## **CHAPTER FIVE**

## **DISCUSSION AND CONCLUSION**

5.1

#### <u>Oestrogen Receptor $\alpha$ and $\beta$ variants in clinical breast cancer</u>

Oestrogens play a crucial role in a variety of physiologic processes in many different tissues and organs acting predominantly through the two nuclear receptors ERa and ERB. Tissues regulated developmentally by oestrogen include the brain (McEwen et al, 1997), both female and male reproductive organs (Dupont et al, 2000; McPherson et al, 2008) and breast (Cheng et al, 2005b). Oestrogens have also been implicated in the pathogenesis of a number of disease states. ERa expression occurs more frequently in lung tissue of women than men and is displayed at a higher frequency in lung tumour tissue with the major ERa splice variant being ER $\alpha\Delta7$ . ER $\alpha\Delta4$ , ER $\alpha\Delta3+4$  and ER $\alpha\Delta5$  are also expressed in lung tumour tissue. ER $\beta$  is expressed equally in lung tumours of both genders. (Fasco et al, 2002). ER, and more specifically ER<sub>β</sub>, has been shown to be inversely correlated with colon cancer (Slattery et al, 2001; Campbell-Thompson et al, 2001; Wada-Hiraike et al, 2006). In prostate cancer wild-type ERβ expression has been demonstrated to be lost at both the transcriptional and translational levels during tumour progression (Leav et al, 2001; Carruba, 2007) but the Cterminal truncated splice variant of ER $\beta$  (ER $\beta$ cx) is significantly more expressed in high grade prostatic cancers with poor survival (Fujimura et al, 2001). In cancers

of the female reproductive organs ER $\alpha$  mRNA appears to be the main ER isoform expressed in malignant ovarian tumours and ER $\beta$  signaling in the ovary may play a more protective role in tumourigenesis (Lindgren *et al*, 2004; Yap *et al*, 2009). The ER $\alpha\Delta4$  splice variant has been implicated in the progression to advanced stages of ovarian cancer (Park *et al*, 1996) and in both ovarian and endometrial carcinomas the presence of the splice variant ER $\alpha\Delta5$  may be the cause of poor responsiveness to antiestrogen treatment (Bryant *et al*, 2005). The ER $\alpha\Delta7$  splice variant has also been found in moderate- to well-differentiated endometrial adenocarcinomas (Horvath *et al*, 2000). ER $\alpha$  is important in the development of uterine fibroids as an up-regulation of ER $\alpha$  over ER $\beta$  mRNA ratio has been observed during uterine fibroid development (Bakas *et al*, 2008).

ER $\alpha$  variants have been previously identified by a number of researchers in both breast tumours and breast cancer cell lines (Ferguson and Davidson, 1997; Murphy *et al*, 1998). Similarly ER $\beta$  variants have been described although to a lesser degree than ER $\alpha$  (Poola *et al*, 2002a). In this study the ER $\alpha$  variants were assessed according to clinical parameters such as tumour size, nodal involvement, presence or absence of metastases, stage of disease, menstrual status and ER status. The breast tumour samples were also compared with normal breast tissue obtained from women who had had elective breast reduction mammoplasty. As the samples were obtained as FNAs the quantity of available material was small and therefore only a few samples were available for ER $\beta$ analysis. The ER $\alpha$  and ER $\beta$  variants were further evaluated with respect to each other in order to assess whether they had any significant effect on each other. The menstrual status (Table 12) and nodal involvement (Table 9) of the patients were

not significantly influenced by the presence or absence of specific ER $\alpha$  exons, ER $\alpha$  exon variants or ER $\beta$  regions.

Wild type ER $\alpha$ 2 and ER $\alpha\Delta$ 2 were observed simultaneously in the majority of the breast tumours (70%) and normal tissue (62%) examined. X<sup>2</sup> analyses of these results with respect to the clinical parameters tested were not significant indicating that the ER $\alpha\Delta$ 2 variant does not affect the wild type functioning of ER $\alpha$ .

