication

To my mom

Phumudzo Glorious Makhari

Ethics

UNIVERSITY OF THE WITWATERSRAND, JOHANNESBURG
Division of the Deputy Registrar (Research)

HUMAN RESEARCH ETHICS COMMITTEE (MEDICAL)
R14/49 Miss Zwiitavhathu Makhari

CLEARANCE CERTIFICATE

M10341

PROJECT

Characterization of Bacterial Causes of
Diarrhoea in an Under-Five Population in South
Africa

INVESTIGATORS

Miss Zwiitavhathu Makhari.

DEPARTMENT

School of Pathology

DATE CONSIDERED

26/03/2010

DECISION OF THE COMMITTEE*

Approved unconditionally

Unless otherwise specified this ethical clearance is valid for 5 years and may be renewed upon application.

DATE

04/05/2010

CHAIRPERSON


(Professor PE Cleaton-Jones)

*Guidelines for written 'informed consent' attached where applicable
cc: Supervisor : Dr A Smith

DECLARATION OF INVESTIGATOR(S)

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I/We fully understand the conditions under which I am/we are authorized to carry out the abovementioned research and I/we guarantee to ensure compliance with these conditions. Should any departure to be contemplated from the research procedure as approved I/we undertake to resubmit the protocol to the Committee. **I agree to a completion of a yearly progress report.**

PLEASE QUOTE THE PROTOCOL NUMBER IN ALL ENQUIRIES...


Makhari..

Presentations

Poster presentations

- **Zwiitavhathu Makhari**, Anthony M. Smith, Karen H. Keddy, Michelle Groome, Cheryl Cohen, Jocelyn Moyes, Shabir A. Madhi. Characterization of Bacterial Causes of Diarrhoea in an Under-five Population in South Africa: April 2009 to April 2010. Wits Research Day: September 2010, Medical campus, University of Witwatersrand, South Africa.
- Nicola Page, Karen Keddy, Bhavani Poonsamy, **Zwiitavhathu Makhari**, Anthony Smith, Benjamin Mogoye, Michelle Groome; Desiree du Plessis, Sandrama Nadan, Tersia Kruger, Jocelyn Moyes, Veerle Msimang, Kathleen Kahn, Rhian Twine, Meera Chhagan, Cheryl Cohen; Shabir A. Madhi. Aetiology of diarrhoeal infections in children under five years of age enrolled in Rotavirus surveillance in South Africa in 2009/2010: Frequency of co-infections with other diarrhoeal pathogens. 9th International Rotavirus Symposium: August 2010, Sandton, South Africa.

Abstract

Introduction: Diarrhoea is a major cause of mortality and morbidity amongst children under five years of age worldwide.

Aim: To characterise the bacterial aetiologies and molecular characterises the pathogens associated with hospitalization for diarrhoeal disease among South African children aged less than 5 years

Methods: Children aged < 5 years hospitalized with diarrhoea were enrolled. Standard microbiological methods (culture, biochemical tests, serotyping) and molecular methods (PCR) were used, targeting bacterial pathogens such as diarrhoeagenic *Escherichia coli* (DEC), *Salmonella* species, *Shigella* species, *Vibrio cholerae* and *Campylobacter* species.

Results: A total of 1816 stool specimens were processed, of which 633 (35%) were positive for enteric bacterial pathogens. Isolates in order of frequency included 562 DEC, 49 *Shigella* spp., 20 *Salmonella* spp., 2 *Campylobacter* spp. There were 48 (8%) enteric bacterial infections identified with more than one pathogen. Co-infections of bacterial pathogens with other organisms include 52 bacterial agents concurrent with *Cryptosporidium* co-infection, 128 with rotavirus co-infection and 9 episodes which included *Cryptosporidium* and rotavirus co-infections.

Conclusion: The overall recovered bacterial pathogens from stool specimens was 35% with DEC being the most commonly identified.

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Contents

Declaration	I
Dedication	II
Ethics	III
Presentations	IV
Poster presentations	IV
Abstract	V
Acknowledgements	VI
Contents	VIII
List of figures	XI
List of tables	XII
List of abbreviations	XIV
Chapter 1: Introduction	1
1.1 Aetiology of diarrhoeal diseases	1
1.2 Bacterial agents	2
1.2.1 <i>Escherichia coli</i>	2
1.2.2 <i>Salmonella</i>	13
1.2.3 <i>Shigella</i>	18
1.2.4 <i>Campylobacter</i>	22
1.2.5 <i>Vibrio cholerae</i>	27
1.3 Viral agents	31
1.4 Parasitic agents	32
1.5 Aim and specific objectives	33
Aim	33

Specific objectives	33
Chapter 2: Methods and Materials	34
2.1 Study sites	34
2.2 Participant enrolment and specimen collection	35
2.2.1 Inclusion criteria	35
2.2.2 Exclusion criteria	35
2.2.3 Sampling	35
2.3 Laboratory procedures	36
2.3.1 Processing of stool swab specimens	36
2.3.2 Detection and picking of suspected bacterial colonies.....	37
2.3.3 Biochemical identification.....	38
2.3.4 Serotyping	39
2.3.5 Preparation of crude genomic DNA from bacteria	42
2.3.6 Identification of diarrhoeagenic <i>Escherichia coli</i> using PCR	42
2.3.7 Agarose gel electrophoresis for analysis of PCR products.....	43
2.3.8 Colony blotting and DNA probing	43
2.3.9 Storage of enteric pathogens.....	45
2.3.10 Statistical analysis.....	45
2.4 Limitations	46
Chapter 3: Results	47
Isolated Diarrhoeagenic <i>Escherichia coli</i>	57
Isolated <i>Salmonella</i> species	61
Isolated <i>Shigella</i> species.....	62
Isolated co-infections	63

Experimental colony blotting and DNA probing	67
Chapter 4: Discussions	70
Limitations	79
Chapter 5: Conclusions.....	81
Chapter 6: Appendices	83
6.1 Buffers and Solutions	83
6.2 Identification of diarrhoeagenic <i>E. coli</i> using PCR.....	85
PCR reactions primer mix.....	85
6.3 Agarose gel electrophoresis for analysis of PCR products.....	89
6.4 Colony blotting and DNA probing	90
6.4.1 Buffers and Solutions	90
6.4.2. PCR Labelling of DNA probes	92
PCR reaction primer mix	93
6.5 Informed consent form	95
Chapter 7: Reference List	102

List of figures

Page

FIGURE 2.1: ROTAVIRUS SURVEILLANCE SITES (DR GMH: DR GEORGE MUKHARI HOSPITAL, CHBH: CHRIS HANI BARAGWANATH HOSPITAL, AGINCOURT: MAPULANENG AND MATIKWANA HOSPITALS)	34
FIGURE 3.1: NUMBER OF DIARRHOEAL CASES (APRIL 2009 TO JUNE 2011), INCLUDING NUMBER OF CASES TESTED AND NUMBER OF POSITIVES FOR BACTERIAL AETIOLOGICAL AGENTS	48
FIGURE 3.2: NUMBER OF DIARRHOEAL CASES, NUMBER OF ENTERIC BACTERIAL PATHOGEN IDENTIFIED FROM CASES AND THE BACTERIAL POSITIVITY RATE IDENTIFIED FROM ALL STUDY SITES DURING APRIL 2009 TO JUNE 2011	50
FIGURE 3.3: NUMBER OF DIARRHOEAL CASES, NUMBER OF ENTERIC BACTERIAL PATHOGEN IDENTIFIED FROM CASES AND THE BACTERIAL POSITIVITY RATE SEPARATED BY STUDY SITE DURING APRIL 2009 TO JUNE 2011	52
FIGURE 3.4: GENDER DISTRIBUTION OF THE CHILDREN WHO WERE ENROLLED AT THE SURVEILLANCE HOSPITALS	55
FIGURE 3.5: REPRESENTATIVE PICTURE OF AN AGAROSE GEL WITH ALL DETECTED GENES OF DEC	58
FIGURE 3.6: NYLON MEMBRANES WHICH WERE BLOTTED FROM THE SAME AGAR PLATE AND HYBRIDISED WITH DIFFERENT LABELLED PROBES. (A) MEMBRANE HYBRIDISED WITH ETEC LABELLED PROBE. (B) MEMBRANE HYBRIDISED WITH EPEC LABELLED PROBE.	68

List of tables	Page
TABLE 1.1: BIOCHEMICAL CHARACTERISTICS OF <i>E. COLI</i>	4
TABLE 1.2: BIOCHEMICAL CHARACTERISTICS OF <i>SALMONELLA</i> SPECIES.	14
TABLE 1.3: BIOCHEMICAL CHARACTERISTICS OF <i>SHIGELLA</i> SPECIES.....	19
TABLE 1.4: BIOCHEMICAL CHARACTERISTICS OF ENTEROPATHOGENIC SPECIES OF <i>CAMPYLOBACTER</i>	24
TABLE 1.5: BIOCHEMICAL CHARACTERISTICS OF <i>V. CHOLERA</i> E28	
TABLE 3.1: FREQUENCY OF BACTERIAL PATHOGENS AND MIXED BACTERIAL PATHOGENS WITH <i>CRYPTOSPORIDIUM</i> AND ROTAVIRUS ISOLATED FROM CHILDREN WITH DIARRHOEA DURING APRIL 2009 TO JUNE 2011 PER SURVEILLANCE HOSPITALS	54
TABLE 3.2: FREQUENCY AND AGE DISTRIBUTION OF BACTERIAL PATHOGENS AND MIXED BACTERIAL PATHOGENS WITH <i>CRYPTOSPORIDIUM</i> AND ROTAVIRUS ISOLATED FROM CHILDREN WITH DIARRHOEA DURING APRIL 2009 TO JUNE 2011 PER AGE IN MONTH.....	56
TABLE 3.3: FREQUENCY OF DIARRHOEAGENIC <i>E. COLI</i> PATHOTYPES DETECTED FROM CHILDREN WITH DIARRHOEA PER SURVEILLANCE HOSPITALS	57
TABLE 3.4: FREQUENCY AND AGE DISTRIBUTION OF DIARRHOEAGENIC <i>E. COLI</i> PATHOTYPES DETECTED	59
TABLE 3.5: COMMON SEROGROUPS OF DIARRHOEAGENIC <i>E. COLI</i> , I.E ONLY SEROGROUPS WHICH WERE FOUND REPRESENTED BY>3 ISOLATES ARE LISTED BELOW	60
TABLE 3.5.1: TOP TEN SEROGROUPS OF DIFFRENT PATHOTYPES OF DIARRHOEAGENIC <i>E. COLI</i> ,WITH ETEC ONLY HAVING THREE DIFFRENT SEROGROUPS.....	61
TABLE 3.6: OCCURRENCE OF <i>SALMONELLA</i> SEROTYPES PER SURVEILLANCE HOSPITALS	62
TABLE 3.7: OCCURRENCE OF <i>SHIGELLA</i> SEROTYPES PER SURVEILLANCE HOSPITALS	63

TABLE 3.8: AGE DISTRIBUTION OF CO-INFECTIONS CAUSED BY DIARRHOEAGENIC <i>E. COLI</i> PATHOTYPES WITH OTHER BACTERIAL PATHOGENS	64
TABLE 3.9: NUMBER OF CASES OF MIXED BACTERIAL INFECTION	65
TABLE 3.10: FREQUENCY OF BACTERIAL PATHOGENS MIXED WITH <i>CRYPTOSPORIDIUM</i> AND ROTAVIRUS	66
TABLE 3.11: MIXED DIARRHOEAGENIC <i>E. COLI</i> PATHOTYPES INVESTIGATED BY COLONY BLOTTING AND DNA PROBING	69
TABLE 6.1: INTERPRETATION OF PCR REACTIONS RESULTS FOR DEC	87
TABLE 6.2: DETAILS OF PCR PRIMERS AND PRODUCT SIZES OF DEC PATHOTYPES.....	88
TABLE 6.3: DETAILS OF PCR PRIMERS AND PRODUCT SIZE FOR PCR LABELLING OF DNA PROBE	95

List of abbreviations

BCIP	5-bromo-4-chloro-3-indolyl phosphate
bp	base pair
CDC	Centre for Disease Control
CI	confidence interval
cfu	colony-forming unit
CHBH	Chris Hani Baragwanath Hospital
DAEC	diffusely adherent <i>Escherichia coli</i>
DCA	deoxycholate citrate agar
DEC	diarrhoeagenic <i>Escherichia coli</i>
DGMH	Dr George Mukhari Hospital
DMP	diagnostic media products
DNA	deoxyribonucleic acid
EAggEC	enteroaggregative <i>Escherichia coli</i>
EAST	<i>E. coli</i> heat-stable-like enterotoxin
EDRU	Enteric Diseases Reference Unit
EDTA	ethylenediaminetetraacetic acid

EHEC	enterohaemorrhagic <i>Escherichia coli</i>
EIEC	enteroinvasive <i>Escherichia coli</i>
EPEC	enteropathogenic <i>Escherichia coli</i>
ETEC	enterotoxigenic <i>Escherichia coli</i>
g	gram
Gas	gas production
GERMS-SA	The Group for Enteric, Respiratory and Meningeal Disease Surveillance in South Africa
H ₂ O	water
M	molar
mg	milligram
ml	millilitre
mM	millimolar
NaCl	sodium chloride
NaOH	sodium hydroxide
NBT	4-nitroblue tetrazolium chloride
NHLS	National Health Laboratory Services

NICD	National Institute for Communicable Diseases
OR	odds ratio
PCR	polymerase chain reaction
rpm	resolution per minute
SDS	sodium dodecyl sulphate
spp.	species
SSC buffer	saline-sodium citrate
STEC	shiga-toxin producing <i>Escherichia coli</i>
TAE buffer	tris-acetate- ethylenediaminetetraacetic acid
TBA	trypticase blood agar
TBE buffer	tris-borate- ethylenediaminetetraacetic acid
TCBS	thiosulfate citrate bile-salt sucrose
TE buffer	tris- ethylenediaminetetraacetic acid
USA	United States of America
XLD	xylose-lysine deoxycholate
%	percent
°C	degree celsius

μl	microlitre
μm	micrometre
μM	micromolar
U	units
$\sim$	approximately

Chapter 1: Introduction

1.1 Aetiology of diarrhoeal diseases

Studies conducted between 1980 to 2000 showed a gradual decline worldwide in diarrhoeal mortality and morbidity among children under 5 years of age. Diarrhoea, nevertheless, remains a major cause of mortality and morbidity among children under five years of age, especially in developing countries (1-3).

Acute diarrhoeal disease has significant impact of public health globally, with pathogenic agents such as bacteria (*Salmonella*, *Shigella*, diarrhoeagenic *Escherichia coli*, *Vibrio cholerae* [serogroups O1 and O139] and *Campylobacter*), parasites (*Cryptosporidium*, *Giardia* and *Entamoeba histolytica*) and viruses (Rotavirus, adenovirus, norovirus and astrovirus), recognized as leading aetiologic agents (4-7). Rotavirus in particular is the leading cause of severe diarrhoea in children under five years of age worldwide and involves significant medical and societal costs (8,9).

There is a paucity of information on the aetiologic agents causing diarrhoeal disease in most African countries, including South Africa (10,11).

Knowledge of the enteropathogens responsible for diarrhoeal diseases is essential for implementation of appropriate public health measures which include effective control or prevention programmes and treatment strategies. South Africa is amongst a few countries in sub-Saharan Africa which has statistical data on the cause of human deaths; however, the cases might be under-registered or misclassified, with 21572 estimated deaths annually amongst children 0-4 years of age (12). Several studies describing childhood diarrhoea have been

published in developing and developed countries, but only a few studies covering a broad range of newly discovered diarrhoeal agents among children have been undertaken in South Africa (2,13,14).

The aim of the study was to determine the bacterial pathogens associated with diarrhoea requiring hospitalization in children under-5 years of age and to characterize circulating serotypes.

1.2 Bacterial agents

1.2.1 *Escherichia coli*

E. coli plays a role in the maintenance of normal gut physiology, but certain strains possess virulence factors that enable them to cause disease in the intestinal tract of immunocompromised individuals or damage intestinal mucosal integrity. Strains of *E. coli* are associated with colonization of gastrointestinal tract of children under five years of age and *E. coli* may cause life-threatening diarrhoea which may be fatal (15). The infection may be associated with contaminated drinking water or food, malnutrition or poor sanitation or poor personal hygiene (16). There are six different pathotypes of diarrhoeagenic *E. coli* (DEC) that are now recognized, which represent a leading bacterial cause of paediatric diarrhoea, however, data regarding their role in community-acquired diarrhoea in developing countries are scarce (15,17).

Classification and taxonomy

E. coli falls under genus of the family Enterobacteriaceae and tribe *Escherichiae*. They are facultative anaerobes and gram-negative bacillus which does not produce spores (18). Most strains of *E. coli* are motile but there are some which are non-motile.

43 Biochemical characteristics

44 *E. coli* is capable of fermenting a wide variety of carbohydrates. Has a characteristic feature of
45 the ability to ferment lactose, even though there are some strains which are slow fermenters of
46 lactose. The biochemical characteristics are summarized in the table 1.1 below.

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60 **Table 1.1:** Biochemical characteristics of *E. coli* (19)

Test	Reaction
<i>Ortho</i> -nitrohenyl- β -D-galactopyranosid (ONPG)	+
Indole	+
Methyl red	+
Citrate	-
Lysine decarboxylase (LDC)	+
Arginine dihydrolase	-
Ornithine decarboxylase (ODC)	V
Motility	+
D-Glucose with gas	+
Lactose	+
Sucrose	V
D-manitol	+
Adonitol	-
D-sorbitol	+

61 (-)-not reactive; (+)-reactive; (V)-variable

62 Pathogenesis

63 Some strains of *E. coli* are capable of causing infection in humans. The most important feature
64 of DEC is their capability of colonizing the intestinal mucosal surface and competition for
65 nutrients with other intestinal bacteria. *E. coli* strains possess the surface adherence fimbriae.
66 DEC strains possess specific fimbrial antigens which enhance their ability to colonize and adhere
67 to the small intestinal mucosa. The *E. coli* genome has two genetic components which are
68 virulence related plasmids and chromosomal pathogenicity islands. DEC are categorized into six
69 different pathotypes which are enteroaggregative *E. coli* (EAggEC), diffusely adherent *E. coli*
70 (DAEC), enterotoxigenic *E. coli* (ETEC), enteroinvasive *E. coli* (EIEC), enteropathogenic *E. coli*
71 (EPEC) and shiga-toxin producing *E. coli* (STEC)[which includes enterohaemorrhagic *E. coli*
72 (EHEC)]. These pathotypes have different virulence properties and have different ways of
73 interacting with the intestinal mucosa. They cause different clinical syndromes, differ in their
74 epidemiologic distributions and falls into distinctive O and H serotypes (15,20).

75

76 Enterotoxigenic *Escherichia coli*:

77 Strains of ETEC initiate infection by attaching to specific receptors on the surface of enterocytes
78 in the small intestine. The virulence determinants involved includes two types of enterotoxin
79 which include LT (oligomeric heat-labile enterotoxin) and ST (monomeric heat-stable
80 enterotoxin). Some strains of ETEC may have only LT or only ST but some have both LT and ST.
81 The LT resembles cholera toxin (CT) from *V. cholerae*. LT is characterized into type I (LTI) and
82 type II (LTII). LTI is a plasmid encoded periplasmic protein and LTII is chromosomally encoded.

LTI and LTII have similar structure and mode of action. In contrast, ST is a peptide which stimulates guanylate cyclase, resulting in intracellular accumulation of cyclic guanylate monophosphate (cGMP), in the intestinal epithelial cells which leads to diarrhoea. ST is classified into two groups (STa and STb) which are found on plasmid with STa associated with human infections. ETEC adherence ability to the intestinal epithelium is mediated by adhesive fimbriae also called *coli surface antigens* (CSAs) (15,21).

Enteroinvasive *Escherichia coli*:

EIEC strain has a preference for colonic mucosal site and it has a close resemblance to *Shigella* species. The virulence determinants involves genes specific for invasiveness which are found on 140-MDa plasmid (pINV). These genes encode invasion plasmid antigens (IpaA, IpaB, IpaC and IpaD) which are required for strains pathogenicity. EIEC are classified by the existence of virulence plasmid (VP) and by their capability to stimulate entry into epithelial cells. The bacterium crosses the epithelial layer in the colonic mucosa by invading M-cells. This leads to membrane ruffling and engulfment of the bacterium within the vacuole where the bacteria lyse the membrane, gaining access to the cell cytoplasm. Infected epithelial cells by EIEC strains may secrete IL-8 which facilitates invasion by bacteria (15,22).

Diffusely adherent *Escherichia coli*:

Initiation of infection due to DAEC strains is due to its ability to adhere to HEp-2 cells and the presence of the Afa/Dr family or adhesins involved in diffuse adherent (AIDA). The ability to cause diarrhoea remains controversial for DAEC (22,23). Virulence factors involved include the Afa/Dr operon which consists of the *afa* gene which is responsible for biosynthesis of Afa

adhesins and Afa invasins. The Afa is involved in internalization of adherent bacteria into epithelial cells which is mediated by the fimbrial structures with one surface fimbria (F1845) encoded via the *daaC* gene (24). DAEC strains may also be associated with the ability to induce inter-leukin-8 (IL-8) secretion in the intestinal epithelial cells (25).

