# EARLY RECOVERY PROFILES OF LANGUAGE AND EXECUTIVE FUNCTION IN BILINGUAL PERSONS DURING THE FIRST TWELVE WEEKS POST BRAIN INJURY

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## **DECLARATION**

Nancy Barber, hereby declare that this is entirely my own work and that it has not been submitted as an exercise for the award of a degree at this or any other University. I
acknowledge that I am responsible for the text of this study and all conclusions reached.
Nancy Barber (November 2015)

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"My grace is sufficient for you, for my power is made perfect in weakness."

2 Corinthians 12:9

#### **ABSTRACT**

**Background:** The nature, rate and pattern of recovery in bilingual persons following brain damage has been investigated over many years but several controversies remain. Recent evidence suggests that the relationship between executive function (EF) processes and language recovery may be distinct in bilinguals. An improved understanding of such underlying linguistic and cognitive processes may enhance assessment and treatment particularly in the acute phase. There is limited knowledge regarding how these processes interact in the acute phase and there remains little guidance as to the choice of an appropriate assessment battery for bilinguals. In the South African context, bilingual persons with a brain injury are often treated as monolinguals due to the language challenges and the lack of standardised assessments. Thus there is a need to develop a simple, effective battery which is able to differentiate aetiologies, is sensitive to recovery processes, and in a multicultural and multilingual context is able to distinguish normal from pathological profiles.

**Aims:** The research study aimed to identify an assessment battery for language and EF that is sensitive to etiology and the recovery process for South African bilingual persons who have had a neuronal insult. It also aimed to evaluate the linguistic and executive function skills of bilingual patients with acquired neurological communication disorders (ANCD) at two time periods within the first 12 weeks post injury. A further aim was to profile the recovery of bilingual persons with ANCD in the acute recovery phase according to etiology (Right CVA, left CVA and TBI).

**Method:** A multivalent comparison study with a longitudinal component was conducted at two acute rehabilitation centres. A convenience sample of 29 bilingual, second language English speaking participants (19 with a cerebral vascular accident (CVA) and 10 with a traumatic brain injury (TBI)) were assessed at two time periods within the first 12 weeks post injury. They were assessed using the Comprehensive Aphasia Test (CAT) and a nonverbal EF battery. The nonverbal battery comprised tasks to assess updating (n-back task), mental shifting (number-letter task; Wisconsin Card Sorting test), and inhibition (Victoria Stroop; Tower of Hanoi). A control group of 19 neurologically intact bilingual, second language English speakers who were matched according to age and education level were assessed employing the same battery. The control group completed an initial assessment and then were reassessed six weeks later.

Results: The CAT was found to be a suitable assessment measure when assessing bilingual, second language English speakers in the South African context. A between- group analysis identified statistically significant differences between etiologies (including the control group) for language assessment as well as the EF assessment, indicating the battery was able to differentiate normal from pathological individuals. While most of the test battery was found to be suitable for the participants, the Tower of Hanoi and the number-letter task were deemed inappropriate for the population and the cultural context. Overall the battery of tests distinguished between aetiologies, testing period (first and second) and pathological from normal individuals. It was found that this battery was appropriate for a variety of cultural groups. A within- group analysis determined that there were unique profiles of language and EF skills according to etiology and that different profiles of change emerged according to each etiology for both language and EF subtests.

**Discussion:** The streamlined battery that was found to be beneficial and sensitive to the multicultural and multilingual nature of South Africa comprised the CAT as the language assessment and the n-back task (updating), Victoria Stroop (inhibition) and WCST (shifting) comprised the EF assessment battery in the acute phase. This study confirms prior research on recovery processes in language across the three aetiologies but also highlights changes in

excutive functioning which may offer some explanations for differential recovery profiles. The results highlighted that inhibition may be a preserved bilingual advantage in participants with a right CVA or TBI. However, it was a deficit in participants with a left CVA. The role of inhibition may support the decision making process with regards to the language for therapy. Thus the EF profiles may also assist a clincian to determine whether to undertake monolingual or bilingual therapy There were also distinct relationships between language skills and EF skills for each etiology according to time frame. This provided insight into the interactions between language and EF during the acute phase of recovery. Knowledge of the specific EFs that interact with language recovery per etiology can assist a clinician in providing effective therapy in the acute phase that complies with neuroplasticity principles.

**Conclusion:** Language assessment and treatment in the acute phase needs to be provided in combination with an understanding of recovery patterns, what is driving that pattern, and which cognitive deficits are contributing to the language behaviour. In addition clinicians need to be aware of the impact of updating, shifting and inhibition in a bilingual person as well as the role bilingual advantage may have in decision making for therapy, the recovery process and as a possible tool to support the therapeutic process.

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### LIST OF ABBREVIATIONS

AAC- Alternative and augmentative communication

AIDS- Acquired immune deficiency syndrome

ANCD- Acquired neurological communication disorders

BAT- Bilingual Aphasia Test

CAT- Comprehensive Aphasia Test

CHI- Closed head injury

CVA- Cerebral vascular accident

EF- Executive function

HIV- Human Immunodeficiency Virus

L2- Second language

RM ANOVA- Repeated measure analysis of variance

SLP- Speech-language pathologist

TBI- Traumatic brain injury

ToH- Tower of Hanoi

VicStroop- Victoria Stroop

WCST- Wisconsin Card Sorting Test

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## Chapter 1

#### Introduction

This study investigated the relationship between executive function and language in South African bilingual persons in the first twelve weeks subsequent to a brain injury. This study arose as the researcher was a speech-language clinician assessing and providing therapy to bilingual patients in an acute rehabilitation hospital in Johannesburg, South Africa. Concerns surfaced regarding the nature of linguistic and non-linguistic assessment methods of bilingual second language English-speaking patients in the acute phase. Patterns of recovery in linguistic and non-linguistic skills in bilinguals in the acute phase subsequent to injury were also of interest as there appeared to be limited knowledge pertaining to bilinguals in this area for both speech-language therapists and other team members such as neuropsychologists. Thus the researcher wanted to determine an effective and economical battery to use on South African bilingual patients in order to assess their linguistic and non-linguistic skills. Further investigation into the recovery pattern in the acute phase, as well as the interactions between the linguistic and executive functions in the acute phase, was deemed necessary. This investigation was necessary in order to facilitate the complex therapeutic decision-making process required for providing language therapy to South African bilinguals with an acquired neurological communication disorder in the acute phase.

A larger percentage of the world is bilingual (Kecskes, 2010) and South Africa is a largely multilingual and multicultural nation (Penn, 2014). In South Africa there are eleven official languages including: Afrikaans, English, isiNdebele, Northern Sotho (Sesotho sa Leboa), Sesotho, SiSwati, Setswana, Xitsonga, Tshivenda, isiXhosa and isiZulu. A significant percentage of South African children speak at least two languages from birth and this use of multiple languages makes a large majority of the South African population bilingual or multilingual (Raidt, 1999). A further contribution to the multilingual status of the South African population is that a large majority of South Africans who are competent in one (or more) of the other official eleven languages, and learn English and Afrikaans as additional languages for educational, political and economic reasons (Mukhuba, 2005).

Cerebral vascular accidents (CVA) and traumatic brain injuries (TBI) are common within the South African context (Conner & Bryer, 2006; Schneider, Claassens, Kimmie, Morgan,

Sigamoney, Roberts & McLaren, 1999). Acquired neurological communication disorders (ANCD) arise from CVA and TBI and in addition to these communication disorders, executive functions are often compromised (Murray, 2012; Zinn et al., 2007; Purdy, 2002; Boelen et al., 2009; McDowell, Whyte & D'esposito, 1997; Tate, 1999). These communication deficits have specific presentations and symptoms that occur in bilingual persons. The nature of these deficits will be delineated in Chapter 2.

Historically in South Africa, there was racial discrimination and separation, leading to inequities for housing, education, economic employment and health (Penn, 2014). When apartheid ended there was a political change in South Africa, which focused on human rights instead of racial and sexual discrimination (Chopra, Lawn, Sanders, Barron, et al., 2009). In the health sector, political change aimed to decrease the inequalities in health and healthcare services. However, despite high health care expenditure and many supportive policies, South Africa continues to have poor health output and outcomes (Chopra et al., 2009). The impact of apartheid in conjunction with the current difficulties in the healthcare system has led to unequal allocation of resources.

Availability of and access to healthcare services continues to be unequal for various individuals and population groups (Penn, 2014). Kathard and Pillay (2013) postulated that in South Africa, the speech-language pathologist (SLP) to population ratio is 1: 25 000. Whilst in other countries like the US, UK, Canada and Australia, the SLP to population ratio ranges from 1: 2 500 to 1: 4 700 (Wylie, McAllister, Davidson & Marshall, 2013). This ratio therefore highlights that there are limited professional resources with serious under resourcing in South Africa. Due to earlier disparities in education and healthcare systems, most healthcare professionals are not fluent in local African languages (Penn, 2014). In the healthcare sector, English and Afrikaans are the two most prominent languages spoken (Penn, 2014). Even when a patient and a healthcare professional are linguistically and culturally matched, the interaction does not necessarily occur in the first language of the patient (Penn, 2014). It was identified that 95% of SLPs in the South African context speak English as a first language (Kathard & Pillay, 2013). In South Africa, it is not unusual for speech-language therapy to occur in a patient's second or third language (Penn, 2014). Pillay (2013) identified that SLPs in the South African context need to develop skills to manage the

cultural and linguistic diversity of South Africa. Therefore it is important to identify methods for SLPs to assess and manage bilingual patients incorporating the SLPs language limitations.

Furthermore, the acute phase is of interest in the South African context as limited patients have access to post-acute rehabilitation subsequent to a stroke or TBI (Connor & Bryer, 2006). Holland and Fridriksson (2001) define the acute phase of recovery in patients subsequent to brain injury as the first three months subsequent to the injury. Meyer et al (2010) hypothesise that the first 90 days subsequent to a stroke is an essential period for neuronal changes to occur as part of the neuroplasticity inherent to spontaneous recovery. The acute phase of recovery post injury is of interest within the South African context due to limited services and limited access to these services. This limited post-acute rehabilitation is due to difficulties accessing services and is also often due to significant travelling distances required in order to receive rehabilitation (Connor & Bryer, 2006). Thus many patients are lost after discharge from the acute hospital and cannot access rehabilitation services. Therefore it would be helpful to have increased knowledge about the acute phase and how to assess and treat patients in this phase. Increased knowledge of the recovery pattern would assist with therapeutic interventions. Internationally there is a trend towards very early intervention (see Godecke, Ciccone, Granger, Rai et al., 2014; Foster, Worrall, Rose & O'Halloran, 2013) so SLPs need to understand the processes and the underlying nature of recovery in order to provide effective therapy.

The relationship between language and executive functions in bilinguals in the acute phase post brain injury is of interest. Executive functions (EF) are essential in everyday communicative environments. During communication, it is necessary for communicative success that individuals attend to their communication partner, communicate information in an appropriately sequenced manner, monitor the conversation and shift strategies as the conversation requires (Ramsberger, 1994). EF is often impaired in persons with neuronal lesions and therefore assessment and treatment of these deficits is vital (Martin, Kohen, Kalinyak-Fliszar, Soveri & Laine, 2012). Inclusion of EF tasks during an assessment enables a clinician to have a more detailed description of linguistic and cognitive deficits that are influencing language function (Martin et al., 2012).

Even individuals with mild impairments who may not have linguistic deficits on formal tests, may have EF deficits that are observed in conversational discourse breakdowns (Hunting-Pompon, Kendall & Moore, 2011) and thus it is important to assess for these potential deficits. In individuals with severe forms of aphasia, a clinician may need to take into account EF skills for successful intervention using alternative and augmentative communication (Nicholas, Sinotte & Helm-Estabrooks, 2005; Purdy & Koch, 2006). EF skills have been linked to treatment predictions as well as the consideration of treatment materials and methods (Ralph et al., 2010). When assessing the EF of persons with significant language comprehension deficits, severe expressive aphasia or apraxia of speech, the clinician needs to be mindful that performance may reflect the person's linguistic and speech deficits as opposed to their EF skills. It is therefore important to consider methods to assess EF that are not completely skewed by the speech and/or language deficits of the patient (Purdy, 2002).

Research indicates that the lifelong experience of a bilingual in controlling attention to two languages may be influential in the reorganisation of specific brain networks as well as a possible basis for effective executive control (Bialystok, Craik & Luk, 2012). This control may promote improved cognitive performance sustained throughout one's lifespan (Bialystok, Craik & Luk, 2012). There is documented evidence that throughout the lifespan, bilingualism may have a positive effect on executive functioning (Bialystok, Craik & Freedman, 2007; Bialystok, Craik & Luk, 2012; Bialystok & Feng, 2009; Costa, Hernandez & Sebastian-Golles, 2008). There is also evidence that not only is there possible bilingual advantage throughout the normal life span but also when there is a brain insult. For example research by Penn, Frankel, Watermeyer and Russell (2010) indicated that there may be some cognitive reserve in bilingual patients who have had a cerebral vascular accident, thus altering the effect of a stroke on their communication skills and positively influencing their communication skills at a conversational discourse level. A case studied completed by Davis and Harrington (2012) also showed some evidence for bilingual advantage in aphasia.

There is a paucity of literature with regard to the relationship between executive functions and language as well as the recovery patterns of language and executive functions in the acute phase of a bilingual person who has sustained a CVA or TBI. The research that has been completed has been in a first world setting and not in a linguistically unique setting like

South Africa. In addition the research in the acute phase tended to investigate either language recovery (Godecke et al., 2014; Foster et al., 2013) or executive function (Zinn et al., 2007). Aerts et al. (2015) evaluated the changes in language and neurophysiology in the acute phase of a monolingual patient with aphasia. They observed general improvements in language marked by behavioural and neurophysiological outcomes when intensive therapy was provided to the patient as opposed to conventional therapy. There are no known studies investigating acute recovery patterns in both language and EF in bilinguals with acquired neurological communication disorders (ANCD).

Within the South African context, there are concerns with regard to neuropsychological testing. The concerns include the use of outdated tests that are culturally and linguistically inappropriate, as well as the need to consider how to accommodate diversity in terms of language, educational background and socioeconomic status when developing and administering psychological tests (Laher & Cockcroft, 2014). These concerns highlight that SLPs require an assessment battery for EF and language that is appropriate for the multicultural and multilingual nature of South Africa.

### Hence considering:

- (1) the political history of South Africa and its impact on current healthcare service delivery in speech-language therapy;
- (2) the importance of the acute phase in the South African context for providing effective speech-language therapy;
- (3) the role of bilingualism in ANCD;
- (4) the need for culturally and linguistically appropriate assessment battery;
- (5) the need for a test battery that can differentiate normal from pathological in English second language speakers in the South African context;

This study aimed to determine the validity and effectiveness of a language assessment and a non-verbal EF battery for bilingual second language English speakers in the South African context. The sensitivity of the battery to the recovery process, etiology and distinguishing normal from pathological was investigated. This research also aimed to explore the relationship between language skills of bilingual persons with acquired neurological

communication disorders (ANCD) and executive functions (EF) and investigate the recovery patterns observed in the acute phase in the bilingual South African population.

In addition this exploratory research aimed to evaluate the use of the Comprehensive Aphasia Test (CAT, Swinburn, Porter & Howard, 2005) in the bilingual South African population. This assessment has been developed to assess the language capabilities of a person with aphasia, to screen for associated cognitive deficits, and to assess the impact of the aphasia on the person's lifestyle and emotional state (Howard, Swinburn & Porter, 2010). The authors of the CAT state that it is a standardised assessment measure which is based on current psychological and linguistic theory (Howard, Swinburn & Porter, 2010). The reasons for selection of the CAT will be delineated further in Chapter 2. Determining the practical application of this assessment in the bilingual second language English-speakers of the South African population would have clinical benefits for SLPs. This would be a useful tool to aid the assessment of bilingual patients in a standardised way and thus provide a platform from which to formulate a therapy plan.

## **Chapter 2**

#### Literature review

The literature review will define cerebral vascular accident (CVA) and traumatic brain injury (TBI) within the South Africa context. The role of past and current inequalities in the South African health care system on service delivery for those with an acquired neurological communication disorder will then be delineated. The acute phase subsequent to brain injury will be discussed with regard to the South African and the international context. Executive functions will be defined and neuropsychological testing of EF in the South African context will be explored. The EF deficits observed in CVA and TBI will be delineated. Bilingualism will be defined and the recent literature regarding the controversy of the bilingual advantage in executive functions will be explored. The language skills of a bilingual person who has an ANCD will be described as well as the assessment and treatment controversies thereof. The selection of the Comprehensive Aphasia Test (CAT, Swinburn, Porter & Howard, 2005) as the language assessment measure will be discussed. The discussion of these points will provide a rationale for this research study.

#### 1. Cerebral Vascular Accident

The Southern African Stroke Prevention Initiative (SASPI) published the first stroke prevalence study in South Africa. A crude prevalence of 300/100 000 was established with a higher prevalence in females (348/100 000) than males (246/100 000) (Connor & Bryer, 2006). CVA has also been established at the fourth most common cause of death in South Africa with a rate of 124.9/100 000 (Bradshaw et al., 2003).

CVA is a heterogeneous condition which consists of two different types- haemorrhagic stroke and ischemic stroke. A haemorrhagic stroke occurs due to a blood vessel rupturing within the skull (Mloch & Metter, 2001). The haemorrhage can occur in the parenchyma of the brain, the subarachnoid space or the subdural space (Mloch & Metter, 2001). Symptoms of an intraparenchymal haemorrhage are a result of the mass displacement of the brain, increased intracranial pressure and tissue destruction at the site of the lesion (Mloch & Metter, 2001). Clinical features of a haemorrhagic stroke are dependent on the type and location of the haemorrhage (Mloch & Metter, 2001). An ischemic stroke occurs when there is complete or partial occlusion of the arteries. Early after an ischemic stroke the deficits are due to damaged

focal neural areas as well as low blood flow to surrounding neural regions (Lee, Kannan & Hillis, 2006). The clinical deficits observed subsequent to an ischemic CVA are due to infarcted tissue (that will never recover) and tissue of the ischemic penumbra (that has the potential to recover) (Lee, Kannan & Hillis, 2006).

Causes of stroke and aphasia within the South African context include hypertension, diabetes mellitus and human immunodeficiency virus / acquired immune deficiency syndrome (HIV/AIDS) (Connor & Bryer, 2006). If a person has HIV/AIDS then they have an increased risk for a stroke. Tipping, de Villiers, Wainwright, Candy and Bryer (2007) studied a group of stroke patients in Cape Town, South Africa. Six percent of the stroke patients were HIV positive with the majority of these patients being less than 46 years old and they presented with an ischemic stroke. Mochan and Modi (2003) also identified that there was a high incidence of cerebral infarcts in persons who were HIV positive. The mean age for their study was 32.1 years, indicating that strokes are occurring in younger populations as a result of their HIV status. Due to the high prevalence of HIV/AIDS and its associated conditions in South Africa it is important that research is conducted regarding this condition as clinicians in the South African context will be required to provide therapy for those who have had a CVA.

Research has revealed that recovery subsequent to a CVA varies considerably between persons and some spontaneous recovery is seen in the first few weeks post CVA (Maas et al., 2012). Recovery in the acute phase subsequent to a CVA is highly variable and may be dependent on re-absorption of perilesional oedema, inter-individual variability in perfusion patterns and the presence of collateral blood supply (Rossini & Dal Forno, 2004). Individual differences in recovery subsequent to a CVA may also be impacted by the site and extent of lesion which may cause different language effects because individuals may have different or more/less effective repair processes (Green, 2005). Further factors that influence recovery from a CVA include age, premorbid IQ/ education levels and integrity of the frontal lobes (Green, 2005). The impact of bilingualism has also been suggested (Penn et al., 2010; Davis & Harrington, 2012; Sebastian, Kiran & Sandberg, 2012).

A CVA which occurs in the left hemisphere of the brain generally causes aphasia. Aphasia is an impairment in language due to an acquired brain injury that affects speech,

comprehension, reading and writing. There are different types of aphasias which can occur subsequent to a stroke and are dependent on the site and extent of lesion as well as the individual's neural organisation (see Chapey & Hallowell, 2001 for an extensive explanation of the different types of aphasia).

In addition to aphasia, motor speech disorders can also be present post stroke and TBI. Apraxia of speech as well as dysarthria can occur. These motor speech disorders will affect the quality and intelligibility of the speech a person produces. Apraxia of speech is a neurologic speech disorder that is a result of an impaired ability to plan and programme sensorimotor commands necessary to produce speech (Duffy, 2005). In cases of severe apraxia of speech, a person is unable to produce speech or will produce a very limited amount of speech. Dysarthria refers to a group of speech disorders which result from a disturbance in the muscular control of the speech system due to central or peripheral nervous system damage. Speech is impacted by dysarthria due to paralysis, weakness or incoordination of the speech musculature (Duffy, 2005). Dysarthria can impact speech intelligibility and in severe cases the impact can be significant with limited intelligibility.

A stroke which affects the right hemisphere of the brain presents with different language deficits as opposed to a stroke affecting the left hemisphere of the brain. Persons with a right CVA may not have deficits in basic language skills. In general, a person with a right hemisphere stroke is able to structure sentences and paragraphs according to the syntax rules of their language. They do not have significant difficulties with word retrieval and rarely make paraphasic errors (Myers, 2001). Deficits are often observed in conversational discourse which requires processing of contextual verbal and non-verbal cues in order to comprehend the speakers intensions (Myers, 2001). 50-80% of persons post right CVA have communication deficits due to lexical-semantic processing difficulties or deficits in discourse, prosody or pragmatics (Côté et al., 2007).

Discourse comprehension can be impaired if the person with a right CVA is required to reconcile multiple, incongruent inferences and understand a complete discourse unit (Tompkins et al., 2002a). A person may have difficulty understanding the implied meaning of discourse and they may not recognise the relationships between characters, their emotional

states and/or motives behind their actions (Myers, 2001). Subsequent to a right CVA, persons may not comprehend humour or irony in conversational speech (Myers, 2001). Discourse produced is often inefficient as it can either be verbose or it is brief and superficial (Myer, 1999). Reduced communication participation and pragmatic deficits can also occur in a person with a right CVA (Rousseaux, Davely & Kalowski, 2010). The communication deficits observed in a person subsequent to a right CVA may be due to an interruption in the complex interactions between linguistic, affective and cognitive domains and that may be a reason as to why there is a social impact in the communication of persons with right hemisphere damage (Tompkins et al., 2002b).

## 2. Traumatic Brain Injury

Brown and Nel (1991) reported an average incidence of 316 brain injuries per 100 000 persons per year in South Africa. There have been no recent incidence values for South Africa but it is expected that the incidence of TBI is now higher than this previously recorded incidence (Naidoo, 2013). In the South African context the leading causes of TBI includes motor vehicle accidents (MVA), pedestrian vehicle accidents (PVA), and interpersonal violence (Naidoo, 2013). Thus ANCD resulting from high rates of interpersonal violence, MVA and PVA is also prevalent in South Africa. This highlights the need for SLPs to have the necessary knowledge of assessment and therapeutic interventions for this population.

Subtle communication difficulties have been observed in discourse of persons with a TBI (Coelho, Ylvisaker & Turkstra, 2005). Often a person with a TBI will display minimal deficits on standardised language assessments, whilst presenting with significant communication difficulties at a discourse level and in everyday life (Mozeiko et al., 2011; Hinchliffe, Murdoch & Theodoros, 2001). Discourse deficits in persons with a TBI have been well researched and it has been identified that focal and diffuse lesions disrupt discourse (Coelho, Lê, Mozeiko, Hamilton, Tyler, Krueger & Grafman, 2013; Coelho, 2007). Research investigating cognitive-linguistic deficits of persons post TBI (presumed monolingual) highlighted deficits in verbal fluency, verbal memory, anomaly detection, story recall, narrative discourse production, complex lexical-semantic manipulation, high level language processing, organisation and monitoring of responses (Goldstein et al., 2001; Hanten et al., 2004; Whelan & Murdoch, 2006; Whelan, Murdoch & Bellamy, 2007; Wong, Murdoch &

Whelan, 2010). A TBI may alter frontal lobe functioning with regard to formulation and use of high level, complex language (Wong, Murdoch & Whelan, 2010). It has been suggested by Whelan and Murdoch (2006) as well as Whelan et al (2007) that the cognitive-linguistic deficits observed may be due to frontal lobe disconnection caused by diffuse axonal injury that involved the cerebral white matter. Marini, Galetto, Zampieri, Vorano, Zettin and Carlomagno (2011) identified that persons with a TBI produce less lexical information units and less thematic units in narratives indicating a difficulty at the macro- and micro-linguistic levels of discourse. These symptoms were hypothesised to reflect a deficit in the interface between cognitive and linguistic processing.

Generally a closed head traumatic brain injury (CHI) results in more diffuse neuronal injury as a result of shearing of white-matter tracts, focal contusions, haematomas and diffuse swelling (Maas, Stocchetti & Bullock, 2008). The pattern and extent of brain damage due to a CHI is due to the nature, intensity, direction and duration of the force, hence the heterogeneity of the TBI population (Maas, Stocchetti & Bullock, 2008). A typical hallmark of a closed head injury is diffuse axonal injury (Ylvisaker & Feeney, 1998). This is damage created by the rotational inertia as a result of acceleration and deceleration forces that occur during the insult and the widespread stretching and tearing of brain tissue causes the disruption of neuronal pathways (Ylvisaker & Feeney, 1998). Secondary events such as a haemorrhage, oedema with resulting increased intracranial pressure, hypoxia and cortical vasospasm also impact the severity of the injury as well as the recovery (Ylvisaker & Feeney, 1998). Recovery in the acute phase subsequent to a TBI relies on management of brain oedema and raised intracranial pressure. It is essential that these two elements are decreased in order to support the natural brain recovery processes (Maas, Stocchetti & Bullock, 2008). A TBI may also initiate different pathophysiological mechanisms with variable extent and duration which thus augment the variable recovery patterns particularly in the acute phase (Maas, Stocchetti & Bullock, 2008).

Neurocognitive functioning and brain injury due to sports in the South African context has been researched extensively (Shuttleworth-Edwards & Whitefield-Alexander, 2012; Shuttleworth-Edwards, Radloff, Whitefield-Alexander, Smith & Horsman, 2014; Shuttleworth-Edwards & Whitefield, 2007). This research has not taken into account

monolinguals versus bilinguals as this was not the aim of the research. Studies regarding cognition and TBI due to other causes are sparse in the South African literature. Research has been conducted to determine return to work predictors and indicators in South African persons with a TBI (Watt & Penn, 2000). Based on this research a relationship between communication, cognition and emotional symptoms and return to work was identified in the chronic phase. There is a lack of research investigating relationships between cognition and language in the acute phase post TBI in South Africa. Frankel and Penn (2007) investigated perseveration in persons with TBI in the South African context. They also investigated whether pharmacological interventions impacted perseveration. Two participants in the chronic phase post TBI were assessed. Prior to pharmacological treatment, it was identified that topic management was disturbed due to verbal perseveration and that there were unique disruptions in EF especially in behavioural inhibition. Further information is required about the acute phase and the role of bilingualism in the South African population with a TBI.

# 3. The South African Context- historical socio-political factors that impact aphasia therapy service delivery

As mentioned in the introduction there has been a change in the political focus and atmosphere of South Africa. This change will be discussed because it has had a significant impact on the healthcare system of South Africa and the service delivery by speech-language pathologists. Since the abolishment of apartheid, there has been a focus on allocating resources more equally. The adoption of the South African Constitution and Bill of Rights of South Africa in 1996 has resulted in the government prioritising equal resource allocation. The constitution and bill of rights has placed a significant emphasis on human rights which include the right to access education, healthcare and social services (Republic of South Africa, 2006).

Health projects and initiatives were initiated to assist disparities in health care service delivery systems (Penn, 2014). However there continues to be a large scale pervasive problem in the South African health care system (Kathard & Pillay, 2013). Availability of and access to health care services continues to be unequal for various individuals and population groups (Penn, 2014). This is due to the past inequalities and the quadruple burden of disease which includes (1) maternal, new-born and child health illnesses; (2) HIV/AIDS

and Tuberculosis; (3) chronic, non-communicable diseases (cancer, hypertension, diabetes mellitus) and (4) violence and injury (Kathard & Pillay, 2013). This disease burden is causing many hospitals and clinics to experience a human resource crisis (Coovadia, Jewkes, Barron, Sanders & McIntyre, 2009).

As discussed in Chapter 1, the speech-language pathology profession is an under-resourced profession in South Africa and due to the past inequalities there are an insufficient number of speech-language therapists who are able to speak a local African language as a first language. Thus in South Africa, therapy provided to a person with aphasia does not necessarily occur in the person's first language (Penn, 2014).