All but one patient in this study expressed the complete ER $\alpha$ 3. In this case ER $\alpha$  $\Delta$ 3 was expressed simultaneously with the complete exon. This black South African premenopausal patient had presented with a Stage 4 infiltrating duct carcinoma of the breast and no information was available on her ERICA status. No significance was detected with respect to race although according to Poola et al (2002c) black women from both the United States and South Africa have breast tumours that are poorly differentiated or undifferentiated. Walker et al (2004) have indicated that, particularly in South African black women, the mean age of admission was young (54.1 +/- 10.9 years) compared to developed countries and that the disease was far advanced with 21.1% at Stage 3 and 63.1% presenting at Stage 4. This late presentation may be due to a lack of education in the population with respect to the disease. In our study other Stage 4 patients, however, did not exhibit ER $\alpha\Delta3$ . The control samples only expressed the entire ERa3. This result is contrary to previous studies by Zhang *et al* (1996) where the variant ER $\alpha\Delta3$  was detected in the majority of ER-positive and PR-negative tumours (90%). Erenburg and coworkers (1997) indicated that breast cancer cells have an increase in ER $\alpha\Delta3$ compared to normal breast epithelium. The PCR primers used in the latter study,

however, were not designed to amplify the complete exon 3 nor were the resulting products sequenced.

In this study the presence ERα3 in its entirety was also observed in both T-47D clones, TCA3 and TCC1. This further indicates that ERα3 does not play a significant role in breast tumourigenesis.

As ER $\alpha$ 4 encodes for the hinge domain as well as part of the ligand binding domain of ER $\alpha$  it plays an important role in influencing the DNA-binding properties of the receptor with the hinge region amino acids playing a critical role in stabilizing DNA binding of the ER (Mader *et al*, 1993). This region also includes the TAF-2 domain required for stimulating the transcriptional activity of the wild-type ER $\alpha$  protein. The variant, ER $\alpha\Delta$ 4, has an in-frame deletion of exon 4 and the ER $\alpha\Delta$ 4 protein, which would be lacking all but four amino acids of the hinge domain and the amino terminal of the ligand binding domain (Pfeffer *et al*, 1993) has been shown to be incapable of binding oestrogen and ERE (Koehorst *et al*, 1994). Although ER $\alpha\Delta$ 4 has lost its DNA- and hormone-binding capability and TAF-2 domain, it has the potential to dimerize with itself or form heterodimers with wild-type ER $\alpha$  resulting in the alteration of the DNA-binding capability of wild-type ER and the depletion of wild-type protein available for transcription activation. The ER $\alpha\Delta$ 4 protein may also alter the function of normal ER $\alpha$  by competing with other factors necessary for gene-specific ER $\alpha$  activity.

All Stage 1 patients expressed ER $\alpha$ 4 and ER $\alpha$ Δ4 simultaneously. The percentage of patients expressing the complete ER $\alpha$ 4 decreased with the increase in stage of

disease and in Stage 4 patients ER $\alpha$ 4 was expressed in only 28% (5/18) of the samples analyzed while the variant, ER $\alpha\Delta$ 4, was expressed in 95% (17/18) of patients. There is thus an indication of statistical significance between presence of ER $\alpha$ 4 and stage of disease (*p*=0.008) as well as presence of metastases (M) (*p*=0.002). No metastases were observed in 72% (31/43) of patients with the complete ER $\alpha$ 4 whereas 77% (13/17) of M1 patients did not express ER $\alpha$ 4 but largely its deletion variant ER $\alpha\Delta$ 4. These results suggest that a higher ER $\alpha$ 4 ratio is associated with low grade breast cancer but metastases at distant sites become more prevalent as the ratio of ER $\alpha\Delta$ 4:ER $\alpha$ 4 increases.

In contradiction to these results it was observed that although ER $\alpha$ 4 and ER $\alpha\Delta$ 4 were expressed simultaneously in 49% (30/61) of the patients the variant ER $\alpha\Delta$ 4 was observed in all of the normal breast samples whereas the complete exon ER $\alpha$ 4 was only present in 23% (3/13) of these samples. This suggests that the ER $\alpha\Delta$ 4 protein plays a role in normal cells perhaps by altering target specificity and thereby modulating ER $\alpha$  concentration which is important in regulating responsiveness to oestrogen. ER $\alpha\Delta$ 4 was observed in 90% (55/61) of diseased patients with the complete ER $\alpha$ 4 present in 59% (36/61) of samples studied. Further investigation into the role of ER $\alpha\Delta$ 4 protein in both breast cancer cells and normal cells may give further insight into the progression of the disease.