Enteraggregative *Escherichia coli*:

The ability of EAggEC to initiate diarrhoeal infection involves adherence to intestinal mucosa by aggregative adherence fimbriae (AAF) and fimbriae factor (26). Most strains of EAggEC carry the AAF subtypes which are encoded by the 65-MDa virulence plasmid (pAA) which include *aggA* (AAF/I); *aafA* (AAF/II) and *aag-3* (AAF/III). The AAF/I are associated with HEp-2 adherence and erythrocyte haemagglutination. AAF/III functions as an adhesin. EAggEC also produces plasmid encoded proteins (Pet) which causes cell elongation and exfoliation due to its ability to cleave alpha III spectrin within the cytoskeleton of epithelium cells (25,27). EAggEC strains also produce *E. coli* heat-stable-like enterotoxin (EAST) which interacts with epithelial cells (26,28).

Enteropathogenic *Escherichia coli*:

Important characteristics of EPEC involved in initiation of infection include attaching and effacing (A/E) mediated by local effacement of the microvillus, reorganization of actin filaments in the intestinal cells and intimate adherence between the bacterium and the host's epithelial cell membrane. The genes associated with A/E lesions formation are found on the locus of enterocytes effacement (LEE) on a pathogenicity island of *E. coli* chromosome and it is also considered as a major virulence protein shared by all EPEC strains (15,29). Pathogenesis of EPEC include localized non-intimate attachment of the organism to the intestinal epithelium via the

inducible bundle-forming pili (BFP) encoded by the *bfp* operon. EPEC strains are considered as typical or atypical. They are referred as typical when they possess *E. coli* adherence plasmid (EAF) with *bfp* gene encoding the bundle-forming pili (BFP) (30). EPEC possesses a chromosomal locus which has type III protein secretion system (TTSS) that transports proteins across the cytoplasmic and outer membrane of the bacterial pathogens and the host cell membrane where they interfere with host cell signalling cascades. The adhesion intimin which is an outer membrane protein encoded by *E. coli* attaching and effacing (*eaeA*) gene is required for intimate adherence of EPEC strains to host cell at the site of A/E lesions and translocated intimin receptor (Tir) (15,31). EPEC strains may also possess genes for the production of EAST and cytolethal distending toxin (CDT). Not all strains of EPECs encode these toxins (15).

Shiga-toxin producing *Escherichia coli* and Enterohaemorrhagic *Escherichia coli*:

STEC/EHEC strains initiate infection by attaching to the intestinal mucosa which is mediated by a plasmid-encoded pilus. These strains also possess the pathogenicity island (LEE), which is the same pathogenicity island found within EPEC strains and they also possess the enterohaemolysin gene (22,32,33). The major virulence genes in the STEC/EHEC strains which contribute to its ability to cause infection include genes encoding Shiga-toxin (*stx*), intimin (*eae*) and haemolysin (*hly*). These genes are responsible for the principal manifestation of haemorrhagic colitis (HC) and haemolytic uraemic syndrome (HUS). The production of Shiga-toxin is one of the defining characteristics and it contains two major groups which are Shiga-toxin 1 (encoded by *stx1*) and Shiga-toxin 2 (encoded by *stx2*). STEC/EHEC may express *stx1* only, *stx2* only or both (34). Shiga-toxin from STEC/EHEC is identical to Shiga-toxin from *S. dysenteriae* type 1 (22) The structural

genes for *stx1* and *stx2* are found on lysogenic lambdoid bacteriophage and the genes for *stx2* are chromosomally encoded (35). Production of Shiga-toxin from *E. coli* is repressed by iron and reduced temperature but expression of *stx2* is unaffected by these factors. Fluid secretion in response to Shiga-toxin involves killing of absorptive villus tip intestinal epithelial cells and it does not increase active secretion of chloride ions. Shiga-toxin can induce the expression of cytokines such as tumour necrosis factor alpha (TNF- α) and IL-6 from the macrophage. STEC/EHEC possesses the haemolysin plasmid and there are two genetically distinct phage encoded haemolysins (*Ehly1* and *Ehly2*) (15,36). The *eae* gene encodes for intimin, an outer membrane protein required for intimate attachment, allowing the bacteria to adhere to the intestinal mucosa for initiation of infection. Some strains of EHEC has the chromosomal genes *eae*, same as EPEC which makes EHEC strains to cause more severe illness than STEC because of their ability to attach to the host intestinal cells (33).

Epidemiology

Transmission of DEC is via contaminated food or water with human or animal faeces containing the bacteria, poor sanitation, poor personal hygiene, and rarely person-to-person spread (15,37).

DEC is the most commonly isolated bacteria in children under-5 years of age with diarrhoea, contributing to approximately 40% of diarrhoeal episodes in children globally (38). Many strains of DEC primarily affect children in developing countries due to inadequate sanitary conditions. The prevalence of DEC infection varies by location. It is considered as the most important among bacteria causing childhood diarrhoea in developing countries (20); EPEC is responsible

for approximately 10% of diarrhoeal episodes amongst infants and children under five years of age (39).

STEC/EHEC is considered as the most virulent DEC pathotypes because of their ability to cause outbreaks, haemorrhagic colitis (HC) and haemolytic uraemic syndrome (HUS). It is estimated to cause 73000 diarrheal episodes USA annually, 85% of which is attributed to foodborne transmission (40-42). The reservoir for STEC/EHEC is mostly cattle (35). Many outbreaks are associated with beef products and unpasteurized milk. A wide range of other food products implicated to outbreaks include cheese, yoghurt, fermented sausage, apple juice, lettuce etc. Contaminated water is also considered as another route of transmission. It is mostly associated with illness in children aged 6 months to five years of age (43).

In South Africa, DEC is the most commonly detected bacteria amongst children under-5 years of age with diarrhoea, with EPEC and DAEC being the most predominant pathotypes (14,44). In South Africa, EPEC was described as the most commonly isolated DEC pathotype among children with diarrhoea, with a 26.5% isolation rate (14). A study in Tanzania showed high detection of DEC with 35.7% from 451 cases which had diarrhoea, EAggEC being the most commonly isolated with 63% among DEC pathotypes and EIEC with only one case (45). In Mozambique, a study showed a 44.7% recovery of DEC from 520 diarrhoeal cases investigated (46). A study done from Botswana showed low isolation of DEC with 0.5% recovery of the bacterial pathogen from diarrhoeal cases investigated (47).

A recent outbreak which occurred in Germany during May 2011 was caused by an EAggEC pathotype of serotype O104 which produced Shiga-toxin 2 (48). There were more than 3000 cases with 54 fatalities due to the outbreak. More than 800 cases experienced HUS (49).

Clinical features

Several clinical syndromes that occur from infection with pathogenic *E. coli* strains are due to bacteria that acquired genetic elements encoding for virulence factors. The syndromes include enteric/diarrhoeal diseases, urinary tract infection (UTI), sepsis/ meningitis and haemolytic uraemic syndrome (HUS) (26). Different pathotypes are capable of causing various infections in children. All DEC pathotypes are associated with fever and it can be mild for some pathotypes, also vomiting, watery or acute diarrhoea with or without blood and mucus can occur (15). DAEC strains are associated with UTI, cystitis and asymptomatic bacterial infection. UTIs can be found among healthy individuals, persons with compromised urinary tract due to anatomic abnormalities and also with premature death (24). EHEC/ STEC are associated with HUS which is a common cause of acute renal failure in children and chronic kidney disease; bloody diarrhoea, fever and haemorrhagic colitis (35). The incubation period varies from 3 to 4 days after ingestion of contaminated food or water (50).

EAggEC is mostly associated with watery diarrhoea due to host susceptibility, immune response and heterogeneity of virulence among the strains. It can also be due to the amount of bacteria ingested by the infected host (51). EPEC may be associated with acute diarrhoea and severe diarrhoea may also occur during neonatal period. It can also be associated with travellers'

208 diarrhoea (52). ETEC may cause diarrhoea which might be mild, self-limiting or may result in
209 severe purging similar to diarrhoea caused by *V. cholerae* (15).

210 Diagnosis

211 Definitive diagnosis of infection is based on isolation of organisms from food products, stool
212 culture or rectal swab. Diagnosis requires special isolation techniques and specialized media
213 such as MacConkey agar, deoxycholate citrate agar and xylose-lysine deoxycholate agar. If
214 processing is delayed, a rectal swab sample can be placed in Cary and Blair transport media. The
215 STEC/ EHEC O157 strains do not ferment sorbitol; therefore specimens are also cultured onto
216 MacConkey agar with sorbitol which is considered a convenient method for screening of STEC/
217 EHEC O157 (20,53).

218 Identification of diarrhoeagenic *E. coli* strains requires that these organisms be differentiated
219 from non-pathogenic strains of *E. coli*. The practical and rapid way of characterizing DEC
220 pathotypes depends on detecting the virulence factors. This can be done by using PCR which
221 detects for virulence genes. PCR methods have shown to be highly specific and sensitive in
222 identification of human DEC. Routine diagnostic laboratories lack the capacity to detect DEC
223 pathotypes because they characterise DEC by biochemical characteristics (54-56). Confirmation
224 of serotypes of DEC strains requires identification of presumptive isolates with O and H
225 antiserum (57).

226 Phenotypic variability among strains belonging to the same species results in some bacterial
227 isolates presenting characteristics that are atypical for candidate identification. In this situation,
228 16S rRNA gene sequence analysis can be used for determining identity of these organisms

(58,59). During outbreak investigations, molecular typing methods such as pulsed-field gel electrophoresis (PFGE) is used for investigating of the relationship among strains of the same DEC pathotypes and also to trace back the source of infection (20,60).

1.2.2 Salmonella

Salmonella are one of the leading causes of community acquired foodborne bacterial gastroenteritis worldwide among children under-5 years (61), with high incidence in infants (62). The bacterium is characterized by its flagella (H) antigen, somatic (O) antigen and the polysaccharide (Vi) antigen. The Vi antigen is associated with *Salmonella* Typhi; *Salmonella* Paratyphi C may occasionally have the Vi antigen (63). The infection is mostly associated with contaminated food or water, poor sanitation and poor personal hygiene (64,65).

Classification and taxonomy

Salmonella falls under genus of the family Enterobacteriaceae. *Salmonella* are gram-negative, facultative anaerobic bacilli which do not produce spores (66). The genus of *Salmonella* are separated into two species which includes *Salmonella enterica* containing 6 subspecies (I, II, IIIa, IIIb, IV, and VI) and *Salmonella bongori*. Members of the seven subspecies can be serotyped into one of 2500 serotypes according to antigenically diverse surface structure: somatic (O) antigen and flagella (H) antigen (67).

Biochemical characteristics

The species of *Salmonella* differ in their reaction to certain carbohydrates and the biochemical characteristics are summarized in table 1.2 below.

250 **Table 1.2:** Biochemical characteristics of *Salmonella* species (19)

Test	Ssp I	Ssp II	Ssp IIIa	Ssp IIIb	Ssp IV	Ssp VI	<i>S. bongori</i>
ONPG	-	-	+	+	-	V	+
Indole	-	-	-	-	-	-	-
Methyl red	+	+	+	+	+	+	+
Citrate	+	+	+	+	+	+	+
LDC	+	+	+	+	+	+	+
Arginine dihydrolase	+	+	+	+	+	+	+
ODC	+	+	+	+	+	+	+
Motility	+	+	+	+	+	+	+
D-Glucose with gas	+	+	+	+	+	+	+
Lactose	-	-	-	+	-	V	-
Sucrose	-	-	-	-	-	-	-
D-manitol	+	+	+	+	+	+	+
Adonitol	-	-	-	-	-	-	-
D-sorbitol	+	+	+	+	+	+	+

251 (-)-not reactive; (+)-reactive; (v)-variable; (Ssp)-subspecies

252 Pathogenesis

253 *Salmonella* infection begins with ingestion of the organisms. The bacterium crosses the small
254 intestine by translocating through the M-cells and the adjacent epithelium, whereby binding of
255 M-cell is characterized by specialized fimbriae (*lpf*). Most of the genes controlling entry into M-
256 cells are located on *Salmonella* Pathogenicity Island which contains multiple functionally related
257 genes necessary for specific virulence phenotype. They carry major pathogenicity island 1 and 2
258 (SPI1 and SPI2), which encode specialized devices for the delivery of virulence proteins into the
259 host (68,69). The SPI1 encodes genes which are necessary for invasion of intestinal epithelial
260 cells and induction of intestinal secretory and inflammatory response (70,71). In contrast, SPI2
261 encodes genes important for intracellular replication (72,73). Once inside the M-cells they tend
262 to initiate cytoskeletal rearrangement leading to membrane ruffling and bacterial
263 internalization (74-76). *Salmonella* consist of genes necessary for its survival in the macrophage
264 which includes PhoP/ PhoQ which regulates over 40 genes inducing the expression of PhoP-
265 activated genes (77). These genes are expressed within the macrophage phagosome (78). They
266 also promote antimicrobial resistance (79).

267 *Salmonella* associated with intestinal invasion possess plasmids which has the *Salmonella*
268 plasmid virulence (*spv*) gene. The *spv* gene enhances growth of *Salmonella* strains within the
269 macrophages and phagocytic cells. These genes are stimulated by *Rpos*, which is a sigma factor
270 produced due to low concentrations of nutrients (80,81).

271 Epidemiology

272 Human infections are acquired via contact with animals or humans shedding *Salmonella* or via
273 contaminated environments. The majority of human infections are foodborne. A large number
274 of human outbreaks have been linked to foods of animal origin, with beef products representing
275 a wide range as a source of human infection (64). The faecal-oral route may also be associated
276 with the spread of *Salmonella* infections. Typhoid fever and other enteric fevers are spread
277 mainly from person to person via faecal oral route. Contaminated food and water with human
278 faeces is another route of transmission (65).

279 *Salmonella* causes an estimated 1.4 million human cases with 15 thousand hospitalizations and
280 more than 400 deaths annually from the United States (64,82). Globally, human health impact
281 due to non-typhoidal *Salmonella* (NTS) is at an estimate of 93.8 million illnesses, of which 80.3
282 million illnesses are due to foodborne with 155 thousand deaths annually (83). In developing
283 countries, the mortality rate of invasive NTS in HIV infection may be as high as 23-47% and HIV
284 infection is considered as a risk factor for invasive NTS (84,85).

285 Diarrhoeal infections due to *Salmonella* infections from Mozambique was 15.8% in 520
286 diarrhoeal cases investigated (46). Hospitalizations due to invasive NTS infection are estimated
287 at approximately 27% of episodes among African children (86). In sub-Saharan Africa, the
288 occurrence of invasive NTS is higher than the occurrence of typhoidal fever among children (87).
289 A study in Mozambique showed a 15.8% recovery of *Salmonella* species from 520 investigated
290 cases (46).

291

In South Africa, *Salmonella* infection is commonly reported from Gauteng, Eastern Cape and KwaZulu-Natal provinces and occurs predominantly among children under-5 years of age. *Salmonella* enterica serotype Typhimurium with prevalence of 45% and *Salmonella* Enteritidis with prevalence of 60% were the most commonly isolated non-typhoidal *Salmonella* (44).

Clinical features

Incubation period develops after 6 to 72 hours of ingestion of contaminated food or water. Infection may be prolonged for 10 to 14 days by a low grade fever in rare cases (88). Specific *Salmonella* serotypes produce characteristic syndromes which include gastroenteritis, enteric fever and bacteraemia (89-91).

NTS may occur without gastrointestinal symptoms in children (90). Children infected with NTS primarily suffer from acute diarrhoea which can be self limiting. Children may have complications such of extra-intestinal *Salmonella* infections such as bacteraemia, meningitis or arthritis (92). Enteric (typhoid) fever is a systemic illness which results from infection with the human pathogen, *Salmonella* Typhi and *Salmonella* Paratyphi. The clinical manifestations include high fever, abdominal pain, transient diarrhoea or constipation, severe head ache, loss of appetite and nausea (93).

Diagnosis

Definitive diagnosis of infection is based on isolation of organisms from food products, stool culture or rectal swab. It requires special isolation techniques and specialized media such as MacConkey agar, deoxycholate citrate agar, xylose-lysine deoxycholate agar and selenite broth. If processing of samples is delayed, a rectal swab can be placed in Cary and Blair transport

media. The most commonly used serotyping method is based on the basis of O and H antigenic properties. The O antigen denotes the serogroup and H antigen denotes the serotype. The Vi antigen is specific to *Salmonella* Typhi (94,95). There are molecular sub-typing methods which complement traditional serotyping methods which can be sensitive, reproducible and cost effective which include multiplex PCR (96), real time PCR (97), PFGE and multilocus sequence typing (MLST), multilocus variable number of tandem repeat analysis (MLVA) (64). These methods are also useful during outbreak for comparing patterns to previous patterns of the same serotype.

1.2.3 Shigella

Shigella is amongst one of the gut bacteria which can be pathogenic. It is commonly isolated from patients with diarrhoea. Most of the episodes are seen within children under-5 years and has been recognized as a major cause of epidemics (98-100). High morbidity and mortality associated with *Shigella* infections are due to dysentery (101,102). Infections due to *Shigella* species is of global public health concern in developed and developing countries (103).

Classification and taxonomy

Shigella is a genus which falls under the family of Enterobacteriaceae, tribe *Escherichiae*. They are gram-negative rods, facultative anaerobes, non motile bacteria which does not produce spores (66,104,105). *Shigella* is grouped into four species containing 47 serotypes which are classified on the bases of their O antigen. The species include *Shigella dysenteriae* with 13 serotypes, *Shigella flexneri* with 6 serotypes, *Shigella boydii* with 18 serotypes and *Shigella sonnei* with 1 serotype (105-107).

334 Biochemical characteristics

335 *Shigella* species differ in their reaction to certain carbohydrates and their biochemical
 336 characteristics are summarized in table 3 below.

337 **Table 1.3:** Biochemical characteristics of *Shigella* species (19,108)

Test	<i>Shigella sonnei</i>	<i>Shigella dysenteriae</i>	<i>Shigella boydii</i>	<i>Shigella flexneri</i>
Indole	-	V	V	V
LCD	-	-	-	-
ODC	+	-	-	-
Motility	-	-	-	-
Glucose with gas	-	-	-	-
ONPG	+	-	-	-
D-manitol	+	-	+	+

338 (-)-not reactive; (+)-reactive; (V)-variable

339 Pathogenesis

340 *Shigella* initiates infection after gaining access into the intestinal mucosa. After ingestion of the
 341 organism by the host, it crosses the intestinal epithelium by transcytosis via M-cells. It invades
 342 the colonic epithelial cells through a type III secretion system which is encoded by a
 343 pathogenicity island located on a plasmid (108-110). As the bacterium enters the epithelial cell
 344 it causes rearrangement of the cell cytoskeleton resulting in bacterial membrane ruffling and

engulfment within the vacuole (111). The bacterium then escapes from the vacuole which results in induction of apoptosis amongst infected cells. This leads to a release of IL-8 pro-inflammatory cytokine which attracts the polymorphonuclear cells (PMN) within the site of infection stimulating invasion by bacteria (112-114). *Shigella* consists of two loci encoded virulence plasmid which include Ipa locus and mxi-spa locus that are required for invasion (115). The Ipa locus is encoded by invasion plasmid antigen which includes IpaA, IpaB, IpaC and IpaD. The mxi-spa locus encodes the components of a type III secretory system involved in the delivery of the Ipa proteins from bacterial cytoplasm to host cell (116,117).

S. dysenteriae type 1 produces a potent exotoxin (Stx) which causes vascular damage and which also resembles the Shiga-like toxin produced by *E. coli* (118).

Epidemiology

Shigella infection is spread via ingestion of contaminated food and water. Person-to-person and faecal oral route are considered as another mode of transmission (107,119).

Shigella species are estimated to cause more than 150 million human infections annually, with 1.1 million deaths amongst children who are under five years of age living in developing countries (99,120). In developing countries the most prevalent species of *Shigella* causing infections is *S. flexneri*. Outbreaks involving *S. dysenteriae* have also been described. In developed countries, the most prevalent species is *S. sonnei* (99,121,122). A study conducted from Central African Republic in Bangui showed isolation of *S. flexneri* with 10% from 156 investigated cases(123) and a study in Kenya also showed a 16% isolation of *Shigella* with *S.*

flexneri as the most commonly isolate with 54% (124). A study in Mozambique showed a 15.4% recover of *Shigella* species from 520 investigated cases (46).