When assessing patients with aphasia the clinician needs to be aware of the impact of not assessing or treating in the first language of the patient. There is evidence in some bilingual cases that if treatment occurs in the non-native language, recovery is not necessarily impeded in the native language (Kohnert, 2009; Faroqi-Shah, Frymark, Mullen & Wang, 2010). It may be helpful if a clinician is able to assess the patient in English but using an assessment tool that is culturally appropriate and the results of employing that particular assessment on bilingual second language English speakers are known. This may assist in identifying whether the results are due to language disorder as opposed to language difference.

Many South Africans who speak one of the other South African languages, have learnt English as a mode of communication for education and economic reasons. This language learning is due to the history of English and Afrikaans being dominant languages of the country (Mukhuba, 2005). The use of English in assessment and treatment, even if it is a second or third language, may be appropriate based on the communication community (language used at home, socially and/or for employment) and the patient's main language of communication (Lorenzen & Murray, 2008). Research has tentatively revealed that treatment in the bilingual person's weaker language may still result in within-language and betweenlanguage generalisation (Kiran, Sandberg, Gray, Ascenso & Kester, 2013). It is recommended that therapy should even be based on pre- and post-morbid proficiency and patterns of use of language (Roberts, 2001), reflecting that if English was used substantially premorbidly then it may be appropriate for assessment and treatment.

In addition to past inequities, disparity in healthcare service delivery is perpetuated by the current two-tier health care financing system comprising private health care and public health care (Coovadia et al., 2009). Private healthcare is financed predominantly through medical aids schemes and is for those who are economically well off (McIntyre, Doherty, & Gilson, 2003). Whilst the public healthcare system provides services to the unemployed or those with less economic wealth (Seekings, 2013). Therefore this system maintains access to health care based on socioeconomic status which perpetuates the inequalities in the health care system (Nevondwe & Odeku, 2014). This financing system causes a significant disparity in service delivery to persons with aphasia (Penn, 2014). Those who have access to medical aid schemes will generally have access to advanced neurodiagnostic techniques and rehabilitation in the acute and chronic phase of the disease (Penn, 2014). However, many people with aphasia who live in poverty have little/no access to formal therapeutic services (Penn, 2014). Wasserman, de Villiers and Bryer (2009) established that the majority of persons with aphasia who live in rural areas or in poverty receive no speech-language therapy in the acute or chronic phase.

Furthermore, the hospital stay in the public healthcare system is generally short for persons who have had a stroke, whilst in private hospitals it is generally longer with access to inpatient rehabilitation units (Penn, 2014). However, generally for both populations that make use of public and private healthcare systems, patients have limited access to post-acute rehabilitation (Connor & Bryer, 2006). This limited access is due to difficulties accessing services as well as the large travelling distances often required to receive the rehabilitation (Connor & Bryer, 2006). This is important to consider when evaluating speech-language services provided to patients. The acute phase may be the only phase subsequent to an acquired brain injury that a person may have access to therapy. Therefore knowing the recovery rate, pattern and process of language and EF skills in the acute phase may provide clinicians with insights as to the way in which treatment could be maximised in this phase as this may be the only phase a patient receives speech therapy. To determine a patient's profile of strengths and weakness in both linguistic and non-linguistic skills, an economic and efficient assessment battery that is appropriate for the bilingual South African population is required. Accurate profiling in the acute phase is necessary so that a clinician can plan an effective treatment programme (Helm-Estabrooks, 2002; Murray, 2012).

Within the international context questions have been raised in aphasiology as to whether the therapy techniques provided in the chronic phase remain appropriate for the acute phase. Historically management of the acute phase of aphasia focused on providing support, prevention and education rather than structured language therapy (Holland & Fridriksson, 2001). Very early aphasia therapy has been thought to harness the effect of spontaneous recovery when principles supporting neuroplasticity are incorporated in the treatment plan (Raymer et al., 2008). Kleim and Jones (2008) identified several fundamental experiencedependent training principles that influence neural plasticity and successful recovery from neural lesions. These principles include timing of treatment delivery, use it or lose it, generalisation and influence of repetition and intensity of treatment. Kleim and Jones (2008) cautioned that in animal research it has been observed that intense intervention early after an injury may negatively impact recovery due to the opposing processes of neural compensation and secondary neurodegenerative processes induced by the injury. It has been hypothesised that behaviour (even neurological testing) may affect neural events which could possibly alter the recovery process (Kleim and Jones, 2008). Therefore timing of intervention may be critical as well as the tasks used during the intervention to ensure maladaptive processes do not occur (Kleim & Jones, 2008). Therapy that incorporates high levels of repetition and intensity, task-specific practice and therapy saliency have been identified as factors which may support spontaneous recovery and neuroplasticity in the acute and chronic phases of recovery (Raymer et al., 2008).

Based on current research by Godecke et al. (2014), Godecke et al. (2012), Laska et al. (2011), and Aerts et al. (2015), there is evidence to support efficacy of very early and early aphasia therapy that is impairment-focused and makes use of structured language tasks for patients who are medically stable. Of the studies completed, two randomized control trials were completed and they identified that very early aphasia therapy may be feasible (Godecke et al., 2012 and Laska et al., 2011). Godecke et al. (2014) determined that very early, impairment-based therapy resulted in improved communication outcomes which were sustained at 6 months post stroke. This result may add evidence that intensive aphasia therapy in the very early and the early recovery phase may be important for augmenting effects of spontaneous recovery. Foster et al. (2015) highlighted that often clinicians focus on dysphagia but not on aphasia in the acute phase of recovery. Their study revealed that there is a need for clinicians to incorporate evidence based practice into acute aphasia rehabilitation.

However due to the fact that in the early recovery phase maladaptive processes may occur based on the treatment provided (Kleim & Jones, 2008), it is important that a clinician has a good understanding of recovery processes and patterns to provide effective therapy that will enhance spontaneous recovery and not cause maladaptive behaviours. Hence this study wanted to identify recovery rate and pattern in the acute phase for bilingual second language English speakers, who comprise a large percentage of a South African clinician's clinical population.

## 4. Executive function

Executive function (EF) refers to the abilities a person requires in order to have successful engagement in independent, purposive, self-serving behaviour (Lezak, Howieson & Loring, 2004). EFs are the higher level functions that are involved with integration and control of basic cognitive processes (Jodzio & Biechowska, 2010). EFs are the control/supervisory/self-regulation system which organises and directs cognitive activity, emotional responses and overt behaviour (Gioia & Isquith, 2004). EFs enable a person to be successful in goal directed activities in a flexible manner and therefore perform tasks of daily living (Helm-Estabrooks, 2002). A loss of executive functions impacts a person's ability to maintain normal social relationships, perform useful work independently and engage in satisfactory self-care (Lezak et al., 2004). Deficits in EF are associated with impaired attention, poor response inhibition, distractibility, decreased initiation and difficulty benefiting from prior experience or background knowledge (Busch et al., 2005).

As mentioned in the introduction there are concerns with regard to neuropsychological assessments in the South African context. It is difficult to identify tests suitable for the South African context to measure EF deficits. Tests need to consider the socioeconomic, cultural and racial disparities as well as the differing educational opportunities (Cavé & Grieve, 2009; Shuttleworth-Edwards, 2012). There has been some research to determine if certain neuropsychological tests are appropriate for the South African population.

Research has been completed to provide guidelines for clinicians using the Wechsler Adult Intelligence Scale- fourth edition in the South African context, because there are no local norms (Shuttleworth-Edwards, 2012). Gadd and Phipps (2012) assessed 93 subjects using a

computerised version of the WCST in an attempt to standardise the WCST on Setswana-speaking university students. Regression analysis revealed that gender, age and level of education had no influence on the WCST score. The "trials to complete the first category" was influenced by age. Skuy, Schutte, Fridjhon and O'Carroll (2001) investigated the use of a neuropsychological test battery on 100 urban African high school students in Soweto. A significant difference in test performance as a function of educational grade was observed.

Mosdell, Balchin and Ameen (2010) adapted the Cookie Theft Test and Boston Naming Test to see if it would be suitable for Afrikaans, English and isiXhosa speaking persons in the Western Cape. Some positive results were obtained because the assessment measures were adapted to accommodate the cultural diversity in those population groups. However these adapted tests were only trialled on three persons with aphasia and further use of these adapted tests in persons with aphasia is required. Lucas and Buchanan (2012) assessed a group of South African persons with a TBI in the chronic phase using the Tinker Toy Test, the Iowa Gambling Test and the Wisconsin Card Sorting Test (WCST) in order to determine if any of these tests were sensitive to socioeconomic status and thus not applicable in the South African context. A positive result from this study was that the WCST was not sensitive to differences in socioeconomic status. Thus in selecting an EF model, the researcher needed to consider the role of socioeconomic, cultural and racial disparities as well as differing educational opportunities when selecting assessment measures.

There are a number of different models and descriptions of EF (Packwood, Hodgetts & Tremblay, 2011). EF models have been developed to describe the interactions among the processes within the executive system, but no single model can explain the entire range of EFs (Busch et al., 2005). Due to the large amount of research in EF, there are extensive lists of EFs and inconsistencies regarding the core structure of EF. Many EF theories overlap and cause redundancy in the EFs defined. Common themes between models that are used to explain EF include the fact that executive function is overarching in nature and that EF is comprised of subordinate skills (Hunt et al., 2013). There is a great variability in these subordinate cognitive skills but the trend is towards those skills associated with task setting and task monitoring such as goal selection, cognitive flexibility, impulse control, planning, organising, problem solving and decision making (Hunt et al., 2013).

Some models focus on specific aspects of EF such as the model developed by Baddeley and Hitch (1974) which explains working memory. Moscovitch and Winocur (2002) emphasise the role of the frontal cortex in "working-with memory" by initiating encoding and retrieval strategies that assist memory performance. These models were not selected for this research study as they focused on limited aspects of EF and this study wanted to assess more than one aspect of EF and the relationship to language.

A model by Cavada and Goldman-Rakic (1989) hypothesised that within the prefrontal cortex, topographically organised EF domain specific networks are found and each network has a role in storage and processing functions (Goldman-Rakic & Leung, 2002). Norman and Shallice (1986) hypothesised a supervisory system in the prefrontal cortex which supports non-routine operations that are both cognitive and motor. Gioia and Isquith (2004) developed a model of EF based on a basic set of EF subdomains which are behaviourally based. These subdomains included initiation, inhibition of competing responses, selection of task goals, planning and organisation to solve a complex problem, to flexibly shift strategies in order to problem solve as well as monitor and evaluate and individual's own behaviour. The emphasis of this model was on the operation of these subdomains with EF being a supervisory/selfregulatory system. These aforementioned models were models of complex EF skills and resulted in a variety of subdomains of EF. A large test battery comprising numerous EF assessments would not be appropriate for the population in the acute phase because there are challenges in assessing during the acute phase. These challenges relate to the severity of injury, the unstable status of the patient, and the attentional demands of the testing (Rossini & Del Forno, 2004). Therefore these models were not chosen and a model of EF with less, more easily definable subdomains or functions was required for assessment in the acute phase.

The model chosen to explain EF for the purpose of this study is Miyake et al (2000). Miyake et al (2000) noted in the literature regarding EF, there were three most frequently postulated executive functions. These EFs included (1) shifting of mental sets, (2) monitoring and updating working memory and (3) inhibition of prepotent responses. These three EFs were chosen as they were relatively lower level functions of EF as opposed to reasoning or problem solving and thus operational definitions were more precise (Miyake et al., 2000).

The assessment tasks used to assess these three functions have been studied extensively in the literature (Miyake et al., 2000).

Miyake et al (2000) employed structural equation modelling to determine the degree to which mental shifting, updating and inhibition of prepotent responses were separate. In their research it was postulated that these skills of updating, inhibition and mental shifting would be necessary for more complex EF assessments. It was determined that these three EFs seem to be able to be assessed in isolation as they were separable but moderately correlated indicating the diversity and unity of EF (Miyake et al., 2000). In addition they appeared to be involved in the ability to perform more complex EF tasks. Miyake et al (2000) did not stipulate that these are the only EFs but they are three easily definable and assessable EFs. Thus this model was chosen for this current research project as it provided the researcher with lower level EFs that are separable and could be assessed in relative isolation and impact more complex EFs. Since assessment in this research project occurred in the acute stage, the assessment of a fewer number of EFs that are possibly the underlying EFs for more complex EF seemed appropriate. As mentioned previously, the state of the patient in the acute phase needs to be considered and a long neuropsychological battery that assesses a multitude of EFs at this stage may not be appropriate.

Mental shifting is defined as the ability to shift back and forth between multiple tasks, operations or mental sets (Monsell, 1996). It is also referred to as "attention switching" or "task switching". Monsell (1996) proposed the use of shifting as an executive function and it appeared to be important in understanding cognitive control in persons with brain damage. Norman and Shallice (1986) also assumed that the ability to shift between tasks or mental sets was an important component of EF. Shifting has been hypothesised to be an EF as it requires a person to switch between two tasks in order to determine how long the processes take and what influences the processes (Roger & Monsell, 1995). Switch costs are a result of the reconfiguration which occurs when switching between tasks. When switch costs are low, it reflects that an individual was able to initiate an endogenous control process. Task switching may also require an individual to be able to suppress irrelevant and interfering information (Rogers & Monsell, 1995).

Activation of regions in the parietal lobes and left dorsolateral prefrontal cortex is preferential during shifting with activation also occurring in the anterior cingulate and the basal ganglia (Hedden & Gabrieli, 2010). The Wisconsin Card Sorting Test has been identified as a complex assessment of shifting (Miyake et al., 2000) and has been linked to activation of the fronto-parietal network, particularly the supramarginal gyrus and the dorsolateral frontal region (Wang, Kakigi & Hoshiyama, 2001). Activation of the inferior frontal gyrus, the anterior cingulate cortex and the inferior parietal lobe has been observed in neuroimaging research of complex shifting tasks such as the Wisconsin Card Sorting Test (Buchsbaum et al., 2005).

Updating is closely linked to working memory. Updating initially requires an individual to be able to monitor and code incoming information that is relevant for the task at hand. This information held in working memory is then revised and old irrelevant information is replaced with new relevant information (Morris & Jones, 1990). Updating is not only the maintenance of task-relevant information, but it is also essential for dynamically manipulating the contents of working memory (Morris & Jones, 1990). Neuroimaging studies have found that the left dorsolateral areas, left posterior/ventral areas, bilateral posterior/dorsal areas, as well as hippocampal and parahippocampal regions are activated during updating (Cabeza, Dolcos, Graham & Nyberg, 2002).

Inhibition is the ability of an individual to purposively inhibit a dominant, automatic or prepotent response when necessary (Miyake et al., 2000). Nigg's (2000) taxonomy differentiated behavioural inhibition from cognitive inhibition. Cognitive inhibition refers to the control of mental processes such as memory and attention and is reflected in the ability to supress unwanted or irrelevant thoughts, suppress the inappropriate meanings of ambiguous words and gate any irrelevant information from working memory. Prepotent response inhibition has been closely linked to active suppression and executive function (Friedman & Miyake, 2004). An important brain structure activated during inhibition tasks, such as the Victoria Stroop task, is the anterior cingulate cortex and the neural networks that arise from this structure and communicate with the prefrontal regions, the motor cortex, and the basal ganglia (Wang et al., 2009). Inhibition tasks also activate regions of the dorsolateral and

ventrolateral prefrontal cortex, the parietal lobes and the temporal-parietal junction (Hedden & Gabrieli, 2010).

*Table 1* defines the EFs of (1) shifting, (2) updating and monitoring and (3) inhibition of dominant or prepotent responses, the tasks Miyake et al. (2000) employed to assess these skills and the neuroanatomical region represented by each EF.

Table 1.

Miyake et al (2000) model of executive functions

<b>Executive Function</b>	Shifting	Updating and monitoring	Inhibition of prepotent responses
Definition	The ability to shift	Incoming information	The ability to actively and
	between different mental	needs to be monitored and	deliberately inhibit an
	sets, multiple tasks or	coded according to the	automatic or dominant
	operations. It is the ability	task at hand and when	response.
	to perform a new task if	items are no longer	
	there is interference or	required, old information	
	negative priming.	should be discarded and	
		new, relevant information	
		stored.	
Assessment tasks	Plus-minus task	Keep track task	Antisaccade task
	<ul> <li>Number-letter task</li> </ul>	Tone monitoring task	Stroop task
	<ul> <li>Wisconsin card sorting</li> </ul>	Letter memory task	Tower of Hanoi
	test	N-back task	
Neural correlates	Anterior cingulate	Left dorsolateral and	Anterior cingulate
	<ul> <li>Basal ganglia</li> </ul>	posterior/ventral	cortex
	(Hedden & Gabrieli,	areas	Prefrontal, motor and
	2010).	Bilateral	basal ganglia
		posterior/dorsal areas	networks
		Hippocampal and	Dorsolateral and
		parahippocampal	ventrolateral
		(Cabeza, Dolcos,	prefrontal cortex
		Graham & Nyberg,	Parietal lobes
		2002)	(Wang et al., 2009;
			Hedden & Gabrieli,
			2010)

#### 4.1 Executive functions and CVA

In monolinguals with aphasia it has been highlighted that language impairment alone is not a clear indicator of functional communication (Irwin, Wertz & Avent, 2002). Factors such as EF may influence communicative success. Research with regard to executive functioning of persons with aphasia indicates that executive functioning influences the severity of language impairment as well as recovery (Purdy, 2002; Green, Grogan, Crinion, Ali, Sutton & Price, 2010). The majority of studies investigating the relationship between executive functions and language deficits in left CVA and right CVA have been conducted on monolingual persons in the chronic phase (Mecklinger et al., 1999; Fucetola et al., 2006; Harris Wright et al., 2007; Fucetola et al., 2009; Martin et al., 2012; Neto & Santos, 2012; Murteira & Santos, 2013; Pettigrew & Hillis, 2014). There have been studies which assess the role of EF in bilinguals who have had a CVA but this will be discussed further on in the chapter.

When conversing, a person is required to retain what the interlocutor said, plan a response and inhibit inappropriate responses (Fridriksson et al., 2006). In order to successfully complete those three tasks, a person relies on working memory, planning and inhibition. In the bilingual population there is also the added requirement of selecting the correct language for the communication environment and the interlocutor as well as inhibiting the language/s not required for the conversation. Thus the relationship between language and EF is complex in monolinguals and bilinguals (Fridriksson et al., 2006).

EF deficits have been observed in about 50% of persons who have had a first time stroke (Jodzio, Biechowska & Gasecki, 2008). EF deficits appear to be the most persistent deficit subsequent to an acquired brain injury. Ramsberger (2005) and Fridriksson et al. (2006) suggested that there is an important relationship between executive function and functional communication in persons with aphasia. A person who has an ischemic stroke which affects the middle and/or anterior cerebral arteries is more likely to have more EF deficits (Jodzio, 2008). Primary EF deficits appear to be in cognitive flexibility and planning (Purdy, 2002). Processing speed is another cognitive skill which is affected post stroke and it may impact functional outcome after the stroke (Cumming, Marshall & Lazar, 2013). Murray (2012) hypothesised that attention, memory and EF are impacted by a stroke.

Hula and McNeil (2008) determined that individuals with aphasia have impaired attention, control processes and inhibition. Tatemichi et al (1994) and Seniów, Litwin and Leśniak (2009) highlighted that cognitive deficits subsequent to a stroke include attention, memory, orientation, visuospatial skills and abstract reasoning. Sachdev et al. (2004) reported marked deficits in abstraction, EF and processing speed. Task switching (Pohl et al., 2007), automatic processing and impaired selective attention (Hunting-Pompon, Kendall & Moore, 2011) were other skills where deficits occurred in persons subsequent to a stroke. Frankel, Penn and Ormond Brown (2007) explained that conversation symptoms observed in aphasia were based on the EF deficits present. Deficits in shifting attention, verbal and non-verbal working memory, as well as generation and concept formation seemed to impact conversational repair.

Cognitive disorders such as visual-spatial processing deficits, memory, attention and orientation deficits have also been identified in persons with a right CVA (Murteira & Santos, 2013). These cognitive deficits can impact communication directly or indirectly. Murteira and Santos (2013) identified that persons with a right CVA tended to act impulsively and thus provided faster verbal responses when constructing elaborate verbal productions. Mecklinger et al. (1999) determined that persons with right CVA were more vulnerable to interference. It is possible that there is a decreased ability of persons with right CVA to suppress irrelevant information and this may be linked to deficits in attention.

Tompkins et al (2002a) suggested that persons with a right CVA present with integration deficits which occur in literal and nonliteral activities. However, integration and discourse deficits subsequent to a right CVA are not absolute. Deficits in a variety of cognitive and language domains tend to be impacted by the individual's processing abilities and demands. Deficits are more significant when attention and/or working memory is taxed (Tompkins et al., 2002a). Research revealed that difficulties suppressing mental activation may occur in persons with right CVA (Tompkins et al., 2000; Tompkins, Lehman Blake, Baumgaertner, &Fassbinder, 2001). In persons with a right CVA the lack of suppression may cause cognitive resources to be diverted from comprehension, causing integration difficulties.

Research reveals that persons, who have significant EF impairments subsequent to a stroke, have poor functional outcomes in activities of daily living (Ownsworth & Shum, 2008;

Godefroy & Stuss, 2007). Cognitive impairments post CVA impact rehabilitation outcomes (Hoffmann & McKenna, 2001); return to independent living (Hofgren et al., 2007); return to work (Hommel et al., 2009) and a decreased quality of life with increased burden of care on caregivers (Rigby et al., 2009). It is important to decrease the impact of cognitive deficits subsequent to a stroke as these skills have a direct influence on quality of life for both the patient and the caregiver (Cumming et al., 2013). Cognition also plays a role in the recovery of other domains. Research by Heruti et al. (2002) suggested that patients with higher levels of cognition on admission to rehabilitation centres achieved better functional outcomes. In an inpatient rehabilitation centre, EF was identified to have an impact on levels of participation (Skidmore et al., 2010).

#### 4.2 Executive functions and TBI

Persons subsequent to a TBI present with a wide range of cognitive and EF disorders associated with impaired attention, poor response inhibition, distractibility, decrease in initiation and difficulty benefiting from prior experiences or background knowledge (Busch et al., 2005). Deficits are present in processing speed, attention, memory, language and social communication as well as higher-order thinking, judgement and reasoning (Arciniegas et al., 2010). Self-generative behaviour, memory and cognitive flexibility are EFs that also appear to be affected by a TBI (Busch, McBride, Curtiss & Vanderploeg, 2005). Impaired cognitive flexibility is thought to impair social functioning that requires a person to be able to behave flexibly according to social rules and norms (Godfrey & Shum, 2000).

Channon and Watts (2003) determined that persons with a TBI have impaired social judgement as well as working memory, inhibition and multitasking. Inhibition deficits were linked most strongly to deficits on social judgement tasks. Inhibition deficits were also linked to decreased comprehension of indirect and inferential meanings and associations as well as difficulty suppressing the more readily available concrete literal meanings (Meteyard et al., 2015). Inferencing deficits which occur at a spoken and written level have also been observed in persons with TBI (Meteyard et al., 2015).

Research suggests that deficits in EF can lead to difficulties in an individual's ability to perform daily life skills and these deficits can disrupt personal and social experiences

(Hewitt, Evans & Drischel, 2006). McDonald et al (2005) suggest that individuals with brain injury may have unproductive routines instead of formulating new, effective problem solving strategies. They often have low levels of awareness and thus fail to recognise cues, make unrecognizable errors and display rigidity with an inflexible mind set (McDonald et al., 2005). The ability to flexibly adapt and change behaviour is thought to be controlled by EF (Godfrey & Shum, 2000).

## 5. Bilingualism

A person is defined as bilingual by their use of two or more languages in everyday life (Grosjean, 1996). The Saussurean view of language incorporates psychological and social aspects into defining bilingualism (Alptekin, 2010). Therefore bilingualism is not only about the complete knowledge of a language but also how a person is able to use language at a specific moment in a specific context. Therefore multicompetence is not "static" but a more dynamic construct (Alptekin, 2010). A bilingual is not a double monolingual speaker (Jessner, 1999). It is suggested that bilinguals are able to switch between languages, reflect on language usage and develop different language learning strategies (Jessner, 1999). Hence, for the purpose of this proposal, whenever bilingualism is referred to, the term refers to a person who is able to communicate in two or more languages in different contexts for different purposes with different people.

When a bilingual person wishes to communicate, not only do they need to determine the message they wish to convey, but they are also required to select the correct language appropriate to the communication situation and the interlocutors (Garbin, Sanjuan, Forn, Bustamante, Rodriguez-Pujadas, Belloch, Hernandez, Costa & Avila, 2010). This ability to control receptive and expressive language use in a specific context at a specific time is deemed as a fundamental feature of the human bilingual brain (Abutalebi, Annoni, Zimine, Pegna, Seghier, Lee-Jahnke, Lazeyras, Cappa & Khateb, 2008). This cognitive mechanism is termed "language control". It enables a bilingual person to communicate in one language over another, and switch between languages in a conversation depending on the language of the interlocutor. It also allows a bilingual person to identify the language heard and to produce words in the target language whilst decreasing the interference from the non-target language (Abutalebi et al., 2008). Therefore it is postulated that whenever a bilingual person

wants to communicate, language control processes are activated as well as general cognitive processes (Abutalebi & Green, 2008). Thus the frequent utilization of general cognitive processes may be reason bilingual persons have improved cognitive mechanisms (Garbin et al., 2010).

In order to achieve successful communication, a person who is bilingual may need to ensure that the lexical representation of the language required for the discourse is selected and produced. According to a model by Costa, Hernandez and Sebatian-Galles (2008), when selecting the correct lexical representation, the activation of the lexical representation in the other language needs to be suppressed. Therefore during conversational discourse, bilinguals may employ an inhibitory control mechanism which monolinguals do not (Costa, Hernandez & Sebatian-Galles, 2008). However, controversy does remain as to the precise mechanisms of bilingual control and multiple theories prevail with regards to brain function and the control patterns (see Abutalebi et al., 2008; Grogan, Green, Ali, Crinion & Price, 2009; Wartenburger, Heekeren, Abutalebi, Cappa, Villringer & Perani, 2003; van Heuven, Schriefers, Dijkstra & Hagoort, 2008; Wang, Kuhl, Chen & Dong, 2009; ).

### 5.1. The impact of bilingualism on executive functions

A large amount of research has been completed investigating the relationship between executive functions and the impact of processing more than one language in bilinguals. These studies, which have explored the executive functioning of bilingual persons, have revealed interesting results. Bilingualism seems to have an impact on non-linguistic processing skills whilst a negative impact on linguistic processing skills (Bialystok, 2009). In bilingual persons there appears to be a decreased rate of speech production during semantic fluency tasks as there may be competition between executive functioning tasks such as control, attention and switching (Bialystok, 2009). In addition, bilingual children and adults appear to have a lower average vocabulary than monolinguals (Bialystok & Feng, 2009). Other disadvantages of bilingualism include slower confrontational naming with increased error responses, and increased tip-of-tongue responses (Bialystok & Craik, 2010). However, research has also revealed that due to executive functions being continually utilised, the executive functions of bilingual persons are possibly enhanced (Bialystok, 2009).

During the non-verbal assessment of executive function, a bilingual advantage has been observed (Bialystok, 2009). It may be possible that the bilingual brain has increased flexibility; improved attention during tasks (Bialystok & Feng, 2009); reduced switching costs (Costa, Hernandez & Sebastian-Galles, 2008) as well as improved ability to monitor conflicting sensory information and to attend to relevant stimulus in the midst of irrelevant information utilising inhibitory control (Bialystok, 2011). Inhibitory control is linked with the ability to maintain attention (Reck & Hund, 2011). Bialystok (2009) and Bialystok, Luk and Craik (2008) explain that for bilingual individuals both languages are activated during language tasks and both languages are available during the use of one language. Therefore attention control and inhibition could be important in language comprehension and production and these skills may differentiate bilinguals from monolinguals.

Studies also reveal that bilinguals respond faster during conflict resolution than monolinguals (Bialystok, Luk and Craik, 2008; Costa et al., 2009). A study by Bialystok and Viswanathan (2009) determined that response times during tasks requiring inhibitory control and switching, were faster in bilingual children as opposed to monolingual children. However, during conditions that required response suppression or a control condition not involved with executive control, there was no significant difference between monolinguals and bilinguals (Bialystok & Viswanathan, 2009). Thus this research provides insight into the executive control components that are possibly impacted by bilingualism and those components that are possibly not impacted by bilingualism.