ER $\alpha$ 5 encodes part of the ligand binding domain of ER $\alpha$  together with exons ER $\alpha$ 6 and ER $\alpha$ 7. ER $\alpha$ 5 was observed in all diseased and normal tissue analyzed with 57% of patients and 54% of controls coexpressing the ER $\alpha$  $\Delta$ 5 variant. These results are supported by a number of groups as ER $\alpha$  $\Delta$ 5 can form heterodimers

with wild-type ER $\alpha$  and produce a protein that remains constitutively active (Castles *et al*, 1993; Zhang *et al*, 1996). The ER $\alpha\Delta5$  variant and a smaller form, ER $\alpha\Delta5$ plus, which may either be a PCR artifact, a degradation product or a truncated form of ER $\alpha$ , were not found to have any significant bearing on clinical parameters such as tumour size (T), nodal involvement (N), metastatic status (M), stage of disease, or menstrual status. ER $\alpha\Delta5$ , however, was observed as significant (*p*=0.011) with respect to ER status and is present more predominantly in ER $\alpha$  positive patients. As ER $\alpha5$  variants also show no significance with regard to survival or hormone response it may be suggested that variants of the ER $\alpha5$  exon do not play an important role in the progression of disease or in resistance to hormone treatment. However, the ER $\alpha\Delta5$ plus 'truncated' variant was observed in 15% (9/61) of patients, generally in the presence of ER $\alpha\Delta5$ , but not in the control samples.

The ER $\alpha$ 6 wild-type was found to be expressed simultaneously with 80% of patients exhibiting ER $\alpha\Delta$ 5plus. This may be due to the fact that this shortened variant is located at the 5' end of ER $\alpha$  exon 5 flanking ER $\alpha$ 6 again indicating the possibility of it being a PCR artifact or a degradation product. If this is a truncated variant, previously unknown, further investigation into its protein expression is warranted.

Although most previous studies have reported ER $\alpha\Delta6$  as the least prevalent ER $\alpha$  mRNA variant (Poola *et al*, 2000) 15% (9/61) of the patients in this study were observed to lack ER $\alpha6$ , the least prevalent variant being ER $\alpha\Delta3$  which was observed in only one patient. ER $\alpha\Delta6$  was not expressed in the control group. The

ER $\alpha\Delta6$  variant is usually coexpressed with the wild-type suggesting heterodimerization and no correlation with nodal involvement, menstrual status and ER status was indicated. Metastases were observed in 67% (6/9) of patients exhibiting ER $\alpha\Delta6$  compared to 26% (11/51) of patients with wild-type ER $\alpha6$ indicating that increasing presence of variant ER $\alpha\Delta6$  significantly increases metastatic potential of the cells (*p*=0.018). Stage 4 of the disease also showed a significant increase in ER $\alpha\Delta6$  (*p*=0.023) again indicating the potential relevance of ER $\alpha\Delta6$  with regard to the progression of disease. As the number of patients observed with ER $\alpha\Delta6$  was small in this study it would be beneficial to examine a greater patient population to determine whether a true correlation exists between the level of ER $\alpha\Delta6$  protein expression and prognosis. ER $\alpha\Delta6$  was not found to have any correlation with survival or hormone resistance.