In South Africa, invasive and non-invasive *Shigella* infections are mostly described from Gauteng and Western Cape provinces amongst children under five years of age. *S. flexneri* and *S. sonnei* are the most prevalent species (44). Outbreaks due to *S. flexneri* and *S. sonnei* have been reported from Mpumalanga, Limpopo and Northern Cape provinces of South Africa (125). *S. dysenteriae* type 1 was once described to be associated with an outbreak in KwaZulu-Natal province (126). In South Africa, the incidence of *Shigella* in children under five are not known. In sub-Saharan Africa there is high incidence of *S. flexneri* and *S. dysenteriae* occurrence compared to the occurrence of *S. boydii* and *S. sonnei* (99).

Clinical features

The incubation period after ingestion of the bacterium might be from 12 hours to 2 days before symptoms starts to show (127). *Shigella* has a low infective dose which can range from 10 to 100 organisms. This makes it important for public health point of view (128).

Shigella species causes Shigellosis which can be characterized by dysentery and anorexia (128,129). Dysentery amongst children results in loss of serum protein into faeces. It may also cause complications in infants and young children such as dehydration, rectal prolapsed and intestinal perforation (130). Bacteraemia is rarely associated with Shigellosis although it might be seen amongst malnourished infants and immune-compromised children (121,131). Complications amongst neonates with Shigellosis include septicaemia, meningitis and dehydration (132).

Diagnosis

Definitive diagnosis of infection is based on isolation of organisms from food products, stool culture or rectal swab. Diagnosis requires special isolation techniques and specialized media such as MacConkey agar, deoxycholate citrate agar, xylose-lysine deoxycholate agar and selenite broth. The commonly used serotyping method is based on the basis of O antigenic properties (118,133).

More rapid approach on identification of *Shigella* serotypes can be done using PCR assays based on specific genes, also DNA microarrays which allow detection of *Shigella*. It is considered as more specific, sensitive and reproducible method (134).

1.2.4 Campylobacter

Campylobacter in the human gastrointestinal tract presents both as commensals or pathogen and also in wild or domesticated animals. Infections due to *Campylobacter* are considered zoonotic with poultry as a major source of infection (135-137). *Campylobacter* is amongst major causes of bacterial diarrhoea within humans worldwide (138). The dominant source of infection includes contact and consumption of poultry, unpasteurised milk and contaminated drinking water (139,140).

Several species of *Campylobacter* are associated with human infections. *Campylobacter jejuni* and *Campylobacter coli* are considered as the most common species infecting humans (141,142), with majority of cases occurring in children under five years (143,144).

Classification and taxonomy

Campylobacter is a genus which falls under the family of Campylobacteraceae (145). *Campylobacter* species are microaerophilic, curved or small spiral-shaped cells, gram negative rods which does not produce spores (146,147). The genus *Campylobacter* has 18 species and not all species are associated with extra and enteric intestinal illness in humans. The *Campylobacter* species which are pathogenic to humans includes *Campylobacter jejuni*, *Campylobacter coli*, *Campylobacter upsaliensis*, *Campylobacter lari*, *Campylobacter fetus*, *Campylobacter hyointestinalis*, *Campylobacter concisus*, *Campylobacter sputorum*, *Campylobacter curvus* and *Campylobacter rectus* (148,149).

Biochemical characteristics

Campylobacter species have a typical biochemical characteristic and their reaction to certain carbohydrates are summarised in table 1.4 below.

424 **Table 1.4:** Biochemical characteristics of enteropathogenic species of *Campylobacter* (145,150)

Test	<i>Campylobacter coli</i>	<i>Campylobacter jejuni</i>	<i>Campylobacter lari</i>	<i>Campylobacter fetus</i>
Catalase	+	V	+	+
Nitrate	+	+	+	+
Hippurate	-	+	-	-
Indoxyl acetate	+	+	-	-
Nalidixic acid	S	S	V	R
Cephalothin	R	S	S	S

425 (S)-susceptible; (R)-resistant; (-)-not reactive; (+)-reactive; (V)-variable

426 Pathogenesis

427 Factors responsible for *Campylobacter* species to initiate infection are not well known.

428 *Campylobacter jejuni* is the most studied species. Based on clinical manifestations, mechanisms

429 of infection include adherence and production of toxins; invasion and proliferation within the

430 intestine (151,152). The organism crosses the mucosal layer, whereby the bacteria adhere and

431 invade through the epithelial cell resulting in the damage and inflammation of the mucosal layer

432 (153-155). The adherence and invasion of the epithelial cell by the bacteria is dependent on

433 both motility and flagella expression (156-158). *Campylobacter* have several virulence

434 properties which are involved in initiation of infection. The *cadF* gene which encodes for an

435 outer-membrane protein responsible for invasion and which also acts as an adhesin (159). The

436 bacteria are also associated with cytotoxin production encoded by cytolethal distending toxin

437 (*cdtA*, *cdtB* and *cdtC* genes) (160,161). These toxins are responsible for slow distending resulting
438 in cell death (162,163).

439 Epidemiology

440 *Campylobacter* species are fastidious organisms which have a broad range of environmental
441 existence. They can be recovered from river water, vegetables and shellfish but mostly
442 recovered from chicken, sheep, cattle and swine (164).

443 The infections due to *Campylobacter* are sporadic. They have been associated with
444 contaminated water, unpasteurised milk, poultry, beef and also contact with pets and farm
445 animals (138,165). Infections due to *Campylobacter* species are commonly induced by *C. jejuni*,
446 with *C. coli*, *C. lari*, *C. fetus* and *C. upsaliensis* are less isolated (141,166,167).

447 Some cases due to *Campylobacter* maybe unreported because infections may be asymptomatic,
448 mild or self limiting. *Campylobacter* infections are estimated to cause 2.5 million cases of
449 diarrhoea annually, with 13 000 hospitalizations and 124 deaths worldwide with infants being
450 the most affected (143,144).

451 In Africa *Campylobacter* is one of the commonly isolated bacteria amongst children under-5
452 years, with *C. jejuni* the being the most frequent species of *Campylobacter* (11,168,169). A study
453 conducted in from Kampala-Uganda showed *Campylobacter* species as a frequent cause of
454 acute childhood diarrhoea (170). There was low isolation of *Campylobacter* species from
455 Mozambique with 1.8% detection from 520 investigated diarrhoeal cases (46). A study done
456 from Botswana showed low isolation of *Campylobacter* species with 0.5% recovery of the
457 bacterial pathogen from diarrhoeal cases investigated (47).

458 Clinical features

459 The incubation period after ingestion of *Campylobacter* organisms ranges from 24-72 hours. It
460 can also take longer than a week until symptoms starts to show (171).

461 *Campylobacter* species causes infections which are characterised by an inflammatory diarrhoea,
462 bloody stool and even fever. Some cases cause non-inflammatory diarrhoea with watery stool in
463 the absence of blood may occur, mostly in young children (152,172).

464 There are complications that are associated with *Campylobacter* infection which include
465 Guillain-Barré syndrome (GBS), septic abortion, bacteraemia, cystitis and nephritis (135,173-
466 175). Most infections due to *Campylobacter* result in acute, self limited gastrointestinal illness
467 which may be characterised by diarrhoea, fever and abdominal pains. Such illnesses are usually
468 seen amongst young children (172).

469 Diagnosis

470 Species of *Campylobacter* are culturally fastidious organisms and recovering the organism from
471 specimens is quiet challenging. Isolation, identification and confirmation of *Campylobacter*
472 bacteria require the use of enrichment both after incubation from selective media.
473 *Campylobacter* species are sensitive to dry conditions and they grow best in atmosphere
474 containing 5 – 10% oxygen at 37°C even though some may grow at 42°C. From culture on
475 selective media, the suspected colonies were microscopically examined for Gram stain
476 morphological characteristic such as gull-wing gram negative bacilli (176,177). Culture of
477 *Campylobacter* species requires special isolation techniques and specialized media such as
478 trypticase soya agar with 5% sheep blood or media which contains antibiotics which reduces the

emergence of other enteric organisms and *Campylobacter* are considered as microaerophilic (178).

Other techniques which are also recommended that can be used include filtration method, PCR and enzyme-linked immuno-sorbent assay (179,180). There are commercially available kits such as latex agglutination which can be used for confirmation of *Campylobacter* species (181,182).

Strain differentiation can be analysed with genotyping methods such as flagellin typing, PFGE of chromosomal DNA, ribotyping and multiplex PCR-RFLP (179,183).

1.2.5 *Vibrio cholerae*

Diarrhoeal illness due to *V. cholerae* is considered amongst leading cause of morbidity and mortality within children under-5 years globally (1,2,184). The majority of cases are seen in Africa and Asia (185). The epidemics of diarrhoeal illnesses caused by *V. cholerae* O1 and O139 are associated with poor economic conditions, poor sanitary systems and poor public hygiene (186). The infection is spread via direct faecal oral contamination or contaminated food (184,187). Aquatic environment plays an important ecological and epidemiological role; contaminated water is considered the most common route of transmission (188).

Classification and taxonomy

V. cholerae is a genus which falls under the family Vibrionaceae. *V. cholerae* is a facultative anaerobe, curved and motile gram negative rod which does not produce spores (189,190). There are more than 200 serogroups of *V. cholerae*, which are classified based on variation in the heat-stable somatic (O) antigen of the strain (192). The O antigen classifies *V. cholerae* into serogroup O1 and non-O1. Serogroup O1 is further classified into two biotypes which include

500 classical and El Tor and also into three major serotypes which are Inaba, Ogawa and Hikojima
 501 (192-194).

502 Biochemical characteristics

503 *V. cholerae* bacteria is characterised by distinctive reactions with certain carbohydrates; the
 504 biochemical characteristics are summarized in table 1.5 below.

505 **Table 1.5:** Biochemical characteristics of *V. cholerae* (195)

Test	Reaction
D-Glucose acid	+
D-Glucose gas	-
Arginine	-
LDC	+
ODC	+
Sucrose	+
Nitrate	+
Oxidase	+

506 (-)-not reactive; (+)-reactive

507 Pathogenesis of *Vibrio cholerae* O1

508 As *V. cholerae* O1 bacteria are ingested by the host, the bacteria passes through the acid barrier
 509 of the stomach, colonizing the epithelial cells via the toxin co-regulated pilus (TCP) (196). The

bacterium has adherence ability to M-cells, producing an enterotoxin secreted from the bacterial outer membrane into the extracellular environment. This results in transport disruption of ion which leads to loss of water and electrolytes (197).

V. cholerae O1 produces cholera toxin (CT) which consists of subunit A and subunit B. Subunit A possesses two components (A_1 and A_2) which are linked by two disulfide bond. Activation of A_1 component by adenylate cyclase leads to an increase in cyclic adenosine monophosphate, blocking the absorption of sodium and chloride by microvillus (198).

V. cholerae have several virulence properties involved in initiation of infection which includes the CT, *zot* (199), *cep* (200), *ace*, *orfU* (201), *tcpA* (202,203) and *toxR* (204).

Epidemiology

Infection caused by *V. cholerae* O1 can be spread via direct faecal oral route and ingestion of contaminated food. Water is the most important source of transmission of cholera (184,205). Cholera outbreak causes more than 100 thousand deaths worldwide with majority being children. It is difficult to control Cholera; some people may not show symptoms due to Cholera (206).

Infections due to *V. cholerae* are endemic in Southern Africa and outbreaks have been reported from countries including Zimbabwe. Cholera outbreaks cause alarm, disruption and a slowdown in development within affected places (207,208). A Zimbabwean outbreak caused 93592 cases with a fatality rate of 4.3%. The outbreak was caused by *V. cholerae* serogroup O1 of either Ogawa or Inaba (209). This outbreak was linked to a South African outbreak which caused approximately 12 705 cases, with 65 deaths in November 2008 to April 2009 (210). *V. cholerae*

serogroups which are associated with pandemic cholera outbreaks include serogroup O1 and more rarely, serogroup O139. Non-O1 serogroups associated with localised outbreaks may also occur. The El Tor biotype is responsible for the current pandemic (186,211).

In Haiti, during October 2010, a cholera outbreak started which was caused by a *V. cholerae* O1 biotype El Tor strain; the outbreak is still ongoing; 121518 cases and 2591 deaths were reported in December 2010 (212).

Clinical features

After ingestion of *V. cholerae* organisms, the incubation period commonly ranges from 12-72 hours before symptoms starts to show. The clinical signs associated with loss of fluid due to cholera include extensive thirst, hypotension, weakness, fatigue and rice watery stool (213,214).

There are complications associated within cholera that is observed amongst patients which may result from improper treatment or diagnosis such as acute renal failure, hypoglycaemia and premature delivery (1,185,215).

Diagnosis

V. cholerae survives well in faecal specimens if kept moist. However if there is a delay in specimens processing due to transportation, the specimen may be kept in a transport media such as Cary and Blair. The recovery is done through plating on thiosulphate citrate bile salts sucrose (TCBS) agar medium which inhibits growth of most gastrointestinal organisms and supports the growth of *V. cholerae* bacteria. From culture on selective media, the suspected colonies were microscopically examined for Gram stain morphological characteristic such as

comma shape gram negative bacilli. The stool specimen can be examined with a microscope for appearance of the organism (203,216,217).

There are molecular typing methods which include PFGE (218), amplified fragment length polymorphism (219), ribotyping (220), and multilocus sequence typing (221,222) which are used to characterise the molecular epidemiology of the organism. PCR can also be used as a quick and useful molecular method for detection of the bacteria (223,224).

1.3 Viral agents

Viral diarrhoea among children under five years of age is considered as a major cause of mortality and morbidity worldwide. Several groups of enteric viruses are responsible for acute viral diarrhoea amongst children during their first years of life. Three major categories of viruses are recognized by their clinical importance and they include reoviruses (Rotavirus), astrovirus and adenovirus (4,225).

Rotavirus is one of the important etiological agents in severe dehydrating diarrhoea within children. Serotypes such as G1P[8], G2P[4], G3P[8], G4P[8] AND G9P[8] are considered as important cause of diarrhoea among children under-5 years worldwide (226). Astrovirus have eight serotypes responsible for diarrhoea (227) and a limited number of adenovirus strains have been associated with childhood diarrhoea which includes type 40 and type 41 strains (228).

Viral gastroenteritis is transmitted via person-to-person by faecal oral route, faecally contaminated water and infected fomites or surfaces (229). Globally approximately 40% of hospitalizations and death amongst children under-5 years due to diarrhoea are attributed to rotavirus infection. It occurs in a sporadic seasonal form. It can also occur as a severe

gastroenteritis of infants and younger children of 2-3 years with a peak at the age of 6-24 months (230).

Control measures include disinfecting environmental surfaces with effective agents such as chlorhexidine gluconate, quaternary ammonium and 95% ethanol. There are vaccines such as RotaRix and RotaTeg vaccines, their trials were effective against severe Rotavirus gastroenteritis. Vaccines are now administered to children in countries as part of their immunization schedule (231). These vaccines were introduced in South Africa and Malawi during the year 2009 to look at the effectiveness of Rotavirus vaccine once it was introduced into the immunisation programme. As part of the surveillance, the bacterial aetiology of diarrhoeal disease was also explored in era of Rotavirus vaccine(232).

1.4 Parasitic agents

Gastrointestinal parasitic infections are globally endemic. They have been described continuously as the cause of illness and disease. They are linked to lack of sanitation, lack of access to safe drinking water and improper hygiene. They are also associated with poverty. People of all age group are affected by the prevalence of parasitic infections and children are the worst affected. Soil-transmitted helminths are the most common intestinal parasites and they include *Ascaris lumbricoids*, *Trichuris trichiura* and hookworms. They are not commonly known to cause diarrhoea (233).

In Sub-Saharan Africa it is estimated that approximately a quarter of the total population is infected with one or more helminths parasites but nematode worms are also among prevalent gastrointestinal parasites (234,235).

Common intestinal protozoan's which cause significant morbidity in children and immunocompromised patients include *Entamoeba histolytica*, *Giardia intestinalis* and *Cryptosporidium parvum*. *Giardia intestinalis* is the most prevalent protozoan worldwide with approximately 200 million people being affected (236-238).

Public health interventions such as provision of clean drinking water, community health education; observation of food hygiene and maintenance of functioning sanitation systems are essential to long term control in communities (239).

1.5 Aim and specific objectives

Aim

To characterise the bacterial aetiologies and molecular characterises the pathogens associated with hospitalization for diarrhoeal disease among South African children aged less than 5 years

Specific objectives

- To describe the prevalence of bacterial pathogens in children under-5 years hospitalized for diarrhoea.
- To describe the prevalence of co-infections between bacteria and other bacteria, rotavirus and parasitic infections.
- To describe geographical distributions of bacterial pathogens within areas such as rural and urban areas.
- To investigate the usefulness of bacterial colony blots and DNA probing, as a method for separating and identifying individual strains of co-infections of DEC.

Chapter 2: Methods and Materials

This project was a descriptive epidemiological surveillance study.

2.1 Study sites

The study was conducted from four surveillance sites which included Gauteng Province (Chris Hani Baragwanath Hospital); Mpumalanga Province (Mapulaneng Hospital and Matikwana Hospital) and North-West Province (Dr George Mukhari Hospital). Since different geographical sites tend to differ in the detection rates of diarrhoeal bacterial pathogens, the surveillance sites were divided according to their geographic variations which included urban area (Gauteng Province and North-West Province) and rural area (Mpumalanga Province) (240). The hospitals are situated as indicated on figure 2.1 below.



Figure 2.1: Rotavirus Surveillance sites (Dr GMH: Dr George Mukhari Hospital, CHBH: Chris Hani Baragwanath Hospital, Agincourt: Mapulaneng and Matikwana Hospitals) (241)

2.2 Participant enrolment and specimen collection

Stool specimens were collected by Surveillance Officers from children under-5 years admitted to the study site hospital (at least slept overnight in hospital), who presented with 3 or more episodes of loose stool over the period of 24 hours (240).

2.2.1 Inclusion criteria

All children under five years of age who slept overnight in a sentinel surveillance hospital or who presented to a sentinel clinic, who meet the case definition for diarrhoea and resided in the geographical area under surveillance were eligible for inclusion. Two separate severe gastroenteritis episodes were separated at least seven days between date of discharge and date of onset of the new episode. Residence in the surveillance area were defined as sleeping in the area for at least one week prior to onset of illness (240).

2.2.2 Exclusion criteria

All children who resided outside the defined geographical area and above the age of five years old were excluded. Children with onset of diarrhoea >14 days before admission were not enrolled. Children re-submitted within seven days of a previous episode of diarrhoea were not considered as a new case. Patients who refused consent were included in the surveillance programme (240).

2.2.3 Sampling

All children who meet the inclusion criteria were enrolled. At sentinel clinics systemic sampling (e.g. every 2nd case) was used if numbers of cases was too great for the surveillance officer to capture (240).

2.3 Laboratory procedures

2.3.1 Processing of stool swab specimens

The study was undertaken from April 2009 to June 2011. The swabs of stool were transported to EDRU in Cary and Blair transport media [Diagnostic Media Products (DMP), NHLS, Sandringham, Johannesburg, South Africa] (242). There was a hierarchy to testing of samples for different pathogens based on stool availability. It was Rotavirus, then bacteria and then parasites if the stool was still enough. The length of time it took for specimens to reach the reference laboratory from the time the specimen was collected from the sentinel site had a mean time of five days. The stool swabs were inoculated into 2ml of saline (DMP) and were vortex mixed. For isolation of diarrhoeagenic *E. coli* (DEC), *Shigella* species, *Salmonella* species, *V. cholerae*, the suspension was swabbed onto MacConkey agar (DMP), MacConkey agar with sorbitol (DMP), xylose-lysine deoxycholate (XLD) agar (DMP), deoxycholate citrate agar (DCA) (DMP), thiosulfate citrate bile-salt sucrose (TCBS) agar (DMP) and inoculated into SeleniteF medium (DMP). The media was incubated aerobically at 37°C for 18-24 hours (207,243).

For isolation of *Campylobacter* species two methods were used. Firstly the suspension was swabbed onto *Campylobacter* selective media (DMP), which was incubated in microaerophilic conditions at 42°C for 48-96 hours (207,244). The agar plates which had growth after 48 hours were further processed. However the agar plates which had no growth were further incubated for the maximum of 96 hours. Secondly the filtration method was used for isolation of *Campylobacter* species, using a cellulose nitrate filter membrane (0.65µm pore size) (Sartorius-Stedim Biotech GmbH, Germany, USA) laid onto tryptose agar with 5 % sheep blood (TBA) (DMP). Four to 6 drops of suspension were inoculated onto the filter membrane. The

membrane was dried at room temperature for 10 to 15 minutes. Then filter was removed from agar with forceps and agar was incubated in microaerophilic conditions at 42°C for 48-96 hours (180).

The detection of both *Cryptosporidium* and rotavirus was done by the NICD as part of the larger study. For identification of parasites such as *Cryptosporidium*; *Ascaris lumbricoids*; *Giardia intestinalis*; *Entamoeba histolytica* etc, stool specimens were analysed at the Parasitology Reference Unit, of the NICD with methods such as direct smear, Ziehl-Neelsen stain and formal ether sedimentation techniques. Identification of rotavirus was undertaken at the Viral Gastrointestinal Unit, of the NICD, with methods such as enzyme immunoassay and RT-PCR (240).