Non-linguistic working memory (Hernandez, Costa & Humphreys, 2012; Morales, Calvo & Bialystok, 2013); novel word learning (Bradley, King & Hernandez, 2013); processing of sentence level linguistic stimuli in conditions that elicited different levels of executive control (Moreno, Bialystok, Wodniecka & Alain, 2010) and auditory processing skills (Krizman et al., 2012) are areas of language and EF that have been researched and evidence for a possible bilingual advantage was observed.

In later life, there appears to be evidence for continued bilingual advantage. There was a delay of 4.1 years in the onset of symptoms of dementia in participants who were bilingual (Bialystok, Craik & Freedman, 2007). The underlying neurological mechanisms that may

cause this delay are not yet clear. Valenzuela and Sachdev (2006) suggest some explanations for this mechanism may include increased resting phosphocreatine levels, increased generation of neurons, synapses and arborized dendrites as well as the functional reorganisation of brain networks. To provide further support of the effect of bilingualism on cognitive reserve in age related cognitive diseases, computed tomography (CT) scans of monolingual and bilingual patients diagnosed with probable Alzheimer's disease, were analysed with a number of linear measurements. Patients were matched based on the level of cognitive performance and years of education (Schweizer, Ware, Fischer, Craik & Bialystok, 2012). Bilingual patients had increased brain atrophy and although the bilingual patients had increased brain atrophy, their cognitive functioning was at the same level as monolinguals. Therefore this result supports the assumption that bilinguals may have had increased cognitive reserve. Bilingualism seems to be an external factor that possibly produces cognitive reserve due to the mechanism of attention and switching between languages (Schweizer et al., 2012).

Parker Jones et al. (2011) provided evidence through neuroimaging that bilinguals had increased patterns of brain activation during language tasks; and further evidence from neuroimaging studies by Abutalebi et al. (2008) hypothesised that language control in bilinguals is intimately linked to cognitive control and that general cognitive processes are activated and this frequent utilisation of general cognitive process is what may lead to improved cognitive mechanisms in the bilingual population. The processes which occur in bilingualism are likely to be dependent on a network of connections between the prefrontal cortex, anterior cingulate, inferior parietal lobe and basal ganglia (Abutalebi & Green, 2007). These areas have also been attributed to executive functions (Koechlin et al., 2003; Middleton & Strick, 2000)

The way in which bilingualism impacts an adult's executive functions, emerges from the experience of the individual in a bilingual environment. Outcomes in executive function due to bilingualism are based on both the language proficiency and the experience of using more than one language over a sufficient period of time (Bialystok & Barac, 2012). Early, intensive exposure to and mastery of more than one language may be necessary for a bilingual advantage (Carlson & Meltzoff, 2008).

However there is some controversy in the research regarding bilingual advantage. A study by Kousaie and Phillips (2012) reported that results of behavioural measures such as the Stroop, Simon and Eriksson flanker tasks revealed no behavioural differences between monolinguals and bilinguals. There were however, differences in processing but these differences were not uniform across the tasks. Further research by Paap and Greenberg (2013), was in agreement with Kousaie and Phillips (2012), reporting no bilingual advantage in tests which assessed inhibitory control.

## 5.2. Bilingualism and ANCD

With the increase in the number of bilinguals, there will be an increase in the number of bilingual persons who have ANCD due to a CVA, TBI or neurodegenerative disease (Green, 2005). A large body of research has studied the way in which aphasia impacts the language skills of bilingual persons. Weekes and Raman (2008) suggested that bilingual people with aphasia have selective language differences such as processing in one language may be affected whilst not in the other language. This difference in bilingual persons with aphasia is further supported by Paradis (2008) who states that bilingual patients do not necessarily present with the same language disorders with the same degree of severity in each language. The most common impairment in bilinguals who have aphasia is a similar impairment in both languages. The second most common impairment in bilinguals, who have aphasia, is a larger impairment in their second language as opposed to their first language. A small percentage of persons with aphasia have a greater impairment in their first language than in their second language (Paradis, 2008). No empirical research has explained the difference in patterns of language deficit in bilinguals with aphasia. Several factors have been proposed such as the social usefulness of a language, language environment, type of aphasia, type of lesion and site of lesion (Paradis, 2008). However, research does not provide conclusive evidence (Paradis, 2008).

Miozzo, Costa, Hernandez and Rapp (2010) stated that difficulties in language use or recovery after injury in bilingual patients may be due to damage of the neural substrates that control language switching. Pathological mixing and switching of languages can occur in bilingual patients (Ansaldo, Marcotte, Scherer & Raboyeau, 2008). This symptom may occur

due to the damage of brain structures which underlie language control (Ansaldo et al., 2008). The pathological mixing and switching can impact the pragmatics of language and impede communication with monolinguals (Ansaldo et al., 2008).

In the acute recovery phase, language recovery may occur in either or both languages with differences between languages or in both languages. There is agreement that recovery patterns are diverse, but the variables that contribute to the recovery patterns are debated (Lorenzen & Murray, 2008). *Table 2* details the different language recovery patterns which can occur in bilinguals with aphasia.

Table 2.

Recovery patterns observed in bilingual persons with aphasia

Recovery Pattern	Language characteristics
Parallel recovery	Language recovery parallels the premorbid language abilities. So if one language was more dominant prior to the stroke, it would be the language to recover better post stroke.
Differential recovery	Language recovery is much better in one language than compared to the premorbid skills of that language.
Blending recovery	During recovery, there is uncontrolled mixing of languages with regards to semantic and syntax when attempting to speak one language. This must not be confused with code switching which is a common language practice in bilinguals.
Successive recovery	One language recovers before the other language/s.
Antagonistic recovery	The person is able to use one language initially, but as the other language/s recovers, the initially available language decreases.
Alternating antagonism	Similar pattern to antagonistic recovery but the pattern alternates language availability. The length of language cycle may range from 24 hours to several months.
Selective aphasia	The deficit occurs only in one language with no measurable deficit in the other language/s.

Note. Adapted from Paradis (2004); Fabbro (2001); Lorenzen & Murray (2008).

The patterns observed query the role of cognitive skills that are present in normal bilingual processing and how they are impaired due to brain injury. According to a functional perspective, this has the potential to enhance assessment and treatment (Weekes, 2010). There continues to be a lack of causal explanations for the many different recovery patterns seen in bilinguals with aphasia (Abutalebi et al., 2009). There is also continued controversy with regards to which factors impact language recovery in bilinguals. Age of language acquisition and pre-morbid language proficiency and familiarity with a particular language may impact recovery of languages in bilinguals with aphasia (Paradis, 2004). Site of lesion and extent of lesion may also impact language recovery patterns and the integrity of the frontal lobes has also been hypothesised to play a role (Green, 2005).

There has been research into bilingual aphasia and executive functions. However this too has predominantly occurred in the chronic phase. Green et al. (2010) suggested that the executive processes of updating working memory and switching between tasks were important in understanding bilingual aphasia. The study by Ansaldo et al (2010) provided some evidence for inhibitory mechanisms as evidence for internal mechanisms which allow translation and external suppression mechanisms in naming, that were observed in bilingual persons with aphasia. Green and Abutalebi (2008) suggest cognitive patterns and control may be linked to symptoms seen in bilingual persons with aphasia. Lorenzen and Murray (2008) suggest different cognitive profiles in bilinguals with aphasia which may result in differing recovery patterns.

A study completed by Penn, Frankel, Watermeyer and Russell (2010) in South Africa, indicated that bilingual speakers possibly have enhanced cognitive reserve and therefore may be more resistant to damage from a stroke. They observed that shifting strategies in conversations of bilingual persons with aphasia may correlate with cognitive flexibility. Frankel (2008) developed a test battery to assess executive functioning in persons with aphasia in order to obtain an indication of executive functioning that was not reliant on the person's verbal abilities. Based on the research utilising this test battery, intriguing results were observed between the conversational abilities of bilingual persons with aphasia as opposed to monolinguals with aphasia and the correlation of conversational analysis to their results on the executive functioning battery (Penn et al., 2010). However, this study had a

relatively small population size as it consisted of ten participants, two of whom were bilingual.

Kohnert (2004) completed a case study on a bilingual man in the chronic phase of aphasia. Cognitive therapy was provided and its impact on language was evaluated. Results revealed that cognitive therapy for bilingual aphasia which focused on non-linguistic information processing, improved basic level cognition significantly and there were modest improvements in each language (even though no language therapy was provided). Kohnert (2004) suggests that the results may reflect generalisation of skills from non-linguistic to linguistic domains. This study also highlights that cognition may be an important and necessary aspect to consider in bilingual therapy. Another case studied completed by Davis and Harrington (2012) also showed some evidence for bilingual advantage in aphasia.

Research by Sebastian, Kiran and Sandberg (2012) identified that during language processing of the weaker language of bilinguals with aphasia, there is increased activation of the left frontal cortex and anterior cingulate gyrus. These areas are associated with executive functioning and cognition (Wang et al., 2009; Buchsbaum et al., 2005). The engagement of these additional areas during language processing, which are not engaged during language processing of monolinguals, may reflect compensatory networks which subserve language processing in bilinguals. This may also reflect a possible bilingual advantage in recovery process.

There are few studies that have researched the relationship between bilingualism, stroke and EF. Many studies have either investigated the relationship between aphasia and EF, bilingualism and aphasia or bilingualism and EF. Therefore there is paucity in the literature as to the possible bilingual advantage seen in studies researching the relationship between bilingualism, language skills and EF.

The relationship between EF and language of a bilingual person who has had a TBI should be explored because the nature of the insult of a TBI as compared to a CVA is different. The damage that occurs in the brain as a result of a TBI is a result of more than just the magnitude

of the force that is applied to the skull (Ylvisaker & Feeney, 1998). The pathophysiology is described in more detail in the section about TBI. In monolinguals who have sustained a TBI it has been highlighted that there is a significant relationship between communication skills and return to work and ability to maintain a job (Watt & Penn, 2000; Isaki & Turkstra, 2000). Hence it is important that clinicians obtain a comprehensive profile of linguistic and nonlinguistic skills. In order to develop a treatment programme, a clinician needs to have a good knowledge of the nature and severity of cognitive-linguistic impairment (Wong, Murdoch & Whelan, 2010).

There seems to be a lack of research regarding the recovery rate and patterns in the EF and language skills of bilingual persons in the acute phase subsequent to a TBI. The majority of research completed on brain injury and bilingualism has been in the realm of CVA and not TBI and can therefore not be generalised.

## 5.3. Assessment and treatment of bilinguals with ANCD

Within the realm of bilingual aphasia there continue to be controversies regarding assessment and treatment. In the assessment and treatment of bilinguals it is important to determine the way in which the damaged brain impacts the patterns of aphasia and the recovery in the bilingual speaker (Weekes, 2010). Variables which impact patterns of bilingual aphasia include word frequency, word imageability, age of acquisition and cognate status similarity between word forms and meanings across languages) (Paradis, 2008). An assessment that only focuses on the assessment of language may fail to detect additional factors that may be critical to recovery (Green, 2005). It has been deemed important to profile patients according to linguistic, cognitive and communicative strengths and weaknesses (Kohnert, 2004). Thorough assessment describing preserved and disrupted processes and the underlying neural networks may aid clinical decisions and optimise intervention (Ansaldo et al., 2008). Rehabilitation is dependent on the involvement of cognitive, executive and emotional functioning and thus assessment needs to incorporate these skills (Purdy, 2002).

It is also important to consider EF in patients as this can assist in determining which therapy approaches would be suitable and beneficial for the patient as discussed in Chapter 1. It has been noted by researchers that it is difficult to assess EF in persons with aphasia as the

linguistic and memory impairments may interfere with the EF assessment (Fridriksson et al., 2006; Purdy, 2002; Keil & Kaszniak, 2002; Fucetola et al., 2006). A study by Nicholas, Sinotte and Helm-Estabrooks (2005) as well as Purdy and Koch (2006) highlight that in severe aphasia, a clinician may need to consider EF or non-linguistic skills when deciding to use alternative and augmentative communication (AAC). If cognitive skills are severely affected then utilising AAC may not be appropriate as the patient may not have the cognitive skills to implement the use of an AAC system. Hunting-Pompon, Kendall and Moore (2011) identified that participants with mild anomia (word finding deficits), showed some deficits in automatic processing. There was impaired selective attention during a task when interference was present and this may have occurred due to insufficient resources required to process primary and interfering tasks. Slowed responses may also have been present due to disrupted neuronal networks subsequent to a stroke. The results highlight the importance of assessing non-linguistic skills even in a person with a mild form of aphasia in order to provide treatment for the non-linguistic skills affected.

Martin et al. (2012) suggested that having a detailed description of linguistic and non-linguistic profiles of patients would assist clinicians in identifying which cognitive skills are influencing language functions. In the study by Martin et al. (2012), it was suggested that verbal working memory load may be used as a part of a treatment protocol to improve language function in contexts that required increased verbal working memory capacity. It may be possible that having detailed information regarding other cognitive skills could assist a clinician in not only deciding on a therapeutic protocol but also as to how manipulation of that cognitive skill could be used as part of a therapeutic protocol in order to improve language function (Martin et al., 2012).

That assessment of language and cognition subsequent to brain injury should occur not only in the chronic phase but also in the acute phase. There is limited research investigating the relationship between aphasia and EF in the acute phase subsequent to a brain injury. Zinn et al. (2007) assessed the EF skills of patients with a stroke in the acute phase. The participants were presumed monolingual. Working memory, cognitive flexibility and processing speed were assessed. It was determined that EF deficits were present. This research did not profile

the recovery pattern and did not establish whether there were any relationships between the language skills and the EF tasks.

Rasquin et al. (2013) also assessed cognition in the acute phase of stroke. Attention, memory, visual attention and EF were assessed and the recovery process was monitored through multiple assessments. This study revealed good improvements in attention, visual attention and memory within the first four months subsequent to a stroke. There were no changes observed in EF skills. However only the Tower of London task was employed to assess EF and this assessment measure does not provide a comprehensive assessment of EF. Language was not assessed in this study so inferences regarding the relationship between recovery process of cognition and language could not be identified.

Both of the aforementioned studies were presumably completed with monolinguals, as the research did not stipulate whether the participants assessed were monolingual or bilingual. Further research is required regarding the acute phase post stroke particularly with regard to the assessment of linguistic and non-linguistic skills.

The Comprehensive Aphasia Test (CAT; Swinburn, Porter & Howard, 2004) was selected for this research as it is a comprehensive test that includes the assessment of a wide range of language functions. The CAT is divided into three sections: cognitive screen, language battery and the disability questionnaire. The language battery comprises language comprehension, repetition, spoken output, reading aloud, and writing. Scores were obtained for the following categories of language: spoken language comprehension, written language comprehension, repetition, oral reading, spoken production and written production. The disability questionaire comprises a disability total and an impact total, however this section was not employed as the information was not required for the research and the researcher wanted to ensure that the length of the assessment was not excessive for patients in the acute phase of recovery. For each section of the CAT, a raw score was obtained and converted to a T-score.

The tasks and stimulus materials used to assess the language domains were chosen in order to take into account the neuropsychological and psycholinguistic parameters which are known to impact the performance of persons with aphasia (Springer & Mantey, 2010). This assists clinicians in determining a profile of strengths and weakness of a patient as well as the variables which influence performance (Howard, Swinburn & Porter, 2010). In addition an important aspect of the CAT is that it screens for neuropsychological deficits. The cognitive screener includes an assessment of visual neglect, semantic and non-verbal episodic memory deficits, acalculia and ideomotor/ideational apraxia. Inclusion of a cognitive screen assists clinicians in having a brief but helpful assessment of cognitive skills that could impact a person's ability to perform on a language battery (Howard, Swinburn & Porter, 2010). Due to the fact that this research wanted to determine EF skills in the acute phase, the presence of a cognitive screening battery in this test was thought to be useful for comparison with the EF assessment.

A further reason for selection of the CAT in this research of bilinguals was based on research by Green, Ruffle, Grogan, Ali, Ramsden, Schofield, Leff, Crinion, and Price (2011). In their research, they used the CAT in conjunction with the Bilingual Aphasia Test (BAT; Paradis & Libben, 1987; Paradis, 2011) to assess parallel recovery in a trilingual speaker (German, Spanish and English) (Green et al., 2011). The BAT revealed a deficit in all three languages and complemented the assessment of English using the CAT. When comparing scores of English version of the BAT with the English CAT scores of their trilingual participant, there was some internal validation of the use of the CAT on bilinguals even though it has been standardised on monolinguals (Green et al., 2011).

The CAT was also selected as it is a relatively brief assessment whilst being maximally informative (Swinburn, Porter & Howard, 2004). It can also be used to assess change over time (Swinburn, Porter & Howard, 2004) and this was useful as the researcher wanted to employ an assessment battery that could be administered repeatedly. Lastly it is relatively simple to administer and score (Swinburn, Porter & Howard, 2004). The CAT manual contains detailed administration and scoring details. Patient examples are also provided to help the clinician score and interpret the results. This assists in making the CAT fairly easy to administer and score (Bruce & Edmundson, 2010). The CAT was standardised on 27 people

without aphasia and 266 sets of test results from people with aphasia. Fifty-six people were reassessed at 1, 3, 6, and 12 months post stroke. The control participants for the normative sampling were only assessed once. This was done to produce normative data and assess validity of the test to distinguish normal from pathological individuals. Thus it remains unclear as to whether a practice effect impacts on the test. Results did reveal that the CAT is able to distinguish between people with aphasia and people without a language deficit (Swinburn, Porter & Howard, 2004). This is particularly true for moderate to severe aphasia and it has been noted that it may not be as sensitive in assessing persons with a mild aphasia (Bruce & Edmundson, 2010). The CAT assists profiling of strength and weaknesses in order to facilitate effective treatment protocols based on a patient's strengths and weaknesses. This is necessary for speech-language therapy provided to monolingual and bilingual persons with aphasia.

It must be noted that there continue to be controversies with regard to treatment of bilinguals with aphasia. There are three established constraints in the pattern of bilingual aphasia and impact of therapy: (1) language type (Nilipour & Paradis, 1995); (2) language status- which language was acquired first or later (Goral et al., 2012); and (3) language dominance- which is the most familiar language (Paradis, 2008; Goral et al., 2012). In determining the treatment protocol, clinicians have to consider (1) whether rehabilitation must be provided in one language or all the languages; (2) how to choose the language to be used for rehabilitation if it decided that treatment can only occur in one language; and (3) whether the rehabilitation in the one language will impact the other language/s (Fabbro, 2001).

Generalisation patterns of therapy for semantic based naming treatment were investigated by Kiran et al. (2013). Participants were bilingual, English second language speakers with aphasia in the chronic phase. There were three patterns of generalisation observed in this study: (1) within- and between-language generalisation; (2) only within-language generalisation; (3) only between- language generalisation. The generalisation patterns were influenced by language use, language dominance and language impairment. The between-language only generalisation occurred when participants were provided therapy in the stronger language premorbidly. However, within-language only generalisation occurred for some participants when therapy was provided in the weaker language and for other

participants, when therapy was provided in the stronger language. It was hypothesised that the age of acquisition and the language of the environment may have influenced the generalisation pattern for the two participants who received therapy in the stronger language but only presented with within-language generalisation. Furthermore 4 out of the 7 participants who had both within- and between-language generalisation, were treated in the weaker language. The results provided tentative insights into the variety of generalisation patterns that occur based on the language used in therapy.

Further research is required regarding the recovery process post injury in bilinguals in order to provide appropriate therapeutic treatments plans. The provision of effective treatment for bilinguals with aphasia needs to be consistent with the course of recovery (Green & Abutalebi, 2008) and further information is required about the acute phase of recovery and the relationship between language and EF.

## 5.4. South African Research and Bilingualism

Most of the bilingual research that has been completed in South Africa has been completed with school age children (see Jordaan, 2011; Meirim, Jordaan, Kallenbach, & Rijhumal, 2010; Cockcroft & Alloway, 2012). As mentioned previously in this Chapter, there has been some neuropsychological research regarding the use of assessment measures on persons who are second language English speakers in the South African context (Lucas & Buchanan, 2012; Shuttleworth, 2012; Skuy et al., 2001; Mosdell et al., 2010; Gadd & Phipps, 2012). However, these research studies have not delved into the mechanisms of bilingualism and EF in the South African context.

Studies regarding the narrative discourse of bilinguals with aphasia have been completed (Archer, 2006; Kalmek, 2001; Penn, Venter & Ogilvy, 2001; Ogilvy, von Bentheim, Venter, Ulatowska & Penn, 2000). These research projects examined the conversation and narrative discourse of bilingual people with aphasia living in a multilingual context in South Africa. As mentioned earlier in the literature review, there have also been studies in the South African context regarding the role of EF in language symptoms and conversational strategies of bilinguals with aphasia (Frankel, Penn & Ormond Brown, 2007; Frankel & Penn, 2007; Penn,

Frankel, Watermeyer, & Russell, 2010). These studies of both discourse and the role of EF and conversational discourse occurred in the chronic phase post brain injury.

To summarize, this study arose from the recognition that there is a paucity of research and a clinical need regarding language skills and EF of bilingual adults in the acute phase subsequent to brain injury in the South African context. The controversy of the bilingual advantage in recovery patterns, a bilingual person's EF subsequent to a brain injury as well as the possible relationship between language and EF in bilingual persons with a brain injury in the acute phase of recovery, highlights the need for further research to investigate these areas within the South African context where bilingualism is the norm within the population. This information would be essential to assist in current speech-language therapy and neuropsychology trends with regard to assessment and treatment. Hence this research study aimed to determine whether there was a relationship between language and executive functions in bilinguals with an ANCD when assessed at two time periods within the first 12 weeks post injury. This research also aimed to establish the recovery profiles for this population. Due to the lack of standardised assessments in the South African context for second language English speakers as well as assessments which consider the bilingual nature of a patient, this research also aimed to evaluate whether the Comprehensive Aphasia Test (Swinburn, Porter & Howard, 2005) and the non-verbal EF battery was an effective and economical assessment tool that can be employed in the South African population.

# Chapter 3

#### **Methodology**

A non-experimental, correlational and comparative, as well as ex post facto design was employed. Participants were assessed at two time points in the acute phase of recovery, six weeks apart, introducing a longitudinal element. The following sections will detail the aims of the research as well as the methods which have been adopted to achieve the aims.

# 1. Research Question:

• In a South African acute rehabilitation setting, is there a relationship between language and executive functioning skills of bilingual persons with ANCD when assessed at two time periods within the first 12 weeks post injury?

## 1.1 Primary Research Aims:

- To identify an assessment battery for language and EF that is sensitive to etiology and the recovery process for South African bilingual persons who have had a neuronal insult.
- To evaluate the linguistic and executive function skills of bilingual patients with ANCD at two time periods within the first 12 weeks post injury.
- To profile the recovery of bilingual persons with ANCD in the acute recovery phase according to etiology (Right CVA, left CVA and TBI).

### 1.2 Sub Research Aims:

- To determine the whether the assessment battery (Comprehensive Aphasia Test (Swinburn, Porter & Howard, 2005) and the non-verbal EF battery can differentiate the normal control group from the pathological group.
- To determine if the assessment battery is able to provide valid information when assessing second language English-speakers.
- To determine if the assessment battery is sensitive to the recovery process.
- To determine if the assessment battery is sensitive to etiology.
- To evaluate whether the assessment battery is an effective and economical assessment tool that can be employed in the South African population.

- To determine the profile of change in the EF and language skills of bilingual persons who have had a left CVA, right CVA or TBI over a 6 week period in the first 12 weeks post injury.
- To determine the relationship between language and EF skills in bilingual persons at 6 weeks and at 12 weeks subsequent to a left CVA, right CVA or TBI.

#### 2. Research Design

A multivalent comparison research design with a longitudinal component was employed. A multivalent comparison design was employed as four groups of participants were compared in order to determine the similarities and difference between them. The attribute independent variables included the type of brain injury (left CVA / right CVA / TBI); and it was those parameters whose influence on EF and language were assessed. A mixed design was also employed for the research. The within-subject design compared the EF with the language skills within each group (left CVA / right CVA / TBI / neurologically intact) to determine the linguistic and cognitive profiles of each group. The research was longitudinal as participants were initially assessed at 6 weeks post injury and then again at 12 weeks post injury. The results of the initial assessment were then compared with the results at 12 weeks post injury in order to determine the profile of change which occurred. The between-subject design was utilised to compare bilingual persons who have sustained a TBI, left CVA, or right CVA as well as those who are neurologically intact to determine if the battery could distinguish etiology.

### 3. <u>Setting</u>

Participants were recruited at two acute rehabilitation inpatient hospitals based in Johannesburg, South Africa. These two hospitals are part of the private health care system of South Africa. They service people who belong to a medical aid scheme and the medical aid scheme funds aspects of their rehabilitation. The participants reflected the typical demographic of cases in an urban rehabilitation setting in Johannesburg. Many of the participants' homes were in outlying areas of Johannesburg and many of the participants did not have easy access to therapy subsequent to discharge from the inpatient rehabilitation hospital. All participants were provided with daily speech-language therapy whilst at the inpatient rehabilitation hospital. Therapy would range from 30-60 minutes per day. It is a

limitation that this study could not control for the intensity of therapy, type of therapy as well as the amount of time spent at the rehabilitation hospital. These aspects of a patient's rehabilitation were unique per participant.

# 4. Participants

A convenience sample of 29 bilingual participants who had sustained a neuronal injury (CVA/TBI) were assessed. Participants were defined as bilingual for the purpose of this study if they spoke two or more languages in different settings (i.e. different language at home as compared to the work setting) and if they had functional fluency in both languages. Functional fluency in each language did not have to be identical but it was required that they should be able to converse and engage in similar activities using their languages (Bialystok, 2001). Early acquisition of both the languages (before the age of 12 years) was a selection criterion. Both female and male participants were included in the study. There could be a potential difference between bilingual participants who were Afrikaans and those who were African due an educational advantage in the bilingual Afrikaans participants. This could occur due to the differential nature of education systems previously provided in the South African context. Chapter 1 and 2 provide in depth details of this inequality.

Participants were recruited through discussion with the speech-language pathologists working at each site. Regular reviews of the patient files at each hospital were also conducted so that the researcher could identify potential participants. If a patient met the criteria for participating in the research study, the patient's family was telephoned and the study was explained verbally with written support to the patient. An information pack and informed consent letter was provided to each participant and family. They were allowed to consider involvement in the study for one week and then the researcher contacted them again to determine whether they wished to be involved or not. Appendix C contains the information pack provided to patients and their families. Appendix D comprises the informed consent letter that the patient signed prior to commencing the research.

Nineteen participants who had sustained a CVA (10 left CVA and 9 right CVA) and 10 participants who had sustained a TBI were included in the study. The participants were required to be in the acute phase post injury so that the initial assessment could occur at 6

weeks post injury and then again at 12 weeks post injury. Appendix E delineates the specific demographics of the individual participants. *Table 3* summarises the demographics of the participants and control group.

Table 3.

Participant demographics regarding age, gender, number of languages spoken, years of schooling and age of L2 acquisition.

				Number of	Years of	Age of L2
			Age	languages	education	acquisition
			O	spoken		
	Total	M, n=20	46.13	2.6	14.4	5.2
ts	participants (n=29)	F, n= 9	(14.56)	(0.72)	(2.89)	(2.78)
 :ipan	TBI	M, n=9	32.1	2.5	14.8	6.3
	(n=10)	F, n= 1	(6.62)	(0.52)	(3.45)	(2.8)
cal 1	Left CVA	M, n=6	49.5	2.6	13.5	3.5
Clinical Participants	(n=10)	F, n= 4	(11.21)	(0.67)	(2.17)	(3.0)
	Right CVA	M, n=4	57.4	2.7	15.2	6
	(n=9)	F, n= 5	(12.91)	(0.97)	(2.99)	(1.4)
10	Control group	M, n=6	47.84	2.21	16.1	6.4
Control	(n=19)	F, n=13	(17.22)	(0.42)	(2.62)	(3.0)

Note. Mean (Standard deviations); L2 refers to second language; M= male; F= Female

# Participant Inclusion Criteria

Participant inclusion criteria for participants who had sustained a brain injury:

• The participant had to be in the acute phase subsequent to their injury. Preferably less than 6 weeks post injury so that informed consent could be provided to participate in the research and so that the assessment could commence at the 6 week mark.

- Proficiency in any other official South African language as a first language.
- Proficiency in English as a second or third language was required. English as a second language was utilised so as to remove confounding implications of only assessing in English.
- Early acquisition of spoken languages as research reveals that there may be some differences in the bilingual advantage present in early versus late bilinguals (Paradis, 2004).
- Participants were required to have a minimum of twelve years of schooling.
   Neuropsychological testing may be dependent on level of schooling and thus results should not be skewed by level of education (Lezak et al., 2004).
- Participants were not older than 70 years of age due to the fact that research has shown a natural decline in executive functioning with increased age (Lezak et al., 2004).
- Participants were medically stable and able to participate in formal assessment.
- Participants did not present with any other neurological conditions such as previous CVA/TBI, dementia, multiple sclerosis or motor neuron disease as well as any other degenerative diseases.
- Participants did not have a history of alcohol or substance abuse as prior substance abuse can affect neurological functioning and this may impact assessment results (Lezak et al., 2004).
- Participants did not present with significant visual impairments that could not be corrected by visual aids. Visual impairment was established by reviewing the occupational therapist's report.