The variants ER $\alpha\Delta2$  and ER $\alpha\Delta6$  were found to be statistically significant with respect to each other (Fisher exact *p*=0.007). In this study 80% (49/61) of patients expressed ER $\alpha\Delta2$  with wild-type ER $\alpha6$ . This is probably due to the fact that 97% (59/61) of all patients expressed wild-type ER $\alpha6$ . Only 8% (5/61) had the multiple exon deletion ER $\alpha\Delta2$ -ER $\alpha\Delta6$ . In the control group 77% (10/13) expressed ER $\alpha\Delta2$  and all expressed the wild-type ER $\alpha6$ . All the patients exhibiting ER $\alpha\Delta6$  exhibited ER $\alpha\Delta4$  simultaneously. This indicates the presence of multiple ER $\alpha$  exon deletions which have been found in previous studies (Pfeffer *et al*, 1995; Poola and Speirs, 2001). In contrast, the ER $\alpha\Delta4$  and ER $\alpha\Delta6$  multiple variant was not expressed in the control group. This multiple variant may therefore be significant in the progression of disease which is further indicated by the observation that both ER $\alpha\Delta4$  and ER $\alpha\Delta6$  are significantly indicated with regard to clinical parameters

involved in disease progression. ER $\alpha\Delta 2$  was expressed simultaneously with ER $\alpha\Delta 4$  in all control samples and in 87% (48/55) of patients expressing ER $\alpha\Delta 4$  thus suggesting no significant role for this multiple variant in tumourigenesis. Both of these multiple variants involve the ER $\alpha$  exon 4 deletion and yet in the absence of ER $\alpha 2$  there seems to be no effect with regard to tumourigenesis but with ER $\alpha\Delta 6$  tumourigenesis is indicated and further investigation into the ER $\alpha\Delta 4$ -ER $\alpha\Delta 6$  multiple variant and the expression of its protein may provide a better understanding of its effect on tumour progression.

Other multiple exon deletions of prevalence included ER $\alpha\Delta2$ -ER $\alpha\Delta5$  in 91% (32/35) of patients with ER $\alpha\Delta5$ . In the control group 71% (5/7) of samples with ER $\alpha\Delta5$  expressed this multiple deletion suggesting that it is not involved in tumourigenesis. Similarly multiple deletions ER $\alpha\Delta4$ -ER $\alpha\Delta5$  in 94% (33/35) of patients and in all controls exhibiting ER $\alpha\Delta5$ ; ER $\alpha\Delta2$ -ER $\alpha\Delta7$  in 86% (48/56) of patients and 75% (9/12) controls exhibiting ER $\alpha\Delta7$ ; and ER $\alpha\Delta4$ -ER $\alpha\Delta7$  in 93% (51/55) of patients and in all controls exhibiting ER $\alpha\Delta6$ -ER $\alpha\Delta7$  are not indicated in tumourigenesis. The multiple deletion ER $\alpha\Delta6$ -ER $\alpha\Delta7$  may be involved in tumour progression as while it is observed in 89% (8/9) of patients with the ER $\alpha\Delta6$  deletion it is not observed in the control group. This may again be a reflection on ER $\alpha\Delta6$  itself which is not found in the control group as discussed previously.

The ER $\alpha\Delta7$  variant was observed in 90% (55/61) of the patients and in 85% (11/13) of controls examined. As has been previously reported ER $\alpha\Delta7$  was the most frequently observed variant (Zhang *et al*, 1996; Poola *et al*, 2000). Wild-type ER $\alpha7$  was expressed simultaneously with ER $\alpha\Delta7$  in 69% (42/61) of patients and in 77%

(10/13) of controls suggesting the formation of heterodimers. The ER $\alpha\Delta$ 7 variant showed no significance with respect to any of the clinical parameters analyzed but seems to show the dominant negative activity described by other researchers (Fuqua *et al*, 1992; Garcia-Pedrero *et al*, 2003) as the presence of both wild-type ER $\alpha$ 7 and ER $\alpha\Delta$ 7 are indicated in increased tumour size. The presence of the ER $\alpha\Delta$ 7 variant did not show any significance with regard to hormone response as has been postulated by other groups (Fuqua *et al*, 1992).