2.3.2 Detection and picking of suspected bacterial colonies

2.3.2.1 Escherichia coli

Suspected colonies of *E. coli* on MacConkey agar (DMP) were either dry pink with a surrounding pink area of precipitated bile salt; mucoid or colourless; on MacConkey agar with Sorbitol (DMP) colonies were colourless for suspected shiga-toxin producing *E. coli* (STEC) [which includes the enterohaemorrhagic *E. coli* (EHEC)] serotype O157; on XLD agar (DMP) colonies were colourless or yellow and on DCA (DMP) colonies were colourless or pink (207).

2.3.2.2 Salmonella species

Suspected colonies of *Salmonella* species on MacConkey agar (DMP) were colourless; on XLD agar (DMP) and DCA (DMP) colonies were colourless with a black spot (H₂S) in the middle (207).

689 2.3.2.3 *Shigella* species

690 Suspected colonies of *Shigella* species on MacConkey agar (DMP) were colourless; on XLD agar
691 (DMP) and DCA (DMP) colonies were colourless and small (207,243).

692 2.3.2.4 *Campylobacter* species

693 Suspected colonies of *Campylobacter* species on *Campylobacter* selective media and TBA (DMP)
694 were flat, grey, irregular and some colonies would spread along the streak line; raised and
695 round with mucoid appearance (244).

696 3.3.2.5 *Vibrio cholerae*

697 Suspected colonies of *V. cholerae* on MacConkey agar (DMP) were colourless; on XLD agar
698 (DMP) and DCA (DMP) colonies were colourless and on TCBS agar (DMP) colonies were shiny
699 yellow (243).

700 2.3.3 Biochemical identification

701 The Vitek- 2 Compact identification system (bioMérieux, Marcy l'Etoile, France) was used. All
702 suspected colonies of *E. coli*, *Shigella* species, *Salmonella* species, *V. cholerae* were further
703 analyzed biochemically using the Vitek- 2 Compact gram negative identification cards (GN)
704 (bioMérieux) (245,246). All suspected colonies of *Campylobacter* species were analyzed by the
705 Gram staining technique (247) and suspected curved rods were further analyzed biochemically
706 using the Vitek- 2 Compact *Neisseria-Haemophilus* identification cards (NH) (bioMérieux) (245).

707 The Vitek identification procedure was performed as described by the manufacturer's manual
708 and the method is as follows: the suspected colonies are inoculated onto Columbia agar (DMP)

and incubated at 37°C for 18-24 hours. Following incubation the culture was emulsified in a plastic tube which contained 3ml saline (DMP) to a turbidity of 0.5-0.63 McFarland. The detection card was inserted into the tube that contained the suspension. The cards were scanned and the cassette was placed in a Vitek 2 compact machine. From the Vitek 2 Compact system, the cards were filled with the suspension and then the cassette was transferred to an incubator which determines the biochemical reaction (245).

2.3.4 Serotyping

2.3.4.1 *Salmonella* species

O-Phase determination

When biochemical reactions confirmed an isolate as *Salmonella* species, the isolate was inoculated onto tryptose slope media (DMP) and incubated at 37°C for 18- 24 hours. Three drops of saline (DMP) was added to tryptose slope agar (DMP) which contained the culture and emulsified to obtain a milky suspension. A loop full of bacterial suspension was placed on a microscope slide and mixed with saline (DMP) by tilting the slide back and forth then agglutination was inspected. If agglutination was not observed, serotyping was continued with a loop full of bacterial suspension mixed with O-polyvalent antisera (Mast Assure, Mast Group Ltd., Merseyside, United Kingdom) on a microscopic slide by tilting the slide back and forth. As agglutination was observed with specific polyvalent antisera (Mast Assure) serotyping was continued. A loop full of bacterial suspension was added with a drop of specific O-monovalent antisera (Mast Assure) on a microscope slide and mixed by tilting the slide back and forth. If agglutination was observed in saline (DMP), the isolate was sub-cultured onto blood agar and

re-serotyped. If the isolate remained auto-agglutinating in saline (DMP), a heavy suspension was made in normal saline and was boiled (95°C) for 10 seconds. Then the isolate was re-serotyped (248).

H-Phase determination

Swarm agar (DMP) was autoclaved, poured into a sterile Petri-dish plate and was allowed to set at room temperature. The isolate was inoculated onto the centre of swarm agar (DMP) and was incubated at 37°C for 18- 24 hours in an upright position. Swarm agar promotes improved formation of the H antigen and the bacteria are able to swarm well over the medium. Small amount of growth was placed onto a microscope slide and mixed with a drop of H-polyvalent antisera (Mast Assure) with a sterile loop and agglutination was inspected. As agglutination was observed with specific polyvalent antisera (Mast Assure), serotyping was continued. A small amount of bacterial growth was mixed with a drop of specific monovalent antisera (Mast Assure) on a microscope slide and mixed by tilting the slide back and forth (248). The used positive control strains included *Salmonella* Typhi (NCTC8382) and *Salmonella* Isangi (WHO 578).

2.3.4.2 *Shigella* species

When biochemical reactions confirmed an isolate as *Shigella* species, the isolate was inoculated onto Columbia agar (DMP) and incubated at 37°C for 18-24 hours. A small amount of bacterial growth was placed on a microscope slide and mixed with saline (DMP) by tilting the slide back and forth and agglutination was inspected. If agglutination was not observed, the bacterial

growth was emulsified with a drop of *S. flexneri* polyvalent/ *S. boydii* polyvalent/ *S. sonnei* polyvalent/ *S. dysenteriae* polyvalent-O antisera (Mast Assure) and mixed by tilting the slide back and forth. If agglutination was observed with specific polyvalent antisera (Mast Assure), serotyping was continued with appropriate monovalent antisera (Mast Assure). If the isolate auto-agglutinated in saline, the isolate was sub-cultured onto Columbia agar and was incubated at 37°C for 18-24 hours and serotyping was continued. If the isolate remained auto-agglutinating in saline, a heavy suspension was made in saline (DMP) and was boiled for 10 seconds. Then the isolate was re-serotyped (243). The used positive control strains included *S. dysenteriae* type 1 (ATCC49546) and *S. sonnei* phase2 (ATCC9290).

2.3.4.3 Diarrhoeagenic *Escherichia coli*

An isolate considered as *E. coli* biochemically and confirmed as DEC by multiplex PCR, was incubated onto Columbia agar (DMP) at 37°C for 18- 24 hours. A single colony was inoculated into 15ml nutrient beef broth (DMP) and was incubated at 37°C for 18- 24 hours. The lid of the bottle was removed and replaced with a non-absorbent cotton wool and the broth was incubated in a boiling (95°C) water bath for 1 hour. The boiled culture was allowed to cool at room temperature and the cotton wool was discarded and the bottle top was replaced. The broth was placed in the fridge. The broth was removed from the fridge and placed on the bench top to reach room temperature while being inverted for 3 to 6 times every 30 minutes. Sixty microlitre of the boiled suspension was added to 60µl of saline (DMP) in glass tube and was inspected for auto-agglutination. Sixty microlitre of the boiled suspension was added to 60µl of O-polyvalent antisera (Mast Assure) in a glass tube. The tubes were gently shaken and were covered with aluminium foil and incubated at 52°C water bath for 18- 24 hours. The tubes were

removed and read against a black background. As agglutination was observed, serotyping was continued with appropriate monovalent antisera (Mast Assure) (57).

2.3.5 Preparation of crude genomic DNA from bacteria

Isolates were incubated onto Columbia agar (DMP) at 37°C for 18-24 hours. A sterilized wire loop was used to collect a half loop (1µl) full of bacterial culture. The culture was inoculated into 1.5ml tube which contained 400µl of autoclaved TE buffer (appendices 6.1). The tube was vortex mixed for 5 seconds and was incubated in a heating block at 95°C for 25 minutes. The tube was vortex mixed for 10 seconds and centrifuged at 3000-12000rpm for 3 minutes. As the pellet had settled, 50µl of the supernatant was transferred to a 1.5ml tube and was stored at 2-8°C fridge (249). The stored supernatant was further used as a template for PCR.

2.3.6 Identification of diarrhoeagenic *Escherichia coli* using PCR

PCR was comprised of three separate multiplex PCR reactions (Reactions A, B and C); these PCRs were used to detect for virulence genes associated with different pathotypes of DEC. PCR primers (appendices 6.2), interpretation of results (appendices 6.3, table 6.1) and PCR product sizes (appendices 6.3, table 6.2) are described in the appendices sections. The positive controls were used for confirmation of PCR results (appendices 6.2). The PCR reactions were prepared in 0.2ml tubes and the reaction mixture of 25µl contained 7 components as listed below. The negative control was also prepared in a 0.2ml tube with a mixture of 25µl containing 6 components with no DNA template.

- | | | |
|------|---|-------|
| (i) | Autoclaved de-ionized water | 15µl |
| (ii) | PCR Gold buffer with no MgCl ₂ (10X) | 2.5µl |

(iii)	MgCl ₂ (25mM)	1.5µl (1.35µl for reaction A)
(iv)	dNTPs mix (2.5 mM)	2µl
(v)	Primer mix (20 µM) (appendices 6.2)	3µl
(vi)	Amplitaq Gold DNA Polymerase (5 U/µl)	0.3µl
(vii)	DNA template (2.3.5)	1µl

792 Cycling conditions were as follows: one starting cycle at 95°C for 7 minutes, 35 cycles of
 793 denaturation at 94°C for 75 seconds, primer annealing at 60°C for 90 seconds and primer
 794 extension at 72°C for 90 seconds; one final cycle at 72°C for 5 minutes. Following PCR, reactions
 795 were stored at 4°C (55,249,250).

796 **2.3.7 Agarose gel electrophoresis for analysis of PCR products**

797 Twenty-five microlitre of PCR products was mixed with 3µl of loading dye (appendices 6.1).
 798 From the mixture, 6µl of the mixture was loaded to the wells of a prepared 1.5% agarose gel
 799 (appendices 6.3) and also 3µl of HyperLadder IV (Bioline, Boston, USA) was loaded as a DNA
 800 marker at separate wells of the gel. Electrophoresis was performed (appendices 6.3). The gel
 801 image was captured using the Molecular Image® Gel Doc™ XR System (Bio-Rad Laboratories Inc,
 802 Hercules, USA) (appendices 6.3) (249).

803 **2.3.8 Colony blotting and DNA probing**

804 The isolates were plated onto Columbia agar (DMP), and incubated at 37°C for 18-24 hours.
 805 Growth from the agar plate was swabbed with a sterile swab (DMP) and inoculated into saline
 806 (DMP). Turbidity of 0.90-1.30 (6X10⁶ to 8X10⁶ cfu/ml) was measured with a Vitek Densichek

(bioMérieux) and from the suspension; 1ml of suspension was added to 9ml of saline (DMP) for 10^{-6} target dilution. The serial 10-fold dilutions in saline were performed until a final dilution of 10^{-6} was obtained. From the 10^{-6} dilution, 300 μ l was dispensed onto Mueller Hinton agar (DMP) and a glass rod was used to spread the suspension over the agar plate. The glass rod was dipped into absolute ethanol (Merck) and flamed in a Bunsen burner for sterilization. The Mueller Hinton agar (DMP) was incubated at 37°C for 18- 24 hours. Mueller Hinton agar (DMP) plate that contained colonies was pre-cooled for 30 minutes and nylon membrane (82mm diameter) (Roche Diagnostics GmbH) was laid onto the surface of the pre-cooled plate for 2 minutes. The membrane was removed with forceps, laid onto a new plate of Columbia agar (DMP) and was incubated for four hours at 37°C incubator. The membrane was removed with forceps and was laid onto a plastic film which contained 1ml of denaturation solution (appendices 6.4.1) and was incubated for 15 minutes. The membrane was air dried on a new plastic film for 1 minute and it was transferred onto a plastic film which contained 1ml of neutralization solution (appendices 6.4.1) and was incubated for 15 minutes. The membrane was air dried on a new plastic film for 1 minute and it was transferred onto a plastic film which contained 1ml of 2X SSC buffer (appendices 6.4.1) and was incubated for 10 minutes. The membrane was then incubated at 80°C for 30 minutes. The membrane was incubated at 37°C for 1 hour with 0.5ml Proteinase K solution (appendices 6.4.1). The membrane was pre-hybridized using the Hybridiser HB-1D (Techne Ltd, Duxford Cambridge, UK) at 42°C with 15ml of DIG easy hybrid (Roche Diagnostics GmbH) for 1 hour. The prepared DIG-labelled DNA probe (appendices 6.4.2, table 6.4) (Roche Diagnostics GmbH) was denatured at 95°C for 5 minutes and was rapidly placed in ice. Then the membrane was hybridized with the denatured DIG- labelled DNA probe at 42°C for 2 hours. The

membrane was washed twice with low stringency buffer (appendices 6.4.1) at 25°C for 5 minutes and the membrane was washed again twice with high stringency buffer (appendices 6.4.1) at 68°C for 15 minutes. The membrane was washed briefly with washing buffer (Roche Diagnostics GmbH) for 3 minutes. The membrane was blocked with 40ml blocking solution (Roche Diagnostics GmbH) for 30 minutes. The membrane was hybridized with 15ml Antibody solution (Roche Diagnostics GmbH) at 25°C for 30 minutes. The membrane was washed twice with 15ml of washing buffer (Roche Diagnostics GmbH) for 15 minutes. The membrane was equilibrated with 15ml detection buffer (Roche Diagnostics GmbH) for 3 minutes. The membrane was covered with chromogenic AP substrate solution (appendices 6.4.1) and was incubated in the dark for 18 hours. The reaction was stopped with 50ml TE buffer (appendices 6.1) for 5 minutes and the membranes was visually inspected for colonies which showed colour signals. The positive controls were used against all tested samples for confirmation of signals on the blots (251).

2.3.9 Storage of enteric pathogens

The isolates were plated onto Columbia agar (DMP), and incubated at 37°C for 18- 24 hours. The bacterial culture was swabbed from Columbia agar into a 1.5 ml cryo-tube which contained 1ml tryptic soy broth with 10% glycerol (DMP). The cryo-tube was labelled with the reference number, isolate name and date of storage. The cryo-tube was stored in a freezer at -70°C (242).

2.3.10 Statistical analysis

Epi Info software version 6 (CDC, Atlanta, GA, USA) was used for all statistical analysis. Mantel-Haenzel chi-squared test was used for determining proportion of bacterial pathogens positivity.

850 The test was also used to compare the gender distribution amongst all the tested candidates.
851 For all statistical analysis, $p < 0.05$ was regarded as statistically significant.

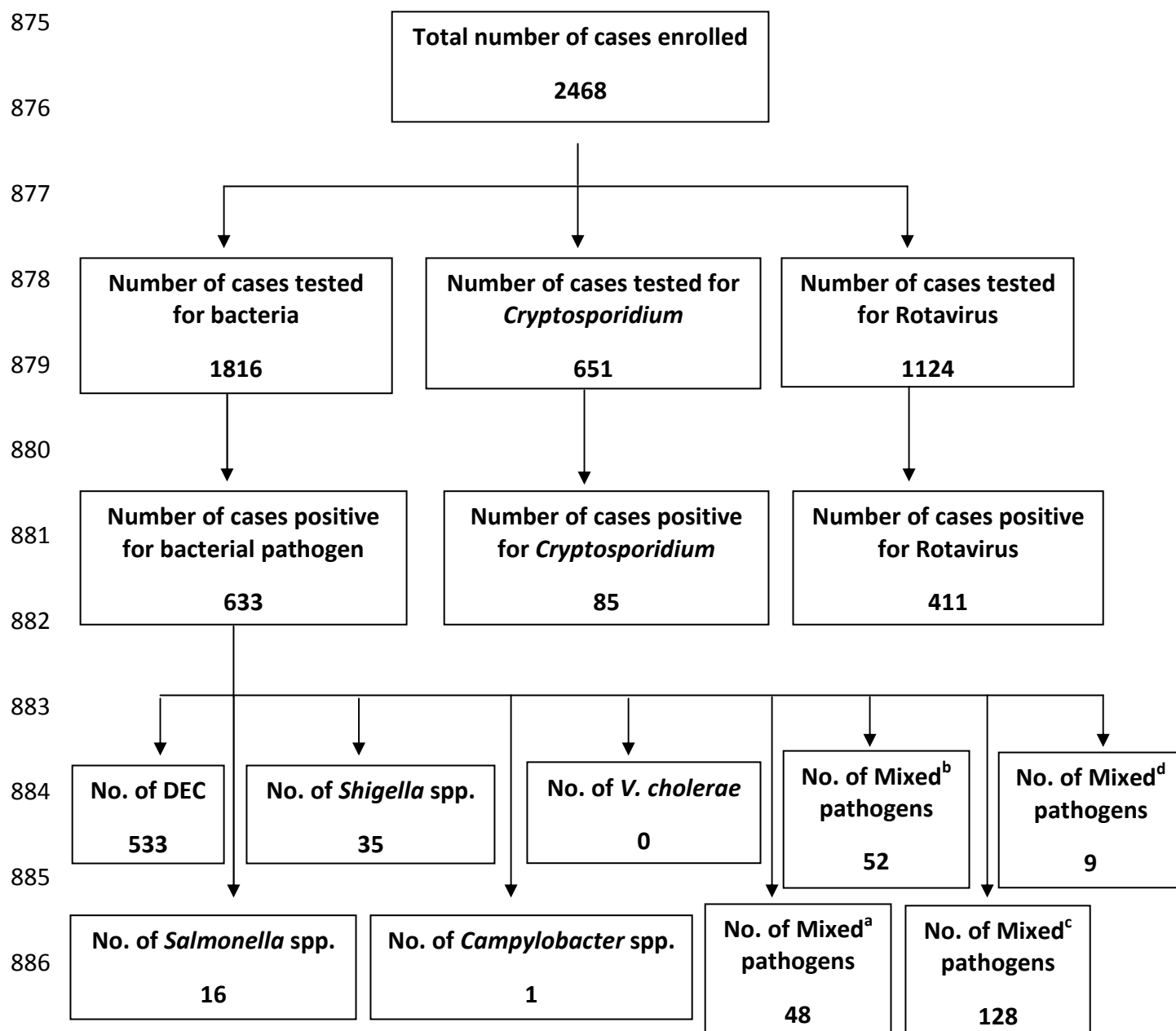
852

853 2.4 Limitations

- 854 - The stool specimens were not collected during weekends and public holidays.
- 855 - A stringent case definition was used to include children under five years of age with
856 symptoms of three or more loose stool in the past 24 hours who slept overnight at a
857 sentinel hospital. Children under five years of age who were not admitted were therefore
858 excluded and these children may have been more representative of bacterial causes of
859 diarrhoea.
- 860 - The use of unmodified Cary and Blair transport media caused a low recovery of
861 *Campylobacter* spp. among collected stool specimens of children.
- 862 - To maximise identification of bacteria, a sweep of stool was planted onto different
863 specialised media for culture of bacteria, which might cause suppression of other bacterial
864 pathogens.

Chapter 3: Results

The study was undertaken from April 2009 to June 2011. A total of 1816 specimens were processed for bacterial pathogens of which 633 (35%) cases were positive for a bacterial pathogen. Of the 633 cases of bacterial positives, 562 (89%) cases were positive for DEC, 49 (8%) cases were positive for *Shigella* species, 20 (3%) cases were positive for *Salmonella* species and 2 (0.3%) cases were positive for *Campylobacter* species. There were 48 (8%) cases of enteric bacterial infections identified with more than one bacterial pathogen. There were 52 cases of bacterial agents concurrent with *Cryptosporidium* co-infection, 128 cases with rotavirus co-infection and 9 cases episodes which included *Cryptosporidium* and rotavirus co-infections (Figure 3.1).



No.- number, spp.- species, Mixed^a- mixed bacterial pathogens, Mixed^b- mixed bacterial and *Cryptosporidium*, Mixed^c- mixed bacterial and Rotavirus, Mixed^d- mixed bacterial pathogen with *Cryptosporidium* and Rotavirus

Figure 3.1: Number of Diarrhoeal cases (April 2009 to June 2011), including number of cases tested and number of positives for bacterial aetiological agents.

891 The highest number of monthly specimens received occurred over the period of February 2010
892 to July 2010, ranging from 90 specimens to 170 specimens. The bacterial positivity rate was low
893 ranging from 20% to 45% compared to other months with bacterial positivity rate ranging from
894 55% to 65% (Figure 3.2). From the collected data, when investigated by month, there was a
895 bacterial positivity rate peak during summer season from November 2009 to January 2010
896 (Figure 3.2).