# 5. Control group

A control group comprising of 19 neurologically intact bilingual individuals were assessed. They were matched to the participants in terms of age and level of education. They were also people who made use of the private healthcare system of South Africa. The control group was recruited through the researcher's family, friends and colleagues. The control group was initially contacted in person or telephonically, then an information pack was given to them and they were allowed to consider participation prior to signing the informed consent. The information pack given to the control group is in Appendix F. Appendix G contains the

informed consent letter that was signed by the control group prior to the assessment. The control group had an initial assessment and then a follow up assessment was completed at 6 weeks subsequent to the initial assessment. The assessment at six weeks subsequent to the initial assessment was completed to see if any statistically significant change occurred on the tests that could possibly be due to practice effect and the impact of test-retest reliability. Practice effect refers to the improvement in cognitive test performance due to repeated exposure to the test (Duff, Beglinger, Schultz, Moser et al., 2007).

# Control Group Inclusion Criteria

Participant inclusion criteria for participants of the control group who were neurologically intact:

Participants were required to match the above criteria for the participants with CVA/
 TBI. However no history of CVA, TBI or any neurological conditions was essential.

T-tests were completed in order to determine if there was a statistically significant difference when comparing age, years of education and number of languages spoken between the participants and the control group. There was no statistically significant difference when comparing age of participants (M=46.13, SD=14.56) with age of control group (M=47.84, SD=17.22); (t (18) = 1.29, p= 0.21). There was no statistically significant difference when comparing years of education of participants (M=14.44, SD=2.89) with years of education of the control group (M=16.1, SD=2.62); (t (18) = -1.35, p= 0.19). There was also no significant difference when comparing number of languages spoken of participants (M=2.60, SD=0.72) with the control group (M=2.21, SD=0.42); (t (18) = 1.68, p= 0.11).

#### 6. Materials

#### 6.1 Language proficiency questionnaire

The participants and the control group were required to complete a questionnaire detailing languages spoken, age of acquisition of each language, and manner of acquisition (informal/formal). This information was needed in order to gain insight into the participants' language history (Luo, Luk, Bialystok, 2010). The questionnaire was completed by the participant with the support of either the researcher and/or a family member. Appendix H

contains the language proficiency questionnaire. Age of acquisition which can be a factor in recovery patterns (Paradis, 2004) was accounted for and all participants had to have a pattern of early language acquisition for the primary language spoken. The mean age for second language acquisition according to etiology is detailed in *Table 4*.

#### 6.2 Language assessment

Assessment of the participants' language skills was completed utilising the Comprehensive Aphasia Test (CAT) (Swinburn, Porter & Howard, 2005). This assessment was completed only in English. It is recognised that ideally when assessing bilinguals who have aphasia, all languages spoken should be assessed in order to understand the language dysfunction and thus enhance therapy (Weekes, 2010). However, in South Africa the common language accepted and used for administration, education, economic and commercial communication is English (Mukhuba, 2005). In addition, language assessments for aphasia have not been standardised in all of the official languages of South Africa. Standardising language assessments for the eleven official languages was beyond the scope of this research.

The researcher wanted to ensure ecological validity of the study and that was a further reason to only use English as it reflects what is occurring clinically. As discussed by Kathard and Pillay (2013) in Chapter 1, 95% of speech-language therapists are first language English speakers and there is a lack of clinicians who speak a local African language as a first language. There are also difficulties with the use of interpreters and translated versions of tests as discussed by Penn (2014). In South Africa there are limited resources for professional interpreters and there is a shortage of trained interpreters (Penn, 2014). Thus clinicians rely on assistants, nurses and family members to assist with translation which can lead to some challenges in assessment validity (Penn, 2014). These facts reflect the socio-political history of South Africa and its current influence on health care provision as discussed in Chapter 2.

As described in detail in Chapter 2, the CAT was selected for this study. The research wanted to determine the use of the CAT on second language English speakers in the South African context so that it could possibly be used as a tool for clinicians who are only proficient in English and who assess a population of predominantly second language English speakers. Therefore, all participants and the control group were second language English speakers to

remove confounding implications of assessing in English. Scores of the participants and the control group were compared to the norms provided by the assessment. The CAT has only been validated in English in the United Kingdom, though in clinical use, it is being used in English in South Africa. As discussed in the literature review, research has determined when comparing English BAT results with English CAT scores, that there is some internal validation of the use of the CAT on bilinguals (Green et al., 2011).

The CAT was adapted in the Comprehension of Spoken Paragraphs subtest and the Spoken Naming subtest. Names of cities and units of measure were altered to suit the South African context. Syllable length of words altered was maintained. See Appendix I for the paragraphs with the changes to ensure the paragraphs were culturally appropriate for the South African context. In the spoken naming subtest "dog", "jackal", "fox" and "wolf" were accepted for the picture depicting a "fox". The words "boot", "shoe" and "ski" were accepted for the picture depicting a "ski".

## 6.3 Executive function assessment

The assessment of executive functioning skills was conducted employing a non-verbal EF battery developed based on Miyake et al (2000). The three executive functions assessed were:

- 1. shifting (shifting between mental set or tasks)
- 2. updating (updating and monitoring working memory) and;
- 3. inhibition (inhibiting prepotent responses).

As discussed in Chapter 2, these EF skills were assessed because they are skills that are clearly distinguishable (Miyake et al., 2000). Furthermore, they are baseline EFs which are clearly linked to more complex EF assessment tasks (Miyake et al., 2000). Assessment of patients at the acute phase requires assessment of more baseline EF skills and the clinician needs to consider the impact of language deficits on the EF tasks (Keil & Kaszniak, 2002). Language components of EF testing may impact results in patients with aphasia. The execution of EF tasks may be affected by comprehension deficits when a task has multiple steps with complex instructions (Keil & Kaszniak, 2002). EF for this research was explored by using non-verbal tasks as Martin et al. (2012) did, in order to avoid the effects of language processing on the EF tasks.

The assessments were selected from the Miyake et al (2000) battery and were chosen because they have instructions that were simple and thus decreased the linguistic load and no/minimal speech production was required in tasks. Ease of motoric response was also considered. The motoric responses were simple and could be completed with a non-dominant hand if the participant's dominant hand function had been affected by the brain injury. All tasks were structured so that if a participant was non-verbal, they could complete the tasks without speech output. A decrease in processing speed is a common symptom subsequent to neuronal injury and processing speed has a significant influence on cognitive performance, however, its influence is not consistent across domains (Cumming, Marshall & Lazar, 2013). Therefore, assessments with time limits can be skewed, as the test may be more of an assessment of processing speed than what it is actually attempting to assess (Cumming, Marshall & Lazar, 2013). Thus in this study timed versions of the tests were not utilised other than the number – letter task. The number-letter task was employed as it was an assessment of simple shifting which did not rely on verbal responses and was shown to be a reliable assessment of mental shifting (Rogers & Monsell, 1995; Miyake et al., 2000).

*Table 4* summarises each assessment measure regarding rationale, administration, scoring and adaptations. Further details regarding the specific test procedures are presented in Appendix J.

# 6.3.1 Assessment of mental shifting

In order to assess shifting the number-letter task (Rogers & Monsell, 1995) and the Wisconsin Card Sorting Test (WCST) (Grant & Berg, 1948) were selected. The number-letter task requires a participant to shift between mental sets and has been found to be a good assessment of simple mental shifting skills as determined by Miyake et al (2000) and Rogers and Monsell (1995). Task switching requires a person to switch back and forth between two tasks in order to determine how long these processes take and what influences them (Mecklinger et al., 1999). Switch costs were the dependent measure for the number-letter task. Switch costs occur due to reconfiguration that occurs when switching between tasks. If switch costs are low, it reflects that the person was able to initiate and endogenous control

process. Task switching also requires an individual to suppress irrelevant and interfering information (Mecklinger et al., 1999).

The WCST was used as the complex EF assessment to assess shifting (Miyake et al., 2000). The WCST has been widely used as an assessment of shifting attention. This assessment tool requires sustained attention, set maintenance, concept formation, working memory, problem solving and set switching (Jodzio & Biechowska, 2010). Despite the complexity of the WCST, analysis by Miyake et al (2000) revealed that shifting skills contribute significantly to performance on the WCST. The WCST has been found to be of high usefulness in patients with aphasia however the impact of comprehension of instructions needs to be considered when interpreting results (Keil & Kaszniak, 2002).

## 6.3.2 Assessment of inhibition of prepotent responses

The Victoria Stroop (VicStroop) test was selected as the simple assessment of inhibition and the Tower of Hanoi (ToH) was selected to assess complex inhibition skills. The VicStroop was selected because it can be analysed independently of cognitive speed by using the error score and the interference ratio which does not require time measures and therefore corrects for the slowed processing speed and allows one to examine inhibition (Strauss, Sherman & Spreen, 2006). The Stroop Colour-Word Interference Test (Golden, 1978) was not employed as it has been found to have moderate usefulness in persons with aphasia due to the time constraints (Keil & Kaszniak, 2002). The VicStroop is also brief and has reduced administration time. It may also be more preferable for identifying response inhibition difficulties due to the fact that the participant does not get extended practice on the task (Strauss, Sherman & Spreen, 2006).

In addition, the VicStroop was utilised as conflict-monitoring operations are hypothesised to manage the task performances during this test and these trigger inhibitory processes (Botvinick, Cohen & Carter, 2004). If conflict is determined then the executive and supervisory control systems are activated in order to decrease or slow performance so that more careful processing can occur, goals are updated and irrelevant information is deleted (Hasher, Zacks & May, 1999). The VicStroop is useful in assessing this process.

The Tower of Hanoi (ToH) was selected as the complex EF assessment to assess inhibition. Miyake et al (2000) determined that inhibition plays an important role in performance on the ToH. Novel planning, problem solving and rule adherence are skills also assessed by ToH (Glosser & Goodglass, 1990). Short term memory deficits and goal-subgoal conflict resolution deficits may also be identified by this assessment measure (Goel & Grafman, 1995). The ToH has been identified to have high usefulness with patients with aphasia as there is limited language load (Keil & Kaszniak, 2002). In addition, the time element does not need to be used when employing the ToH in patients with a CVA/TBI as they may be slower due to general brain damage (Keil & Kaszniak, 2002).

## 6.3.3 Assessment of updating

The n-back task was selected as the assessment for updating as Miyake et al. (2000) identified that it was a useful tool for assessing updating. It was the only updating task from Miyake et al. (2000) EF battery that did not require a verbal response. N-back tasks require a participant to monitor stimulus input and update information in working memory in a flexible manner in order to produce an appropriate response (Elliot, 2003). The n-back task requires temporary storage and manipulation of information while updating contents in working memory (Wright & Fergadiotis, 2012). There are several processes that are involved with the n-back task that make it an appropriate task to evaluate updating (Oberaurer, 2005):

- 1. Elements are encoded and interpreted.
- 2. The elements to the value of n (2 for this battery) are remembered and remain available for intentional processing.
- 3. Performance is dependent on the ability to supress activation of irrelevant elements.
- 4. In order to be successful in the task, some mechanisms are required to allow elements to be bound in a temporal context.

Harris Wright et al. (2007) suggest that the n-back task may be a useful assessment tool to assess updating in persons with aphasia because the task does not require an overt response due to the participant responding by pushing a button. Mayer & Murray (2012) and Christensen & Wright (2010) demonstrated that the n-back task could be used to assess working memory and updating in persons with aphasia. It is hypothesised that updating /

working memory deficits observed in persons with aphasia may be due to an impaired phonological loop which negatively affects word retrieval, comprehension of complex syntax as well as difficulty producing/comprehending discourse (Wright & Fergadiotis, 2012).

The n- back task, using pictures that comprised concrete objects, can be considered a measure of verbal working memory or updating even though it only uses visual stimuli. Christensen and Wright (2010) identified that controls and persons with aphasia performed better on a 2-back task when the stimulus pictures were linguistic as opposed to semi-linguistic or non-linguistic. These results were interpreted as evidence that the phonological loop is actively involved in maintaining information provided by stimuli, therefore allowing a clinician to use the n-back task as a measure of verbal working memory. Hence the n-back task employed for this study made use of stimulus pictures that were linguistic in nature.

Task administration of the Victoria Stroop, WCST, and ToH was computerised and presented on a laptop (Packard Bell EasyNote TE) using a computerised version of the test developed by Mueller (2012). The n-back task and number-letter task were presented on an iPad3.

Table 4.

Executive Function Assessment Battery

Miyake et al (2000)	Test	Rationale	Administration and scoring	Adaptations
Constructs				
Shifting	Number-letter	This task required shifting between	A computerised version of this assessment was	No verbal response was
	Task (Rogers	mental sets of numbers and letters	employed. Participants were required to indicate if the	required. Instructions were
	and Monsell,	(Miyake et al., 2000).	numbers were even or odd when the number-letter pair	explained using simple
	1995)		was presented in the top two quadrants. However if the	language with enlarged
			number-letter pair was presented in the bottom two	written instructions.
			quadrants, participants had to indicate whether the letter	
			was a vowel or not. The shift cost was the dependent	
			measure for this task and it was calculated using the	
			difference in average response time for trials during the	
			second session where switching was required and the	
			average response times of trials from the first session	
			where no switching was required.	
	Wisconsin Card	The WCST requires sustained	A computerised version of the assessment was	Prior to assessment,
	Sorting Test	attention, set maintenance, concept	employed. Participants were required to sort cards based	participants were required
	(Grant & Berg,	formation, working memory,	on colour, number and symbol. The participant had to	to sort 16 cards according
	1948)	problem solving and set switching	deduce the categorization rule from the responses of the	to colour or form. This
		(Jodzio & Biechowska, 2010). The	computer. Subsequent to 10 correct sorts, the sorting	screening was completed to

Table 4 continued

Executive Function Assessment Battery

		WCST has been found to be of high	principle is altered without warning. The dependent	determine the presence
		usefulness in patients with aphasia	measure for this assessment was perseverative errors	visual processing or
		(Keil & Kaszniak, 2002).	(Lezak et al., 2004).	categorisation deficits that
				would influence testing
				(Purdy, 2002).
Updating	N-back task	The N-back task was used to assess	This assessment was completed using a touch screen	The participant was
	(Quinette et al.,	a person's ability to store items in	tablet (Apple iPad3). The patient was required to touch	instructed using simplified
	2004)	working memory, and then revise	the picture if it was the same as two pictures prior (n=2).	instructions.
		items held in the working memory	Initially 3 sets of 12 pictures were presented as practise	
		(Quinette et al., 2004).	trials. Subsequently 10 sets of 12 pictures were	
		The N-back task was employed as a	presented to the participant. The scoring was according	
		person is required to monitor	to the amount of pictures correctly identified.	
		stimulus input and update		
		information in working memory in		
		a flexible manner in order to		
		produce an appropriate response		
		(Elliot, 2003)		
Inhibition	Victoria Stroop	This test is brief and has reduced	A computerised version of this test was employed.	For participants with visual
	Colour-Word	administration time. It can be	Participants were required to push a number button (1, 2,	difficulties or neglect, the
	Interference	analysed independently of cognitive	3, or 4) in response to a specific colour (red, green, blue,	materials were enlarged and
	Test (Strauss,	speed by using the error score and	or yellow). Colours were identified in Part D (dots), part	placed within the visual
	Sherman &	the interference ratio which does	W (words) and part C (colour words in different colour	field.

Table 4 continued

Executive Function Assessment Battery

Spreen, 2006).	not require time measures and	ink) Participants were instructed to respond to the ink	
	therefore corrects for the slowed	colour of the dot or the word and to not read the word.	
	processing speed and allows one to	The errors and time for each section were recorded. The	
	examine inhibition (Strauss,	dependent measure was time of Part C/Part D to	
	Sherman & Spreen, 2006).	determine the ratio index of interference (Strauss,	
		Sherman & Spreen, 2006).	
Tower of Hanoi	This task assesses the inhibition of	A computerised version of this assessment was	The patient was instructed
	a prepotent response (Lezak et al.,	employed. The desired end configuration was displayed	using simplified
	2004). Miyake et al (2000)	at the top of the screen which consisted of three disks of	instructions with written
	determined that this assessment	varying size. The patient was instructed to make the	keywords. The computer
	correlated with the tasks which	starting configuration match the end configuration. The	mouse was placed in the
	assessed inhibition in isolation such	rules of the Tower of Hanoi were explained. These	unaffected hand.
	as the Stroop test, however this task	included: (1) a larger disk may not go on top of a smaller	
	is more complex and involves	disk; (2) the task must be completed in the least amount	
	working memory and information	of moves possible; (3) the task must be completed in the	
	processing speed (Lezak et al.,	quickest amount of time possible (Lezak et al., 2004).	
	2004).	The dependent variable was the total number of moves	
		used to reach the goal state.	

#### 7. Procedures

Participants with language difficulties are vulnerable in research projects because they may have receptive or expressive language deficits which may affect their decision-making capabilities (Penn, Frankel, Watermeyer & Muller, 2009). It was essential to obtain both verbal and written informed consent. The verbal explanation of the research project was completed in a quiet environment. The explanation was characterised by decreased sentence length with decreased linguistic complexity (Brennan et al., 2005). A slowed rate of speech was utilised by the researcher and time was provided for the patient to process the information presented (Simmons-Mackie, 2001). The study was also explained to the participants' families so that participants and families could make a joint decision regarding participation.

The language proficiency questionnaire in Appendix H was first completed to ensure that the participant met the criteria for the research study.

Participants were assessed in English utilising the CAT and the non-verbal EF battery. Participants with a brain injury were initially assessed at 6 weeks post injury and were then reassessed at 12 weeks post injury. The initial assessment occurred in a quiet office at the acute rehabilitation facility. The initial assessment at 6 weeks post injury occurred over three sessions. It was observed that participants tired easily during the EF battery and it needed to be divided in half. It was also noted that during the initial assessment, participants had slower processing and thus took longer to complete tasks. This influenced the length of testing. Therefore the EF assessment needed to be completed over two days. The language battery was completed in the first session. In the following two sessions, different tests from the EF battery were completed. The test order of the EF battery was randomised to circumvent order effects.

The follow-up assessment at 12 weeks post injury took place over two days as participants did not tire as easily and processing speed appeared to have improved. Thus the assessment length was shorter than during the initial assessment. Either the language battery was completed in the first session or the EF battery. Then in the second session the assessment

was completed. These follow up assessments occurred in a quiet environment- either in a quiet office or in a quiet room at the home of the participant.

The sessions at 6 weeks post injury as well as at 12 weeks post injury were no more than 24 hours apart. The participants had sufficient proficiency in English and did not require instructions in their first language.

The control group was assessed on one day as participants were able to sustain attention for the one and a half hours required. If a participant required a short 5 minute break halfway through testing, this was allowed. Again test order was randomised in order to circumvent order effects. The control group was reassessed 6 weeks after the initial assessment.

### 8. Ethics

Ethical clearance was obtained from the University of the Witwatersrand Medical Ethics Committee and the reference number is M131112 (see Appendix A). Consent to complete the research at Life Healthcare Rehabilitation units was obtained from the Life Healthcare Group (see Appendix B).

### 9. Reliability and Validity Measures

Reliability of Scoring

The CAT manual contains detailed administration and scoring details. Patient examples are also provided to help the clinician score and interpret the results. This assists in making the CAT fairly easy to administer and score (Bruce & Edmundson, 2010). The CAT is well constructed in terms of inter-rater reliability (Springer & Mantey, 2010). Inter-rater reliability was measured when standardising the CAT. There was excellent agreement between raters for nearly all subtests (Swinburn, Porter & Howard, 2004). "Gesture object use" was the only subtest which had low agreement between raters (Swinburn, Porter & Howard, 2004). Due to generally high inter-tester reliability, only the researcher assessed participants. Scoring of the EF subtests was completed by the computer programme which ran the EF subtests (PEBL; Mueller, 2012) and thus did not allow for researcher error or bias.

#### *Test-Retest Reliability*

The CAT is well constructed in terms of test-retest reliability (in the chronic phase) but this reliability has not been established in the acute phase (Howard, Swinburn & Porter, 2010). Test-Retest reliability was established by assessing 21 people with aphasia who had aphasia for over 27 months duration. They were assessed twice with approximately ten weeks between assessment sessions (range was 5-15 weeks). The results showed the reliability of subtests with fewer items was lower than subtests with more extensive items. However, there was excellent reliability for the combined modality scores (Swinburn, Porter & Howard, 2004).

The controls of this study were assessed twice in order to establish the effect of practice effect on test-retest reliability of the CAT and the non-verbal EF battery in the acute phase post brain injury. Practice effect refers to the improvement in cognitive test performance due to repeated exposure to the test (Duff, Beglinger, Schultz, Moser et al., 2007). Practice effects have been traditionally viewed as a source of error but some current research indicates the practice effect might provide some valuable insights into patients' cognitive functioning (Duff et al., 2007). This will be delineated further in the results section when describing the results.

## **Validity**

The CAT has construct, predictive and concurrent validity (Springer & Mantey, 2010). Construct validity was ensured by analysing the structure of scores on the individual subtests with the combined score using factor analysis and cluster analysis. The analysis of the cognitive screen revealed that subtests "semantic memory" and "recognition memory" clustered closely whilst the other subtest did not (Swinburn, Porter & Howard, 2004). This reveals that the other subtests assess different cognitive domains and thus were not combined into one cognitive score. Factor and cluster analysis of the language battery subtests revealed reasonable justification for combining the scores of the different subtests by modality (Swinburn, Porter & Howard, 2004). Concurrent validity was established by correlating the CAT to the Frenchay Aphasia Screening Examination (Enderby et al., 1987) and the Mini-Mental State Examination (Folstein, Folstein & McHugh, 1975). Strong correlations between the CAT subtests and scores on the other subtests that investigated similar skills were

observed (Swinburn, Porter & Howard, 2004). Predictive validity was identified as the assessment scores of people with aphasia at 1, 3, 6 months subsequent to their stroke could predict outcome at a year subsequent to their stroke (Swinburn, Porter & Howard, 2004). However, it must be remembered that this prediction is an estimation.

Internal validity of the research was controlled with regard to the test environment. Participants were assessed in a quiet room/office with minimal visual and auditory distractions. The nature of the assessment area may compromise the external validity of the research as persons utilise EF in environments with auditory and visual distractions which can impact the EF functioning of a person in real-world situations (Schiavetti & Metz, 2002). However, observing EF in real-world situations was not an aim of this research.

The presentation of instructions to participants could have affected the internal validity of the research (Schiavetti & Metz, 2002). Thus test instructions were linguistically simple and supplemented with written keywords in large print as these adaptations have been researched and found to aid comprehension in persons with aphasia (Brennan, Worrall & McKenna, 2005). Pictorial supplementation with written keywords was also provided to aid comprehension of instructions (Wallace, Dietz, Hux & Weissling, 2012). A slowed rate of speech was utilised to support comprehension in persons with aphasia (Simmons-Mackie, 2001). In order to decrease experimenter bias of the researcher, there was one tester and researcher and the researcher did not provide therapy to the participants.

### 10. Data Analysis

Within-group analysis was required in order to determine changes in language and executive function subtest scores (paired two-tailed t-tests for dependent correlations) as well as to determine which executive function subtests correlated to language subtests at both 6 and 12 weeks post lesion (Pearson's analysis). The data analysis was completed using IBM SPSS Statistic for Windows, Version 22.0, released 2013.

To determine if there was a significant difference between the means of language and EF subtest scores at 6 and 12 weeks, a series of paired two-tailed t-tests for dependent

correlations were conducted (Hill & Lewicki, 2007). This analysis was completed to prove the hypothesis that there would be a change in language and EF skills between the 6 and 12 week assessments. A significance level of .05 was employed throughout the results unless otherwise specified.

Pearson analysis was employed to determine the extent to which two variables (i.e. language subtests and EF subtests at 6 and 12 weeks per etiology) are proportional to one another (Hill & Lewicki, 2007). The closer the r value is to 1 the stronger the relationship between the two variables. This analysis was completed to prove the hypothesis that there is a correlation between language subtests and EF subtests at 6 weeks and then at 12 weeks.

Between-group analysis using repeated measure ANOVA was completed to determine if there was a statistically significant difference between the various etiologies (left CVA, right CVA, and TBI) and the control group at both 6 weeks and 12 weeks. The repeated measure ANOVA tests the equality of means. A repeated measure ANOVA was used as each language and EF subtest was measured under a number of different conditions i.e. etiology and time of assessment (Hill & Lewicki, 2007). Mauchly's Test of Sphericity was not violated when analysing the data unless otherwise stated in the results section.

# Chapter 4

#### **Results**

The results of this research revealed that the language and EF battery was able to differentiate the control group from the clinical groups. The patterns observed in the control group reflected appropriate language and EF functioning, highlighting that this battery may be appropriate for bilingual, second language English speakers in the South African population. For the clinical groups, the results also revealed that the battery was sensitive to etiology and the recovery process. For each clinical group there was a specific pattern of change from the 6 week assessment to the 12 week assessment. There was also a specific correlation between language subtests and EF subtests for each clinical group and these correlations changed over time. These results will be discussed further.

## 1. Control Group Performance at 6 and 12 weeks.

The control group reflected a pattern of normal language and EF scores for all subtests of the language and EF battery except for the ToH. The means with the standard deviations for all subtests are reflected in *Table 5* and the scores per participant for each subtest are in Appendix K. As mentioned previously, all members of the control group were bilingual, second language English speakers. This provides exploratory evidence that this battery may be suitable for use on South Africans who are bilingual, second language English speakers. The only subtest that did not appear appropriate was the ToH which assessed inhibition. During the initial assessment 4 out of 19 participants were able to complete the ToH in the appropriate number of moves and in the follow up assessment 6 weeks later 5 out of 19 participants were able to complete the ToH in the appropriate number of moves. This indicates that this assessment measure may not be appropriate for use with the population of South African bilingual, second language English speakers. The inability of participants to complete this task may reflect educational and cultural differences of this control group as compared to the group on whom this assessment measure was standardised. Hence clinicians may need to be cautious in employing this assessment task with this specific population. The validity of using the Tower of London (which is a similar assessment) on rural South African adults was assessed and it was found that the published norms were not adequate to use with this population (van Heerden & Schutte, 2014). It was found that there was a significant

correlation of work environment (rural versus urban) with performance, but age and level of education were not good predictors of performance.

Table 5 also illustrates the statistically significant changes which occurred between the two assessment times for both language and EF subtests. Within-group results for change in language and EF results for the control group were identified using the *t-test*. The results revealed no statistically significant change in the language subtests of comprehension of written language, repetition, naming, reading, writing and memory. There was however, a statistically significant change in the language subtests of comprehension of spoken language, spoken picture description and written picture description subtest when comparing week 12 to week 6.

In the EF subtests there was no significant change in the scores for the n-back task, VicStroop task and number-letter task. However, there was a statistically significant change when comparing the WCST scores at week 12 with week 6. The ToH was not included in this analysis as only 5 out of the 19 participants could complete the task successfully at week 12 and it was deemed to be an inappropriate assessment measure for this population.

These results indicate that there were no statistically significant changes in the majority of the subtests in the control group when comparing the initial assessment to the six week follow up assessment. However, the control group was not expected to change between week 6 and week 12. This suggests that the battery may be clinically useful across cultural groups as a variety of cultural groups were included in this control group in order to reflect the cultural diversity of South Africa. There were three subtests in the language assessment which had a statistically significant change between week 6 and week 12 (comprehension of spoken language, spoken and written picture descriptions). Spoken and written picture description may have improved because these subtests did not have a set number of items whereas other subtests had a set number of items and participants generally scored maximal scores in those other subtests. Another reason for change in discourse scores is that participants may have produced a more complex narrative at the reassessment as they knew what was expected of them and elaborated their narratives.

Table 5.

Mean, Standard Deviation (SD) and t-scores for the Control group on the CAT subtests and EF battery subtests at the initial assessment and six weeks later.