Due to the small sample size obtained by FNA ER<sup>β</sup> variants could not be examined in as much detail as the ER $\alpha$  variants. The two domains of ER $\beta$ , namely the DNA binding domain and the ligand binding domain were PCRed but not each exon individually. It has been suggested that the relative expression levels of ERß versus those of ER $\alpha$  decrease during tumourigenesis (Leygue et al, 1998) and that this loss is due to promoter methylation in breast cancer cells (Rody et al, 2005; Zhao et al, 2003). This factor may also contribute to the difficulty of visualizing minute quantities of ER<sup>β</sup> RT-PCR product. Both ER<sup>β</sup>DBD and ER<sup>β</sup>LBD showed no significance with respect to the clinical parameters assessed but these results are not truly representative as only 28 of the 61 patient samples had sufficient RNA to PCR for the ERBDBD and only 26 of the original 61 patient samples were assessed for the ER<sub>β</sub>LBD. The samples tested for ER<sub>β</sub>DBD and ER<sub>β</sub>LBD were not always from the same patients. The control group had a similar situation with very little or no material available for ERβ analysis. A more comprehensive study of the ratio of ERa:ERB variants should be performed in order to gain a better understanding of tumour progression and the effects of the two types of ER. As promoter methylation is frequently observed in cancer (Garinis et al, 2002), the

loss of ER $\beta$  expression may be an indicator of breast cancer development (Chang *et al*, 2006) and ER $\beta$  may act as a tumour suppressor gene.

5.2

#### ERα variants and ER status assessment

ER status refers to the presence of ERa within the cells. The Abbott ER-ICA Monoclonal kit (Abbott Diagnostics, West Germany), one of the methods used for assessing ER status, utilizes the monoclonal antibody H222 which is of rat origin and is directed against the functional section of the ERa, coded for in ERa5 (Greene and Jensen, 1982). Another antibody which may be used for ER status assessment is DAKO<sup>®</sup> monoclonal mouse anti-human ERα Clone 1D5 (DAKO Corporation, CA, USA) which is directed to the N-terminal end of the ER (AI Saati et al, 1993). It is important to know which antibody is being utilized in these assessments as this may affect the success of treatment. In this study all the ERa positive samples, according to ER immunocytochemical tests, contained the complete ER $\alpha$ 2 exon. This was largely simultaneous with ER $\alpha$  $\Delta$ 2 expression but the presence of the complete ERa2, which is located at the N-terminal end of the ER and is partially responsible for coding the DNA binding domain of ER $\alpha$ , would be required for recognition by the DAKO<sup>®</sup> monoclonal ER immunocytochemical assay. These ER immunocytochemical tests were done by the routine pathology laboratory at the Charlotte Maxeke Academic Hospital in Johannesburg using the DAKO<sup>®</sup> monoclonal antibody.

The wild type ERa2 was also associated significantly with the presence of the complete ER $\alpha$ 4 exon (Fisher exact p=0.005) and the ER $\alpha$  $\Delta$ 5 deletion variant (Fisher exact p=0.042). As ER $\alpha$ 4 also codes for part of the DNA binding domain as well as the hinge and part of the ligand binding domain of ERa it is not surprising that the presence of ER $\alpha$ 4 also showed significance with respect to ER $\alpha$ status (p=0.046). It is interesting to note that the deletion variant ER $\alpha\Delta5$  was observed as significant (p=0.011) in ER $\alpha$  positive patients (77% (20/26) of ER $\alpha$ positive patients as compared to 38% (9/24) of ER $\alpha$  negative patients). ER $\alpha\Delta5$  was not observed in 63% (15/24) ERa negative patients. This is an indication that ER $\alpha\Delta 5$  is present more predominantly in ER $\alpha$  positive patients as recognized by the DAKO® monoclonal antibody. Had the antibody used for immunocytochemical analysis of ERa been directed towards the ligand binding domain, such as the H222 monoclonal antibody, the ER status of the tumour may have been reflected as ER-negative. This again emphasizes the importance of the manner of ER status testing and the knowledge of the monoclonal antibody used as ER status often determines the type of treatment prescribed. This may also reflect on the observation of hormone responsiveness and development of hormone resistance. Both the DBD and LBD should be assessed with respect to ERα status in order to gain a comprehensive overview.