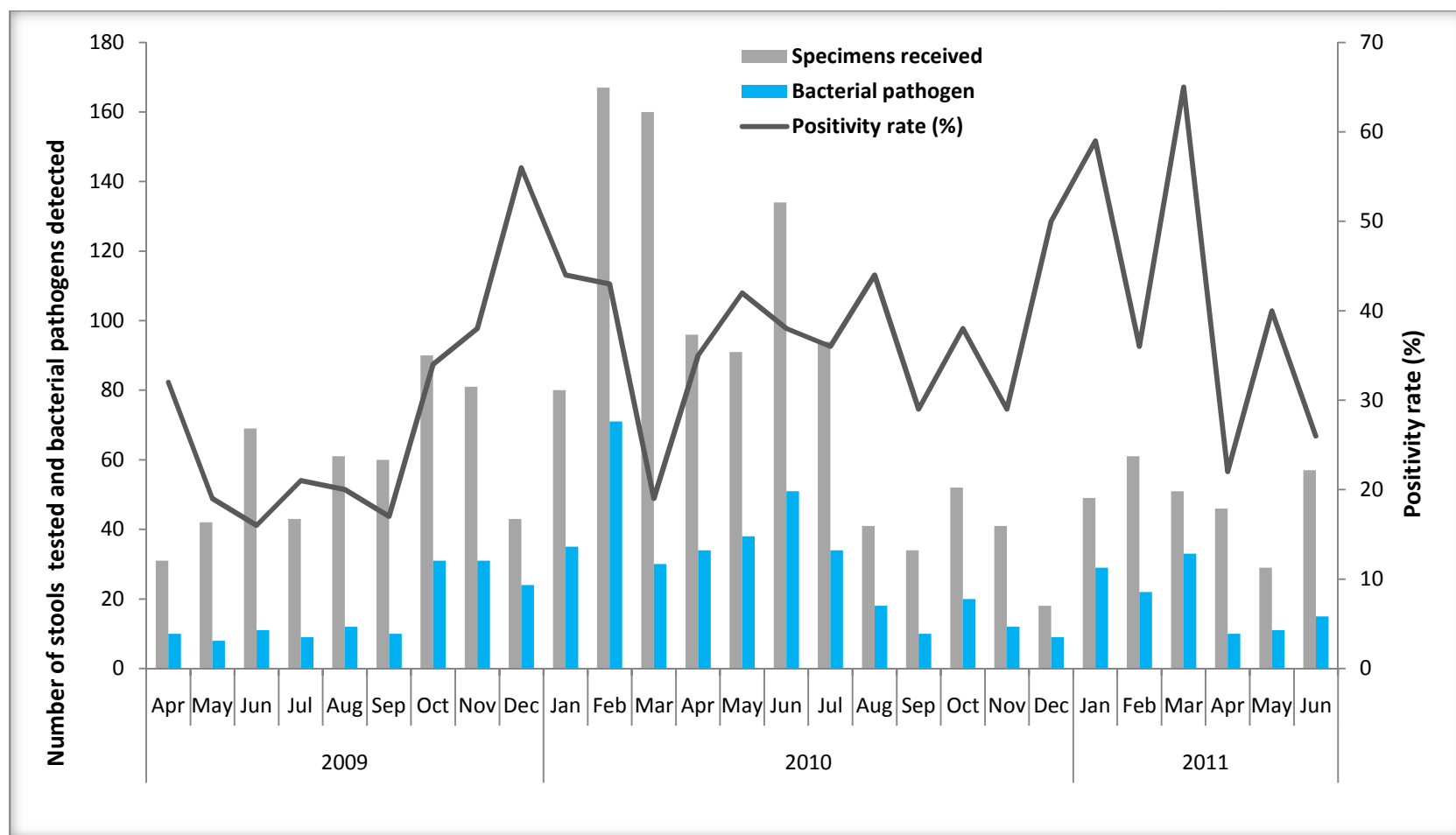


Figure 3.2: Number of diarrhoeal cases, number of enteric bacterial pathogens identified from cases and the bacterial positivity rate identified from all study sites during April 2009 to June 2011

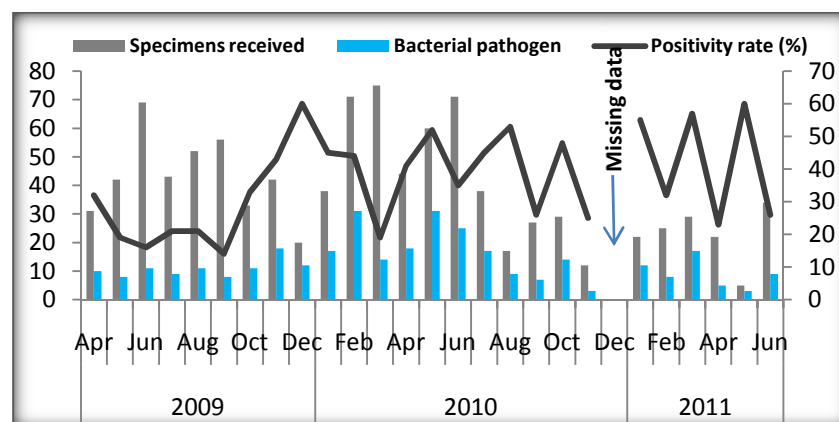
CHBH was the first surveillance hospital to start with the study and it started during April 2009. From CHBH, occurrence of diarrhoeal cases was more or less stable with the number of specimens received ranging from 5-75 cases over a month (Figure 3.3).

Matikwana Hospital commenced in August 2009 (Figure 3.3). As the hospital began the project, the number of received specimens from the hospital was low. Then, from the beginning of January 2010, the total number of received specimens increased ranging from 5-45 cases over a month, with a bacterial positivity rate high during summer season (November 2009 to January 2010). For the period of December 2010 to June 2011, the bacterial positivity rate was high with low number of specimens received (Figure 3.3).

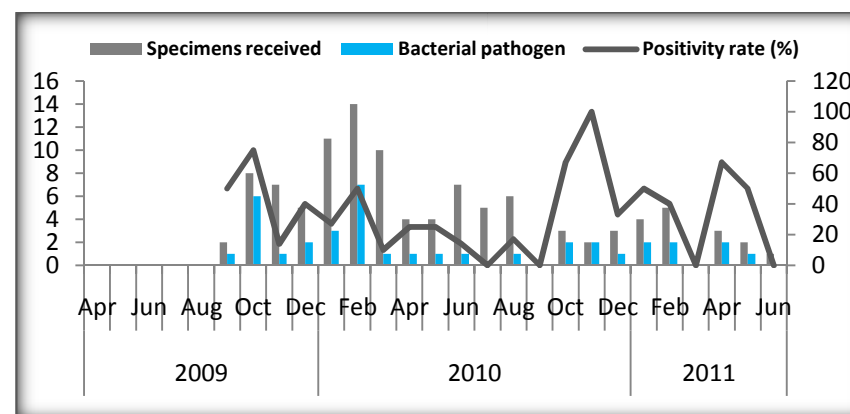
Mapulaneng Hospital only commenced with the project a month later than Matikwana Hospital from September 2009. The number of specimens received from Mapulaneng was low ranging from 2 cases to 16 cases over a month. There was no bacterial positivity rate peak seen during summer season.

DGMH commenced with the project during October 2009. The number of specimens received ranged from 0-55 over a month. When DGMH started with the study there, were high number of specimens received. During the summer season (November 2010 to January 2011) there was a drop in the number of specimens (Figure 3.3). From February to July of 2010, there was an increase in the number of specimens received. However the bacterial positivity rate was low, ranging from 5% to 40% compared to other months with bacterial positivity rates ranging from 60%-70% (Figure 3.3).

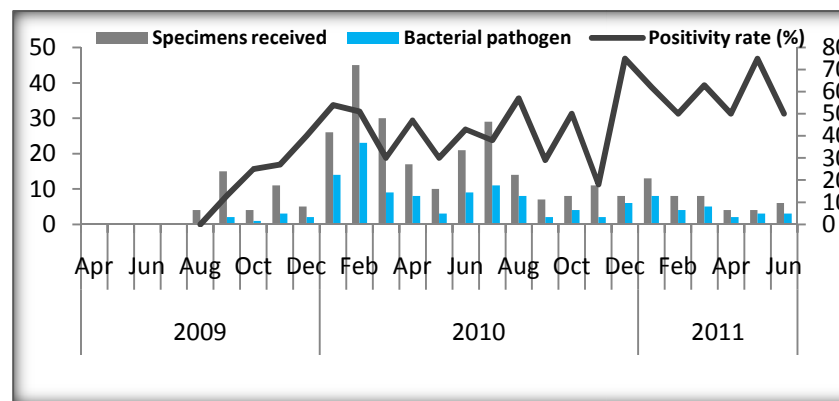
923 Chris Hani Baragwanath Hospital



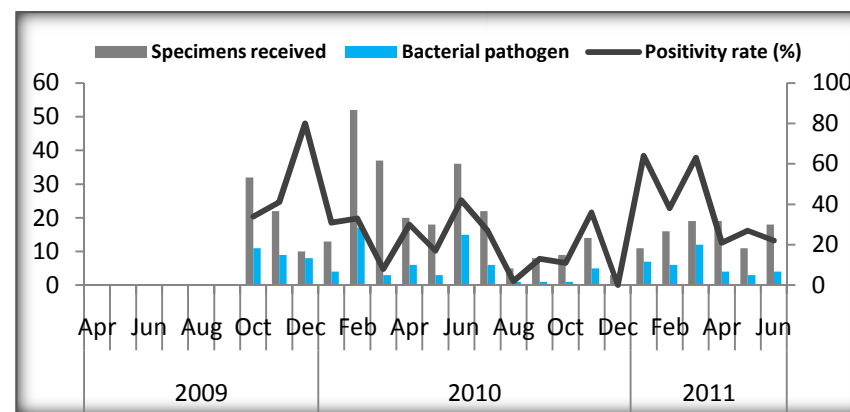
928 Mapulaneng Hospital



925 Matikwana Hospital



930 Dr George Mukhari Hospital



931 **Figure 3.3:** Number of diarrhoeal cases, number of enteric bacterial pathogens identified from cases and the bacterial positivity rate
 932 separated by study site during April 2009 to June 2011

The highest number of specimens was received from CHBH with an overall total number of 1007, followed by DGMH with a total number of 395 cases, then Matikwana Hospital with a total of 308 cases and Mapulaneng Hospital with a total of 106 cases (Table 3.1). Occurrence of diarrhoeal infections from urban areas (CHBH and DGMH) was higher than the occurrence of diarrhoeal infections from rural areas (Mapulaneng and Matikwana hospitals) with a p-value of 0.004 which was considered statistically significant (Table 3.1). High bacterial positivity rate of bacterial pathogens was seen from Matikwana Hospital with 43%. Other hospitals bacterial positivity rate ranged from 32% to 35% (Table 3.1). DEC was the most prevalent pathogen isolated from all the surveillance sites (Table 3.1). There were few cases of *Salmonella* species (16 cases) and *Shigella* species (35 cases) compared to the cases of DEC. There were no cases due to *Salmonella* from Matikwana Hospital (Table 3.1). A single isolate of *Campylobacter* was recovered from CHBH (Table 3.1). With cases of co-infections of bacterial pathogens (48 cases), co-infections of bacterial pathogens with *Cryptosporidium* (52 cases) and co-infections of bacterial pathogen with rotavirus (128 cases), all the hospitals had occurrence of co-infections, even though the highest numbers were seen from CHBH (Table 3.1). For co-infections of bacterial pathogens with both *Cryptosporidium* and Rotavirus, only 9 cases were observed from CHBH and Matikwana Hospital (Table 3.1). The incidence rate estimates of diarrhoeal disease per sentinel site ranged from 0.1% to 0.03% (table 3.1). The incidence rates were calculated using the mid-year population estimates for 2010 from statistics South Africa document number P0302. All calculated incidence were expressed as new cases per size of provincial population at risk multiplied by 100.

Table 3.1: Frequency of bacterial pathogens and mixed bacterial pathogens with *Cryptosporidium* and Rotavirus isolated from children with diarrhoea during April 2009 to June 2011 per surveillance hospitals

Surveillance hospitals	Received specimens	Positives (n/N)	DEC (n/N)	<i>Salmonella</i> spp. (n/N)	<i>Shigella</i> spp. (n/N)	<i>Campylobacter</i> spp. (n/N)	Mixed ^a (n/N)	Mixed ^b (n/N)	Mixed ^c (n/N)	Mixed ^d (n/N)	Incidence rate (%)
CHBH	1007	338 (34%)	275 (81%)	13 (4%)	25 (7%)	1 (0.3%)	24 (7%)	25 (7%)	91 (27%)	7 (2%)	0.1
Mapulaneng	106	37 (35%)	32 (86%)	1 (3%)	1 (3%)	0	3 (8%)	2 (5%)	1 (3%)	0	0.03
Matikwana	308	132 (43%)	120 (91%)	0	4 (3%)	0	8 (6%)	11 (8%)	18 (14%)	2 (2%)	0.1
DGMH	395	126 (32%)	106 (84%)	2 (2%)	5 (4%)	0	13 (10%)	14 (11%)	18 (14%)	0	0.1
Total	1816	633 (35%)	533 (84%)	16 (3%)	35 (6%)	1 (0.2%)	48 (6%)	52 (8%)	128 (20%)	9 (1%)	

DEC- diarrhoeagenic *Escherichia coli*, spp.- species, Mixed^a- mixed bacterial pathogens, Mixed^b- mixed bacterial and *Cryptosporidium*, Mixed^c- mixed bacterial and Rotavirus, Mixed^d- mixed bacterial pathogen with *Cryptosporidium* and Rotavirus, N- received specimens, n- positives, CHBH- Chris Hani Baragwanath Hospital, DGMH- Dr George Mukhari Hospital, Incidence rate= number of new cases over a particular period X100/ size of population at risk.

For all age groups, there were always more male children compared to female children enrolled (Figure 3.4). The highest bacterial positivity rate was observed among ages ranging from 13-18 months with a total bacterial positivity rate of 67%. However the probability was not statistically significant ($p: 0.2$) with ages ranging from 0-6 months being considered as a base line (Table 3.2). For cases of co-infection of bacterial pathogens, more cases were seen from age group of 43-48 months ($p: 0.09$). For cases of co-infections of bacterial pathogens with both *Cryptosporidium* and Rotavirus, these were only identified in the age groups of 7-18 months and 25-30 months (Table 3.2). The age ranging from 49-54 months had a high rate of bacterial positivity (Table 3.2).

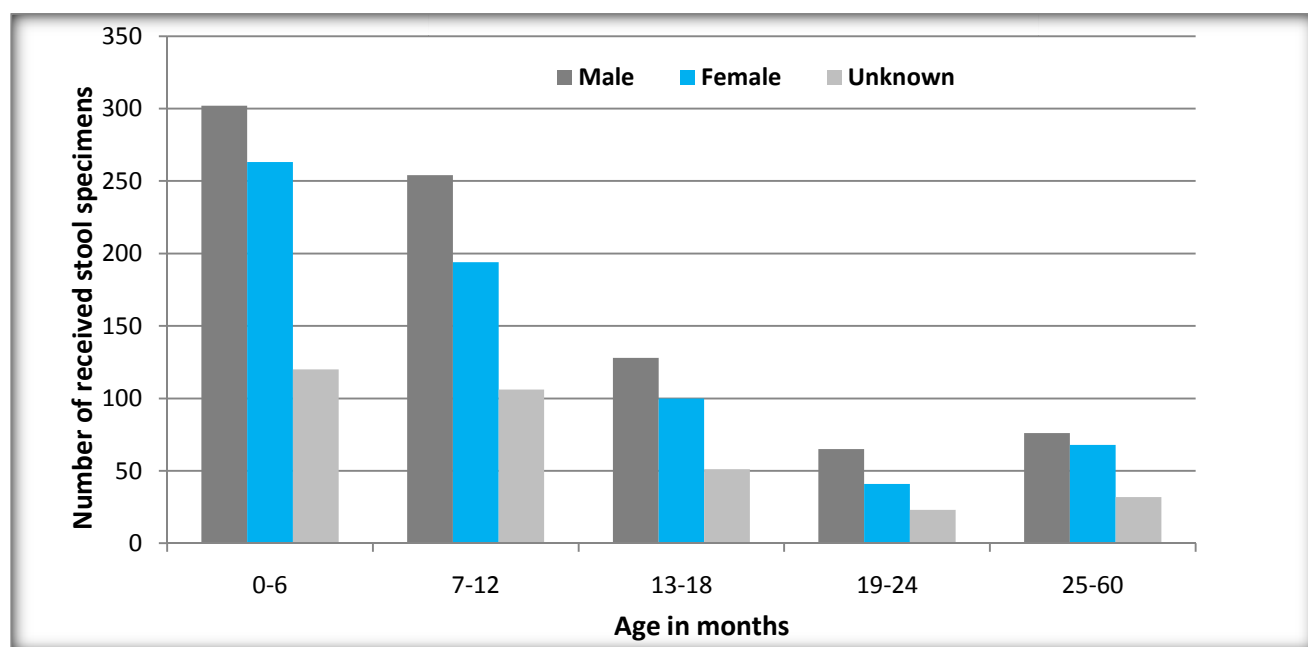


Figure 3.4: Gender distribution of the children who were enrolled at the surveillance hospitals (Median age: 9 months; Mean age: 11 months)

Table 3.2: Frequency and age distribution of bacterial pathogens and mixed bacterial pathogens with *Cryptosporidium* and Rotavirus isolated from children with diarrhoea during April 2009 to June 2011 per age in month (Median age: 9; Mean age: 11)

Age in months	Received specimens	Positives (n/N)	DEC (n/N)	<i>Salmonella</i> spp. (n/N)	<i>Shigella</i> spp. (n/N)	<i>Campylobacter</i> spp. (n/N)	Mixed ^a (n/N)	Mixed ^b (n/N)	Mixed ^c (n/N)	Mixed ^d (n/N)	P-values (95%CI; OR)	DEC P-values (95%CI; OR)	Mixed ^a P-values (95%CI; OR)
0-6	684	222 (32%)	198 (89%)	5 (2%)	5 (2%)	1 (0.5%)	13 (6%)	10 (5%)	43 (19%)	0	1	1	1
7-12	554	188 (34%)	160 (85%)	7 (4%)	8 (4%)	0	13 (7%)	15 (8%)	41 (22%)	3 (2%)	0.6 (1.4; 1.1)	0.2 (1.2; 0.7)	0.7 (2.6; 1.2)
13-18	279	102 (67%)	85 (83%)	1 (1%)	10 (10%)	0	6 (6%)	14 (14%)	24 (24%)	5 (5%)	0.2 (1.6; 1.2)	0.2 (1.2; 0.6)	1 (2.7; 1.0)
19-24	129	50 (39%)	41 (82%)	1 (2%)	2 (4%)	0	6 (12%)	3 (6%)	12 (24%)	0	0.2 (1.9; 1.3)	0.2 (1.3; 0.6)	0.1 (6.1; 2.2)
25-30	75	32 (43%)	23 (72%)	0	5 (16%)	0	4 (13%)	4 (13%)	6 (19%)	1 (3%)	0.07 (2.5; 1.5)	0.02 (0.7; 0.3)	0.2 (7.5; 2.3)
31-36	31	14 (45%)	9 (64%)	0	2 (14%)	0	3 (21%)	1 (7%)	1 (7%)	0	0.1 (3.5; 1.7)	0.02 (0.7; 0.2)	0.06 (17.7; 4.4)
37-42	22	7 (32%)	5 (71%)	0	2 (29%)	0	0	1 (14%)	1 (14%)	0	0.9 (2.4; 0.9)	0.2 (1.6; 0.3)	
43-48	18	8 (44%)	5 (63%)	1 (13%)	0	0	2 (25%)	2 (25%)	2 (25%)	0	0.3 (4.3; 1.7)	0.05 (0.9; 0.2)	0.09 (29.2; 5.4)
49-54	9	5 (56%)	4 (80%)	0	1 (20%)	0	0	1 (20%)	1 (20%)	0	0.1 (6.3; 1.7)	0.4 (4.5; 0.4)	
55-60	15	5 (33%)	3 (60%)	1 (20%)	0	0	1 (20%)	1 (20%)	0	0	0.9 (3.1; 1.0)	0.1 (1.4; 0.2)	0.3 (38.6; 4.0)
Total	1816	633 (35%)	533 (84%)	16 (3%)	35 (6%)	1 (0.2)	48 (6%)	52 (8%)	128 (20%)	9 (1%)	0.3 (1.3; 1.1)	0.1 (1.0; 0.6)	0.6 (2.4; 1.3)

DEC- diarrhoeagenic *Escherichia coli*, spp.- species, Mixed^a- mixed bacterial pathogens, Mixed^b- mixed bacterial pathogens and *Cryptosporidium*, Mixed^c- mixed bacterial pathogens and Rotavirus, Mixed^d- mixed bacterial pathogen with *Cryptosporidium* and Rotavirus, N- received specimens, n- positives; P-values – base line was considered as age 0-6 months, Positives column- only number of bacterial positives and Mixed^a without Mixed^b, Mixed^c and Mixed^d, OR- odds ratio.