	Week 6 Mean (SD)	Week 12 Mean (SD)	<i>t</i> scores <i>n</i> = 19
	n= 19	n= 19	df=18
CAT Subtests			
Memory	59.32 (4.85)	59.32 (4.85)	Could not determine as standard error of the difference is 0
Comprehension of spoken language	61.16 (6.08)	64.00 (6.94)	2.75 **
Comprehension of written language	64.84 (6.64)	65.58 (6.42)	0.82
Repetition	65.79 (4.26)	67.26 (4.12)	2.06
Naming	69.36 (5.86)	69.05 (5.19)	-0.52
Reading	67.47 (4.31)	67.79 (4.47)	0.59
Writing	61.84 (4.31)	62.84 (4.51)	1.74
Spoken Picture Description	60.74 (1.94)	63.53 (3.99)	3.31**
Written Picture Description	69.32 (4.68)	71.32 (3.09)	3.04**
Line Bisection	59.37 (7.46)	59.37 (7.46)	Could not determine as standard error of the difference is 0
Gesture Object Use	68.00 (.00)	68.00 (.00)	Could not determine as standard error of the difference is 0
Arithmetic	62.05 (3.96)	62.47 (3.82)	1.00
Word Fluency	70.58 (4.51)	70.68 (3.27)	0.14
EF Battery subtests			
N-Back (updating)	0.86 (0.11)	0.80 (0.12)	-2.08
VicStroop (inhibition)	15.63 (1.89)	15.84 (1.77)	0.49
WCST (shifting)	87.42 (15.13)	94.11 (6.38)	2.19*
Number-Letter (shifting)	367.82 (238.07)	340.29 (240.52)	-0.42

*Note.* For t scores: \*p<.05 indicates significant difference; \*\*p<.01 is a strong significant difference between means for week 6 and week 12. The Tower of Hanoi was excluded from the control group analysis as an insufficient number of the control group could complete the task.

In the EF battery there was only a statistically significant change in the WCST between week 6 and week 12. The statistically significant change which occurred may have been due to practice effects. Practice effects have been found to be noticeable in WCST in other studies (Kinsella, Storey & Crawford, 2006). Practice effects have traditionally been viewed as a source of error in psychological testing (Duff et al., 2006). However research has indicated

that practice effects may hold valuable information for clinicians and researchers regarding the cognitive status and the future cognitive change for a variety of patient groups (Duff et al., 2006). Therefore if these statistically significant changes occurred in the control group, it may indicate their learning ability on these tasks. If a clinician observes change in these specific assessment measures in the clinical group, then the clinician should consider the possibility of practice effects impacting change. This change due to practice effects is not necessarily an error but may reveal that the person is improving due to the learning skills and changes in neuroplasticity (Duff et al., 2006).

#### 2. Clinical Group Performance

# 2.1. Performance of participants with a left CVA

The main finding of the use of the assessment battery on the participants who had sustained a left CVA was deficits in all areas of receptive and expressive spoken language as well as receptive and expressive written language. *Table* 6 shows these findings by presenting the means and standard deviations for each subtest. The assessment measure scores per participant and subtest are reflected in Appendix L. The CAT subtests of memory, comprehension of spoken language, comprehension of written language, repetition, naming, reading, writing, spoken picture description and written picture description were below normal. This corresponds with the description of language skills which occur in a left CVA as discussed in Chapter 2. It is positive that the use of the CAT on bilingual, second language English speakers was able to identify patterns of deficits in spoken and written language that comply with research on aphasia. These results indicate that with bilingual, second language English speakers who have had a left hemisphere stroke, a clinician may be able to use the CAT to determine language impairments in English.

The results of the EF subtests revealed patterns of deficits in the n-back task (updating), VicStroop task (inhibition), and WCST (shifting). The number-letter task was identified to be an inappropriate assessment measure for the acute phase as only 2 out of 10 participants could complete it during the 6 week assessment and then 5 out of 10 participants could complete it during the 12 week assessment. Participants would also perseverate on pushing the yes/no button and thus skew the results. This perseveration could indicate difficulties with shifting attention and inhibition revealed in the VicStroop and WCST. The ToH was also

deemed inappropriate as 2 out of 10 participants were able to attempt the task (unsuccessfully) at the 6 week assessment and at the 12 week assessment 4 out of 10 participants were able to attempt the task (unsuccessfully). Often the participants were unable to comprehend the complex rules of the ToH despite explaining the instructions using simple language with written supports. *Table 6* provides the means and standard deviations for the subtests reflecting the deficits and Appendix L provides the assessment scores per patient which provides further evidence for the deficits observed.

These EF subtest results reflect the EF symptoms observed in prior research that are discussed in detail in Chapter 2. This provides some validity to the assessment battery. Zinn et al (2007) and Rasquin et al (2013) identified updating / working memory deficits in monolingual patients in the acute phase of a stroke. Martin et al. (2012) identified working memory deficits in chronic phase post stroke and that inhibition deficits impacted working memory skills. The use of the n-back task in this study reflected deficits in updating in the acute phase post stroke. Hula and McNeil (2008) identified that inhibition deficits are present in monolingual persons subsequent to a left CVA in the chronic phase. Not surprisingly then, inhibition deficits were also identified in the current research study in the acute phase with bilingual participants. Shifting deficits identified in the acute phase in this research study with bilingual participants were also observed by Purdy (2002) in monolinguals in the chronic phase subsequent to a left CVA.

In this research study updating, inhibition and shifting deficits were observed in the acute phase, and other research identified that these deficits continue to be present in the chronic phase. This indicates updating, inhibition and shifting deficits may be pervasive deficits subsequent to a stroke. However, in 5 participants there was some evidence of preserved EF functioning in at least one of the subtests of updating, inhibition or shifting. Unfortunately there is no study in monolinguals in the acute phase which assessed these three areas of EF in the participants with ACND, so it difficult to determine if some of these preserved EF are due to bilingual advantage in cognition. However it does add to research which suggests that it is important to consider the preserved EFs for therapy planning (Helm-Estabrooks, 2002; Nicholas, Sinotte & Helm-Estabrooks, 2005; Purdy & Koch, 2006).

Table 6.

Mean, Standard Deviation (SD) and t-scores for the participants with a left CVA on the CAT subtests and EF battery subtests at week 6 and week 12 subsequent to the CVA.

	Week 6 Mean (SD)	Week 12 Mean (SD)	t Scores n= 10
	<i>n</i> = 10	<i>n</i> = 10	<i>df</i> = 9
CAT Subtests			
Memory	36.70 (8.87)	41.60 (10.93)	1.97
Comprehension of spoken	36.50 (7.60)	41.90 (9.60)	2.87**
language			
Comprehension of written	37.40 (7.87)	40.30 (12.26)	1.13
language			
Repetition	48.00 (10.51)	52.60 (10.28)	2.23*
Naming	46.10 (7.82)	49.40 (9.70)	2.89*
Reading	45.80 (9.58)	52.20 (12.49)	2.49*
Writing	43.30 (9.15)	48.70 (9.42)	2.12
Spoken Picture Description	43.50 (4.84)	47.60 (6.19)	2.35*
Written Picture Description	45.80 (6.12)	49.30 (7.80)	1.97
Line Bisection	41.90 (13.63)	46.90 (12.25)	1.22
Gesture Object Use	47.70 (14.07)	54.50 (12.59)	2.03
Arithmetic	45.60 (8.40)	52.30 (7.82)	1.96
Word Fluency	46.60 (9.57)	50.60 (11.46)	1.99
N-Back	0.23 (0.22)	0.51 (0.27)	2.73*
VicStroop	5.60 (6.02)	8.40 (6.57)	1.28
WCST	57.30 (7.27)	74.00 (28.39)	2.37*

*Note.* For t scores: \*p<.05 indicates significant difference; \*\*p<.01 is a strong significant difference between week 6 and week 12. The Number-letter task and the Tower of Hanoi were excluded from this group analysis as an insufficient number of participants could complete the task.

Within group results for change were determined by comparing the results at week 12 with the results at week 6 employing paired two-tailed t-tests for dependent correlations. The t-scores revealed a significant difference in scores for the language subtests of comprehension of spoken language, repetition, naming, reading and spoken picture description. These t-scores can be observed in *Table 6*. This reveals a general pattern of improvement within auditory language skills but not in written language skills.

The *t*-test was also employed to identify change in the EF subtests when comparing week 12 with week 6. A statistically significant difference in scores was observed in the n-back

(updating) task and WCST (shifting) when comparing week 12 to week 6 in participants with a left CVA in the acute phase. *Table 6* shows the t-scores which reflect the changes between the initial and follow up assessment.

The changes in shifting seem to support the changes observed in language skills. Shifting is also referred to as "attention switching" and is assumed to be an important component of models of attentional control such as SAS (Norman & Shallice, 1986). Attention (Cumming, Marshall & Lazar, 2013) and task switching (Pohl et al., 2007) are areas of cognition which are known to be affected by left CVA. Previous research on the impact of attention on language revealed that increased attentional demands negatively affect auditory-comprehension (Murray, Holland & Beeson, 1997) and spoken language production (Murray, 2000) in persons with left CVA. Green (2005) hypothesises that attentional factors may influence recovery as attentional control is a strong indicator for recovery from brain damage. It is hypothesised that the changes seen in language subtests in this study may be related to the changes in shifting, particularly the subtests of comprehension of spoken and written language, writing, and written picture description as these subtests had a strong correlation with the WCST.

Positive changes in updating were observed in the acute phase. Green et al. (2010) provided evidence that the processes of updating working memory and switching between tasks are important to understand bilingual aphasia. In prior research by Novick et al. (2014), improvements noted in n-back tasks were closely related to improvements in syntactic-ambiguity resolution performance, further highlighting the interaction between language comprehension and updating. The information processing approach to language and cognition has hypothesised that language is processed within attention, memory, and EF and that comprehension and production of language require knowledge from long term memory (Davis, 2012). This is, however, constrained by the capacity of working memory, so if working memory changes then a change could be reflected in language comprehension and production (Davis, 2012). Therefore changes in updating may support the changes in language skills and a clinician may have to consider the impact of updating on therapy tasks and adjust therapy tasks as updating improves.

However, these positive changes in EF subtests did not result in the majority of participants being within normal limits for the subtests. This can be seen by the individual results reflected in Appendix L. These results show the persistent language and cognitive deficits which are present in aphasia particularly in the acute phase. The number-letter task as well as the ToH was excluded from this analysis as only 4 participants could complete the task on week 12.

Inhibition did not significantly change. It has been hypothesised that deficits in inhibition in the bilingual persons with a left CVA may cause the weaker language premorbidly to be more at risk (Green & Abutalebi, 2008). Selective recovery, pathological switching or mixing may also have the same underlying cause: damage to circuits which are involved in language control such as inhibition (Green & Abutalebi, 2008). Awareness of the role of inhibition in bilinguals with a left CVA as well as the implications of inhibition deficits may assist the clinician in selecting type of therapy provided as well as which language to target in therapy.

There were a few individual cases which presented with interesting patterns. Participants 24, 28, and 29 (as detailed in Appendix L) had very weak language and EF subtests scores initially and the scores did not change significantly when comparing the results from the week 6 assessment to the assessment at week 12. These three participants presented with left middle cerebral infarcts and apraxia of speech. The symptoms may reflect a frontal lesion (Square, Martin & Bose, 2001) and possibly due to limited/no improvements occurring in language skills (receptive or expressive) in the acute phase, this frontal neural area may have been significantly impacted by the stroke. Unfortunately the radiography reports only reported a left middle cerebral infarct without any specific details of the branches affected. There were no significant changes in these participants' n-back (updating), VicStroop (inhibition) and WCST (shifting) scores. All other participants had a positive change in at least one of the EF scores and even if the scores were not in the normal range there was still a positive change. These EF deficits may be explained by research which has found increased cognitive deficits as a result of a left middle cerebral artery infarct specifically when damage occurs in the frontal regions (Cumming et al., 2013). Possibly the interaction of significant language deficits with EF deficits and apraxia of speech may be related to the lack of progress from week 6 to week 12.

Another explanation for these three participants' results could be based on research by Fillingham, Sage and Lambon Ralph (2005a, 2005b, 2006) that determined that scores on the WCST prior to therapy predicted a patient's response to therapy. Hinckley and Carr (2001) also found that WSCT was a good predictor of how quickly and effectively patients were able to learn a therapy task and progress. Possibly the lack of change in not only shifting as measured by the WCST but also in updating and inhibition, may provide insight as to the lack of progress in all areas. Alternatively, Lambon Ralph, Snell, Fillingham, Conroy and Sage (2010) suggested that based on their research, language and cognitive factors are independent and important predictors of therapy. The initial significant deficits with very limited/no progress in language and EF skills for these three participants in this research study may add value to the assumption by Lambon Ralph et al. (2010) that both the severity of language and cognitive deficits are an important predictor of treatment outcomes.

Participant 25 was the only participant to improve in all three EF skills and in all language subtests. Her stroke was characterised by a large left haemorrhagic infarct with oedema. Unfortunately further details were not provided in the radiography report. She did not present with any motor speech deficits. Her initial language and cognitive scores were poor. She improved in all the EF subtests and the scores were within the normal range at 12 weeks subsequent to her stroke. She improved in every language subtest score but only reading and writing subtests were within normal limits. Deficits were still persistent in other language subtests. Possibly the nature of the lesion (haemorrhagic) and the lesion locale impacted her recovery (Cumming et al., 2013). Possibly the significant improvements in EF supported improvements in language as cognition has been highlighted to be an important factor in recovery as mentioned in the literature review.

#### 2.2. Performance of participants with a right CVA

The patterns observed in the group who had a right CVA revealed relatively preserved aspects of language skills with the exception of spoken picture description and written picture description. This reflects research on monolingual persons with a right CVA as detailed in Chapter 2. Research by Myers (2001) and Tompkins et al. (2002a, 2002b, 2001, 2000) have highlighted relatively intact language skills such as syntax and semantics whilst there are

significant deficits in discourse production. The patterns reflected by the EF subtests revealed relatively intact scores within the normal range on VicStroop (inhibition) and on the WCST (shifting). A deficit was observed in the n-back task (updating) and this deficit was present at the 6 week and 12 week assessment. *Table 7* shows the means and standard deviations which reflect these patterns as well as Appendix M which provides the scores per participant for each subtest. The patterns of the scores on the CAT and the EF battery of participants with a right CVA are different from those who sustained a left CVA. Therefore this battery is able to differentiate left hemisphere CVA from right hemisphere CVA.

Table 7.

Mean, Standard Deviation (SD) and t-scores for the participants with a right CVA on the CAT subtests and EF battery subtests at week 6 and week 12 subsequent to the CVA.

	Week 6 Mean (SD)  n=9	Week 12 Mean (SD) n=9	<i>t</i> Scores <i>n</i> = 9 <i>df</i> = 9
CAT Subtests	,	,	
Memory	51.67 (8.32)	55.33 (7.57)	1.56
Comprehension of spoken	53.11 (8.10)	56.22 (7.49)	1.78
language	, ,	, ,	
Comprehension of written	54.00 (12.07)	59.56 (8.76)	2.63*
language			
Repetition	65.33 (4.69)	66.22 (4.94)	0.49
Naming	63.44 (6.78)	66.89 (6.11)	2.81*
Reading	64.56 (5.92)	65.44(7.23)	0.74
Writing	62.22 (5.04)	62.33 (5.59)	0.10
Spoken Picture Description	55.00 (4.39)	56.67 (3.39)	1.74
Written Picture Description	61.67 (4.95)	65.33 (5.24)	3.77**
Line Bisection	53.22 (13.09)	57.67 (10.76)	1.66
Gesture Object Use	68.00 (.00)	68.00 (.00)	0
Arithmetic	58.78 (6.36)	60.56 (5.46)	1.08
Word Fluency	65.89 (6.23)	68.22 (4.47)	1.81
EF Battery subtests			
N-Back	0.56 (0.17)	0.71 (0.22)	-0.11
VicStroop	10.89 (5.51)	15.67 (1.66)	3.57**
WCST	86.22 (24.15)	87.56 (6.15)	2.45*

*Note.* For *t* scores: \*p<.05 indicates significant difference; \*\*p<.01 is a strong significance between week 6 and week 12. The Number-letter task and the Tower of Hanoi were excluded from this group analysis as an insufficient number of participants could complete the task.

Research studies pertaining to monolinguals who have sustained a right CVA have reflected attention and memory deficits in the chronic phase as discussed in Chapter 2 (Murteiro &

Santos, 2013). It was also identified that working memory/updating deficits may be present and hence the difficulties with discourse production because producing discourse taxes updating skills (Tompkins et al., 2002a). This may provide insight into the language deficits which present in this study. The participants of this study had reduced updating skills in the acute phase and when they were required to complete the spoken and written picture description, it possibly placed a further load on updating skills and this could have led to a breakdown in discourse production.

Within-group results of change from week 6 to week 12 were determined by the use of paired two-tailed t-tests for dependent correlations. The t-scores in *Table 7* demonstrate the subtests which had a statistically significant change from week 6 to week 12. The ToH was excluded from this analysis as only 6 participants could complete the task on week 12. It was identified in participants with a right CVA that statistically significant changes occurred in the scores of the language subtests of comprehension of written language, naming, and written picture description. A statistically significant change in the EF subtests scores was observed for nback task (updating), however it was not in the normal range for the majority of participants. A significant difference in scores was also observed in the VicStroop task (inhibition) and all participants were within the normal range at the 12 week assessment. This is interesting as Tompkins and colleagues (2000, 2001, 2002a, 2004) proposed that right hemisphere communication disorders are due to an inefficiency in suppression or inhibiting unwanted or irrelevant interpretations. Their studies have been completed employing monolinguals in the chronic phase post right CVA. In this research with bilinguals in the acute phase, inhibition, based on the results of the VicStroop task, seems to be intact. Bialystok (2011) identified that inhibitory control may be more robust in bilingual persons due to the nature of language processing in bilingual speakers. This result could highlight an interesting influence of bilingualism in bilingual persons who have had a right CVA. However further research is required.

# 2.3. Performance of participants with a TBI

The pattern of results of the participants with a TBI reflects the predictable scatter of language scores which occur due to the nature of the injury. Discourse is more significantly impacted than other language skills. This correlates with the research as discussed in the

Chapter 2, that discourse is significantly disrupted in persons with a TBI (Coelho, Lê, Mozeiko, Hamilton, Tyler, Krueger & Grafman, 2013; Marini, Galetto, Zampieri, Vorano, Zettin & Carlomagno, 2011; Coelho, 2007). These previous research studies were conducted in the chronic phase whilst the results of this research study are from the acute phase. Thus deficits in discourse are clearly present from acute phase and pervade the chronic phase. These findings in the acute phase that others have documented in the chronic phase are not unexpected. *Table 8* presents the means and standard deviations for the assessment battery. Appendix N provides the scores for each participant with a TBI and reflects the scatter of symptoms observed.

The results observed in the EF subtests reflect the underlying cognitive deficits present in persons with a TBI. The majority of participants presented with deficits in n-back (updating) scores and WCST (shifting) scores whilst the majority of participants presented with intact VicStroop (inhibition) scores. This is interesting as other research studies reported inhibition deficits in the chronic phase subsequent to a TBI (Meteyard et al., 2015; Busch et al., 2005; Channon & Watts, 2003). The participants of these studies were presumably monolingual.

Bialystok (2011) hypothesised that bilinguals may have improved inhibitory control and this result of VicStroop scores being within normal limits possibly reflect a bilingual advantage in persons with TBI in the acute phase of recovery. However as mentioned in the right CVA results, further research is required. Updating and shifting have been identified as difficulties for persons with a TBI in the chronic phase in previous research studies (Arciniegas et al., 2010; Busch et al., 2005). This research not surprisingly reveals that these deficits are also present in the acute phase. The results from the EF battery are reflected in *Table 8* which provides the means and standard deviations for the EF assessment battery and Appendix N provides the individual participants scores.

Table 8.

Mean and Standard Deviation (SD) of the scores for the participants with a TBI on the CAT subtests and EF battery subtests at week 6 and week 12 subsequent to the injury.

	Week 6 Mean (SD)	Week 12 Mean (SD)	t Scores n= 10
	n=10	n=10	df=9
CAT Subtests			
Memory	42.80 (9.92)	50.60 (9.14)	3.67**
Comprehension of spoken	45.50 (10.24)	53.00 (12.99)	3.49**
language			
Comprehension of written	50.10 (11.25)	56.60 (10.91)	4.31**
language			
Repetition	59.40 (7.99)	63.30 (6.96)	4.39**
Naming	53.80 (7.38)	61.20 (8.20)	3.92**
Reading	57.20 (9.87)	62.00 (9.51)	3.05**
Writing	55.20 (7.91)	58.30 (7.92)	1.06
Spoken Picture Description	49.30 (4.62)	53.30 (6.85)	2.86*
Written Picture Description	57.30 (7.42)	62.30 (9.57)	2.57*
Line Bisection	49.40 (14.18)	58.00 (11.33)	2.87*
Gesture Object Use	57.20 (10.94)	65.10 (4.86)	2.58*
Arithmetic	48.50 (7.60)	56.80 (9.58)	3.02*
Word Fluency	53.70 (8.98)	63.10 (8.06)	4.64**
EF Battery subtests			
N-Back	0.53 (0.32)	0.52 (0.31)	-0.11
VicStroop	9.10 (5.15)	14.80 (2.30)	3.57**
WCST	73.50 (17.18)	93.90 (19.50)	2.45*

*Note*. For *t* scores: \*p<.05 indicates significant difference; \*\*p<.01 is a strong significance between week 6 and week 12. The Number-letter task and the Tower of Hanoi were excluded from this group analysis as an insufficient number of participants could complete the task.

Paired two-tailed t-tests for dependent correlations were utilised to determine whether there was statistically significant change for within-group results when comparing week 12 to week 6. The language and EF results in participants with a TBI revealed statistically significant changes for the majority of language subtests. The t-scores in *Table 8* reflect the statistically significant changes in language and EF subtests. Statistically significant changes were observed in the language subtests of memory, comprehension of spoken language, comprehension of written language, repetition, naming, reading, spoken picture description and written picture description. There was no statistically significant change in the writing subtest. A statistically significant change in the EF subtests was observed in the VicStroop (inhibition) task and the WCST (shifting) scores. The scores of the n-back task (updating)

decreased but the change was not statistically significant. The ToH was excluded from this analysis as only 7 participants could complete the task on week 12.

There were more subtests that had a statistically significant change in participants with a TBI than participants with a left CVA or a right CVA. In the EF subtests, change occurred in shifting and inhibition. Poirier and Shapiro (2012) suggested that language processing is an intricate cognitive function which appears to be sensitive to linguistic and non-linguistic information. It hypothesises that language processing interacts with cognitive functions such as memory and attentions as well as EF. These cognitive functions can be embedded in language processing (Poirier & Shapiro, 2012). Based on this language processing model there is an intricate link between language and cognition. This link implies changes in EF domains such as inhibition and shifting, which are deemed more domain general EFs as opposed to updating which is domain specific EF, could possibly lead to widespread changes in language skills. Thus these changes in inhibition and shifting may have interacted with language skills assisting a more widespread change in language skills.

#### 2.4 Summary of performance results on the assessment battery

The language profile for participants with a left CVA, right CVA and TBI reflected the language profiles observed in the literature indicating that the CAT was sensitive to the different etiologies. The participants with a left CVA had language deficits across different modalities which were pervasive. Participants with a right CVA had relatively intact language skills with pervasive spoken and written discourse impairments. Participants with a TBI had a predictable scatter of language deficits with deficits in spoken and written discourse particularly evident.

The EF profile for a left CVA reflected the literature which revealed deficits in updating, shifting and inhibition. In participants with a right CVA, the EF profile reflected relatively intact shifting and inhibition, whilst there were deficits in updating. This profile did not reflect the profiles observed in the literature as deficits in inhibition and shifting have been previously seen in persons with right hemisphere communication disorders. Based on bilingual advantage research, intact inhibition and shifting skills may reflect a possible bilingual advantage. The EF profile of participants with a TBI reflected deficits in updating

and shifting which reflects profiles observed in the literature. However, inhibition was relatively intact. This result again did not reflect the literature as prior literature suggests inhibition deficits in persons with a TBI. Possibly this result may also reflect a possible bilingual advantage.

There were different profiles of change per etiology. In participants with a TBI, change occurred in most of the language subtests of the CAT except for the writing subtest. Different areas of language changed in participants with a left CVA as compared to a right CVA. This could indicate that the CAT is sensitive to the recovery process in the three different etiologies. The profiles of change for the EF subtests were unique according to each etiology. This too may reflect that the EF assessment battery is sensitive to the recovery process for different etiologies.

#### 3. Differences between the clinical and control groups

A repeated measure analysis of variance (RM ANOVA) was employed to determine whether there was a statistically significant difference between the etiologies and the control group for all language and EF subtests at both 6 weeks and 12 weeks. The ToH and number-letter tasks were excluded from the analysis due to the limited number of participants across groups (clinical and control) who could complete these tasks. *Table 9* reflects the pattern of statistical significance between all the etiologies and the control group on the CAT and EF battery.

Table 9. Statistical significance between left CVA, right CVA, TBI and control group on the CAT and EF assessment battery. p<0.05

	Statistical significance (p<0.05)		No statistic	al significance
	6 weeks	12 weeks	6 weeks	12 weeks
CAT Subtests				
Comprehension of spoken language	$\sqrt{}$	$\sqrt{}$		
Comprehension of written language	$\sqrt{}$	$\sqrt{}$		
Repetition	$\sqrt{}$	$\sqrt{}$		
Naming	$\sqrt{}$	$\sqrt{}$		
Reading	$\sqrt{}$	$\sqrt{}$		
Writing	$\sqrt{}$	$\sqrt{}$		
Spoken Picture Description	$\sqrt{}$	$\sqrt{}$		
Written Picture Description	$\sqrt{}$	$\sqrt{}$		
Memory	$\sqrt{}$	$\sqrt{}$		
Arithmetic	$\sqrt{}$	$\sqrt{}$		
Gesture object use	$\sqrt{}$	$\sqrt{}$		
Line bisection	$\sqrt{}$			$\sqrt{}$
Word Fluency	$\sqrt{}$	$\sqrt{}$		
EF Battery subtests				
N-Back	$\sqrt{}$	$\sqrt{}$		
VicStroop	$\sqrt{}$	$\sqrt{}$		
WCST	$\sqrt{}$			$\sqrt{}$

# 3.1. <u>Between group analysis of the results of the three etiology groups and the control group on the CAT language subtests at 6 weeks and 12 weeks</u>

At 6 weeks there was a statistically significant effect of etiology on the comprehension of spoken language subtest, F(3,24)=16.928, p=.000; comprehension of written language subtest, F(3,24)=12.004, p=.000; naming subtest, F(3,24)=21.743, p=.000; repetition subtest, F(3,24)=10.307, p=.000; reading subtest, F(3,24)=16.371, p=.000; writing subtest, F(3,24)=15.433, p=.000; spoken picture description subtest, F(3,24)=27.174, p=.000; written picture subtest, F(3,24)=26.684, p=.000. In the cognitive subtests there a statistically significant effect of etiology on the memory subtest, F(3,24)=15.488, p=.000; arithmetic subtest, F(3,24)=9.198, p=.000; gesture object use subtest, F(3,24)=14.43, p=.000; line bisection subtest, F(3,24)=3.41, p=.034; and the word fluency subtest, F(3,24)=20.55, p=.000

At 12 weeks there was a statistically significant effect of etiology on the comprehension of spoken language subtest, F(3,24)=10.519, p=.000; comprehension of written language subtest, F(3,24)=10.980, p=.000; naming subtest, F(3,24)=14.728, p=.000; repetition subtest, F(3,24)=7.654, p=.001; reading subtest, F(3,24)=6.235, p=.003; writing subtest, F(3,24)=11.162, p=.000; spoken picture description subtest, F(3,24)=16.317, p=.000; written picture subtest, F(3,24)=19.151, p=.000. When employing the RM ANOVA on the memory subtest, Mauchly's Test of Sphericity indicated that the assumption of sphericity had been violated  $\chi^2$  (5) = 16.332, p<.005. Therefore Greenhouse-Geisser correction was utilised and there was a statistically significant effect of etiology on the memory subtest, F(1.316, 10.527)=7.790, p=.014. There was also a statistically significant effect of etiology on the following cognitive subtests of the CAT: arithmetic, F(3, 24)=4.27, p=.015; gesture object use, F(3, 24)=7.96, p=.001; and word fluency, F(3, 24)=13.32, p=.000. There was not a statistically significant effect of etiology on the line bisection subtest, F(3, 24)=2.914, p=.055.

These results indicate that at 6 and 12 weeks there was a statistically significant difference between the three etiology groups as well as the control group on the CAT. This result indicates that at 6 and 12 weeks the CAT was able to differentiate etiology as well as the clinical population from the neurologically intact control group. The only subtest of the CAT which did not show a difference according to aetiology at week 12 was the line bisection subtest. Most participants scored within the normal range for this subtest. This may have occurred as none of the participants had a severe visual neglect.