# <u>The effects of environmental factors on oestrogen</u> <u>responsiveness, ERα and ERβ expression in T-47D clones</u>

The development and progression of cancer has long been ascribed to the clonal evolution of neoplastic cells (Nowell, 1976; Nowell, 1986; Shackney et al, 1989). In a model of breast cancer development normal ductal epithelium evolves to typical hyperplasia to atypical hyperplasia to carcinoma *in situ* to invasive carcinoma and, finally, to metastatic carcinoma (Dupont and Page, 1985). There is much evidence to support the hypothesis that oestrogens are important as they act as potent mitogens for normal breast epithelial cells and that the duration of breast epithelium exposure to oestrogen is a significant risk factor for breast cancer development. (Fugua et al, 2000). The evolution of spontaneous variants within breast cells may, however, give rise to the progression of tumours that respond differently to hormones. In this study the cloning of T-47D cells resulted in five clones of which two were chosen for further study. These clones differed in their oestrogen responsiveness with the TCA3 parent clone exhibiting strong oestrogen and tamoxifen responsiveness. The TCC1 parent clone was only slightly oestrogen responsive and tamoxifen resistant. The ERa profiles of each of these clones also varied. Initially, TCA3 exhibited ER $\alpha\Delta2$ , ER $\alpha\Delta5$  and ER $\alpha\Delta7$ simultaneously with their corresponding complete exons while TCC1 did not. In fact, the only exon deletion occurring within the TCC1 parent clone was ER $\alpha\Delta4$ . ERß expression has been shown to be low in T-47D breast tumour cells as compared with ERα (Ström *et* al, 2004). This was confirmed as ERβ expression in both clones remained low and unaltered throughout the experiment.

After ten passages under varying conditions both clones were reassessed. The TCA3 control cells remained unchanged with regard to ERa profiles. The cells remained oestrogen and tamoxifen responsive but it was observed that after 48 hours of growth with oestrogen followed by treatment with tamoxifen that there was an increase in cell proliferation indicating possible tamoxifen agonistic behaviour. Growth of TCA3 cells in 10<sup>-8</sup>M oestradiol, tamoxifen, androstene-dione, oestriol, and cholesterol respectively all expressed similar growth patterns and ERa profiles as the parent clone. Growth of TCA3 and TCC1 cells in 10<sup>-8</sup>M aminoglutethimide for ten passages showed similar hormone responses but TCA3 cells showed the complete loss of ERa exon 4 under these conditions. The nonsteroidal aromatase inhibitor, aminoglutethimide, binds reversibly to the haem portion of the enzyme, aromatase, thus interfering with the final step in the synthesis of oestrogen. ERa exon 4 constitutes the hinge domain of ERa, which contributes flexibility to the DNA- versus the ligand binding domain of the gene. The absence of this area of the gene, which influences the ability of the receptor to bind to DNA and ligand, thus lacks oestrogen-induced transcriptional activity and it is therefore not surprising that this did not affect the oestrogen responsiveness of the cells or their sensitivity to tamoxifen. It may therefore be proposed that ER $\alpha\Delta4$ plays no role in the development of tamoxifen resistance and that the presence of any of the oestrogen metabolites examined does not affect the genetics of the TCA3 cells.

The presence of 10<sup>-8</sup>M tamoxifen was observed to slow down the growth of the TCA3 clone and 10<sup>-8</sup>M cholesterol caused a change in phenotype with the cells becoming rounder and unable to attach and thus did not survive long enough to

perform oestrogen response tests possibly due to other factors involved with cholesterol metabolism. In all cases ER $\alpha$ 3 and ER $\alpha$ 6 were always expressed as complete and therefore seem unlikely to contribute to oestrogen responsiveness in the TCA3 clone.

Cholesterol and androstendione are oestrogen precursors, their presence within the growth medium increasing the concentration of oestrogen in the cells and thus stimulating cell growth. In the TCA3 clones this stimulation of growth was greater than the growth observed in medium containing  $17\beta$ -oestradiol due to increased concentration of oestrogen present. Oestriol is a weak oestrogen metabolite which has also been questioned with regard to stimulation of breast cancer cells. In this study the presence of oestriol in the medium showed slightly lower growth stimulation than that of androstenedione. Oestriol, in this case, increases breast cell growth.