Isolated Diarrhoeagenic *Escherichia coli*

Of all bacteria isolated from specimens, more than half were *Escherichia coli* (1083) and 550 was normal flora of the gut. The occurrence of DEC was found to be the highest among all recovered bacterial pathogens and the difference in pathogen distribution was statistically significant ($p: 0.01$), even though there were no cases of STEC/ EHEC recovered from all the cases, which is the most virulent pathotype because of its capability to cause outbreaks (Table 3.3). From all hospitals, the most common pathotypes were EPEC (31%), DAEC (34%) and EAggEC (24%). Results indicate EPEC as a leading cause of diarrhoea among children from the other sites. However from Matikwana there was a shift in the common pathotype with DAEC as the most common pathotype recovered ($p: 0.02$) (Table 3.3).

Table 3.3: Frequency of diarrhoeagenic *E. coli* pathotypes detected from children with diarrhoea per surveillance hospitals

Surveillance hospitals	DAEC (n/N)	EAggEC (n/N)	EIEC (n/N)	EPEC (n/N)	ETEC (n/N)	STEC&EHEC (n/N)	Total
CHBH	89 (32%)	66 (24%)	10 (4%)	92 (33%)	18 (7%)	0	275
Mapulaneng	12 (38%)	7 (27%)	0	11 (34%)	2 (6%)	0	32
Matikwana	45 (38%)	32 (22%)	1 (0.8%)	25 (21%)	17 (14%)	0	120
DGMH	34 (32%)	23 (22%)	1 (0.9%)	38 (36%)	10 (9%)	0	106
Total	180 (34%)	128 (24%)	12 (2%)	166 (31%)	47 (9%)	0	533

CHBH- Chris Hani Baragwanath Hospital, DGMH- Dr George Mukhari Hospital, DAEC- diffusely adherent *E. coli*, EAggEC- enteroaggregative *E. coli*, EIEC- enteroinvasive *E. coli*, EPEC-enteropathogenic *E. coli*, ETEC-enterotoxigenic *E. coli* and STEC-shiga-toxin producing *E. coli* & EHEC- enterohaemorrhagic *E. coli*

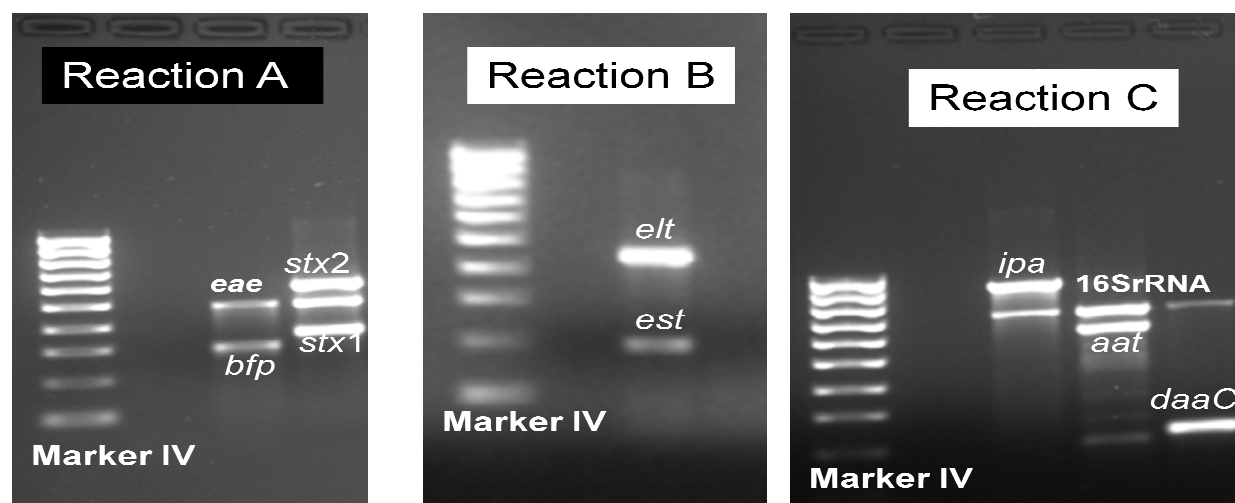


Figure 3.5: Representative picture of an agarose gel with all the detected genes for DEC.

As the occurrence of DEC was investigated by age group, most numbers were seen among ages ranging from 0-18 months of age (Table 3.4). For children 0-6 months of age, the EPEC pathotype was the most common. For age ranging from 7-18 months, the DAEC pathotype were the most common (Table 3.4). DAEC was the most common pathotype recovered with a total of 180 cases, with EPEC being the second most recovered pathotype with 166 cases (Table 3.4). The representative pictures of the PCR products on a gel are included to show how the genes are presented (Figure 3.5). The interpretation of the genes are summarised under appendices in Table 6.1.

Table 3.4: Frequency and age distribution of diarrhoeagenic *E. coli* pathotypes detected
(Median age: 9 months; Mean age: 11 months)

Age in months	DAEC (n/N)	EAggEC (n/N)	EIEC (n/N)	EPEC (n/N)	ETEC (n/N)	STEC&EHEC (n/N)	Total
0-6	51 (26%)	51 (26%)	2 (1%)	85 (43%)	9 (5%)	0	198
7-12	57 (36%)	41 (26%)	4 (3%)	43 (27%)	15 (9%)	0	160
13-18	37 (44%)	13 (15%)	1 (1%)	20 (24%)	14 (16%)	0	85
19-24	15 (37%)	12 (29%)	2 (5%)	8 (29%)	4 (10%)	0	41
25-30	7 (30%)	6 (26%)	2 (9%)	6 (26%)	2 (9%)	0	23
31-36	4 (44%)	4 (44%)	0	1 (11%)	0	0	9
37-42	4 (80%)	0	0	1 (20%)	0	0	5
43-48	2 (40%)	0	1 (20%)	1 (20%)	1 (20%)	0	5
49-54	1 (25%)	0	0	1 (25%)	2 (50%)	0	4
55-60	2 (67%)	0	0	0	0	0	3
Total	180 (34%)	128 (24%)	12 (2%)	166 (31%)	47 (9%)	0	533

DAEC- diffusely adherent *E. coli*, EAggEC- enteroaggregative *E. coli*, EIEC- enteroinvasive *E. coli*, EPEC- enteropathogenic *E. coli*, ETEC-enterotoxigenic *E. coli* and STEC-shiga-toxin producing *E. coli* & EHEC- enterohaemorrhagic *E. coli*

There are different O serogroups which can be related to different pathotypes of DEC. The O serogroups of DEC which were mostly isolated include serogroup O15 (8%), O86 (8%), O119 (7%), O153 (7%) and the most common O serogroup was O127 (11%) (Table 3.5).

1014 **Table 3.5:** Common serogroups of diarrhoeagenic *E. coli*, i.e. only serogroups which were found
 1015 represented by >3 isolates are listed below

Serogroups	Number of isolates (n/N)	Serogroups	Number of isolates (n/N)
O5	4 (1%)	O109	4 (1%)
O6	11 (3%)	O111	8 (2%)
O8	10 (3%)	O117	17 (4%)
O11	8 (2%)	O118	4 (1%)
O15	31 (8%)	O119	26 (7%)
O16	14 (4%)	O125abc	4 (1%)
O17	4 (1%)	O127	44 (11%)
O20	8 (2%)	O128abc	15 (4%)
O21	21 (5%)	O137	8 (2%)
O25	16 (4%)	O141ac	5 (1%)
O27	4 (1%)	O142	5 (1%)
O44	9 (2%)	O153	26 (7%)
O46	9 (2%)	O167	7 (2%)
O55	18 (5%)	O175	6 (2%)
O85	9 (5%)	O176	12 (3%)
O86	30 (8%)		

1016 n- number of serogroup; N- total number of serogroups included.

1017 **Table 3.5.1:** Top ten serogroups of different pathotypes of diarrhoeagenic *E. coli*.

Serogroups	<u>Pathotypes</u>				
	DAEC	EAggEC	EIEC	EPEC	ETEC
	O15 (7%)	O11 (5%)	O5 (8%)	O6 (2%)	O6 (6%)
	O21 (8%)	O15 (9%)	O7 (8%)	O8 (2%)	O8 (6%)
	O25 (3%)	O21 (2%)	O25 (8%)	O15 (2%)	O128abc (23%)
	O55 (0.6%)	O25 (3%)	O28ac (8%)	O25 (2%)	-
	O86 (14%)	O46 (5%)	O38 (8%)	O55 (8%)	-
	O117 (7%)	O85 5%7)	O119 (8%)	O86 (2%)	-
	O119 (3%)	O119 (4%)	O127 (8%)	O111 (4%)	-
	O127 (8%)	O128abc (3%)	O141ac (8%)	O119 (9%)	-
	O153 (6%)	O153 (9%)	O143 (8%)	O127 (22%)	-
	O176 (3%)	O176 (3%)	O153 (8%)	O153 (2%)	-

1018

1019 DAEC- diffusely adherent *E. coli*, EAggEC- enteroaggregative *E. coli*, EIEC- enteroinvasive *E. coli*, EPEC-
 1020 enteropathogenic *E. coli*, ETEC-enterotoxigenic *E. coli*; n- number of serogroup per pathotype; N- total number of
 1021 DEC per pathotype.

1022

1023 **Isolated *Salmonella* species**

1024 There were 16 (3%) cases of *Salmonella* species detected from the study and most cases were
 1025 seen from CHBH, with a total of 13 cases (Table 3.6). The most common serotype was
 1026 *Salmonella* Typhimurium with a total of 7 cases (Table 3.6). Other *Salmonella* serotypes which
 1027 were recovered included *Salmonella* Isangi (2 cases); *Salmonella* Enteritidis (2 cases);
 1028 *Salmonella* Newport (1 case) and *Salmonella* Kentucky (1 case). Two *Salmonella* could not be
 1029 serotyped and one of the two could not be serotyped due to auto-agglutination (Table 3.6).

1030 **Table 3.6:** Occurrence of *Salmonella* serotypes per surveillance hospitals

<i>Salmonella</i> serotypes	CHBH	Mapulaneng Hospital	Matikwana Hospital	DGMH	Total
Typhimurium	6	1	0	0	7
Isangi	2	0	0	0	2
Enteritidis	0	0	0	2	2
Typhi	1	0	0	0	1
Newport	1	0	0	0	1
Kentucky	1	0	0	0	1
Auto-agglutination	1	0	0	0	1
Species	1	0	0	0	1
Total	13	1	0	2	16

1031 CHBH- Chris Hani Baragwanath Hospital, DGMH- Dr George Mukhari Hospital

1032

1033 **Isolated *Shigella* species**

1034 There were 35 (6%) cases of *Shigella* species identified from the study and most cases were
 1035 seen from CHBH (Table 3.7). The most common serotype was *S. flexneri* type 2a from CHBH
 1036 (Table 3.7), however, *S. sonnei* phase I with a total of 7 cases was the second most common
 1037 serotype isolated. Other serotypes which were recovered include *S. boydii* type 2 (2 cases); *S.*
 1038 *flexneri* type 1b (2 cases); *S. flexneri* type 3a (1 cases); *S. flexneri* type 6 (3 cases) and *S. sonnei*
 1039 phase II (1 cases). There was one *Shigella* which was untypeable (Table 3.7).

1040 **Table 3.7:** Occurrence of *Shigella* serotypes per surveillance hospitals

<i>Shigella</i> serotypes	CHBH	Mapulaneng Hospital	Matikwana Hospital	DGMH	Total
<i>Boydii</i> type 2	2	0	0	0	2
<i>Flexneri</i> type 1b	2	0	0	0	2
<i>Flexneri</i> type 2a	9	1	3	5	18
<i>Flexneri</i> type 3a	1	0	0	0	1
<i>Flexneri</i> type 6	3	0	0	0	3
<i>Sonnei</i> phase I	6	0	1	0	7
<i>Sonnei</i> phase II	1	0	0	0	1
Untypeable	1	0	0	0	1
Total	25	1	4	5	35

1041 CHBH- Chris Hani Baragwanath Hospital, DGMH- Dr George Mukhari Hospital

1042

1043 **Isolated co-infections**

1044 Cases which had co-infections included mixed bacterial infections of different pathotypes of
 1045 DEC [58% (28/48)], co-infections of DEC with *Salmonella* species [10% (5/48)], co-infections of
 1046 DEC with *Shigella* species (29%) and a single case of co-infection of DEC with *Campylobacter*
 1047 species [2% (1/48)] (Table 3.8). As co-infections of bacterial pathogens are investigated by age
 1048 group, the age groups which were seen with most cases of mixed bacterial pathogens were
 1049 children from 0-12 months (Table 3.8). DEC was mostly seen mixed with *Shigella* species, with a

total of 14 cases (Table 3.8). There was only one case of DEC mixed with *Campylobacter* species seen (Table 3.8). Most commonly isolated co-infections of bacterial pathogen were EPEC mixed with other DEC pathotypes which include DAEC, EAggEC, EIEC and ETEC with a total of 19 cases (Table 3.9).

Table 3.8: Age distribution of co-infections caused by diarrhoeagenic *E. coli* pathotypes with other bacterial pathogens (Median age: 9 months; Mean age: 11 months)

Age in months	DEC&DEC	DEC& <i>Salmonella</i> spp.	DEC& <i>Shigella</i> spp.	DEC& <i>Campylobacter</i> spp.	Total
0-6	9	2	2	0	13
7-12	9	1	3	0	13
13-18	4	1	1	0	6
19-24	1	1	3	1	6
25-30	2	0	2	0	4
31-36	1	0	2	0	3
37-42	0	0	0	0	0
43-48	2	0	0	0	2
49-54	0	0	0	0	0
55-60	0	0	1	0	1
Total	28	5	14	1	48

DEC- diarrhoeagenic *Escherichia coli*, spp. - species

1057 **Table 3.9:** Number of cases of mixed bacterial infection

	DAEC	EAggEC	EIEC	EPEC	ETEC	EPEC& EIEC	Total
DAEC	-	8	0	0	1	0	9
EAggEC	0	-	0	0	1	0	1
EPEC	8	7	2	-	1	0	19
<i>Salmonella</i> Eastbourne	0	1	0	0	0	0	1
<i>Salmonella</i> Heidelberg	0	0	0	1	0	0	1
<i>Salmonella</i> Typhimurium	1	0	0	1	0	0	1
<i>Salmonella</i> Virchow	0	0	0	1	0	0	1
<i>Shigella boydii</i> type 2	0	2	0	0	0	0	2
<i>Shigella flexneri</i> type 2a	2	2	1	1	0	1	7
<i>Shigella flexneri</i> type 6	0	2	0	2	0	0	4
<i>Shigella sonnei</i> phase II	0	1	0	0	0	0	1
<i>Campylobacter jejuni</i>	0	1	0	0	0	0	1
Total	11	24	3	6	3	1	48

1058 DAEC- diffusely adherent *E. coli*, EAggEC- enteroaggregative *E. coli*, EIEC- enteroinvasive *E. coli*, EPEC-
 1059 enteropathogenic *E. coli*, ETEC-enterotoxigenic *E. coli*

1060

1061 There were co-infection cases which involved bacterial pathogen with *Cryptosporidium* [8%
 1062 (52/633)], bacterial pathogen with Rotavirus [20% (128/633)] and bacterial pathogen with both
 1063 *Cryptosporidium* and Rotavirus [1% (9/633)] (Table 3.10).

1064 **Table 3.10:** Frequency of bacterial pathogens mixed with *Cryptosporidium* and Rotavirus

	<i>Cryptosporidium</i>	Rotavirus	<i>Cryptosporidium</i> & Rotavirus co-infection
DAEC	20	50	3
EAggEC	8	30	0
EIEC	2	4	2
EPEC	17	34	4
ETEC	2	2	0
<i>Salmonella</i> Typhimurium	1	0	0
<i>Salmonella</i> Isangi	0	1	0
<i>Salmonella</i> Kentucky	0	1	0
<i>Shigella flexneri</i> type 2a	1	1	0
<i>Salmonella</i> species	0	1	0
<i>Shigella flexneri</i> type 6	1	0	0
<i>Shigella sonnei</i> phase I	0	3	0
<i>Shigella sonnei</i> phase II	0	1	0
Total	52	128	9

1065 DAEC- diffusely adherent *E. coli*, EAggEC- enteroaggregative *E. coli*, EIEC- enteroinvasive *E. coli*, EPEC-
 1066 enteropathogenic *E. coli*, ETEC-enterotoxigenic *E. coli*

Experimental colony blotting and DNA probing

We introduced colony blotting and DNA probing as an experimental method to assist in differentiating mixed DEC pathotypes. Currently at the EDRU, PCR is routinely used to screen a swab of *E. coli* culture to identify whether it is a DEC. Occasionally the PCR may indicate that there is a mixed infection of DEC pathotypes present. Then, the current routine practice to differentiate these mixed infections of DEC is to streak-out a sub-culture for individual colonies; ~10 single colonies are then selected/picked and then individually screened by PCR to identify the individual pathotypes of DEC. However, this methodology often fails to identify all individual pathotypes; often we are only able to identify a single pathotype. Therefore, a colony blotting and DNA probing method was then introduced as an experimental technique to investigate the methodology's ability to more effectively screen, separate and identify all pathotypes associated with a mixed infection of DEC pathotypes. A single colony blot/DNA probe test has the ability to screen 60-80 colonies from an agar plate. After screening the colonies, the aim was to identify all the pathotypes. Selected cases of mixed infections of DEC pathotypes were investigated by colony blotting and DNA probing (and PCR) in an attempt to separate out the individual pathotypes and identify them by colony. The agar plate containing colonies was blotted twice with two different membranes. The first blot was made by overlaying a membrane over the agar plate containing colonies and then removed. Sufficient bacterial culture remained which allowed a second blot on the same agar plate by repeating the membrane overlay procedure. Each membrane was probed with different gene probes specific for different DEC pathotypes (Figure 3.6). However, for all co-infections investigated, we were always only able to identify a single pathotype (Figure 3.6). Figure 3.6 show how EPEC colonies

could be identified following analysis of co-infection of ETEC and EPEC. There were a total of 25 cases of mixed infections of DEC pathotypes investigated (Table 3.11). Eight cases of EPEC mixed with DAEC, with EPEC [75% (6/8)] being the one pathotype mostly identified ; 6 cases of EPEC and ETEC, with EPEC [83% (5/6)] being the one pathotype mostly identified; 5 cases of EPEC and EAggEC, with EAggEC [60% (3/6)] being the one pathotype mostly identified and 4 cases of DAEC and EAggEC, with DAEC [75% (3/4)] being the one pathotype mostly identified (Table 3.11). For all the co-infections investigated, we were unable to identify both DEC pathotypes.

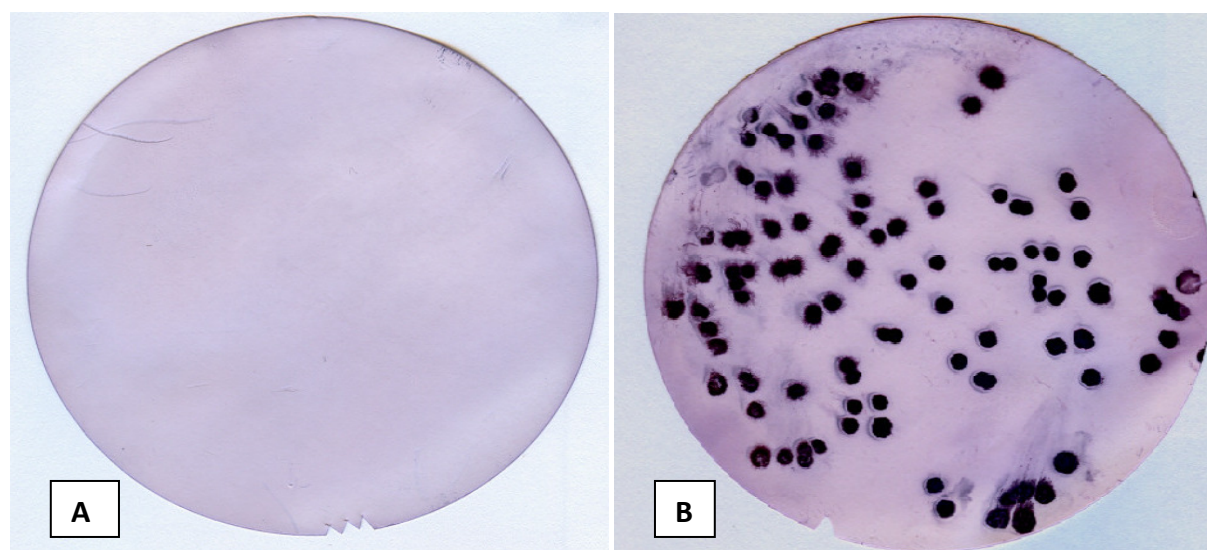


Figure 3.6: Nylon membranes which were blotted from the same agar plate and hybridised with different labelled probes. **(A)** Membrane hybridised with ETEC labelled probe. **(B)** Membrane hybridised with EPEC labelled probe

Table 3.11: Mixed diarrhoeagenic *E. coli* pathotypes investigated by colony blotting and DNA probing

No. of Co-infections investigated (EPEC and DAEC)	EPEC identified in co-infections	DAEC identified in co-infections	Both EPEC AND DAEC identified in co-infections
8	6	2	0
No. of Co-infections investigated (EPEC and ETEC)	EPEC identified in co-infections	ETEC identified in co-infections	Both EPEC and ETEC identified in co-infections
6	5	1	0
No. of Co-infections investigated (EPEC and EAggEC)	EPEC identified in co-infections	EAggEC identified in co-infections	Both EPEC and EAggEC identified in co-infections
5	2	3	0
No. of Co-infections investigated (DAEC and EAggEC)	DAEC identified in co-infections	EAggEC identified in co-infections	Both DAEC and EAggEC identified in co-infections
4	3	1	0
No. of Co-infections investigated (DAEC and ETEC)	DAEC identified in co-infections	ETEC identified in co-infections	Both DAEC and ETEC identified in co-infections
1	1	0	0
No. of Co-infections investigated (EAggEC and ETEC)	ETEC identified in co-infections	EAggEC identified in co-infections	Both EAggEC and ETEC identified in co-infections
1	0	1	0

No.- number, DAEC- diffusely adherent *E. coli*, EAggEC- enteroaggregative *E. coli*, EIEC- enteroinvasive *E. coli*, EPEC- enteropathogenic *E. coli*, ETEC-enterotoxigenic *E. coli*

Chapter 4: Discussion

A total of 1816 cases were processed for bacterial pathogens, with 633 (35%) positive for a bacterial pathogen with high recovery of DEC at 84% (533/633). These data are in agreement with GERMS-SA study data which showed 86% of DEC in children under five years. Other studies in developing countries also showed DEC as the most commonly recovered bacteria by 20% to 30% amongst children with diarrhoea, which is similar to our detection of DEC (29%) from the overall received specimens (20,44,252,253). There were no cases of *V. cholerae* detected since *V. cholerae* O1 is usually seen during an epidemic and in the absence of an epidemic, the organism is not frequently isolated. In Botswana there was a study which documented low isolation of DEC with recovery of 0.5% (47).