# 3.2. <u>Between group analysis of the results of the three etiology groups and the control group</u> on the EF battery subtests at 6 weeks and at 12 weeks

At 6 weeks there was a statistically significant effect of etiology on the results of n-back task, F(3, 24) = 15.600, p=.000; WCST, F(3, 24) = 5.734, p=.004; and VicStroop, F(3, 24) = 5.272, p=.006. At 12 weeks there was a statistically significant effect of etiology on the results of n-back task, F(3, 24) = 5.899, p=.004; and VicStroop, F(3, 24) = 7.955, p=.001. There was no statistically significant effect of etiology on the WCST, F(3, 24) = 1.810, p=.172.

These results indicate that at both 6 week and 12 week assessments there was a statistically significant effect of etiology on n-back task, and VicStroop indicating that the subtests may be of diagnostic value as they were able to differentiate pathologies as well as clinical groups from the neurologically intact. At the 6 week assessment there was a statistically significant effect of etiology on the WCST, however at the 12 week assessment, there was no statistical significance of etiology on the results. Thus overall the CAT and the non-verbal EF battery distinguished between etiologies and distinguished pathological from normal individuals.

# 4. Correlations between the CAT (language and cognitive subtests) and EF subtests

Correlations between language and EF subtests at 6 weeks and 12 weeks were of interest in order to determine the relationships present between language and EF subtests as well as the recovery pattern which occurred in bilinguals with an ANCD in the acute phase. The correlations between CAT subtests and EF subtests revealed interactions between language skills and EFs that were different for each clinical group.

Table 10 provides the r values of the Pearson's correlation between EF and language subtest for each clinical group at week 6. Table 11 presents the r values of Pearson's correlation between EF subtests with language subtests for each clinical group at 12 weeks subsequent to injury. These tables will be discussed in detail according to etiology. Table 12 provides the language subtests which correlate with each EF subtest at 6 and 12 weeks according to clinical group in order to observe the different recovery patterns. These interactions changed over time (as can be seen in Table 12) and this may provide some insight into the different recovery patterns per clinical group in the acute phase. These interactions and the change that occurred in a relatively short time (6 weeks) are of interest as a clinician may need to consider the interactions between language and EF that are occurring at a specific point in the recovery process. The interactions may be important to consider when planning treatment protocols in the acute phase of rehabilitation.

Table 10.

Correlations between CAT subtests and EF subtests at 6 weeks post injury according to etiology employing Pearson analysis (r).

	Left CVA (n=10)			Right (	Right CVA (n=9)			<b>TBI</b> (n=10)		
	N-Back	VicStroop	WCST	N-Back	VicStroop	WCST	N-Back	VicStroop	WCST	
	updating	inhibition	shifting	updating	inhibition	shifting	updating	inhibition	shifting	
<b>CAT</b> subtests										
Language Subtests										
Comprehension of	0.62	0.43	0.30	0.66*	0.49	0.57	0.45	0.51	0.66*	
spoken language										
Comprehension of	0.62	0.43	0.43	0.75*	0.74*	0.66	0.67*	0.59	0.85**	
written language										
Repetition	0.39	0.61	-0.23	0.09	0.12	-0.41	0.09	0.18	0.26	
Naming	0.52	0.57	0.22	0.67*	0.60	0.48	0.53	0.40	0.78**	
Reading	0.32	0.76*	-0.07	0.36	0.49	0.65	0.45	0.46	0.69*	
Writing	0.24	0.63*	0.37	0.83**	0.79*	0.55	0.56	0.45	0.76*	
Spoken Picture	0.64*	0.57	0.25	0.41	0.55	0.74*	-0.08	0.42	0.44	
Description										
Written Picture	0.50	0.21	0.47	0.49	0.36	0.37	0.35	0.66*	0.79**	
Description										
<b>Cognitive Subtests</b>										
Memory	0.64*	0.41	0.69*	0.65	0.64	0.71*	0.68*	0.47	0.87**	
Line Bisection	0.63*	0.41	0.62	0.22	0.49	0.59	0.30	0.47	0.21	
Gesture Object Use	0.24	0.20	0.51	Could not be	e computed as va	ariable was	0.42	0.47	0.64*	
Arithmetic	0.46	0.56	0.48	0.27	0.63	0.40	0.48	0.79**	0.78**	
Word Fluency	0.51	0.65*	0.16	0.67*	0.61	0.23	0.32	0.35	0.73*	

*Note.* \*p< .05; \*\*p< .01. The number-letter task and the Tower of Hanoi were excluded from all groups as an insufficient number of participants could complete the task.

Table 11.

Correlations between CAT language subtests and EF subtests at 12 weeks post injury according to etiology employing Pearson analysis (r).

	Left CVA (n=10)			Right C	Right CVA (n=9)			<b>TBI</b> (n=10)		
	N-Back	VicStroop	WCST	N-Back	VicStroop	WCST	N-Back	VicStroop	WCST	
	(updating)	(inhibition)	(shifting)	(updating)	(inhibition)	(shifting)	(updating)	(inhibition)	(shifting)	
<b>CAT subtests</b>										
Language Subtests										
Comprehension of	0.43	0.33	0.69*	0.65	0.04	0.25	0.15	0.12	0.39	
spoken language										
Comprehension of	0.64*	0.42	0.67*	0.51	-0.03	0.10	-0.05	0.01	0.34	
written language										
Repetition	0.57	0.66*	0.30	0.13	0.59	0.31	0.09	0.11	0.37	
Naming	0.49	0.49	0.53	0.73*	0.05	0.27	0.30	0.19	0.37	
Reading	0.65*	0.35	0.44	0.08	0.42	-0.01	0.04	0.05	0.62	
Writing	0.68*	0.44	0.59	0.83**	-0.18	0.74*	0.08	0.17	0.30	
Spoken Picture	0.70*	0.65*	0.46	0.59	0.02	0.47	-0.08	0.07	0.52	
Description										
Written Picture	0.52	0.21	0.62	0.49	0.09	0.04	-0.06	0.03	0.12	
Description										
<b>Cognitive Subtests</b>										
Memory	0.73**	0.60*	0.62*	0.73*	-0.18	0.12	0.24	0.11	0.24	
Line Bisection	0.68*	0.11	0.68*	0.46	-0.05	-0.01	-0.16	0.20	0.54	
Gesture Object use	0.28	0.22	0.53	Could not be	e computed as v	ariable was	-0.09	0.13	-0.53	
Arithmetic	0.62*	0.34	0.56	0.11	-0.41	0.38	0.34	0.17	0.21	
Word Fluency	0.43	0.22	0.43	0.84**	-0.23	0.42	0.17	-0.02	0.27	

*Note.* \*p< .05; \*\*p< .01. The number-letter task and the Tower of Hanoi were excluded from all groups as an insufficient number of participants could complete the task.

Table 12. The different patterns of CAT subtests which correlate with EF subtests according to time of assessment.

Time Period	Left CVA	Right CVA	TBI
	(n=10)	(n=9)	(n=10)
N- Back 6 weeks (Updating)	<ul> <li>Memory</li> <li>Line Bisection</li> <li>Spoken Picture Description</li> </ul>	<ul> <li>Comprehension of spoken language</li> <li>Comprehension of written language</li> <li>Naming</li> <li>Writing</li> <li>Word Fluency</li> </ul>	Memory     Comprehension of written language
12 weeks	<ul> <li>Memory</li> <li>Line Bisection</li> <li>Arithmetic</li> <li>Comprehension of written language</li> <li>Reading</li> <li>Writing</li> <li>Spoken Picture Description</li> </ul>	<ul> <li>Memory</li> <li>Naming</li> <li>Writing</li> <li>Word Fluency</li> </ul>	• None
VicStroop 6 weeks (Inhibition)  12 weeks	<ul> <li>Reading</li> <li>Writing</li> <li>Word Fluency</li> <li>Memory</li> <li>Spoken Picture Description</li> </ul>	<ul> <li>Comprehension of written language</li> <li>Writing</li> <li>None</li> </ul>	Written Picture Description     Arithmetic  None
WCST 6 weeks (Shifting)	Repetition     Memory	Memory     Spoken Picture Description	Memory     Comprehension of spoken language     Comprehension of written language     Naming     Reading     Writing     Written Picture Description     Gesture object use     Arithmetic     Word Fluency
12 weeks	<ul> <li>Memory</li> <li>Line Bisection</li> <li>Comprehension of spoken language</li> <li>Comprehension of written language</li> </ul>	Writing	None

# 4.1 Correlations between the CAT and EF subtests according to clinical group

The results of the Pearson correlation tests reveal a unique cognitive and linguistic profile per etiology when determining which executive function tasks correlate with specific language tasks.

In the group of participants with a left hemisphere stroke, it was observed at 6 weeks post stroke that three CAT subtests (memory, line bisection and spoken picture description) correlated with the n-back task whilst at 12 weeks post stroke, seven CAT subtests (memory, line bisection, arithmetic, comprehension of written language, reading, writing and spoken picture description) correlated with the n-back task (updating). The CAT subtests of word fluency, reading and writing correlated with the VicStroop task at 6 weeks post stroke whilst at 12 weeks post stroke, repetition and spoken language correlated with the VicStroop task (inhibition). The memory subtest correlated with the WCST (complex mental shifting task) at 6 weeks post stroke. Comprehension of spoken language and comprehension of written language as well as the line bisection subtest correlated with WCST at 12 weeks post stroke. None of the language subtests correlated with all three EF subtests at 6 or 12 weeks post stroke. Limited subtests from the cognitive screener correlated with the EF subtests.

In the group of participants with a right hemisphere stroke, it was noted that there was a correlation between the language subtests of comprehension of spoken language, comprehension of written language, naming and writing with the n-back task at 6 weeks post stroke. Naming, writing and memory correlated with the n-back task (updating) at 12 weeks post stroke. A correlation was present between the WCST scores and the two CAT subtests of memory and the spoken picture description at 6 weeks subsequent to the stroke. A correlation between writing and WCST (shifting) was present at 12 weeks post stroke. At 6 weeks subsequent to the right CVA, comprehension of written language and writing subtest correlated with the VicStroop task, however at 12 weeks subsequent to the stroke, none of the language subtests in this group of participants correlated with VicStroop (inhibition). Limited subtests from the cognitive screener of the CAT correlated with EF subtests. The only correlation was between the n-back task and the word fluency subtest at 6 and 12 weeks post injury.

At 6 weeks post TBI, the memory subtest and comprehension of written language correlated with the n-back task. The written picture description subtest correlated with the VicStroop task. The WCST correlated with the following language subtests: memory, comprehension of spoken language, comprehension of written language, naming, reading, writing and written picture description. Limited subtests from the cognitive screener of the CAT correlated with EF subtests. The only correlations that occurred at 6 weeks were between the VicStroop and arithmetic and between the WCST and the gesture object use, arithmetic and word fluency subtests. None of the language or cognitive subtests of the CAT correlated with any of the EF subtests at 12 weeks subsequent to the TBI.

There were fewer correlations between language and EF subtests at 6 weeks post left CVA than at 12 weeks post left CVA. In the participants with right CVA, there were more correlations between language and EF subtests at 6 weeks post stroke with a number of language subtests correlating with the n-back task. At 12 weeks post right CVA, there were fewer correlations between language and EF subtests and the majority of correlations were between the language subtests and the updating subtest. There were many correlations between language subtests and EF subtests at 6 weeks subsequent to a TBI with the majority of correlations between the WCST task and language subtests. There were no correlations between the language subtests and the EF subtests at 12 weeks subsequent to a TBI. This reveals different patterns of recovery which a clinician may need to consider when planning treatment protocols. The limited correlations between the cognitive subtests of the CAT and the EF subtests across etiology reveal that the cognitive screener of the CAT assesses different cognitive skills to the EF battery. Completing the cognitive screener as well as the EF battery will provide a clinician with comprehensive cognitive profile that could be useful in planning effective treatment in the acute phase of recovery for bilinguals.

#### 4.2 Correlations between the CAT and EF subtests in the control group

At the initial assessment there were correlations between n-back task and comprehension of written language, repetition, naming, reading, writing and written picture description. There were correlations between the VicStroop task and the language subtests of reading, spoken picture description and written picture description. The WCST correlated with the following language subtests: comprehension of written language, naming, reading and written picture description. Correlations between the cognitive subtests of the CAT and the EF subtests were

limited. There was one correlation between the WCST and word fluency. At the 6 week reassessment, the control group results revealed a correlation between the written picture description subtest and the VicStroop, and between the comprehension of spoken language subtest and WCST. Limited correlations reveal that in the control group each subtest is assessing different parameters. There were again limited correlations between cognitive subtests of the CAT and the EF subtests. There was a correlation between n-back and memory subtest as well as the WCST and the word fluency subtest. This again reveals that the cognitive screener has limitations and that further assessment using the EF battery will provide a more comprehensive cognitive profile. *Table 13* provides the *r values* to reflect the correlation.

Table 13.

Correlations between CAT language subtests and EF subtests of control group at the initial assessment and at the reassessment 6 weeks later employing Pearson analysis (r). (n=19)

	N-back	VicStroop	WCST	N-back	VicStroop	WCST
	(Updating)	(Inhibition)	(Shifting)	(Updating)	(Inhibition)	(Shifting)
	Initial	Initial	Initial	Re	Re	Re
	Assessment	Assessment	Assessment	Assessment	Assessment	Assessment
CAT subtests						
Language subtests						
Comprehension of	0.20	0.07	0.20	0.41	0.24	0.65**
spoken language	0.20	0.07	0.39	0.41	0.24	0.65**
Comprehension of	0.52*	0.44	0.60**	0.20	0.42	0.22
written language	0.53*	0.44	0.68**	0.28	0.43	0.33
Repetition	0.52*	0.30	0.21	-0.24	0.15	0.11
Naming	0.53*	0.29	0.54*	0.19	0.22	0.39
Reading	0.53*	0.64**	0.62**	0.22	0.33	0.18
Writing	0.70**	0.37	0.44	0.20	0.23	0.11
Spoken Picture	0.21	0.52*	0.20	0.21	0.01	0.11
Description	0.31	0.53*	0.30	0.21	-0.01	0.11
Written Picture	0.56*	0.67**	0.71**	0.12	0.50¥	0.01
Description	0.56*	0.6/**	0./1**	-0.12	0.50*	-0.01
Cognitive subtests						
Memory	0.25	-0.16	0.17	0.46*	-0.02	0.19
Line Bisection	-0.07	0.37	0.34	0.19	0.25	-0.16
Gesture Object Use		Could	not be computed	as variable was	constant	
Arithmetic	0.25	0.38	0.30	-0.24	0.40	-0.12
Word Fluency	0.37	0.23	0.47*	0.37	0.19	0.62*

*Note.* \*p< .05; \*\*p< .01

# 5. Summary of results

The results of the research reveals that the majority of the battery seem appropriate for use on South African bilingual, second language English speakers. This was observed in the analysis of the pattern of results of the control group and through the RM ANOVA. The control group scored normal results on both the CAT and the non-verbal EF battery. This reveals that even though the participants were second language English speakers who were culturally diverse, valid results were obtained. The ToH seemed inappropriate for the South African population and the cultural context. The number-letter task also did not appear appropriate as perseveration in the participants skewed results. This task is more of a reflection of perseveration symptoms associated with prefrontal lobe deficits in the acute phase as opposed to shifting (Fuster, 1997).

The linguistic profiles obtained in the clinical groups by employing the CAT to assess language skills in the acute phase reflected linguistic profiles of each etiology observed in the literature. It was positive to identify that the CAT was able to provide a different pattern/ profile according to etiology in the acute phase. This highlights that the CAT is sensitive to etiology in the acute phase.

The EF profile for the participants with a left hemisphere stroke reflected the profiles observed in the literature. However, the EF profile for participants with a right hemisphere stroke and TBI showed different profiles from those in the literature. The intact inhibition skills at the 12 week assessment were a notable difference. Intact inhibition skills in the bilingual population may reflect bilingual advantage even when there is a neuronal lesion.

When comparing the results at week 12 with the results at week 6, the language and EF profiles of change were unique according to etiology. It must be noted that in the control group there was statistically significant change in the subtests of comprehension of spoken language, spoken picture description, and written picture description as well as the WCST. This is not necessarily an error and may be related to a person's learning potential (Duff et al., 2007) and thus if changes occur in these areas in participants regardless of etiology, it may reflect not only the recovery occurring but also their potential to learn.

It was also observed that there are unique interactions between CAT and EF subtests according to etiology. It was important to note that these patterns of interaction changed over a relatively short period (6 weeks). These interactions and the way that they change may be important for therapy planning as well as recognising the amount of change which occurs in the first 12 weeks post stroke and how therapy may need to be revised.

#### Chapter 5

#### **Discussion**

There is a burgeoning body of research on the impact of very early intervention in aphasia and related disorders (Laska et al., 2008;Godecke et al., 2012). The process of recovery in the acute phase is important to understand as it will impact the choice, timing, and nature of such therapy. This is particularly the case for bilingual persons, whose recovery patterns are different to monolinguals (Fabbro, 2001) and often create a challenge for the monolingual clinician. This study was designed to investigate recovery profiles and determine possible relationships between linguistic and non-linguistic factors in order to determine influential components that may contribute to the recovery profiles observed. The assessment battery developed for this research project will be discussed with regard to its use on South African bilingual, second language English speakers. The importance of linguistic and EF profiling of bilinguals in the acute phase will be deliberated. The importance of profiling will be discussed in order to support understanding of the underlying recovery process which occurs in the early stages after neuronal injury and their role in decision making for therapy in the acute phase. Based on the profiles and changes which are observed, insights regarding the bilingual population will be offered.

#### 1. Assessment of South African bilinguals using the CAT and non-verbal EF battery

Previous research on monolingual patients has established the need to consider EF in assessment and treatment of persons with ANCD as discussed in Chapter 2. It has been suggested by Ansaldo et al (2008) and Weekes (2010) that assessment results describing the preserved and disrupted processes and underlying neural networks may aid clinical decisions and optimise intervention. This indicates the need for a clinician to complete a diagnostic assessment that will guide and optimise intervention.

It has been recommended that in order to determine valuable prognostic information and to develop an appropriate intervention plan it is essential to profile linguistic, cognitive and communicative strengths and weaknesses (Cumming, Marshall and Lazar, 2013; Murray, 2012; Kohnert, 2004; Helm-Estabrooks, 2002). As discussed in Chapter 2 there have been some limitations with regards to speech language pathology service provision in the South

African context due to the socio-political history of the country. This history has resulted in pervasive consequences which affect current service delivery of speech-language pathologists.

The results of this research, although exploratory due to a limited sample size, reflect that the CAT and non-verbal EF battery (comprising the n-back task, VicStroop and WCST) may be useful assessment measures when assessing bilingual, second language English speakers who have had at least 12 years of education. The results of the control group reflected language and EF skills that were within the normal limits according to the norms of the assessment measures. The finding that testing bilingual participants in their second language could reveal useful clinical information is reassuring, given the linguistic constraints of most South African clinicians as well as a lack of suitable translated material for languages in this specific multicultural and multilingual context. Roberts (1998) highlights that often translations of published aphasia tests are usually standardised on native, monolingual speakers of the translated test and not the bilingual speakers.

The use of a control group has helped to increase confidence in the results. Significant differences were observed in the CAT and EF battery scores between the control group and the participants with brain injury, highlighting that the assessment battery is useful in distinguishing pathological from normal individuals. In addition to being able to differentiate normal from pathological, the patterns observed according to etiology were different and reflected patterns observed in the literature for these pathologies. This indicates that the CAT and non-verbal EF battery could differentiate between patients with left CVA, right CVA and TBI.

During standardisation of the CAT, the control group was assessed once. The control group in this study was tested repeatedly using the CAT and the non-verbal EF battery. The completion of a reassessment at six weeks after the initial assessment was deemed necessary to determine what effect repeated exposures to the assessment materials might have. This repeated testing further increased confidence in the results as it allowed the researcher to observe changes in the control group and the possible reasons for the changes as discussed in Chapter 4. Therefore when analysing repeated measures of this assessment battery, if a clinician determines that there has been improvement, the clinician can consider not only the

spontaneous recovery occurring but also the patient's learning ability as evidenced by possible practice effects in certain subtests. It is also important for the clinician to be aware that the WCST is also prone to practice effects in both normal and clinical groups and should interpret the changes cautiously.

Roberts (1998) highlighted that translations of published tests are usually standardized on native, monolingual speakers of the translated test and not necessarily on bilingual speakers. Hence a strength of this research study and the finding that the assessment battery was able to obtain valid results, is that the controls where second language English speakers. Thus it provided evidence for how neurologically intact bilingual second language English speakers would perform on the assessment battery and added validity to the use of this assessment battery with this specific population.

The Tower of Hanoi (ToH) test proved to be an inappropriate assessment measure for the South African context. The majority of participants in the acute phase post stroke or TBI could not complete the task. In addition, the neurologically intact control group also had difficulty completing the task. Only five out of the nineteen control group participants were able to complete the task within the normal limits, thus indicating that for the South African population, the ToH may not be culturally appropriate to include in the assessment battery. This may be due to different educational and cultural background of the South African population as compared to the population the assessment measured was normed on. The number-letter task did not appear to provide precise information as often a participant would perseverate on the task and due to the nature of measuring the speed of response the participant would often achieve the normal speed of the task with poor accuracy. This task is more a reflection of perseveration symptoms associated with prefrontal lobe deficits in the acute phase as opposed to shifting (Fuster, 1997). Thus the number-letter task is not an appropriate assessment tool for the acute phase subsequent to a brain injury.

Miyake et al. (2000) have suggested that multiple assessments of EFs are necessary to produce an accurate characterisation of EF, and this research initially used multiple assessment tasks to assess shifting, updating and inhibition. However, based on the limited validity and reliability of results seen in this study from the ToH and the number-letter task, the use of multiple tests in the acute phase may be redundant and ineffective. Therefore the

EF battery could possibly be streamlined for the assessment in the acute phase to include the n-back task, Victoria Stroop and the Wisconsin card sorting test in order to provide the initial EF findings for updating, mental shifting and inhibition. A further positive characteristic of this battery is that it did not rely on timed tasks that identify processing speed deficits which are often present in persons with any form of brain injury (Cumming, Marshall & Lazar, 2013).

The cognitive subtest of the CAT revealed limited correlations with the EF tasks for all groups of participants. This result reflects that the cognitive skills assessed by the CAT cognitive subtests are different to the skills being assessed by the EF subtests. The line bisection subtest was employed to assess for visuospatial deficits, the arithmetic subtest was used to assess for acalculia, the gesture object use subject was used to asses for ideomotor/ideational apraxia and word fluency was used to assess generative naming. These are specific cognitive skills that may be present subsequent to a head injury (Howard, Swinburn, & Porter, 2010). The fact that the EF subtests do not correlate with these subtests, reflects the importance of completing these subtests of the CAT in order to obtain further information about additional aspects of a patient's cognition that may affect assessment and treatment. Neither the EF subtests nor the cognitive subtests of the CAT are redundant tests and both provide specific sets of different cognitive information regarding the patient.

In summary, when identifying the linguistic and EF profiles in the acute phase, a clinician may need to consider the assessments utilised as there may be some redundancy in employing an extensive battery with multiple EF assessment measures. The majority of research regarding profiling of linguistic and non-linguistic skills has been completed six months or more subsequent to the brain injury. There is limited research on the assessment of cognitive impairments which are present in the first few months post brain injury (Rasquin, Welter & van Heugten, 2013; Zinn et al., 2007). Therefore although it is recommended that extensive, individual neuropsychological evaluations should be completed to identify linguistic and cognitive profiles, this recommendation has generally been made when referring to patients in the chronic phase as discussed in Chapter 2.

Extensive profiling may not be feasible or ethical in the acute phase. At 6 weeks subsequent to the injury, participants seemed to be more stressed during the assessment tasks as opposed

to the 12 week reassessment. Although this assessment battery was relatively short, it seemed to be impacted by fatigue and it created an increased level of stress in some of the participants. Thus completing a long extensive neuropsychological battery in the acute phase may not be feasible or ethical due to stress levels as well the fatigue that a patient experiences during this phase of recovery (Rossini & Del Forno, 2004). A clinician may be able to employ a shortened battery such as the battery developed in this research in the acute phase in order to profile patients' linguistic and cognitive functions. The streamlined battery that was found to be beneficial and sensitive to the multicultural and multilingual nature of South Africa comprised the CAT as the language assessment and the n-back task (updating), Victoria Stroop (inhibition) and WCST (shifting) as the EF assessment battery in the acute phase.

The most significant finding is that this assessment battery was suitable for bilinguals of all cultural and linguistic backgrounds. This finding fares well for the use of this battery in the South African context which is multilingual and multicultural. It also reinforces the impact of education on assessment batteries. The assessment battery was completed on patients with a minimum of 12 years of education therefore further testing on individuals with less education should be completed to identify the impact of education on these specific assessment measures. It should be remembered that due to the sample size of the control group, further research using this battery on bilingual, second language English-speaking South Africans is required. In addition the clinical and control groups represented the population of bilingual speakers in an urban South African setting and therefore further research would be required to determine if these results could be replicated in a rural South African setting.

#### 2. The importance of linguistic and EF profiling of bilinguals in the acute phase

The findings of this research provide some interesting insights into the need to complete linguistic and cognitive profiling of bilingual persons who have had a left CVA, right CVA or TBI in the acute phase of recovery. The acute profiles observed in this research study may add value to the assumption by Lambon Ralph et al. (2010) that both the severity of language and cognitive deficits are an important predictor of treatment outcomes in not only monolinguals but also bilinguals. There is a paucity of research regarding the recovery profiles of bilingual persons who have had a right CVA or TBI in the acute phase of recovery and thus this research raises potentially important clinical implications of the need for acute

phase linguistic and cognitive profiling not only in bilingual persons with a left CVA but also for those with a right CVA or TBI.

The acute recovery profile of a bilingual with a left CVA based on the correlations between CAT and EF subtests was interesting. The correlations between language subtests and EF subtests at 6 weeks post injury, changed significantly at 12 weeks post injury. In the same way that the Martin et al (2012) study identified that verbal working memory could be used as part of a therapy protocol, identifying the interaction patterns between EF and language skills at both the 6 week stage and the 12 week stage may assist developing treatment protocols for different phases post neuronal injury.

In participants with a right CVA, a different pattern in correlations between language subtests and EF subtests was observed from 6 weeks to 12 weeks. This change in pattern was discussed in Chapter 4. As recovery occurs in the acute phase and there is recovery in EF skills, and possibly those skills no longer correlate with language functioning. It is known that in monolingual persons with a right CVA, language deficits are more significant when attention and/or working memory are taxed (Tompkins et al., 2002). Thus if there are improvements in the EF skills, there may be improvements in language skills. It is important for a clinician to understand which EFs are correlating with language subtests in the acute phase as the deficits in these EF skills may relate to the language symptoms observed. Thus integrating EF tasks into therapeutic tasks may be vital in employing the possible bilingual advantage during the acute recovery process.

The pattern of correlations also changed in the acute phase for participants with a TBI. There were many language subtests that correlated with shifting at 6 weeks post TBI possibly highlighting the important role shifting has in bilinguals. It is known that in bilinguals they are required to shift between languages and suppress the language that is not required for that specific context (Garbin et al., 2010) and therefore shifting may be important to consider in the acute phase. At 12 weeks post injury, no language subtests correlated with any of the EF subtests, possibly highlighting that in the acute phase EF skills are essential for successful language functioning, just as is known for the chronic phase. Again, incorporating these EF skills into the therapeutic process may be necessary but further research is required to

determine how the incorporate the EF load into therapeutic tasks in the acute phase of rehabilitation.

When a neuronal lesion occurs, some neurons essential to language and cognitive processing are deleted, disconnected or functionally impaired. Individuals with neuronal lesions may regain functionality by setting up new links and strengthening remaining links (Pulvermüller & Berthier, 2008). In order to make use of learning patterns in therapy that will enable brain repair, a clinician needs to know which areas of language and EF have deficits and how they interact, hence the importance of the linguistic and non-linguistic profiling that a clinician can achieve when employing this assessment battery. These deficits need to be known so that tasks can be formulated in order to induce the relevant coincidence of neural firing in order to train a patient successfully. Furthermore if a clinician is aware of not only the profile of deficit but also the profile of correlation and interaction, the therapy they provide can support functional reorganisation by strengthening remaining neural circuits through internal links and by allowing neural circuits to incorporate additional neurons to compensate for those which were lost due to the lesion (Pulvermüller & Berthier, 2008).