The TCC1 control cells, after ten passages, showed greater sensitivity to tamoxifen than the parent clone. This occurred in conjunction with the appearance of ER $\alpha\Delta 2$ , ER $\alpha\Delta 5$  and ER $\alpha\Delta 7$ . These exon deletions occurred simultaneously with the presence of their respective complete exons. It seems, therefore, that a heterozygous genotype confers greater sensitivity to tamoxifen in the T-47D cell line.

Growth in  $10^{-8}$ M oestradiol over 10 passages indicated less tamoxifen sensitivity and also exhibited the greatest growth stimulation. This coincided with the homozygous expression of ER $\alpha$ 7. This was again reflected in TCC1(10) cells

grown in 10<sup>-8</sup>M tamoxifen, aminoglutethimide, androstenedione, oestriol and cholesterol respectively. All these conditions exhibited tamoxifen resistant phenotypes which are observed in some clinical patients after prolonged treatment with tamoxifen.

ER $\alpha$  exon 7 is located in the hormone binding domain of the ER $\alpha$  gene. This domain forms a hydrophobic pocket which binds hormone specifically and masks the DNA-binding domain when unoccupied thus preventing transcription. The presence of tamoxifen should block the conformational change in the ER required to activate transcription. Growth with prolonged exposure to tamoxifen, however, may cause some change within this pocket that exposes the DNA binding domain thus activating transcription. The selective pressure leading to hormone resistance is triggered by the dual factors of genetic instability and mutations in genes that regulate growth (Graham *et al*, 1990). It may thus be suggested that a heterozygous ER $\alpha$ 7/ER $\alpha$  $\Delta$ 7 genotype could be responsible for greater tamoxifen sensitivity and that further investigation into ER $\alpha$  exon 7 and tamoxifen resistance is warranted.

5.4

### CONCLUSIONS AND FUTURE DIRECTIONS

Menstrual status and nodal involvement (N) are not affected by variants of ER $\alpha$  or ER $\beta$  genes. ER status in this study relies on the complete expression of ER $\alpha$ 2 and ER $\alpha$ 4 to encode a protein that is recognized by the mouse antibody, Clone 1D5 (DAKO Corporation, CA, USA), in order to bind to the oestrogen receptor in the

immunocytochemical assay. The method and the monoclonal antibody used to assess ER status are therefore important with regard to clinical treatment implemented.

In this study the presence of ER $\alpha\Delta 2$  did not affect the wild-type functioning of ER $\alpha$  in patients or tissue culture cells. Contrary to previous studies ER $\alpha\Delta 3$  was the least prevalent variant observed and was not found to have a role in tumourigenesis. ER $\alpha$ 5 and ER $\alpha\Delta$ 5 were present largely as heterodimers and although ER $\alpha\Delta$ 5 was more prevalent in ER $\alpha$ -positive patients there was no significance with respect to progression of disease or response to hormone treatment. ER $\alpha\Delta$ 5 was present in both T-47D clones examined after 10 passages although not in the parent TCC1 clone. The ER $\alpha\Delta$ 5 plus fragment was considered to be a PCR artifact or degradation product due to its consistent presence with ER $\alpha$ 6.

Although the presence of ER $\alpha\Delta4$  in normal cells suggested that the ER $\alpha\Delta4$  protein plays a role in normal cells perhaps by modulating ER $\alpha$  concentration, important in regulating responsiveness to oestrogen, an increase in the ratio of ER $\alpha\Delta4$  variant: wild-type ER $\alpha4$  indicated an increase in metastatic potential of diseased tissue. As cell culture models may mimic the natural progression of solid tumours these *in vivo* results are interesting when compared the ER profiles of T-47D tissue culture clones, TCA3 and TCC1. ER $\alpha4$  and ER $\alpha\Delta4$  heterodimers were found to be present in both T-47D clones and after 10 passages the TCA3 clone grown in  $10^{-8}$ M aminoglutethimide indicated a complete loss of ER $\alpha4$  although hormone responsiveness was unaltered. ER $\alpha\Delta4$  may play no role in the acquisition of

tamoxifen resistance but further investigation of this variant may provide a better understanding of the progression of the disease.