DEC pathotypes which included DAEC (34%), EPEC (31%) and EAggEC (24%), were the most common pathotypes recovered. These data are in agreement with GERMS-SA studies data which shows EPEC (64%), DAEC (10%) and EAggEC (8%) as the most commonly recovered pathotypes among children under 5 years; while other studies done in developed and developing countries also show DAEC (18%) from Brazil and EAggEC (10%) from Southern Mozambique as the most commonly recovered pathotypes of DEC. This might be because the feature of diarrhoeal infections varies from place to place depending on the geography and socioeconomic variables (14,44,253,254). Feeding habits can also play a role in infection and could be the reason why DEC tends to be frequently isolated from children younger than 12 months. There were no STEC/ EHEC detected. These data shows an agreement with a study from Northern Jordan which also had no isolation of STEC/ EHEC and GERMS-SA study data

which shows low isolation by 1%. Other studies show high recovery of STEC, such as Tehran study which showed 10% recovery (44,255-257). Other studies have documented the presents of DEC virulence genes from stools of healthy individuals (14,46,258), which might mean that maybe not all strains of DEC are pathogens or they might be less virulent than the other. There are different O serogroups which can be related to different pathotypes of DEC. The most common O serogroups of DEC which were found include serogroup O15 (8%), O86 (8%), O119 (7%), O153 (7%) and O127 (11%). These data are in agreement with other reports from developed and developing countries which also showed different serogroups of DEC which include O119 (78%), O111 (60%) and O126 (60%) from Japan; from Tehran O111, O86 and O55 were also shown to be common by 60% (44,259-263). Our study has described a wide range of DEC serogroups compared to other studies which tend to report and discuss serogroups that has outbreak potential.

The mostly seen serotype of *Salmonella* detected was *Salmonella* Typhimurium (44%). These data are in agreement with GERMS-SA studies data with 46% and other developing countries which also show *Salmonella* Typhimurium as the most recovered serotype such as Tanzania (57%), South Africa (67%) and Malawi (75%) (44,263,264). There was only one *Salmonella* Typhi recovered and other reports from GERMS-SA studies data and developing countries also show low isolation of *Salmonella* Typhi (44,87,264).

The most common serotype of *Shigella* species identified was *S. flexneri* type 2a (26%), while *S. sonnei* (17%) was the second most common serotype identified; these data are in agreement

with GERMS-SA studies data with 42% *S. flexneri* type 2a; 33% *S. sonnei* and other developed and developing countries which also show *S. flexneri* type 2a ranging from 50% to 70% and *S. sonnei* with 45% as a common serotype, even though *S. sonnei* is mostly seen in developed countries (44,99,122,124,263). *Shigella* species were more commonly isolated compared to *Salmonella* species at CHBH. The isolation of bacterial pathogen might be resulting from poor sanitation and overcrowding which promote the survival of the pathogen in the environment resulting in diarrhoeal infections. Lack of control strategies in human behaviour leaves medical authorities with the need to emphasize on the therapeutic aspect of diarrhoeal diseases and encourages basic studies for development of vaccines as a way to reduce or eradicate diarrhoea. Future studies must then focus on disclosing and identifying the risk factors and transmission routes for these emerging pathogens within different geographical areas.

There were co-infections of bacterial pathogens with other bacterial pathogens, or with *Cryptosporidium* or Rotavirus. The co-infections of DEC with DEC was mostly seen (58%) than DEC with *Campylobacter* (2%), *Salmonella* (10%) and *Shigella* (29%). These data are in agreement with studies from other developing countries which also showed co-infections of DEC pathotypes with other bacterial pathogens such as *Campylobacter* (23%), *Salmonella* (33%) and *Shigella* (43%) (94,255,265). Previous studies on DEC from developing countries have not reported on cases of mixed infections of DEC compared to our current study which often identified mixed infections of DEC. However, there were no cases of different pathotypes of DEC mixed from other studies; which was what our study had mostly recovered among bacterial co-infections. Parasitic pathogens (*Cryptosporidium* and *Giardia*) mixed with different pathotypes

of DEC and viral pathogens (Rotavirus) mixed with different bacterial pathogens are co-infections documented in other studies (94,255,265). *Cryptosporidium* is a common cause of parasitic infection in children which results in diarrhoeal illness. It is commonly documented in most co-infections with viral and bacterial infections (255,265). It might be common due to the fact that it requires special attention for it to be eliminated from water. Contact with domestic livestock might also result in co-infection of different pathogens resulting in diarrhoeal illness. There are not enough data on the correlation of HIV serostatus and enteric diarrhoeal infection (27,266).

Only one *Campylobacter* species was recovered which might be partially due to the fact that *Campylobacter* is considered as a fastidious organism and difficult to recover. The delay of transportation of specimens “even though transport media was used” for stool specimens may have contributed to the low isolation of *Campylobacter*. Some studies from developing countries such as Southern Mozambique and Northern Jordan also showed low recovery of *Campylobacter* ranging from 1% to 1.7% , due to difficulty in isolation (46,164,253,256), but other African countries find *Campylobacter* as the most common pathogen recovered ranging from 15% in South Africa to 60% in Egypt, possibly due to climate differences (11,267).

The highest bacterial positivity rate was observed among ages ranging from 13-18 months, even though the probability was not statistically significant (p: 0.2). This might be due to high exposure to unhygienic environmental factors typical of children of this age. Some studies from developed and developing countries show similar patterns, with high bacterial positivity rate

amongst 13-18 months of age (253,254). Other studies in developing countries had ages ranging from 7-12 months of age with high bacterial positivity rate (257,263).

Children under the age of 2 years were the most enrolled from the beginning of the study with high bacterial positivity rate compared to children older than 2 years. There was a decrease in the number of specimens by 45% (172-311/311) in 2010 (August to December 2010) when compared to 2009 (August to December 2009) and the number of specimens positive for bacterial pathogens also decreased by 31% (68-99/99) for the same period. This period was from the beginning of the Rotavirus vaccine introduction, which might be associated with the reduction; however there are other factors such as availability of safe drinking water and availability of educational facilities on prevention of diarrhoea which must also be in consideration. The decrease in the number of specimens and the bacterial positivity rate in 2010 might be due to the effectiveness of the vaccine; maybe children were not sick enough to be admitted so that they could not be included in this study, as they did not fulfil the case definition. There were few enrolments of children older than 2 years (n=182) compared to children younger than 2 years (n=1634). This might be due to the fact that younger children are more likely to be hospitalised: children can easily be dehydrated, then they are hospitalised so that they can be administered with rehydration solutions. Detection rate of bacterial pathogens was high compared to detection rate of parasites and low compared to detection rate of Rotavirus. These might be due to pathogen interaction: children may have had diarrhoea due to bacterial pathogens. As they are recovering they encountered viral infection commonly severe enough for them to be admitted to the hospital, keeping Rotavirus as the most identified

1219 pathogen among children with diarrhoea. Recurrent of diarrhoeal episodes have been described
1220 in South Africa among young children (269).

1221
1222 Not much is known about the causative agent of diarrhoeal diseases other than Rotavirus
1223 (226,229,230). This justifies the lack of detection facilities in the routine laboratories for clinical
1224 purposes. Most diagnostic laboratories only detect specific bacterial pathogens from stool
1225 specimens (14). This might underestimate other pathogens. Molecular characterization of
1226 bacterial pathogens such as DEC must be introduced in routine laboratories. Availability of such
1227 diagnostic resources could enhance identification of outbreaks and common pathogens causing
1228 diarrhoea. Introducing new methodologies would bring about challenges which need to be
1229 taken in consideration, such as costs associated with purchasing; installing and training
1230 employees to use new techniques.

1231
1232 There was a decrease in the number of specimens received during December 2010 and the
1233 same drop in the number of specimens received was also seen during December 2009. This
1234 might be due to fewer patients being enrolled as a result of the following: people go on vacation
1235 during this month, staff take leave during this period, and due to none of the cases meeting the
1236 case study definition, since only children admitted to the hospital and who at least sleep
1237 overnight are considered for specimens. Since this study had limitations, we cannot be certain
1238 of the proportion of bacterial infections amongst children from whom we failed to receive stool
1239 specimens because of our study definition. The definition of diarrhoeal episode might be the

same as from those whom we did not received stool specimens and this might affect the interpretation of results.

CHBH was the first surveillance hospital to start with the study and it started from April 2009. For all the sites, CHBH showed the most enrolments, possibly due to the fact that CHBH is situated at an urban area, with easy community access and covers a larger area than all other surveillance sites. Parents would be more likely to evade clinics and traditional doctors and rather go straight to the hospitals where they can obtain a better quality of medical attention (11). Mapulaneng Hospital only commenced with the project from September 2009. There was a 100% bacterial positivity rate from Mapulaneng Hospital during November 2010, which might be due to low number of specimens received. For sites such as Mapulaneng Hospital and Matikwana Hospital, they had low number of enrolments. This is due to the fact that these hospitals are small and situated in rural areas, with low population access to the hospitals, so parents would be more likely to first visit the traditional doctors and clinics, and then eventually be referred to the hospitals if their children's conditions did not improve. Matikwana Hospital commenced a month earlier than Mapulaneng Hospital in August 2009. DGMH commenced with the project during October 2009. DGMH had a low bacterial positivity rate from February to July 2010 (Autumn to Winter) ($p: 0.02$). These data are in agreement with other studies in developing countries showing summer season with high recovery of bacterial pathogens. However, features of diarrhoeal infection vary from place to place (255,267). The occurrence of a diarrhoeal episode from urban areas was higher than the occurrence from rural areas which was statistical significant with a p -value of 0.004. As expected, it is obvious that there are

important regional differences in the occurrence of diarrhoea in children. Comprehensive information of unusual situations in each geographical area is required, since the movement of individuals from one place to another complicates the data analysis and implementation of control strategies. The incidence rate estimates per site was very low. The mid-year estimates from stats SA only gives provincial population statistics and not per region (268). The calculated incidence rates might have been over estimated because we were focusing on a portion of the province.

As data were investigated by month, there was a high bacterial positivity rate seen during November 2009, December 2009 and January 2010 which covers the countries summer months and this data agrees with other studies done from Egyptian children and Iranian children which also showed high positivity during summer seasons. These is due to climate difference since features of diarrhoeal infections varies from place to place (255,267). High detection rate during the summer seasons may be due to high temperatures during such time of the year. High temperature promotes growth of organisms in the environment.

There were a high number of male (825) patients compared to female (666) patients, which suggests that males might be at higher risk of having diarrhoea compared to females or because there are more males among the communities. These results are in agreement with other studies in developing countries such as Cameroon and Tanzania which also showed most number among male patients ranging from 60% to 80% of males (252,256,263). There were

some for who gender was unknown which might mean that the difference may not be considered as statistically significant because of the missing data.

Antimicrobial susceptibility was not investigated for bacterial pathogens detected in our study. It is important to have knowledge of the antimicrobial susceptibility of these bacterial pathogens, as this provides helpful data to assist in epidemiological (outbreak) investigations and to assist with the correct choice of antimicrobial treatment for patients infected with these pathogens. Antimicrobial susceptibility data for enteric bacterial pathogens is well documented and reported by GERMS-SA; they recently published a paper describing some susceptibility patterns of bacterial pathogens in South Africa (270).

To date, the technique of colony blotting and DNA probing has not been used extensively for bacterial identification. As a result there are very little data published on the use of colony blotting and DNA probing. Some studies have shown that colony blot techniques can be used for detection of EIEC and *Shigella* from water samples and also for detection of shiga like toxin-producing *E. coli* from faecal samples (271,272). The lack of a simple, inexpensive technique limits investigation of the incidence of DEC co-infection. In our study the possibility of using colony blotting and DNA probing was explored. We introduced colony blotting and DNA probing to assist in differentiating mixed DEC pathotypes. Currently at the EDRU, PCR is routinely used to screen a swab of *E. coli* culture to identify whether it is a DEC. Occasionally the PCR may indicate that there is a co-infection of DEC pathotypes present. To differentiate these co-infections of DEC, the culture then needs to be subcultured and streaked-out for single colonies.

1305 The single colonies (~10 colonies) are then selected and screened by PCR to identify the
1306 individual pathotypes of DEC. Colony blotting was introduced to separate co-infections of DEC
1307 pathotypes, by screening 60-80 colonies from one agar plate (membrane). We chose to use the
1308 flood-inoculation and spreading of an agar plate with a liquid sample of bacteria, containing an
1309 appropriately calculated concentration of bacteria; because this technique results in a more
1310 even and well separated spread of colonies (required for blotting) compared to a direct
1311 application and spreading of culture (using a loop or swab) across an agar plate. For flood-
1312 inoculation, it is important to experiment with multiple dilutions of liquid bacterial culture, to
1313 find the perfect/exact concentration of bacteria which when spread on an agar plate results in
1314 an even and well separated spread of colonies. After screening the colony blot, the aim was to
1315 identify all the pathotypes. However, our attempts to differentiate and identify all pathotypes
1316 associated with a co-infection were unsuccessful. For all co-infections investigated, we were
1317 always only able to identify a single pathotype. This might be due to the proportion of bacterial
1318 numbers in the mixed cultures. A 1:1 ratio of one pathotype to another in a mixed culture would
1319 be optimal to identify both pathotypes. However in clinical specimens, the ratio of numbers of
1320 one pathotype to another appears to be very different. Let's hypothesise ratios of 1:50 or 1:100:
1321 with this ratios and a technique only able to screen 60-80 colonies on a single blot, it becomes
1322 clear why chances are that only the predominant pathotype will be identified. Maybe, the ratios
1323 are even higher at 1:1000 or 1:10000; this will drastically (and almost definitely) exclude the
1324 chances of identifying the lesser pathotype. Even though we blotted twice on the same agar
1325 plate containing the investigated colonies (with different membranes and hybridisation with
1326 different probes), only one membrane was always shown to have a positive result, i.e., we were

always only able to identify a single pathotype. The membranes were blotted twice on the same agar plate so that we can investigate the specificity of the labelled probes which were used. The labelled probes were found to be specific. The blotted agar plate was re-incubated for three to four hours for regeneration of colonies in case of future use such as PCR. The plate was then refrigerated. So, in summary, the technique works well for identification of a single pathotype, but fails to differentiate a culture of mixed pathotypes. The data we found compared to other similar studies, further development of the methodology may be helpful (273-275). Furthermore the sensitivity of colony blotting may be increased by working with a great range of DEC co-infections with different pathotypes. We only worked with 25 cases of different co-infections. At present, PCR is still an appropriate method for separation and identification of co-infections of DEC pathotypes.

Limitations

At certain times, there was a reduction in the number of specimens from the surveillance sites due to reasons listed below:

1. A nurses' strike occurred in August-September 2010, therefore there was no staff to collect specimens.
2. Only children who presented with 3 or more episodes of loose stool over the period of 24 hours (at least slept overnight in hospital) were included and cases of children who were treated and discharged were not enrolled.
3. Clinical criteria may have differed among the sites for which cases needed overnight admission, resulting in differences in which pathogens were identified and the data for each site would not have been affected. Sites were selected to represent differing

1349 populations (rural versus urban), so that the data may be better to generalised to
1350 South Africa, where practices may differ across the country, so these differences
1351 would have been important.

1352 4. Clinical criteria may differ from site to site; some sites may admit patients more
1353 easily than others.

Chapter 5: Conclusions

From the data recovered from the study, there is a suggestion of an ongoing surveillance needed in all the different provinces of the country in order to further our understanding of the bacterial pathogens circulating, especially DEC pathotypes and to monitor the occurrence of mixed bacterial pathogens. There is no evidence that any particular DEC pathotype is associated with diarrhoea in children under five years. Even in cases where diagnostic facilities are routinely available, pathogens detected in children with diarrhoea may not be the cause of the illness.

The aetiologic causes of diarrhoea among children under five years of age remain unknown for most parts of the country. The data available on the frequency of different enteric pathogens is limited, especially in the range of pathogens being tested for and the location of the study. This study opens doors to further studies addressed to analyse the specific epidemiology and virulence of diarrhoea causing pathogens per province in South Africa, so that local control strategies may be improved. Data collected from this study could inform health staff in the sentinel sites of the types of bacterial pathogens they should be alert to and also inform future control strategies locally. In health planning, the knowledge of geographical variation can be useful for setting suitable and effective strategies for disease and health interventions which are able to address local health determinants. In the regions with high diarrhoeal diseases, sanitation and community health education can have an impact on childhood morbidity.

1375 Co-infections due to several pathogens raise the question as to whether a single pathogen is
 1376 responsible for illness or whether several pathogens act in synergy, even though not all cases
 1377 were found to be mixed. The occurrence of co-infections warrants further investigation.

1378
 1379 Even though this study did not look at antimicrobial susceptibility, more data are required in
 1380 order to establish empiric therapy guidelines. Documentation of more data on the
 1381 environmental risk factors: e.g. water sources and potential reservoirs, e.g. contact with
 1382 domestic livestock requires information - these data can help in understanding the
 1383 association/relationship between environmental factors and the occurrence of diarrhoeal
 1384 illness. In order to improve the strategies of disease control, the knowledge of correlation of HIV
 1385 serostatus and diarrhoeal infections with enteric pathogens needs to be documented.

1386
 1387 The colony blotting/probing technique may not be useful in South African situations since we
 1388 were unable to reach our aim which was to separate mixed pathotypes of DEC in a single case.
 1389 However there's a need for new development of methods that could be used for separation of
 1390 mixed pathogens.