The change in correlations across time may also reflect the different compensatory networks that are engaged at different time periods in the acute recovery process. Sebastian, Kiran and Sandberg (2012), highlighted that there are additional neural substrates activated during language processing of bilinguals and the neural areas they identified were areas which also had a role in executive function and cognition. Different EF skills may be required to successfully process language at different stages of the acute recovery process. This is important to acknowledge as it may support therapeutic interventions and enable a SLP to make use of the compensatory networks which may sub serve successful language processing. Pettigrew and Hillis (2014) identified that the relationship between short term memory and sentence comprehension may be correlational rather that causal because they rely on a subset of the same neurological regions, so this research and the different correlations identified may highlight the different language and EF skills that rely on similar neural regions and how these change over time. The changes in correlation over time may be due to the compensatory strategies which develop and the reorganisation of the cognitive process underlying the language processing which occurs in the recovery process subsequent to a neuronal injury.

Knowledge of the change in the language and EF patterns in the acute phase may be particularly important when having to establish a treatment protocol in the South African setting where there is limited access to speech-language therapy after the acute phase of injury as discussed in Chapter 1 and 2. It is also essential to be aware of the interactions between EF and language skills in the acute phase as a clinician needs to provide therapy in line with neuroplasticity principles in order to provide therapy which will support the spontaneous recovery and neuroplasticity which is occurring in the acute phase post stroke (Kleim & Jones, 2008). Awareness of the interactions will enable a clinician to determine appropriate tasks and stimulus materials in order to take into account the linguistic and nonlinguistic parameters which are relevant to the patient. As discussed extensively in Chapter 2, animal research has provided evidence that administering the incorrect type of therapy in the early stage after a neuronal injury can result in negative outcomes (Kleim & Jones, 2008). Hence as clinicians know, it is essential to provide therapy that does not result in negative outcomes.

In summary, the results revealed the linguistic and cognitive profiles in the acute phase were differential and the different patterns of change in EF recovery profiles may be responsible for the different language recovery profiles observed per etiology. This finding contributes to research that EF may have a role in language processing and language recovery. Furthermore, it provides preliminary evidence for the different EFs that may play a role according to etiology. Knowledge of the specific EFs that interact with language recovery per etiology can assist a clinician in providing effective therapy. This supports the research regarding the need to include non-linguistic assessments in the assessment battery (Helm-Estabrooks, 2002; Murray, 2012) and to consider EF in treatment planning (Nicholas, Sinotte & Helm-Estabrooks, 2005; Purdy & Koch, 2006) of persons with a left hemisphere stroke as well as right CVA and TBI. The role of EF in recovery would be an interesting phenomenon to research further in order to determine if the different profiles of EF have a very definitive impact on the different language recovery profiles.

#### 3. Insights into bilingualism

The pattern of change in EF appeared to be different from that of monolinguals in the acute phase. Research by Rasquin et al. (2013) determined that in the acute phase there were no

significant changes in EF in monolingual participants. In contrast, the results of this research suggest there are changes (although different per etiology) in EF in the first 12 weeks post injury. For bilingual participants with a left CVA, updating and shifting changed whilst for participants with a right CVA, updating and inhibition changed. Inhibition and shifting changed in participants with a TBI.

Recent research provides some evidence for these differences as it has been revealed that there may be differences in cognitive control in bilingual and monolingual brains. The ability to control receptive and expressive language in a specific context at a specific time is a fundamental feature of the human bilingual brain (Abutalebi et al., 2008). It has been identified that processes of inhibition, updating and mental shifting may be important processes which are activated during language tasks in bilinguals (Bialystok, 2009).

If there is a bilingual advantage present in persons with ANCD then this may direct the treatment protocol that clinicians may select for a bilingual. However, this is an exploratory study and the sample sizes are limited thus, further research in the acute phase for bilinguals who have had a TBI, left CVA or right CVA is required.

Controversies still remain regarding the treatment of bilingual patients. The use of linguistic and cognitive profiling may provide the clinician with some insight into what therapy to employ with a bilingual patient- whether it is monolingual versus bilingual therapy based on the level of EF skills or whether one needs to target the cognitive or linguistic components that underlie the language processing skills present in a bilingual person. Penn et al. (2010) highlighted that EF may play a role in the chronic phase to support the decision of whether to provide bilingual therapy to patients with well persevered EFs as the compensatory and shifting strategies that were present prior to the stroke may support language recovery and interactions. However, it was also cautioned that patients who have limited EF skills may not benefit from bilingual therapy due to the cognitive resources required (Penn et al., 2010). The results of the current study based on the changes in EF observed as well as the changes in correlations between EF subtests and language subtests from week 6 to week 12, agree with the above hypothesis that incorporating EF into early treatment paradigms may firstly assist the clinician in determining whether treatment should be bilingual or monolingual and secondly, may support retrieval of previous cognitive strategies inherently utilised by the

bilingual speaker. The possible bilingual advantage observed may be useful for the clinician to take advantage of when developing a treatment programme. EF, in conjunction with other identified factors (age, site of lesion, extent of lesion, language proficiency) may play a role in the variety of language recovery patterns identified in bilingual patients.

Further understanding of the role of updating, shifting and inhibition in bilingual language processing and recovery could support a clinician's knowledge regarding how the EF deficits may be contributing to the language behaviour and how the EF deficits may support the recovery process. Inhibition appeared to provide insight into language recovery patterns of right CVA and TBI as compared to left CVA. Inhibition also had important implications for the selection of monolingual versus bilingual therapy. These implications included considering monolingual therapy in the patient's stronger language if inhibition is not intact whilst intact inhibition may allow for bilingual therapy or therapy in the patient's weaker language. This will be discussed further in Chapter 6.

# Chapter 6

#### **Implications and Conclusions**

#### 1. Implications for Assessment and Therapy

# 1.1 <u>Implications for Assessment</u>

When identifying the linguistic and EF profiles in the acute phase, a clinician may need to consider the assessments utilised as there may be some redundancy in employing an extensive battery with multiple EF assessment measures which may not be appropriate in this phase when assessing language and EF. The results of this study revealed that the CAT and the non-verbal EF battery comprising of the n-back task, VicStroop and WCST may be an economical and efficient battery to assess language and EF skills of South African bilingual, English second language speakers. This streamlined battery was found to be beneficial and sensitive to the multicultural and multilingual nature of South Africa. The streamlined battery could also support patient fatigue and stress levels. Extensive testing may be unethical and inappropriate at this stage of recovery.

This battery would assist the first language English-speaking speech-language pathologists in the South African context to assess bilingual patients and have reliable information regarding the patients' language and EF skills. It is suggested that clinicians attempt to use this battery with bilingual, English second language speakers (particularly if they do not have an interpreter), when profiling linguistic and non-linguistic skills in the acute phase post left CVA, right CVA or TBI.

It also provides evidence that a clinician can repeatedly asses a patient in the acute phase in order to monitor progress so that the therapy plan can be adjusted according to the patient's needs. Practice effects that may occur in selected subtests can be interpreted to reflect a patient's learning ability.

# 1.2 Implications for therapy based on the interactions between linguistic and EF profiles

There is an intricate relationship between language and EF and the way in which the bilingual brain is organised. Knowledge of the relationship may be useful in developing treatment protocols for bilingual persons in order to assist with achieving functional gains in all languages spoken. Thus having a better knowledge of the brain organisation of bilinguals together with the linguistic and non-linguistic profiles may assist the clinician in deciding whether to initially target the underlying cognitive skills or the linguistic elements that are required for both languages as hypothesised by Kohnert and Derr (2004). Therefore it would be beneficial if monolingual clinicians could work in consultation with bilingual clinicians in order to develop and provide efficacious treatment protocols that incorporate the bilingual element of the patient as suggested by Kohnert (2004).

There are interactions between language and EF skills from early in the recovery phase. Therefore language and EF should be incorporated into therapy from the acute phase. In monolingual patients, treatment targeting cognitive skills has been found to have a positive impact on functional communication (Ramsberger, 2005) and it has been suggested that new treatment approaches incorporating cognitive skills in the context of language tasks may be necessary to improve language skills (Helm-Estabrooks, Connor & Albert, 2000; McNeil et al., 2006; Murray & Ramage, 2000). Kohnert (2004) supports this notion in the context of bilinguals, based on the results that intervention targeting basic information processing skills positively impacted on the language deficits in a bilingual person with severe non-fluent aphasia. However, this intervention occurred in the chronic phase of recovery and the findings of the current study potentially validate the need for inclusion of EF assessment and treatment in bilinguals in the acute phase. It also raises interesting possibilities for further research regarding the role of EF in recovery and treatment paradigms in bilingual populations.

# 1.3. <u>Implications based on the patterns of change in linguistic and EF profiles</u>

It is important for clinicians to understand the interactions between language and EF skills at 6 weeks and then at 12 weeks as the patterns of interaction change significantly in a short period of time. It seems necessary for clinicians to take into account the different EF patterns being observed in order to support the planning of language therapy programmes at different stages of the acute phase.

Specifically in patients with a left CVA, a clinician may need to control carefully for updating and shifting demands in language treatment tasks at 6 weeks post stroke and integrate aims to improve these EF skills within the treatment protocol. Due to the changes at 12 weeks post left hemisphere stroke, a clinician may be able to allow for more updating, inhibition and shifting demands in the treatment protocol. In addition, a general pattern of improvement was observed within auditory language skills in the acute phase but not in written language skills in the group of participants with left CVA. This pattern is important to consider in selecting AAC systems for patients for example communication aids dependent of written language. When selecting an AAC system, a clinician needs to be cognisant of the pattern of language skills and consider the implications if written language is an area of deficit as many AAC systems rely on written language (Linebarger & Schwartz, 2005). The level of a written AAC system needs to be carefully selected and implemented and therapy provided to improve the language skills to support functional communication (Linebarger & Schwartz, 2005). A clinician may even need to consider that AAC should not be the immediate choice for intervention at the acute phase. Clinically it has been observed that there is a lack of compliance in use of AAC systems in the acute phase subsequent to brain injury. This recommendation is a cautionary finding for clinicians when considering treatment plans in the acute phase.

Changes in EF subtests of the participants with right CVA were different from those found in participants with a left CVA. The changes observed indicate a change in updating and inhibition with poor shifting skills. Possibly there may have been an interaction between the changes in updating and inhibition with the language skills as well as the impact of poor shifting skills. Therefore it may be deemed necessary for a clinician to identify updating and shifting deficits in the acute phase of a person with a right CVA in order to control the impact of updating and shifting on therapy tasks so that the clinician is able to provide therapy with the appropriate updating and shifting demands. Therapy may also need to consider increasing updating and shifting demands as the patient improves.

In the TBI participants, changes occurred in the majority of language and EF domains. Shifting and inhibition were the EF skills which changed over the six weeks and thus a clinician may need to be aware of the role of these two EF skills at different stages in the acute phase and the impact on language skills.

## 1.4 Implications of preserved inhibition in bilinguals with ANCD

Inhibition skills were within the normal range for both participants with a right CVA and a TBI. This intact inhibition provides evidence that there may be a residual bilingual advantage in bilingual persons who have sustained a right CVA or TBI. It may be important to consider this when providing therapy as the clinician may be able to make use of the intact inhibition skills to support strategies and improvements in other domains. It is also important to consider that inhibition deficits may result in the weaker language being at risk as discussed previously and thus for patients with intact inhibition, the weaker language prior to the neural injury may not be at risk and could be employed in therapy. Further research with regards to this hypothesis is required.

Furthermore inhibition needs to be considered in both persons with right CVA and TBI as it has a role to play in the recovery patterns of bilinguals and thus clinicians should make use of the role inhibition has in recovery patterns. This would assist clinicians in the acute phase. Green (2005) proposed that inhibitory processes are involved in successful language use by bilinguals. Lorenzen and Murray (2008), suggest that differing degrees of inhibition could lead to varying language recovery patterns. Permanent inhibition may result in selective recovery, temporary inhibition in sequential recovery, alternating inhibition in antagonistic recovery, greater inhibition in one language may cause differential recovery and loss of inhibition may result in blending of languages.

With inhibition being relatively intact, simultaneous recovery should occur in both languages according to the above model. Therefore a clinician should make use of inhibitory control in the therapeutic process with bilinguals who have had a right CVA or TBI. Intact inhibition highlights that bilingual therapy may be beneficial. However for persons with a left CVA who present with inhibition deficits, which lead to pathological language symptoms, perhaps clinicians need to consider that bilingual therapy which would make use of inhibitory control mechanisms may not be beneficial. In patients with inhibition deficits, a clinician may need to target the cognitive skill of inhibition prior to commencing bilingual language therapy. The

inhibition deficit may also facilitate the decision process for the therapeutic language, as it is known that the less proficient language premorbidly may be at risk and thus a clinician may select to utilise the more proficient language premorbidly for the language of treatment (Paradis, 2001).

Due to the exploratory nature of this research, further research into the role of inhibition to assist in the decision making process for monolingual versus bilingual therapy as well as the language selected for treatment is required. The important role of inhibition in language recovery has also been observed by the results and clinicians may need to consider inhibition in order to assist language recovery.

## 2. Further Research

The assessment battery comprising the CAT and the non-verbal EF battery should be validated on a larger sample size. Its use on rural South African population should also be explored as well as with people who have less than 12 years of education. Further research regarding the role of updating, shifting and inhibition in the recovery process would be beneficial, to further support decision making processes for bilingual therapy. Further research regarding the role of updating, shifting and inhibition in bilingual language processing and recovery could support a clinician's knowledge regarding the role cognitive deficits may be contributing to the language behaviours and the manner in which EF may support the recovery process. The role of inhibition as possibly a preserved bilingual advantage in persons who have had a right CVA or TBI should be explored further as well as its role in recovery and therapy.

Follow up assessments of participants from this research study in the chronic phase of recovery could provide insights regarding prognostic value of the assessment battery and the long term role of the different EF skills in recovery. These assessments could employ further neurological assessment and reassessment with the test battery in order to determine the chronic implications. Furthermore investigation of individualised therapeutic interventions based on EF profiles could be employed in order to evaluate which therapy approaches may be more appropriate for a patient based on their linguistic and EF profiles.

# 3. Conclusions

This research provided evidence that the CAT and the non-verbal EF battery comprising the n-back tack, VicStroop and WCST are linguistically and culturally appropriate for the assessment of bilingual, second language English speakers in the South African context regardless of the participants cultural background. The battery was able to differentiate pathological from neurologically intact. It was also able to differentiate pathology. It is acknowledged that this study was exploratory and that further research with increased population sizes is required to further validate the findings.

There are unique linguistic and EF profiles for bilinguals who have sustained a left CVA, right CVA and TBI and the relationships between the language subtests and the EF subtests are also unique and change across time periods. The profiles of language and EF skills of bilinguals in the acute phase have not been reported on prior to this research project. There is some evidence for preserved bilingual advantage subsequent to a neuronal insult particularly with regards to inhibition in patients with a right CVA or TBI. Clinicians may engage this preserved EF in order to facilitate therapy.

Language treatment needs to be provided in combination with an understanding of recovery patterns, what is driving that pattern, and which cognitive deficits are contributing to the language behaviour (Green, 2005). In addition clinicians need to be aware of the impact of updating, shifting and inhibition in a bilingual person as well as that bilingual advantage may play a role in recovery and could be a possible tool to support the therapeutic process.

This research suggests that individualised profiling is both feasible and relevant in the early stages with bilingual patients; and that a diagnostic battery can be non-redundant and cultually appropriate.

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# Appendix A.

# **University of the Witwatersrand Ethics Clearance Certificate**



# HUMAN RESEARCH ETHICS COMMITTEE (MEDICAL) **CLEARANCE CERTIFICATE NO. M131112**

NAME: (Principal Investigator)	Mrs Nancy Barber
DEPARTMENT:	Speech Pathology and Audiology Life Riverfield Lodge
PROJECT TITLE:	Relationship between the Language and Cognitive Functioning of Billingual Persons with Acquired Neurological Communication Disorders in the South African Context
DATE CONSIDERED:	29/11/2013
DECISION:	Approved unconditionally
CONDITIONS:	
SUPERVISOR:	Prof Claire Penn
APPROVED BY:	Professor PE Cleaton-Jones, Chairperson, HREC (Medical)
DATE OF APPROVAL: 31/01/2	2014
This clearance certificate is v	alid for 5 years from date of approval. Extension may be applied for.
University. I/we fully understand the condit and I/we undertake to ensure	ATORS  nd ONE COPY returned to the Secretary in Room 10004, 10th floor, Senate House ions under which I am/we are authorized to carry out the above-mentioned research compliance with these conditions. Should any departure be contemplated, from the I/we undertake to resubmit the application to the Committee. I agree to submit a
Principal Investigator Signature	M131112Date

PLEASE QUOTE THE PROTOCOL NUMBER IN ALL ENQUIRIES

## Appendix B.

# Permission letter from Life Health Care Group



National Rehabilitation office Oxford Manor, 21 Chaplin Road, Illovo, 2196 Private Bag X13, Northlands, 2116, South Africa Telephone +27 11 219 9620 Facsimile +27 86 686 0441 www.rehab.co.za

2 October 2013

Nancy Barber Faculty of Humanities University of Witwatersrand

#### Request for permission to conduct research

Dear Nancy

Many thanks for the request for permission to conduct research at our Life Rehabilitation Units at Life Riverfield Lodge, Fourways and Life New Kensington Clinic, Johannesburg on the topic: Relationship between the Language and Cognitive Functioning of Bilingual Persons with Acquired Neurological Communication Disorders in the South African Context

Life Rehabilitation supports the development of the field of rehabilitation through evidence-based research and we have a number of ongoing research projects in our units.

I hereby grant permission to you to access our two Life Rehabilitation units in order to conduct your research under the following conditions:

- As we value patient confidentiality and patients' right to choose, no patient may be identified, either by name
  or by the unit where the patient received his/her rehabilitation;
- No comparison is to be made between patients receiving rehabilitation at various rehabilitation units;
- No specific mention is to be made of the amount or type of intervention provided for a specific patient;
- No disclosure of specific norms and standards used in Life Rehabilitation units, unless specific permission is granted by myself to do so;
- Access to patient documentation must be controlled and supervised;
- Patient participation in the study is voluntary, and they may choose not to participate;
- The data gathered may only be used for the purpose of the research no information obtained in our units may be used by third parties

Access to the unit is dependent upon permission by the relevant managers to limit disruption to the unit routine and patients' rehabilitation programmes. Please liaise with Ms Kerusha Govender, Rehabilitation Clinical Specialist, or Ms Ida Geldenhuys, Therapy Manager at Life Riverfield Lodge, and Ms Danny Joelson, Therapy Unit Manager at Life New Kensington Clinic.

I wish you success with your research, and look forward to the results. We would appreciate a copy of your research upon completion.

Sincerely,

Nina Strydom Support Specialist Clinical Products

Tel: + 27 31 313 7912 Fax: + 27 866781450

Mobile: + 27 84 566 1281

NShydon

Email: nina.strydom@lifehealthcare.co.za

# Appendix C

# Participant and family information sheet for the participant who has sustained a CVA or TBI

This information sheet was read during the first session with the participant. If the participant has sustained a head injury and has aphasia, a family member was present and the specially adapted pictorial information sheet with graphics was utilised. The participant kept the information sheet.

#### Introduction

Good day, my name is Nancy Barber. I am a Masters student at the Speech-Language Department at Wits University. I am inviting you to decide if you want to help me with a study. This letter you are reading is an information sheet. I will explain what the study is about and what you will need to do. This will take 15-30 minutes. You can ask questions at any time and I will give you time at the end to ask any questions. I will also give you one week to think about the study and then I will ask you again and make sure you understand the important points of the study before you have to tell me if you want to do the study. If you want to help me with the study, I will give you a form to sign and if you cannot write I will put your fingerprint in place of your signature. I will make a copy of the form you sign for you to keep. If you do not want to take part in the research, you will still get therapy. The therapy manager who checks the therapy will make sure of this, as she is checks that all patients at the rehabilitation hospital receive the correct amount of therapy.

#### Reason for the study

A lot of people in South Africa speak two or more languages. The fact that a person speaks two or more languages may affect how their brain works. Strokes and head injuries happen a lot in South Africa. When a person has a stroke or a head injury, they have difficulty talking and they can also have problems with thinking. I want to find out more about the impact that speaking two or more languages has on the thinking skills of people who have had a head injury or stroke. I am inviting you to be a volunteer in this study as you speak two or more languages and have had a stroke / head injury.

#### What do I have to do?

This study will happen over about three months. First you and your family will fill out a form saying what languages you speak. This will take about 15-30 minutes. Secondly you will do some tests at 4-6 weeks after your injury/ stroke and then you will do the tests again at 12 weeks after your injury. Each time you take the tests, they will take place over two days. Each day you will work with me for about one hour in a quiet room at the rehabilitation hospital. I will do all the tests with you and some will be timed. If it is difficult to undertsand the English explaining how to do the tests, a trained research assistant will help translate the instructions into your home language.

You will do two types of testing- (1) language tests and (2) thinking skills tests:

### 1. Language tests:

To see how you understand words and sentences

To see how you are able to name pictures and make sentences. During the section of the language test where you have to describe a picture, your talking will be recorded so that I can write it down exactly as you say it.

To see how you are able to read and write.

## 2. Thinking skills tests:

Look at disks and move them to match a picture

Name the colours that some words are printed in

Sort some cards.

Remember some pictures.

Look at letters and numbers

I will use information from your medical file: your age, the type of injury you had, where your brain has been damaged and when the injury happened.

#### What happens to your test results?

Once I have finished the study, I will study the results and I will write them up as a report. I will submit this report to Wits University for a Masters in Speech-Language Therapy. I will also try to publish the results in a professional journal. I will give you your results and the results of the study if you want to know them. I will not give your employer the results unless you say I can. If your treating speech therapist, psychologist and/or doctor would like the results, I will give them the results if you say I can.

# What is the cost of this study?

There will be no cost involved in the study. If you have been sent home from this hospital before three months after your injury, your travel costs for the follow up appointment will be paid for.

# Confidentiality

Your name will be protected in the research. Your name will be assigned a number and your name will not appear on any of the testing forms. The audio material will be destroyed after it has been analysed. No information will be put in the report that will link you to the study.

# You have the right to:

- 1. Stop the tests at any time during the study. Stopping will not affect the therapy you receive or the study.
- 2. Have the results of your specific tests as well as the research project.
- 3. Give your test results to your treating speech-language therapist, psychologist and doctor.
- 4. Contact me at any time to discuss any questions or concerns.
- 5. Contact my supervisor, Professor Penn, if you want to discuss any confidential matters regarding the research

If you have any questions, you are welocme to contact me or my supervisor, Professor Penn. You can also contact the chairperson of the University of the Witwatersrand Human Research Ethics committee (HREC) (medical). Below are the details:

Nancy Barber	Professor Penn (supervisor)	Prof Cleaton Jones
0827400349	0721827801	(Chairperson HREC)
		011 717 2301

# **Appendix D**

# **Informed Consent Form for Patients with a CVA/TBI**



In giving consent, I understand the following:



- 1. This study is for a Master's Degree at Wits University.
- 2. I want to take part in the study (voluntarily) and I have to take part.



not been forced

- 3. My **personal details** will be **not** be used in the study. My name will not be used during or after the study.
- 4. The researcher can use my medical details.





- 5. I will fill in a **form** with a family member, telling about the **languages** I can understand and speak. This will take 15-30 minutes.
- 6. I can **stop** being part of the study at any time and this will **not** affect **me**, the **therapy**I receive or the **study**.



- 7. The study will run over about 3 months.
  The first tests will be at 4-6 weeks after my injury.
  The second tests will be at 12 weeks after my injury.
- 8. Each set of testing will take place over **two days** for about **per day**.



one hour

9. I will be tested in a quiet room at the hospital



- 10. I will be asked some questions to check how I understand words and sentences.
- 11. I will be asked some questions to check how I say words and sentences.
- 12. I will **read** and **write words** and sentences.





- 13. I will also have to do some tests that see how I am able to **think**.
- 14. There



is **no cost**.



- 15. If I am **discharged before** the three month follow up tests, my **travel costs** will be **paid**.
- 16. I agree that when I talk and say what is happening in a picture, it can be **recorded** using a **voice recorder**.
- 17. The **results** for all the people who take part in the research will be written up as a



**report** for a



Master's degree.

18. I can ask for **my results** and for the **results of the study**. The results can be given to my speech therapist, psychologist and/or doctor if I want them to.



19. I can	contact the research	cher, <b>Nancy Bar</b>	ber (082740034)	9) at <b>any tim</b> o	e during the
study	y.				

20.	I know the reason for the research and I w	vant to take part.	<b>Nancy Barber</b>	is the main
	researcher and I agree to voluntarily tal	ke part in this re	search project.	

Name of Participant:	
•	
Date and Place:	
Signature:	
Name of Researcher:	
Date and Place:	
Signature:	

# Appendix E. Table of participants

Participant	Type of Injury	Description of injury	Associated speech symptoms	Number of language spoken	First language	Gender M/F	Age	Years of Education
1	ТВІ	A small right and larger left residual cortical haemorrhage was visible in the superior aspects of the parietal lobes with surrounding oedema especially on the left side.	None	2	Afrikaans	М	42	18
2	ТВІ	Multiple bifrontal cerebral contusions with a focal haematoma in the high frontal parietal lobe and a subarachnoid haemorrhage in the posterior parietal lobe.	None	3	isiZulu	М	37	18
3	ТВІ	Subarachnoid haemorrhage bilaterally in the high parietal and frontoparietal surface margins in the brain. Small subdural haemorrhage high in the left parietal occipital and haemorrhagic contusion in the inferior right occipital region. A contusion is also present in the anterior part of the right temporal lobe.	None	3	isiZulu	М	29	12
4	ТВІ	Left cerebral haemorrhagic contusion with small parenchymal haematoma in left external capsule. Mild diffuse brain swelling.	None	2	Afrikaans	M	25	12
5	TBI	Haemorrhage on right parietal and occipital lobes	None	2	Xhosa	M	42	12
6	TBI	Biparietal haemorrhage with left frontal contusion	None	3	Tsonga	M	29	12
7	ТВІ	Subarachnoid haemorrhage on left temporal and parietal lobes	Apraxia of speech	2	Zulu	M	35	15
8	TBI	Left parietal focal contusion	None	3	Tswana	F	29	22
9	TBI	Multifocal hypodensity nonhaemorrhagic contusions	None	2	Afrikaans	M	23	13
10	TBI	Right temporal intracerebral bleed with oedema	None	3	Southern Sotho	М	30	14
Participant	Type	Description of injury	Associated	Number	First	Gender	Age	Years of

	of Injury		speech symptoms	of language spoken	language	M/F		Education
11	R CVA	Right CVA based on clinical signs. Unable to observe on CT scan	None	5	Setswana	F	58	20
12	R CVA	Pre-contrast MRI no signs of CVA but presents with left hemiplegia	None	3	Xhosa	F	57	12
13	R CVA	Non haemorrhagic infarct in the right MCA territory	None	3	Sepedi	F	26	15
14	R CVA	R MCA infarct	None	2	Afrikaans	M	65	13
15	R CVA	Large haematoma R parietal region	None	2	Afrikaans	M	69	12
16	R CVA	Subacute haemorrhage in the right thalamus	None	2	Afrikaans	M	69	16
17	R CVA	Right frontoparietal infarct and pontine medullary infarct	None	3	Sotho	F	59	13
18	R CVA	Right basal ganglia infarct	None	3	Tswana	F	54	17
19	R CVA	R MCA infract	None	2	Afrikaans	M	60	19
20	L CVA	Multiple cerebral hyperintensities in the left temporal, occipital and periventricular white matter	Dysarthria	3	Sepedi	М	41	18
21	L CVA	A left middle cerebral artery infarct affecting the left parietal region.	Apraxia of speech	3	isiZulu	F	27	14
22	L CVA	Left MCA infarct	Dysarthria	3	Setswana	M	51	12
23	L CVA	L Acute subdural haemorrhage on the frontoparietal area	None	2	Zulu	M	46	12
24	L CVA	Left MCA infarct	Apraxia of speech	2	Xhosa	M	45	15
25	L CVA	Large left haemorrhagic infarct with oedema	None	2	Afrikaans	F	65	12
26	L CVA	L CVA of parieto- occipital region	Dysarthria	3	Zulu	M	52	12
27	L CVA	Deep white matter ischaemia, white matter demyelination and progressive multifocal leukoencephalopathy and lunar infarcts in the posterior limb of the left internal capsule.	Dysarthria	2	isiZulu	F	57	12
28	L CVA	Left parieto-occipital cerebral infarct	Apraxia of speech	4	Tswana	M	64	12
29	L CVA	L MCA Infarct	Apraxia of speech	2	Afrikaans	M	47	16

### Appendix F

#### Participant information sheet for the control group.

This information sheet was read during the first session with the participant. The participant kept the information sheet.