Another exon variant of particular interest was ER $\alpha\Delta6$ . Previous studies have shown this to be the least prevalent variant but, although it was not found to be present in the T-47D clones or in the control breast mammoplasty group, 15% of patients in this study were observed to lack ER $\alpha6$ . There was an indication of ER $\alpha\Delta6$  influencing metastatic potential and progression of disease and it would be of interest to study the affects of this variant in greater detail and with a larger patient population.

The ER $\alpha\Delta7$  variant was the most frequently observed variant, as has been described by many groups (McGuire *et al*, 1991; Zhang *et al*, 1996; Poola *et al*, 2000). The presence of this variant did not show any significance with regard to hormone response *in vivo*. In the more tamoxifen resistant TCC1 clone, however, the presence of ER $\alpha\Delta7$  together with the complete exon ER $\alpha7$  conferred a greater sensitivity to tamoxifen. Investigation into this variant both *in vivo* and *in vitro* may provide further information on the acquisition of hormone resistance in breast carcinoma.

Multiple exon deletions, particularly the ER $\alpha\Delta4$ -ER $\alpha\Delta6$  variant, may also be significant with regard to disease progression and should be studied further.

In this study ER $\beta$  was not studied in sufficient depth mainly due to the lack of sample material. In order to make a valuable assessment of ER $\beta$  variants and

their potential relationships with ER $\alpha$  variants the ER $\alpha$  variants of particular interest, such as ER $\alpha\Delta4$ , ER $\alpha\Delta6$  and ER $\alpha\Delta7$ , should be studied more comprehensively with specific ER $\beta$  variants.

The *in vitro* study also confirmed the effects of oestrogen on the growth of breast cells. Growth in the oestrogens, oestradiol and oestriol, as well as in oestrogen precursors, androstenedione and cholesterol, over 10 passages exhibited growth stimulation in both T-47D clones.

Another factor, which affected the survival data, is the problem of patient follow-up. In Africa, particularly, patients are often unable to reach hospitals for follow-up treatment and this may give skewed results as far as survival analysis is concerned. This problem may be addressed by restricting the study to patients that are able to comply over the study period although this may also not be truly representative of the population.

Cancer evolves from a combination of genetic and epigenetic abnormalities resulting in dysregulated gene expression and function with the most common epigenetic modifications being increased methylation of CpG islands within gene promoter regions, which play an important role in controlling gene expression, and deacetylation and or methylation of histone proteins, which govern the structural status of chromatin and thus the transcriptional status of genes within a particular locus (Ellis *et al*, 2009). These modifications therefore affect chromatin structure and transcription of protein encoding mRNAs. The loss of ER $\alpha$  from initially ER $\alpha$ -

positive breast tumours may be due to such modifications (Giacinti *et al*, 2006; Herynk and Fuqua, 2007).

MicroRNAs (miRNAs), which are short, non-protein-coding RNAs of 20 to 25 nucleotides in length, are also known to alter gene expression at a posttranscriptional level, miRNAs lower protein levels by repressing target mRNA translation and/or by inducing the degradation of the mRNA (Filipowicz et al, 2008) by binding to the 3' untranslated region (UTR) of target mRNAs. There are a number of studies documenting the miRNA profiles of breast tumours (lorio et al, 2005; Calin and Croce, 2006; Sempere et al, 2007; Verghese et al, 2008). As ERa mRNA has a long 3' UTR of about 4.3 kb it has been shown to be a target of miRNAs that repress ERa expression leading to a reduction in oestrogen signalling (Pandey and Picard, 2009). miRNA transcript signatures predictive of ER status have been generated from microarray analysis (Lowery et al, 2009) and include biologically relevant miRNAs that have previously been identified as dysregulated in breast cancer (Mattie et al, 2006; Blenkiron et al, 2007) and other cancers (Cheng et al, 2005a; Schetter et al, 2008; Huang et al, 2008). They are also involved in the regulation of cell functions such as growth, apoptosis, migration, and invasion. miRNA expression may be affected by genetic abnormalities as they are frequently located in chromosomal regions characterized by nonrandom abberations in human cancer (Calin et al, 2004). Whether ERa exon deletions have any effect on miRNA expression or vice versa have yet to be examined.