1391 Chapter 6: Appendices**1392 6.1 Buffers and Solutions****1393 1M Tris buffer (pH 8)**

1394 - 121.1g Tris (Merck KGaA, Darmstadt, Germany, USA)

1395 - 800ml dH₂O

1396 - 70ml HCl (Merck)

1397 - Autoclave for 15 minutes to sterilize

1398 0.5M EDTA (pH 8)

1399 - 186.1g EDTA (Sigma-Aldrich chemie GmbH, Steinheim, USA)

1400 - 800ml dH₂O

1401 - ~20g NaOH (Merck)

1402 - Autoclave for 15 minutes to sterilize

1403 Tris-EDTA (TE) buffer (pH 8)

1404 - 200μl of 0.5M EDTA (Sigma)

1405 - 1000μl of 1M Tris (Merck)

1406 - 100ml dH₂O

1407 - Autoclave for 15 minutes to sterilize

1408 10X TAE buffer

1409 - 48g Tris (Merck)

- 1410 - 7.5g EDTA (Sigma)
- 1411 - 11ml glacial acetic acid (Merck)
- 1412 - 1000ml dH₂O

- 1413 **1X TAE buffer**
- 1414 - 100ml of 10X TAE buffer
- 1415 - 900ml dH₂O

- 1416 **Loading buffer**
- 1417 - 0.25g Bromophenol blue (BDH Ltd, Pooled, BHIS 1TD, England, USA)
- 1418 - 40g sucrose (Merck)
- 1419 - 100ml dH₂O

- 1420 **Ethidium bromide (EtBr) solution (10 mg/ml)**
- 1421 - 500mg ethidium bromide (Merck)
- 1422 - 50ml dH₂O

- 1423 **Ethidium bromide (EtBr)**
- 1424 - 250ml of 0.5X TBE buffer (Merck)
- 1425 - 25µl of 10mg/ml Ethidium bromide

1426 6.2 Identification of diarrhoeagenic *E. coli* using PCR**1427 PCR reactions primer mix****1428 PCR reaction (A) primer mix**

1429 The mixture contained all primers at 1 μ M and was stored at -20°C

1430 - 120 μ l deionised autoclaved water

1431 - 10 μ l eae-f primer (20 μ M)

1432 - 10 μ l eae-r primer (20 μ M)

1433 - 10 μ l bfp-f primer (20 μ M)

1434 - 10 μ l bfp-r primer (20 μ M)

1435 - 10 μ l stx1-f primer (20 μ M)

1436 - 10 μ l stx1-r primer (20 μ M)

1437 - 10 μ l stx2-f primer (20 μ M)

1438 - 10 μ l stx2-r primer (20 μ M)

1439 PCR reaction (B) primer mix

1440 The mixture contained ST primers at 3 μ M, the LT primers at 1 μ M and was stored at -20°C

1441 - 120 μ l deionised autoclaved water

1442 - 30 μ l ST-f primer (20 μ M)

1443 - 30 μ l ST-r primer (20 μ M)

1444 - 10 μ l LT-f primer (20 μ M)

1445 - 10 μ l LT-r primer (20 μ M)

1446 PCR reaction (C) primer mix

1447 The mixture contained 16SrRNA primers at 0.5 μ M, all other primers at 1 μ M and was stored
1448 at -20°C

1449 - 130 μ l deionised autoclaved water

1450 - 10 μ l ipaH-f primer (20 μ M)

1451 - 10 μ l ipaH-r primer (20 μ M)

1452 - 10 μ l pCVD432-f primer (20 μ M)

1453 - 10 μ l pCVD432-r primer (20 μ M)

1454 - 10 μ l daaC-f primer (20 μ M)

1455 - 10 μ l daaC-r primer (20 μ M)

1456 - 5 μ l 16SrRNA-primerB (20 μ M)

1457 - 5 μ l 16SrRNA-r primer (20 μ M)

1458

1459

1460

1461

1462

1463

1464

1465 **Table 6.1:** Interpretation of PCR reactions results for DEC

	Result of PCR Reactions								
	<i>eae</i>	<i>bfp</i>	<i>stx1</i>	<i>stx2</i>	<i>est</i>	<i>elt</i>	<i>ipa</i>	<i>aat</i>	<i>daaC</i>
EPEC	+								
EPEC	+	+							
EHEC	+		+						
EHEC	+			+					
EHEC	+		+	+					
STEC			+						
STEC				+					
STEC			+	+					
ETEC					+				
ETEC						+			
ETEC					+	+			
EIEC							+		
EAggEC								+	
DAEC									+

1466 (-)-negative; (+)-positive

1467

1468

1469

1470 **Table 6.2:** Details of PCR primers and product sizes of DEC pathotypes

Target gene	PCR primer	Primer sequence	PCR product size	Reference
<u>Reaction A</u>				
eae	eae-f	TCAATGCAGTTCCGTTATCAGTT	482bp	276
	eae-r	GTAAAGTCCGTTACCCCAACCTG		
bfp	bfp-f	GGAAGTCAAATTCATGGGGGTAT	298bp	276
	bfp-r	GGAATCAGACGCAGACTGGTAGT		
stx1	stx1-f	CAGTTAATGTGGTGGCGAAGG	348bp	277
	stx1-r	CACCAGACAATGTAACCGCTG		
stx2	stx2-f	ATCCTATTCCCGGGAGTTTACG	584bp	277
	stx2-r	GCGTCATCGTATACACAGGAGC		
<u>Reaction B</u>				
est	ST-f	ATTTTCTTTCTGTATTGTCTT	190bp	278
	ST-r	CACCCGGTACAAGCAGGATT		
elt	LT-f	GGCGACAGATTATACCGTGC	440bp	278
	LT-r	CGGTCTCTATATTCCTGTT		
<u>Reaction C</u>				
ipa	ipaH-f	CTCGGCACGTTTTAATAGTCTGG	933bp	55
	ipaH-r	GTGGAGAGCTGAAGTTTCTCTGC		
aat	pCVD432-f	CTGGCGAAAGACTGTATCAT	630bp	279
	pCVD432-r	CAATGTATAGAAATCCGCTGTT		
daaC	daaC-f	CAGGTCATCCGGTCAGTCGG	212bp	278
	daaC-r	CAATGCCACGTACAACCGGC		
16SrRNA	16SrRNA-primerB	GATTAGATACCCTGGTAGTCC	726bp	58
	16SrRNA-r	ACGGCTACCTTGTTACGACTT		

1471

1472 Positive control strains for PCR reactions and serotyping of DEC included: *E. coli* (ATCC43887),
 1473 positive for *eae* and *bfp* genes; *E. coli* (C4193-1), positive for *eae*, *stx1* and *stx2* genes; *E. coli*
 1474 (H10407), positive for *est* and *elt* genes; *E. coli* (ATCC43893), positive for the *ipa* gene; *E. coli*
 1475 (3591-87), positive for the *aat* gene and *E. coli* (D2190), positive for the *daaC* gene.

1476 **6.3 Agarose gel electrophoresis for analysis of PCR products**

1477 Step 1: A 1.5% agarose gel was prepared by dissolving 1.5g of SeaKem LE agarose powder
 1478 (Whitehead Scientific (Pty) Ltd, Lonza, Rockland, ME USA) in 100ml of 1X TAE buffer (appendices
 1479 6.1) in a microwave.

1480 Step 2: Six microlitre of 10mg / ml of ethidium bromide (appendices 6.1) was added to PCR a
 1481 cooled (55°C) gel and was mixed by swirling.

1482 Step 3: Three microlitre of loading buffer (appendices 6.1) was added to the PCR product and
 1483 mixed by pipetting.

1484 Step 4: From the mixture, 6µl was loaded into the wells of 1.5% agarose gel and also 3µl of
 1485 Bioline hyper-ladder IV was loaded as a DNA marker at separate wells of the gel.

1486 Step 5: Electrophoresis was performed at ~130v for 50min.

1487 Step 6: The gel which contained PCR products was placed into a UV transilluminator and was
 1488 visualized by UV illumination.

1489 Step 7: The gel image was captured using the BIORAD gel documentation system.

1490 Step 8: Agarose gel was disposed into a Sanumed Solid waste container.

1491 **6.4 Colony blotting and DNA probing**

1492 **6.4.1 Buffers and Solutions**

1493 **Denaturation solution**

1494 To disrupt the cell membrane and uncoils protein into a random shape, releasing DNA out of the
1495 cell.

1496 - 87.66g NaCl (Merck)

1497 - 20g NaOH (Merck)

1498 - 1000ml dH₂O

1499 - Autoclave for 15 minutes to sterilize

1500 **Neutralisation solution**

1501 For adjusting and neutralising the pH contents of the membrane.

1502 - 87.66g NaCl (Merck)

1503 - 121g Tris (pH 7.5) (Merck)

1504 - 1000ml dH₂O

1505 - Autoclave for 15 minutes to sterilize

1506 **2X SSC**

1507 For binding of the DNA to the membrane through ion exchange interaction, whereby the
1508 negatively charged DNA binds to the positively charged membrane.

1509 - 100ml 20X SSC (Roche Diagnostics GmbH, Mannheim, Germany, USA)

1510 - 900ml dH₂O

1511 **0.5X SSC**

1512 - 25ml 20X SSC (Roche)

1513 - 900ml dH₂O

1514 **1% SDS**

1515 For disruption of cell wall dissociation of nucleic acid: protein complexes.

1516 - 1g Sodium dodecyl sulphate (BDH Ltd)

1517 - 100ml dH₂O

1518 - Boil in microwave to dissolve

1519 **Low stringency buffer**

1520 For sensitivity, but it can give non-specific hybridisation signals and high backgrounds.

1521 - 500ml 2X SSC

1522 - 500µl 1% SDS

1523 **High stringency buffer**

1524 To increase sensitivity, so that only specific hybridisation signals remain and non-specific

1525 background signals are reduced.

1526 - 500ml 0.5X SSC buffer

1527 - 500µl 1% SDS

1528

1529 **Proteinase-K**

1530 To destruct proteins which are in the cell lysate.

1531 - 20mg Proteinase-K (Roche Diagnostics GmbH)

1532 - 1ml 2X SSC

1533 **Chromogenic AP substrate**

1534 To produce the indigo dye for visualisation of positive signal.

1535 - 35µl 4-nitroblue tetrazolium chloride (NBT) (Roche Diagnostics GmbH)

1536 - 35µl 5-bromo-4-chloro-3-indolyl phosphate (BCIP) (Roche Diagnostics GmbH)

1537 - 10ml detection buffer (Roche Diagnostics GmbH)

1538 **6.4.2. PCR Labelling of DNA probe**

1539 PCR reactions contained different genes for different gene targets which included *eae* gene for
1540 EPEC probe, *elt* gene for ETEC probe, *ipa* gene for EIEC probe, *aat* gene for EAggEC probe and
1541 *daaC* for DAEC probe were used. PCR primers (appendices 6.4.2), interpretation of results
1542 (appendices 6.3, table 6.1) and PCR product sizes (appendices 6.6.2, table 6.4) are described.
1543 The control strains were used for probe labelling (appendices 6.2). PCR reactions were prepared
1544 in 0.2ml tubes and the reaction mixture of 50µl contained 6 components as listed below:

- | | | |
|------|--|---------|
| (i) | Autoclaved de-ionized water | 31.25µl |
| (ii) | PCR buffer with MgCl ₂ (10X) (Vial 3) | 5µl |

(iii)	PCR DIG mix (Vial 2)	5µl
(iv)	Primer mix (20 µM)	6µl
(v)	Enzyme mix (Vial 1)	0.75µl
(vi)	Crude DNA template (2.3.6)	2µl

1545 Cycling conditions were as follows: one starting cycle at 95°C for 7 minutes, 35 cycles of
 1546 denaturation at 94°C for 75 seconds, primer annealing at 60°C for 90 seconds and extension at
 1547 72°C for 90 seconds; one final cycle at 72°C for 5 minutes. Following PCR, reactions were stored
 1548 at 4°C.

1549 **PCR reaction primer mix**

1550 PCR reaction A primer mix

1551 The mixture contained *eae* gene primers at 1µM and was stored at -20°C

1552 - 180µl deionised autoclaved water

1553 - 10µl eae-f primer (20µM)

1554 - 10µl eae-r primer (20µM)

1555 PCR reaction B primer mix

1556 The mixture contained *elt* gene primers at 1µM and was stored at -20°C

1557 - 180µl deionised autoclaved water

1558 - 10µl LT-f primer (20µM)

1559 - 10µl LT-r primer (20µM)

1560 PCR reaction C-1 primer mix1561 The mixture contained *ipaH* gene primers at 1μM and was stored at -20°C

1562 - 180 μl deionised autoclaved water

1563 - 10 μl ipaH-f primer (20μM)

1564 - 10 μl ipaH-r primer (20μM)

1565 PCR reaction C-2 primer mix1566 The mixture contained *aat* gene primers at 1μM and was stored at -20°C

1567 - 180 μl deionised autoclaved water

1568 - 10 μl pCVD432-f primer (20μM)

1569 - 10 μl pCVD432-r primer (20μM)

1570 PCR reaction C-3 primer mix1571 The mixture contained *daaC* gene primers at 1μM and was stored at -20°C

1572 - 180 μl deionised autoclaved water

1573 - 10 μl daaC-f primer (20μM)

1574 - 10 μl daaC-r primer (20μM)

1575

1576

1577

1578

1579 **Table 6.3:** Details of PCR primers and product size for PCR labelling of DNA probe

Target gene	PCR primer	Primer sequence	PCR product size	Reference
<u>Reaction A</u>				
Eae	eae-f	TCAATGCAGTTCCGTTATCAGTT	482bp	276
	eae-r	GTAAAGTCCGTTACCCCAACCTG		
<u>Reaction B</u>				
Elt	LT-f	GGCGACAGATTATACCGTGC	440bp	278
	LT-r	CGGTCTCTATATTCCCTGTT		
<u>Reaction C-1</u>				
Ipa	ipaH-f	CTCGGCACGTTTTAATAGTCTGG	933bp	55
	ipaH-r	GTGGAGAGCTGAAGTTTCTCTGC		
<u>Reaction C-2</u>				
Aat	pCVD432-f	CTGGCGAAAGACTGTATCAT	630bp	279
	pCVD432-r	CAATGTATAGAAATCCGCTGTT		
<u>Reaction C-3</u>				
daaC	daaC-f	CAGGTCATCCGGTCAGTCGG	212bp	278
	daaC-r	CAATGCCACGTACAACCGGC		

1580 **6.5 Informed consent form**

1581 **Informed Consent Form for study participation (for parent or legal guardian)**
1582 **(Version 1.0 Rotavirus Surveillance Protocol final 050109).**

1583
1584 *One copy will stay with the surveillance officer, and be filed with the clinical case report form. The*
1585 *other copy will be given to the parent or legal guardian.*

1586 **Information section**1587 ***Introduction to participant***

1588 Hello. My name is _____ (*name of surveillance officer*)
 1589 _____ and I would like to ask you for some of your time to explain the work that we
 1590 do and to ask you and your child to please assist us in a research study that we are doing. As we
 1591 discuss the information below, please feel free to ask any questions.

1592 ***Purpose of the research study***

1593 In South Africa (like elsewhere in the world), laboratories and government health departments look at
 1594 the germs that cause disease and where these germs occur. For this study we are interested in the
 1595 germ called rotavirus. This germ infects young children and causes diarrhoea. Many children in South
 1596 Africa become sick with this germ every year and many of these children will need to be in hospital on
 1597 an intravenous drip. Some children may die from the severe dehydration that may be caused by this
 1598 diarrhoea. Because of this potential severe complication South Africa would like to count the number
 1599 of children who get sick from this germ. The Department of Health is introducing a vaccine that will
 1600 protect children against this disease. It is important to know the numbers of children who are infected
 1601 so that we can tell how well the vaccine is working. We are talking with you about this project because
 1602 your child has been admitted to the hospital with diarrhoea which may be caused by this germ. This
 1603 information will be used to try to stop these germs causing illness in other children.

1604 ***Description of study procedures***

1605 If you choose to have your child join the project, we will ask you a number of questions as part of the
 1606 study. During the study, we will ask about your child's present and past history, including questions
 1607 about vaccines s/he received. We will also ask questions about the child's home and other children
 1608 living with your child. You may choose not to answer any question. We will also look at your child's
 1609 hospital records and his/ her records in the laboratory to complete the form.

1610 In addition, you will be asked if you will allow us to take a stool specimen from your child to test for the
 1611 germs which cause diarrhoea, as part of the study. The stool specimen will be taken from the child's
 1612 nappy or bed pan. If the stool is very watery a piece of the nappy will be cut or the whole nappy will be
 1613 taken and sent to the laboratory for detection of the germs. If there is no stool available a small tube
 1614 will be inserted into the child's bottom and some stool will be sucked out.

1615 Also, as part of the study, you will be asked if you are willing for us to take a specimen from your child
 1616 to be tested for HIV infection. This will be done by a finger, heel or earlobe prick where we will collect
 1617 a few drops of blood on a piece of blotting paper. The results of your child's HIV test will not be known
 1618 by me or the other study nurses. If you want to know your child's HIV result we can refer you to your
 1619 local VCT clinic for testing and follow up.

1620 If you agree to the questionnaire but not to take a stool or blood specimen, this is also okay.

1621 ***Your rights as a participant***

1622 It is your right to choose to have your child be part or not be a part of the project. If your child does not
 1623 join, s/he will continue to receive the treatment needed for this infection and s/he will not lose any
 1624 health care services. You may choose to leave the study at anytime you like. If you decide this, your
 1625 child will be treated in the same way in hospital. He/ she will not lose any benefits to which he/ she
 1626 may be entitled to if you stop participation in the study.

1627 ***Expected duration of participation***

1628 Completing the form with questions should take about 15-20 minutes. Taking the stool specimen will
 1629 take about 2 minutes. Taking the drops of blood should take only about a minute. If the child is not
 1630 having diarrhoea right now we may need to come back to collect the stool specimen. We will also visit
 1631 your child regularly while s/he stays in hospital to collect information about his/ her hospital stay. S/he
 1632 will be visited only while in hospital.

1633 ***Reasonably foreseeable risks or discomforts to the participant***

1634 The questions will be asked are general and not personal. There should be no risks to you or your
 1635 child if you agree to take part in the study.

1636 ***Benefits to the participant or others***

1637 Your relative will not directly benefit from this study. His/ her treatment in the hospital will not change if
 1638 you agree that he/ she may take part in the study. The information we collect will be used to try to stop
 1639 the germ that caused his/ her illness from causing illness in other children. If we learn that your child is
 1640 due for rotavirus vaccine or any other vaccine we can refer you to the local clinic/health centre to get
 1641 the vaccine. You may ask the study nurse questions about your child's health and progress in hospital
 1642 which may make you feel more informed.

1643 ***Alternative procedures that may be beneficial to the participant***

1644 This study does not change the way your child will be treated in hospital or after he/ she is discharged
 1645 from hospital.

1646 ***Confidentiality of participant information***

1647 We will keep your information confidential. The forms with your personal information will be posted in
 1648 sealed envelopes to other people who work on the study and will always be kept in locked cabinets or
 1649 offices. All your information from this study will be put under a special number (study number) and not
 1650 your name. Therefore, none of the study personnel will know which information/ HIV result belongs to
 1651 you.

1652 **Costs**

1653 You or your child will not have to pay any costs, if s/he takes part in the study.

1654 ***Storage of samples for future testing***

1655 Once we have completed the testing for this study we may store your child's sample for testing in the
1656 future. These tests would be done if new viruses (germs) are identified or if new test become
1657 available. The sample will not have a name on it so that it cannot be linked to you or your child.

1658 ***Contact details for Rotavirus surveillance study investigators***

1659 If you have any questions about the study, about your child's rights while taking part in the study or
1660 about any injury that may have happened because of the study, you may contact any of the people/
1661 groups listed below:

1662 ***Surveillance officer name and contact details***

1663 *Dr Cheryl Cohen: 011 5550543*

1664 *Dr Shabir Madhi: 011 989 9885*

1665 *Dr Jocelyn Moyes: 011 346 6410*

1666 *This study has received ethical approval from the Human Research Ethics committee of the University*
1667 *of the Witwatersrand, Johannesburg: 011 717 1234. If you have any questions about your rights as a*
1668 *participant in this project please do contact the office on the above number.*

1669

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1671

1672

Parent or legal guardian declaration section

I, _____ (name of parent/guardian),
acknowledge that the study questionnaire and specimen collection have all been
explained to me and that I agree for my child to participate in the following study
procedures:

☐ Y ☐ N I agree to be interviewed and allow data from my child hospital records to
be collected to answer the questions from the study questionnaire.

☐ Y ☐ N I agree to the test for germs from his/her stools

☐ Y ☐ N I agree to my stool sample being stored for future testing.

☐ Y ☐ N I agree to the test for HIV

*The signature of the parent or legal guardian below means that the study has been explained to the
parent or legal guardian and that he/ she agrees that his/ her child or ward may participate.*

Name of parent or legal guardian:

Signature of parent or legal guardian:

Date:

*The signature of the witness below means that another person has observed the consenting of the
parent or legal guardian. The witness must be impartial and not part of the study staff.*

Name of witness:

Signature of witness:

Date:

Name of surveillance officer:

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1708 **FINAL OUTCOME OF PATIENT:**

HOSPITAL PATIENT:	CLINIC PATIENT:
☐ Died	Died in clinic: ☐ Y ☐ N Date of death (dd/mm/yyyy) ____/____/____
☐ Discharged	☐ Discharged
☐ Absconded /RHT	☐ Referred to HOSPITAL, if so name of facility; _____
☐ Referred to step down facility, if so name of facility _____	
☐ Unknown	
Date of discharge/death/RHT /absconded/referred: (dd/mm/yyyy) ____/____/____	
Discharge weight _____ kg(##.##kg)	

1709

1710 **DATA ON PAST HIV STATUS:**1711 1. Prior diagnosis of HIV infection: ☐ Y ☐ N

- 1712 1.1. If yes, date diagnosed (dd/mm/yyyy) ____ / ____ / ____
- 1713 1.2. How was diagnosis made?
- 1714 1.2.1.PCR ☐ Positive ☐ Negative ☐ Not done
- 1715 1.2.2.ELISA ☐ Positive ☐ Negative ☐ Not done
- 1716 2. Current antiretroviral use for HIV treatment: ☐ Y ☐ N ☐ Unknown
- 1717 If yes, date initiated (dd/mm/yyyy) ____ / ____ / ____
- 1718 3. Current Bactrim prophylaxis: Y N
- 1719 If yes date started: (dd/mm/yyyy) ____ / ____ / ____

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