#### Introduction

Good day, my name is Nancy Barber. I am a Masters student at the Speech-Language Department at Wits University. I am inviting you to consider participating voluntarily in my research study. This document you are reading is an information sheet. I will explain the purpose of the research and the methods of the research. You can ask questions throughout the discussion and I will give you time at the end to also ask any questions. I will also give you one week to think about the research and then I will contact you and reiterate the important points of the research before you have to make a decision as to whether you want to participate or not. If you agree to participate in the research, I will give you a form to sign. I will make a copy of the form you sign for you to keep. If you do not want to participate in the research, your job will not be affected in anyway. Your choice to be involved or not will not be communicated to your employer.

#### Reason for the study

A lot of people in South Africa speak two or more languages. The fact that a person speaks two or more languages may impact the way their brain works. Strokes and head injuries are common in South Africa. When a person has a stroke or a head injury, not only do they have difficulty talking and communicating, but they can also have problems with thinking. This means that that people might have difficulty paying attention to things, remembering things, organising and sequencing things as well as planning everyday activities. I am interested to find out more about the impact that speaking two or more languages has on the thinking skills of people who have had a head injury or stroke. The research needs a group of people who have not had a head injury / stroke so that we can see if the tests we are using are appropriate for South Africans. I am inviting you to be a volunteer in this research as you speak two or more languages. If you agree to volunteer for this research study, you will be one of 20 people who will be asked to participate in the same manner.

#### What do I have to do?

This research will take place over about three months. First you will need to fill out a form saying what languages you speak, when and how you learnt to speak them, what situations you use them in and if you are able to read/write in them. This will take 15-30 minutes. Secondly you will do some tests and then you will take the tests again at 12 weeks after the first time we do the tests. The tests will take place over two days. Each day you will work with me for about one hour in a quiet room at the rehabilitation hospital. I will do all the tests with you and some will be timed. If it is difficult to undertsand the english explaining how to do the tests, a trained research assistant will help translate the instructions into your home language.

You will do two types of testing- (1) language tests and (2) thinking skills tests:

#### 3. Language tests:

To see how you understand words and sentences

To see how you are able to name pictures and make sentences. During the section of the language test where you have to describe a picture, your talking will be recorded so that I can write it down exactly as you say it.

To see how you are able to read and write.

#### 4. Thinking skills tests:

Look at disks and move them to match a picture

Name the colours that some words are printed in

Sort some cards.

Remember some pictures.

Look at letters and numbers

I need to also know your age and medical history to make sure you have not had any injuries to your head. If you report to the researcher that you have had a head injury, this will not be communicated to your employer and you will not participate in the research.

#### What happens to your test results?

Once I have completed the research, I will study the results and I will write them up as a thesis. I will submit this thesis to Wits University for a Masters in Speech-Language Therapy. I will also try to publish the results in a professional journal. I will give you your results and

the results of the study if you want to know them. I will not give your employer the results unless you give consent.

## What is the cost of this study?

There will be no cost involved in the study. Any travel costs to the rehabilitation hospital will be compensated.

### Confidentiality

Your identity will be protected in the research. Your name will be assigned a numeral code and your name will not appear on any of the testing forms. The audio material will be destroyed after it has been analysed. Your employer will not be informed of your participation in the research. No information will be put in the thesis that will link you to the research.

#### You have the right to:

- 6. Stop participating in the research at any time during the study. This withdrawal will not affect you, your job or the research.
- 7. Have the results of your specific tests as well as the research project.
- 8. Contact me at any time to discuss any questions or concerns.
- 9. Contact my supervisor, Professor Penn, if you want to discuss any confidential matters regarding the research

If you have any questions, you are welocme to contact me or my supervisor, Professor Penn. You can also contact the chairperson of the University of the Witwatersrand Human Research Ethics committee (HREC) (medical). Below are the details:

Nancy Barber	Professor Penn (supervisor)	<b>Prof Cleaton Jones</b>
0827400349	0721827801	(Chairperson HREC)
		011 717 2301

#### Appendix G

#### <u>Informed Consent Letter for the Control Group</u>

Ι	, agree in writi	ng to participate	in this research.
-	, agree in writi	ng to participate	iii tiiis ieseareii.

In giving consent, I understand the following:

- 1. This research is for a Master's Degree at Wits University.
- 2. My participation is voluntary and I have not been forced to participate.
- 3. My personal details will be confidential throughout the research. My name will not be used during or after the study.
- 4. I will fill in a form telling details about the languages I can understand and speak.
- 5. I can stop participating in the research at any stage and this will not affect me, my job or the study.
- 6. The research will run over about 2 months. The first set of testing will occur on a date decided on by myself and the researcher. The second set of testing will be at 6 weeks after my first assessment.
- 7. Each set of testing will take place over one day for one and a half hours.
- 8. I will be tested in a quiet room.
- 9. I will be asked some questions to check how I understand words and sentences.
- 10. I will be asked some questions to check how I say words and sentences.
- 11. I will read and write words and sentences.
- 12. I will also have to do some tasks that see how I am able to think. These tasks will include saying numbers, saying colours, drawing lines, remembering pictures, moving beads and connecting dots.
- 13. I agree that my verbal description of a picture can be recorded using a voice recorder.
- 14. My travel costs will be compensated.
- 15. My employer will not informed as to whether or not I am participating in the study.
- 16. The results for all the people who participate in the research will be written up as a thesis for a degree. The results may also be published in a professional journal.
- 17. I can ask for my results and for the results of the study.
- 18. I can contact the researcher, Nancy Barber (0827400349) at any stage during the research process.

Name of Participant:	
Date and Place:	
Signature:	
Name of Researcher:	
Date and Place:	
Cionatura	
Signature:	

voluntarily participate in this research project.

19. I have understood the reason for the research and the tasks I have to be involved with

for the research. I recognise that Nancy Barber is the primary researcher and I agree to

# Appendix H

1.

3.

Lan	guage Profic	iency Questionn	aire:	Par	ticipant c	ode:	
Date	of Birth:		Age:	Male	e / Femal	e	
Num	ber of years	of schooling com	pleted:				
	se complete t the most ofte		e starting with the first la	nguage as t	he langua	age he/she	
Language	Age of acquisition	Environment that the	Manner of acquisition (formal-via education			was able to	
	1	language was utilised	system or informal-via family and friends)		Speak	Read	Write
l.							
2.							
3.							
1.							
5.							
Date	of injury:		: (CVA ischaemic/ haemo	orrhagic) (T	ΓΒΙ)		
		abilitation hospita ury based on CT	al:scans or MRI scans:	_			

### Appendix I

#### Paragraphs for the Comprehension of Spoken Language subtest

The original word from the CAT is in parenthesis next to the culturally appropriate word which is in italics.

#### Paragraph 1:

Sally and Richard had been on the train for over three hours. They were tired and fed up. The train was already 45 minutes late, the *tuckshop* (buffet) had closed so there was no food and the lady opposite was snoring.

No changes were made to the questions for paragraph 1.

## Paragraph 2:

The explosion is *Johannesburg* (central London) caused havoc. Initially terrorists were suspected but it turned out not to be a bomb. The cause was found to be a burst gas main that ignited when someone had thrown down a lighted cigarette. People three *kilometres* (miles) away heard the explosion and the damage is estimated at over a million *rands* (pounds).

Changes made to the questions included:

- a. Was the explosion in *Durban* (Leicester)?
- b. Was it caused by a bomb?
- a. Was it in Johannesburg (London)?
- b. Was the explosion caused by a gas main?

### Appendix J

#### **Detailed description of EF assessment battery**

Number-letter task. This task was adapted from Rogers and Monsell (1995) as well as Miyake et al (2000). A number-letter pair was presented in one of the four quadrants on the iPad screen and the participant was required to respond by either pressing the yes or no button. The number-letter pairs consisted of one of the following numbers: 2, 4, 6, 8, 3, 5, 7, 9 and one of the following letters: G, K, M, R, A, E, I, U. If the number-letter pair was presented in the top two quadrants the participant was required to respond to the question "Is the number even?". If the number-letter pair was presented in the bottom two quadrants the patient was required to respond to the question "Is the letter a vowel?"

The first session comprised 32 trials which were presented in the top two quadrants and then 32 trials which were presented in the bottom two quadrants. These trials required no task shifting. The second session comprised letter-number pairs which were rotated clockwise around all four blocks for 64 trials. Shifting was required by participants in these last 64 trials. Response times were recorded. The shift cost was the dependent measure for this task and it was calculated using the difference in average response time for trials during the second session where switching was required and the average response times of trials from the first session where no switching was required.

Wisconsin Card Sorting Test (WCST). A computerised version of the WCST (Grant & Berg, 1948) developed by Mueller (2012) was utilised on the laptop. The WCST was used as the complex EF assessment that assessed shifting (Miyake et al., 2000). The WCST requires sustained attention, set maintenance, concept formation, working memory, problem solving and set switching (Jodzio & Biechowska, 2010). Despite the complexity of the WCST, analysis by Miyake et al (2000) revealed that shifting skills contribute significantly to performance on the WCST. The WCST has been found to be of high usefulness in patients with aphasia however the impact of comprehension of instructions needs to be considered when interpreting results (Keil & Kaszniak, 2002).

The WCST required the participants to match a series of target cards that were presented individually to one of four reference cards that were positioned near the top of the screen. Participants were instructed to sort the target card according to one of its attributes- colour (red, yellow, blue, green), shape (triangle, cross, circle, star) or number (1, 2, 3, 4) and thus determine the computer generated rule which governed categorisation. Participants were also

instructed that the computer generated rule would change after a certain number of presentations and that the participant was then required to determine the new categorisation rule. The computer would alter the rule once the patient had performed eight consecutive sorts correctly. Visual feedback was provided with regards to whether their response was correct or incorrect. There was no time limit on a participant's response time.

"Perseverative error" was the domain utilised as the main dependent measure because this indicates the number of times a participant failed to change sorting principles when a category changed and the participant continued to sort according to previous sorting principle.

The following tasks were employed to assess inhibition of prepotent responses:

Victoria Stroop test. A computerised version of the assessment as developed by Mueller (2012) was utilised on the laptop. The Victoria Stroop test (VicStroop) can be analysed independently of cognitive speed by using the error score and the interference ratio which does not require time measures and therefore corrects for the slowed processing speed and allows one to examine inhibition (Strauss, Sherman & Spreen, 2006). The VicStroop is also brief and has reduced administration time. It may also be more preferable for identifying response inhibition difficulties due to the fact that the participant does not get extended practice on the task (Strauss, Sherman & Spreen, 2006).

On each screen of the VicStroop, a grey block highlighted the dot or word the participant needed to respond to. Participants were required to push a number button (1, 2, 3, 4) in response to a specific colour (red, green, blue, yellow). Initially this was practised. When the test was commenced, the first screen, Part D, provided dots in the different colours (red, green, blue, yellow) and participants were instructed to respond by naming the dots as quickly as possible by pushing the corresponding button for the colour of the dot. The second screen, Part W, provided random words in the different colours and participants were again instructed to respond by naming the colour of the word as quickly as possible by pushing the corresponding number button. The third screen, Part C, provided the names of the colours typed in different colour ink. Participants were again instructed to respond to the ink colour of the word and not to read the word.

The errors and time for each section were recorded. The dependent measure was time of Part C/Part D to determine the ratio index of interference (Strauss, Sherman & Spreen, 2006).

Tower of Hanoi. The Tower of Hanoi (ToH) was selected as the complex EF assessment to assess inhibition as Miyake et al (2000) determined that inhibition plays an important role in performance on the ToH. Novel planning, problem solving and rule adherence are skills also assessed by ToH (Glosser & Goodglass, 1990). Short term memory deficits and goal-subgoal conflict resolution deficits may also be identified by this assessment measure (Goel & Grafman, 1995). The ToH has been identified to have high usefulness with patients with aphasia as there is limited language load (Keil & Kaszniak, 2002). In addition, the time element does not need to be used when employing the ToH in patients with a CVA/TBI as they may be slower due to general brain damage (Keil & Kaszniak, 2002).

Participants performed a computerised version of the Tower of Hanoi (Mueller, 2012) on the laptop. In this task, participants were presented with two sets of three blocks with three disks of consecutive sizes inside the blocks. The goal state of the disks was presented at the top of the screen and the initial state of the disks was presented at the bottom of the screen. It was explained to participants that they were required to manipulate the bottom set of disks in order to arrange them to be identical to the top set of disks. The rules were explained using simple language with reduced length of sentences to support comprehension. The rules included: (1) only one disk can be moved at a time, (2) only a smaller disk can be placed on a larger disk, (3) disks must be placed in a box and cannot be left in mid-air. There was no time limit. The dependent variable was the total number of moves used to reach the goal state.

#### The following test was used to assess updating:

*N-back test.* During N-back testing the patient is required to monitor stimulus input and update information in working memory in a flexible manner in order to produce an appropriate response (Elliot, 2003). A computerised version of the N-back task adapted from Quinette et al (2004) was employed on the iPad with *N* being 2. The participant was required to touch the iPad screen when the picture was the same as two pictures prior. Participants had two trials sets and then ten sets of pictures were employed. Other updating tasks could not be employed as the speech and language demands placed on the participant could confound the results. The dependent measure for this test was the accuracy rate.

Appendix K.

Table detailing the CAT subtest scores for the control group at initial and follow up assessment

	M	M	CS	CS	CW	CW	Rep	Rep	N	N	R	R	W	W	SPD	SPD	WPD	WPD	LB	LB	GO	GO	A	A	WF	WF
	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12
C1	62	62	67	74	66	68	72	72	75	74	71	71	64	64	64	65	73	72	66	66	68	68	57	57	74	72
C2	62	62	53	59	51	52	62	66	62	63	60	63	58	57	56	60	61	65	53	53	68	68	57	57	64	64
C3	62	62	63	74	73	73	72	72	75	75	71	71	69	69	60	74	75	75	66	66	68	68	65	65	75	75
C4	54	54	65	63	68	63	66	66	68	69	71	71	58	59	60	62	70	71	66	66	68	68	57	57	71	71
C5	62	62	63	74	73	73	72	72	75	74	65	66	64	64	64	70	73	75	53	53	68	68	57	57	75	75
C6	50	50	47	46	54	53	62	62	55	58	62	62	57	58	59	60	66	68	66	66	68	68	57	57	60	69
C7	62	62	55	63	65	68	66	66	75	69	71	65	60	60	60	60	66	68	66	66	68	68	65	65	75	75
C8	62	62	62	67	68	73	62	62	72	69	67	71	65	65	58	61	71	74	53	53	68	68	65	65	72	70
C9	62	62	61	60	73	70	62	66	66	70	71	71	69	69	62	67	72	74	66	66	68	68	65	65	63	70
C10	62	62	65	60	63	70	66	72	71	68	71	71	59	60	61	66	72	75	66	66	68	68	65	65	70	67
C11	62	62	66	66	55	55	60	60	61	57	60	60	55	55	61	61	56	66	53	53	68	68	57	57	67	67
C12	62	62	63	63	65	65	66	62	64	65	66	71	58	64	61	62	72	73	66	66	68	68	65	65	68	67
C13	62	62	63	63	63	68	62	72	64	69	71	71	60	61	61	62	65	71	53	53	68	68	65	65	68	71
C14	54	54	59	63	67	68	72	72	74	72	60	57	59	61	60	67	70	75	53	53	68	68	65	65	74	71
C15	62	62	63	65	66	70	66	66	71	71	71	71	65	65	62	67	72	70	44	44	68	68	65	65	71	71
C16	54	54	52	57	56	61	72	72	69	68	71	71	69	69	63	62	72	73	53	53	68	68	65	65	71	67
C17	62	62	60	65	73	63	62	66	74	74	66	67	61	61	60	60	72	70	53	53	68	68	57	65	74	72
C18	62	62	74	74	68	68	66	66	74	74	71	71	65	65	62	61	69	70	66	66	68	68	65	65	75	75
C19	46	47	61	60	65	65	62	66	73	73	66	67	60	60	60	60	70	70	66	66	68	68	65	65	74	74

6= Initial assessment

12= Re assessment 6 weeks later

M= Memory subtest

CS= Comprehension of Spoken Language

CW= Comprehension of Written Language

Rep= Repetition

N= Naming

WPD= Written Picture Description

Grey shaded blocks= within normal limits

R= Reading LB= Line Bisection

W= Writing

SPD= Spoken Picture Description

GO= Gesture Object Use

A= Arithmetic

## Appendix K continued.

## Table detailing the EF subtest scores for the control group at initial and follow up assessment

	N-Back	N-Back	VicStroop	VicStroop	WCST	WCST	Num-let	Num-let	ТоН	ТоН	ТоН	ТоН
	6	12	6	12	6	12	6	12	6	6	12	12
			Scaled	Scaled	Standard	Standard			required num	Pt num of	required num of	Pt num of
			score	score	score	score	mS	mS	of moves	moves	moves	moves
C1	0.94	0.91	16	16	87	98	387.81	537.65	35	40	38	44
C2	0.8	0.87	11	11	55	87	-61.71	233.28	34	45	34	78
C3	0.96	0.9	15	15	103	100	349.37	36.71	42	82	44	61
C4	0.95	0.8	16	16	92	96	-29.53	428.9	27	39	35	53
C5	1	0.92	16	16	103	103	456.25	375.46	38	39	38	45
C6	0.7	0.8	16	13	85	92	566.25	661.87	32	34	41	64
C7	0.91	0.91	16	16	93	94	370.16	132.56	46	121	35	54
C8	1	0.92	14	17	103	100	156.25	245.93	35	47	48	89
C9	0.98	0.93	17	17	86	79	378.12	545.15	42	132	44	66
C10	0.81	0.76	17	17	103	89	445.31	684.37	32	34	34	42
C11	0.69	0.77	11	17	57	95	49.21	177.65	43	91	37	42
C12	0.76	0.7	17	17	89	87	763.59	78.43	35	46	43	78
C13	0.8	0.64	17	17	80	98	485.93	209.21	27	39	34	107
C14	0.86	0.57	15	16	65	89	853.43	620	28	105	37	90
C15	0.98	0.91	15	13	96	98	282.96	-13.28	30	46	41	48
C16	0.9	0.53	18	18	76	89	364.84	664.22	36	66	34	79
C17	0.71	0.79	17	15	93	96	350.46	51.09	41	58	45	73
C18	0.84	0.88	17	17	105	105	236.74	220.51	46	52	38	44
C19	0.77	0.75	16	17	90	93	583.16	575.81	37	50	34	47

### **Key for subtests**

6= Initial assessment

12= Re assessment 6 weeks later

N-Back (updating)

VicStroop= Victoria Stroop (inhibition)

Num-Let= Number-letter task (shifting)

Grey shaded blocks= within normal limits

WCST= Wisconsin Card Sorting Test (shifting)

ToH= Tower of Hanoi (inhibition)

mS= milliseconds

Appendix L. Table detailing the CAT subtest scores for the participants with a left CVA at the 6 week and 12 week assessment

	M	M	CS	CS	CW	CW	Rep	Rep	N	N	R	R	W	W	SPD	SPD	WPD	WPD	LB	LB	GO	GO	A	A	WF	WF
Participant	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12
20	43	47	50	52	49	53	66	62	60	64	53	59	57	58	49	51	55	56	53	39	60	68	53	57	63	62
21	54	54	43	52	47	52	41	54	51	56	44	54	53	54	47	50	54	54	66	66	68	68	57	57	51	54
22	45	47	36	48	39	52	54	66	49	58	66	71	52	58	39	51	42	56	53	44	47	68	57	57	54	67
23	33	36	33	39	32	34	50	57	42	45	42	44	44	46	47	48	42	42	26	44	69	60	40	57	37	37
24	28	31	33	33	31	25	44	46	35	35	38	38	34	34	39	39	42	42	25	25	37	38	44	44	37	37
25	30	54	32	48	32	50	44	58	43	52	38	65	34	61	39	55	42	58	39	66	31	55	34	65	37	54
26	41	54	41	38	40	38	59	58	51	51	45	47	34	41	47	52	42	42	44	44	40	42	44	40	48	47
27	36	38	43	51	45	49	54	53	51	55	56	66	50	54	50	52	55	59	44	44	51	51	49	53	57	64
28	31	28	29	33	34	25	34	38	44	43	38	40	41	41	39	39	42	42	25	44	43	60	44	44	45	47
29	26	27	25	25	25	25	34	34	35	35	38	38	34	40	39	39	42	42	44	53	31	35	34	49	37	37

6= Assessment at week 6

12= Assessment at week 12

M= Memory subtest

CS= Comprehension of Spoken Language CW= Comprehension of Written Language

Rep= Repetition

N= Naming

R= Reading W= Writing

SPD= Spoken Picture Description WPD= Written Picture Description Grey shaded blocks= within normal limits

LB= Line Bisection

GO= Gesture Object Use

A= Arithmetic

## Appendix L. continued

## Table detailing the EF subtest scores for the participants with a left CVA at the 6 week and 12 week assessment

	N-Back	N-Back					Num-	Num-				
	6	12	VicStroop6	VicStroop12	WCST6	WCST12	let6	let12	ТоН6	ТоН6	ToH12	ToH12
			Scaled		Standard	Standard			required num of	Pt num of	required num of	Pt num
Participant			score	Scaled score	score	score	mS	mS	moves	moves	moves	of moves
20	0.22	0.45	17	17	55	80	4305	274	40	55	51	57
21	0.52	0.64	2	2	78	145	127.8	137.9	39	68	33	49
22	0.19	0.87	17	9	55	71	CND	498	CND	0	45	60
23	0.05	0.44	3	12	55	55	CND	CND	CND	0	CND	0
24	0.1	0.1	2	2	55	55	CND	CND	CND	0	CND	0
25	0	0.92	3	17	55	93	CND	430	CND	0	38	49
26	0.62	0.62	3	16	55	55	CND	CND	CND	0	CND	0
27	0.45	0.37	3	3	55	76	348.2	126.02	CND	0	CND	0
28	0	0.14	3	3	55	55	CND	CND	CND	0	CND	0
29	0.18	0.55	3	3	55	55	CND	CND	CND	0	CND	0

#### **Key for subtests**

6= Assessment at week 6

Grey shaded blocks= within normal limits

12= Assessment at week 12

N-Back (updating)

VicStroop= Victoria Stroop (inhibition)

ToH= Tower of Hanoi (inhibition)

WCST= Wisconsin Card Sorting Test (shifting)

Num-Let= Number-letter task (shifting) mS= millisecond

Appendix M. Table detailing the CAT subtest scores for the participants with a right CVA at the 6 week and 12 week assessment

	M	M	CS	CS	CW	CW	Rep	Rep	N	N	R	R	W	W	SPD	SPD	WPD	WPD	LB	LB	GO	GO	Α	A	WF	WF
Participant	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12
11	45	62	53	62	62	66	62	66	72	73	64	71	60	58	59	56	72	72	53	66	68	68	57	53	74	69
12	39	41	46	50	34	54	66	60	55	60	63	66	58	55	53	53	55	60	53	53	68	68	53	65	55	62
13	54	54	52	47	50	53	68	72	58	59	63	66	55	57	51	54	60	61	53	44	68	68	53	53	60	62
14	62	62	67	62	68	66	72	66	74	74	67	71	69	69	61	60	62	70	66	66	68	68	65	65	74	74
15	54	62	60	61	56	65	62	62	66	69	66	62	64	64	54	55	61	66	44	53	68	68	49	57	67	68
16	62	54	60	65	66	68	60	72	67	70	71	71	69	69	61	64	66	73	66	66	68	68	65	65	62	69
17	41	47	41	45	37	41	66	66	56	63	51	49	64	59	49	55	61	65	25	39	68	68	65	65	66	67
18	54	54	47	53	52	60	60	60	61	61	65	62	57	61	55	56	57	60	53	66	68	68	65	65	66	68
19	54	62	52	61	61	63	72	72	62	73	71	71	64	69	52	57	61	61	66	66	68	68	57	57	69	75

6= Assessment at week 6

12= Assessment at week 12

M= Memory subtest

CS= Comprehension of Spoken Language

CW= Comprehension of Written Language

Rep= Repetition

N= Naming

R= Reading

W= Writing

SPD= Spoken Picture Description WPD= Written Picture Description

Grey shaded blocks= within normal limits

LB= Line Bisection

GO= Gesture Object Use

A= Arithmetic

## Appendix M continued.

## Table detailing the EF subtest scores for the participants with a right CVA at the 6 week and 12 week assessment

	N-Back	N-Back	VicStroop	VicStroop	WCST	WCST	Num-	Num-				
	6	12	6	12	6	12	let6	let12	ТоН6	ТоН6	ToH12	ToH12
			Scaled	Scaled	Standard	Standard			required num of	Pt num of	required num of	Pt num of
Participant			score	score	score	score	mS	mS	moves	moves	moves	moves
11	0.548	0.681	10	17	82	75	307.6	239.32	28	56	47	62
12	0.24	0.28	3	17	69	85	521.08	504.07	CND	0	CND	0
13	0.41	0.42	3	17	73	85	487.28	1796	CND	0	CND	0
14	0.72	0.94	17	14	92	93	211.71	434	44	69	50	63
15	0.72	0.91	9	14	83	92	1759	1283	CND	0	41	68
16	0.7	0.82	17	17	145	93	687	308	32	41	45	69
17	0.57	0.72	10	15	61	89	1133	2145	CND	0	CND	0
18	0.46	0.76	12	13	90	83	1178	409	51	61	37	43
19	0.68	0.84	17	17	81	93	296	554	CND	0	33	88

## **Key for subtests**

6= Assessment at week 6 Grey shaded blocks= within normal limits

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N-Back (updating)

VicStroop= Victoria Stroop (inhibition) ToH= Tower of Hanoi (inhibition)

WCST= Wisconsin Card Sorting Test (shifting)

Num-Let= Number-letter task (shifting) mS= millisecond

Appendix N.

Table detailing the CAT subtest scores for the participants with a TBI at the 6 week and 12 week assessment

	M	M	CS	CS	CW	CW	Rep	Rep	N	N	R	R	W	W	SPD	SPD	WPD	WPD	LB	LB	GO	GO	Α	Α	WF	WF
Participant	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12	6	12
1	39	62	46	62	50	65	59	60	50	65	55	67	48	73	49	61	55	73	48	66	40	68	49	65	48	67
2	41	47	50	52	48	53	62	66	54	62	66	71	57	58	52	56	54	55	66	66	55	55	49	53	51	62
3	34	45	40	53	40	52	72	72	48	68	47	61	55	57	48	52	55	66	26	39	60	68	40	65	52	69
4	50	62	52	56	63	65	66	66	57	66	62	64	61	61	49	56	61	63	53	66	68	68	57	65	54	68
5	34	38	45	38	43	44	54	61	53	56	52	60	51	59	52	49	60	59	66	66	55	68	49	40	56	58
6	45	50	35	44	44	49	55	60	49	52	56	58	53	52	48	50	57	57	33	53	68	68	49	53	50	56
7	31	39	25	31	34	39	44	48	42	44	38	38	42	42	38	38	42	42	39	39	45	60	34	44	37	45
8	54	54	56	67	70	73	66	72	59	65	66	67	69	58	55	62	68	72	44	66	68	68	57	65	60	66
9	38	47	46	53	48	60	54	62	57	63	59	63	52	59	53	53	54	64	53	53	45	60	44	53	57	69
10	62	62	60	74	61	66	62	66	69	71	71	71	64	64	49	56	67	72	66	66	68	68	57	65	72	71

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W= Writing

SPD= Spoken Picture Description

WPD= Written Picture Description

Grey shaded blocks= within normal limits

LB= Line Bisection

GO= Gesture Object Use

A= Arithmetic

## Appendix N continued

## Table detailing the EF subtest scores for the participants with a TBI at the 6 week and 12 week assessment

	N-	N-Back	VicStroop	VicStroop			Num-	Num-				
	Back6	12	6	12	WCST6	WCST12	let6	let12	ТоН6	ToH6	ToH12	ToH12
			Scaled		Standard	Standard			required num	Pt num of	required num	Pt num of
Participant			score	Scaled score	score	score	mS	mS	of moves	moves	of moves	moves
1	0.264	0.54	10	16	62	96	301.14	93.2	CND	0	28	31
2	0.531	0.735	8	16	55	143	444.4	228.8	CND	0	44	84
3	0.154	0.881	2	16	55	81	313.06	156.48	CND	0	40	64
4	0.94	0.8	16	16	90	94	550.03	490.32	43	66	44	69
5	0.22	0.25	16	16	66	81	CND	1812	CND	0	CND	0
6	0.24	0.19	10	11	78	78	2944.37	2480.62	CND	0	CND	0
7	0.74	0.66	3	16	55	77	CND	CND	CND	0	CND	0
8	0.9	0.1	13	15	98	103	517.81	401.66	37	61	38	66
9	0.36	0.16	3	10	80	88	570.4	-134.35	CND	0	42	93
10	0.94	0.84	10	16	96	98	-134.06	292.67	38	43	42	55

#### **Key for subtests**

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N-Back (updating)

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